



State of Ohio
Public Works Commission
Application for Financial Assistance

IMPORTANT: Please consult "Instructions for Financial Assistance for Capital Infrastructure Projects" for guidance in completion of this form.

Applicant

Applicant: Village of Hicksville Subdivision Code: 039-35098
 District Number: 5 County: Defiance Date: 07/31/2019
 Contact: Kent Miller Phone: (419) 542-8095
(The individual who will be available during business hours and who can best answer or coordinate the response to questions)
 Email: viladmin@defnet.com FAX: (419) 542-2018

Project

Project Name: West High Street Water Main Replacement Zip Code: 43526

Subdivision Type <small>(Select one)</small>	Project Type <small>(Select single largest component by \$)</small>	Funding Request Summary <small>(Automatically populates from page 2)</small>
<input type="checkbox"/> 1. County	<input type="checkbox"/> 1. Road	Total Project Cost: <u>271,155.00</u>
<input type="checkbox"/> 2. City	<input type="checkbox"/> 2. Bridge/Culvert	1. Grant: <u>130,000.00</u>
<input type="checkbox"/> 3. Township	<input checked="" type="checkbox"/> 3. Water Supply	2. Loan: <u>0.00</u>
<input checked="" type="checkbox"/> 4. Village	<input type="checkbox"/> 4. Wastewater	3. Loan Assistance/ Credit Enhancement: <u>0.00</u>
<input type="checkbox"/> 5. Water (6119 Water District)	<input type="checkbox"/> 5. Solid Waste	Funding Requested: <u>130,000.00</u>
	<input type="checkbox"/> 6. Stormwater	

District Recommendation (To be completed by the District Committee)

Funding Type Requested <small>(Select one)</small>	SCIP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<input type="checkbox"/> State Capital Improvement Program	RLP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<input type="checkbox"/> Local Transportation Improvement Program	Grant:	Amount: _____ .00
<input type="checkbox"/> Revolving Loan Program	LTIP:	Amount: _____ .00
<input type="checkbox"/> Small Government Program	Loan Assistance / Credit Enhancement:	Amount: _____ .00
District SG Priority: _____		

For OPWC Use Only

STATUS _____	Grant Amount: _____ .00	Loan Type: <input type="checkbox"/> SCIP <input type="checkbox"/> RLP
Project Number: _____	Loan Amount: _____ .00	Date Construction End: _____
	Total Funding: _____ .00	Date Maturity: _____
Release Date: _____	Local Participation: _____ %	Rate: _____ %
OPWC Approval: _____	OPWC Participation: _____ %	Term: _____ Yrs

1.0 Project Financial Information (All Costs Rounded to Nearest Dollar)

1.1 Project Estimated Costs

Engineering Services

Preliminary Design:	_____ 4,500 .00		
Final Design:	_____ 5,000 .00		
Construction Administration:	_____ 13,000 .00		
Total Engineering Services:	a.) _____ 22,500 .00	_____ 10 %	
Right of Way:	b.) _____ .00		
Construction:	c.) _____ 226,050 .00		
Materials Purchased Directly:	d.) _____ .00		
Permits, Advertising, Legal:	e.) _____ .00		
Construction Contingencies:	f.) _____ 22,605 .00	_____ 10 %	
Total Estimated Costs:	g.) _____ 271,155 .00		

1.2 Project Financial Resources

Local Resources

Local In-Kind or Force Account:	a.) _____ .00		
Local Revenues:	b.) _____ 141,155 .00		
Other Public Revenues:	c.) _____ .00		
ODOT / FHWA PID: _____	d.) _____ .00		
USDA Rural Development:	e.) _____ .00		
OEPA / OWDA:	f.) _____ .00		
CDBG:	g.) _____ .00		
<input type="checkbox"/> County Entitlement or Community Dev. "Formula"			
<input type="checkbox"/> Department of Development			
Other: _____	h.) _____ .00		
Subtotal Local Resources:	i.) _____ 141,155 .00	_____ 52 %	

OPWC Funds (Check all requested and enter Amount)

Grant: _____ 100 % of OPWC Funds	j.) _____ 130,000 .00		
Loan: _____ 0 % of OPWC Funds	k.) _____ .00		
Loan Assistance / Credit Enhancement:	l.) _____ 0 .00		
Subtotal OPWC Funds:	m.) _____ 130,000 .00	_____ 48 %	
Total Financial Resources:	n.) _____ 271,155 .00	_____ 100 %	

1.3 Availability of Local Funds

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local resources required for the project will be available on or before the earliest date listed in the Project Schedule section. The OPWC Agreement will not be released until the local resources are certified. Failure to meet local share may result in termination of the project. Applicant needs to provide written confirmation for funds coming from other funding sources.

2.0 Repair / Replacement or New / Expansion

2.1 Total Portion of Project Repair / Replacement:	_____ 271,155 .00	_____ 100 %	<small>..... A Farmland Preservation letter is required for any impact to farmland</small>
2.2 Total Portion of Project New / Expansion:	_____ 0 .00	_____ 0 %	
2.3 Total Project:	_____ 271,155 .00	_____ 100 %	

3.0 Project Schedule

3.1 Engineering / Design / Right of Way	Begin Date: <u>06/09/2019</u>	End Date: <u>12/09/2019</u>
3.2 Bid Advertisement and Award	Begin Date: <u>05/01/2020</u>	End Date: <u>06/01/2020</u>
3.3 Construction	Begin Date: <u>09/01/2020</u>	End Date: <u>06/01/2021</u>

Construction cannot begin prior to release of executed Project Agreement and issuance of Notice to Proceed.

Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by project official of record and approved by the Commission once the Project Agreement has been executed.

4.0 Project Information

If the project is multi-jurisdictional, information must be consolidated in this section.

4.1 Useful Life / Cost Estimate / Age of Infrastructure

Project Useful Life: 30 Years Age: 1970 (Year built or year of last major improvement)

Attach Registered Professional Engineer's statement, with seal or stamp and signature confirming the project's useful life indicated above and detailed cost estimate.

4.2 User Information

Road or Bridge: Current ADT _____ Year _____ Projected ADT _____ Year _____

Water / Wastewater: Based on monthly usage of 4,500 gallons per household; attach current ordinances.

Residential Water Rate Current \$ 24.00 Proposed \$ 24.45

Number of households served: 1,530

Residential Wastewater Rate Current \$ 72.48 Proposed \$ 73.74

Number of households served: 1,544

Stormwater: Number of households served: 0

4.3 Project Description

- A: SPECIFIC LOCATION (Supply a written location description that includes the project termini; a map does not replace this requirement.) 500 character limit.

The project begins at the intersection of Chicago Avenue and West High Street and ends approximately 1,200 feet to the west on West High.

- B: PROJECT COMPONENTS (Describe the specific work to be completed; the engineer's estimate does not replace this requirement) 1,000 character limit.

The work will consist of replacing an aging 6 inch water main with new 10 inch C-900 pipe. Residential and commercial services will be reconnected, four existing valves and one hydrant will be removed with six new valves and two new hydrants being installed along with roadway restoration afterwards. The existing water main will be abandoned.

- C: PHYSICAL DIMENSIONS (Describe the physical dimensions of the existing facility and the proposed facility. Include length, width, quantity and sizes, mgd capacity, etc in detail.) 500 character limit.

The project consists of installing approximately 1,200 linear feet of C-900 water pipe, removing four valves and one hydrant and replacing with six new valves and two new hydrants, reconnecting all services and making road repairs when complete. In addition to the items already mentioned, six 10 x 6 x 6 inch tees, six 6 inch and six 10 inch elbows and two 6 inch plugs will be part of the construction.

5.0 Project Officials

Changes in Project Officials must be submitted in writing from an officer of record.

5.1 Chief Executive Officer (Person authorized in legislation to sign project agreements)

Name: Diane Collins
Title: Mayor
Address: 111 South Main Street

City: Hicksville State: OH Zip: 43526
Phone: (419) 542-6161
FAX: (419) 542-2018
E-Mail: hixmayor@defnet.com

5.2 Chief Financial Officer (Can not also serve as CEO)

Name: Cheryl Smith
Title: Fiscal Officer
Address: 111 South Main Street

City: Hicksville State: OH Zip: 43526
Phone: (419) 542-6138
FAX: (419) 542-2018
E-Mail: hixcs@defnet.com

5.3 Project Manager

Name: Kent Miller
Title: Village Administrator
Address: 111 South Main Street

City: Hicksville State: OH Zip: 43526
Phone: (419) 542-8095
FAX: (419) 542-2018
E-Mail: viladmin@defnet.com

6.0 Attachments / Completeness review

Confirm in the boxes below that each item listed is attached (Check each box)

- A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- A certification signed by the applicant's chief financial officer stating the amount of all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's seal or stamp and signature.
- A cooperative agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- Farmland Preservation Review - The Governor's Executive Order 98-IV, "Ohio Farmland Protection Policy" requires the Commission to establish guidelines on how it will take protection of productive agricultural and grazing land into account in its funding decision making process. Please include a Farm Land Preservation statement for projects that have an impact on farmland.
- Capital Improvements Report. CIR Required by O.R.C. Chapter 164.06 on standard form.
- Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your local District Public Works Integrating Committee.

7.0 Applicant Certification

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.

Mayor Diane Collins

Certifying Representative (Printed form, Type or Print Name and Title)

Diane Collins 7/29/19

Original Signature / Date Signed

DISTRICT 5
CAPITAL IMPROVEMENT PROJECTS
QUESTIONNAIRE
ROUND 34

Name of Applicant: Village of Hicksville
Project Title: West High Street Water Main Replacement

The following questions are to be answered for each application submitted for State Issue II SCIP, LTIP and Loan Projects. Please provide specific information using the best documentation available to you. Justification of your responses to these questions will be required if your project is selected for funding, so please provide correct and accurate responses. **Communities and Townships under 5,000 in population should also complete the Small Government Criteria.**

1. What percentage of the project in repair A=0%, replacement B=100%, expansion C= %, and new D= %? (Use dollar amounts of project to figure percentages and make sure the total equals one hundred(100) percent) A+B=100% C+D= %

Repair/Replacement = Repair or Replacement of public facilities owned by the government (any subdivision of the state).

New/Expansion = Replacement of privately owned wells, septic systems, private water or wastewater systems, etc.

2. Give the physical condition rating:

Closed or Not Operating: The condition is unusable, dangerous and unsafe. The primary components have failed. The infrastructure is not functioning at all.

Critical:

The condition is causing or contributing to a serious non-compliance situation and is threatening the intended design level of service. The infrastructure is functioning at seriously diminished capacity. Imminent failure is anticipated within 18 months. Repair and/or replacement is required to eliminate the critical condition and meet current design standards. **(For Road Projects structural repair items would represent a minimum of 25% of the total Project Cost).**

Poor: The condition is substandard and requires repair/replacement in order to return to the intended level of service and comply with current design standards. Infrastructure contains a major deficiency and is functioning at a diminished capacity.

Fair: The condition is average, not good or poor. The infrastructure is still functioning as originally intended. Minor deficiencies exist requiring repair to continue to function as originally intended and/or to meet current design standards.

Good: The condition is safe and suitable to purpose. Infrastructure is functioning as

originally intended, but requires minor repairs and/or upgrades to meet current design standards.

Excellent: The condition is new, or requires no repair. Or, no supporting documentation has been submitted.

* **In order to receive points provide supporting documentation (e.g. photos, a narrative, maintenance history, or third party findings) to justifying the rating.**

3. If the proposed project is not approved what category would best represent the impact on the general health and/or public safety?

ROADS

Extremely Critical: Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Major Access Road.*

Critical: Resurfacing, Restoration and Rehabilitation (3R) of a Major Access Road.*

Major: Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Minor Access Road.*

Moderate: Resurfacing, Restoration and Rehabilitation (3R) of a Minor Access Road.*

Minimal: Preventative Maintenance of a Major Access Road.

No Impact: Preventative Maintenance of a Minor Access Road.

Projects that have a variety of work will be scored in the LOWEST category of work contained in the Construction Estimate.

Road/Street Classifications:

Major Access Road: Roads or streets that have a dual function of providing access to adjacent properties and providing through or connecting service between other roads.

Minor Access Road: Roads or streets that primarily provide access to adjacent properties without through continuity, such as cul-de-sacs or loop roads or streets.

Preventative Maintenance: Non Structural Pavement work such as chip sealing, cape sealing, micro-surfacing, crack sealing, etc.

*(3R) Resurfacing, Restoration and Rehabilitation - Improvements to existing roadways, which have as their main purpose, the restoration of the physical features (pavement, curb, guardrail, etc.) without altering the original design elements. **(Surface and Intermediate layer Mill and Fills, overlays with less than or equal to 3" of additional pavement, ect...)**

*(4R) Resurfacing, Restoration, Rehabilitation and Reconstruction - Much like 3R, except that 4R allows for the complete reconstruction of the roadway and alteration of certain design elements (i.e., lane widths, shoulder width, SSD, **overlays with greater than 3" of additional pavement**, etc.).

BRIDGES SUFFICIENCY RATING

Extremely Critical: 0-25, or a General Appraisal rating of 3 or less.
Critical: 27-50, or a General Appraisal rating of 4.
Major: 51-65 or a General Appraisal rating of 5 or 6.
Moderate: 66-80 or a General Appraisal rating of 7.
Minimal: 81-100 or a General Appraisal rating of more than 7.
No Impact: Bridge on a new roadway.

WASTEWATER TREATMENT PLANTS

Extremely Critical: Environmental Protection Agency (EPA) orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
Critical: Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
Major: Replace deficient appurtenances. Update existing processes due to EPA recommendations.
Moderate: Increase capacity to meet current needs or update processes to improve effluent quality.
Minimal: New/Expansion project to meet a specific development proposal.
No Impact: New/Expansion to meet future or projected needs.

WATER TREATMENT PLANT

Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.
Critical: Improvements to meet Environmental Protection Agency (EPA) Safe Drinking Water Regulations and/or NPDES Orders.
Major: Replace deficient appurtenances. Update existing processes due to EPA recommendations.
Moderate: Increase capacity to meet current needs or update processes to improve water quality.
Minimal: New/Expansion project to meet a specific development proposal.

No Impact: New/Expansion to meet future or projected needs.

COMBINED SEWER SEPARATIONS (May be construction of either new storm or sanitary sewer as long as the result is two separate sewer systems.)

Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.

Critical: Separate, due to chronic backup or flooding in basements.

Major: Separate, due to documented water quality impairment, or due to EPA recommendations.

Moderate: Separate, due to specific development proposal within or upstream of the combined system area.

Minimal: Separate, to conform to current design standards.

No Impact: No positive health effect.

STORM SEWERS

Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.

Critical: Chronic flooding (structure damage).

Major: Inadequate capacity (land damage).

Moderate: Inadequate capacity with no associated damage.

Minimal: New/Expansion to meet current needs.

No Impact: New/Expansion to meet future or project needs.

CULVERTS

Extremely Critical: Structurally deficient or functionally obsolete. Deterioration has already caused a safety hazard to the public.

Critical: Inadequate capacity with land damage and the existing or high probability of property damage.

Major: Inadequate capacity (land damage).

Moderate: Inadequate capacity with no associated damage.

Minimal: New/Expansion to meet current needs.

No Impact: New/Expansion to meet future or projected needs.

SANITARY SEWERS

Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.

Critical: Replace, due to chronic pipe failure, chronic backup or flooding in basements. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.

Major: Replace, due to inadequate capacity or infiltration, or due to EPA recommendations.

Moderate: Rehabilitate to increase capacity to meet current needs or to reduce inflow and infiltration.

Minimal: New/Expansion project to meet a specific development proposal.

No Impact: New/Expansion to meet future or projected needs.

SANITARY LIFT STATIONS AND FORCE MAINS

Extremely Critical: Structurally deficient. Deterioration has already caused a safety/health hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.

Critical: Inadequate capacity with actual or a high probability of property damage. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.

Major: EPA recommendations, or, reduces a probable health and/or safety problem.

Moderate: Rehabilitate to increase capacity to meet current needs.

Minimal: New/Expansion to meet a specific development proposal.

No Impact: New/Expansion to meet future or projected needs.

WATER PUMP STATIONS

Extremely Critical: Structurally deficient. Deterioration has already caused a safety hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.

Critical: Inadequate capacity with the inability to maintain pressure required for fire flows.

Major: Replace due to inadequate capacity or EPA recommendations.

- Moderate: Rehabilitate to increase capacity to meet current needs.
- Minimal: New/Expansion to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER LINES/WATER TOWERS

- Extremely Critical: Solve low water pressure or excessive incidents of main breaks in project area.
- Critical: Replace, due to deficiency such as excessive corrosion, etc.
- Major: Replace undersized water lines as upgrading process.
- Moderate: Increase capacity to meet current needs.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

OTHER

- Extremely Critical: There is a present health and/or safety threat.
- Critical: The project will provide immediate health and/or safety benefit.
- Major: The project will reduce a probable health and/or safety problem.
- Moderate: The project will delay a health and/or safety problem.
- Minimal: A possible future health and/or safety problem mitigation.
- No Impact: No health and/or safety effect.

NOTE: Combined projects that can be rated in more than one subset may be rated in the other category at the discretion of the District 5 Executive Committee. In general, the majority of the cost or scope of the project shall determine the category under which the project will be scored.

(Submittals without supporting documentation will receive 0 Points for this question.)

Extremely Critical X , Critical ___ , Major ___ , Moderate ___ , Minimal ___ , No Impact ___ . Explain your answer.

See attached justification letter

(Additional narrative, charts and/or pictures should be attached to questionnaire)

4. Identify the amount of local funds that will be used on the project as a percentage of the total project cost.

A.) Amount of Local Funds = \$ 141,155

B.) Total Project Cost = \$ 271,155

RATIO OF LOCAL FUNDS DIVIDED by TOTAL PROJECT COSTS (A÷B)=52 %

Note: Local funds should be considered funds derived from the applicant budget or loans funds to be paid back through local budget, assessments, rates or tax revenues collected by the applicant.

5. Identify the amount of other funding sources to be used on the project, excluding State Issue II or LTIP Funds, as a percentage of the total project cost.

Grants ___% Gifts ___%, Contributions ___%

Other ___% (explain) _____ , Total ___%

Note: Grant funds and other revenues not contributed or collected through taxes by the applicant should be considered other funds. The Scope of Work for each Funding Source must be the same.

6. Total Amount of SCIP and Loan Funding Requested- An Applicant can request a grant per the categories below for points as indicated on the Priority Rating Sheet. If the Applicant is including a loan request equal to, but not exceeding 50% of the OPWC funding amounts listed below, there will be no point penalty. If loan funds requested are more than 50%, points as listed in the Priority Rating Sheet will apply.

- _____ \$500,001 or More
- _____ \$400,001-\$500,000
- _____ \$325,001-\$400,000
- _____ \$275,001-\$325,000
- _____ \$175,001-\$275,000
- X \$175,000 or Less

There are times when the District spends all of the grant money and has loan money remaining. When this happens, the district makes a loan offer in the amount of the requested grant to the communities that were not funded. The offers are made in the order of scoring. We need to know if you are not successful in obtaining grant dollars for your project if you would be interested in loan money:

YES X NO _____

(This will only be considered if you are not funded with grant money and there is remaining loan money.) **Please note: if you answer “no” you will not be contacted, only if you answer “yes” will an offer be made in the event that there is loan money remaining.**

7. If the proposed project is funded, will its completion directly result in the creation of permanent full-time

equivalent (FTE) jobs (FTE jobs shall be defined as 35 hours/week) ? Yes ___ No X. If yes, how many jobs within eighteen months? ___ Will the completed project retain jobs that would otherwise be permanently lost? Yes ___ No X. If yes, how many jobs _____ **will be created/retrained** within 18 months **following the completion of the improvements?**

(Supporting documentation in the form of letter from affected industrial or commercial enterprises that specify full time equivalent jobs that will be retained or created directly by the installation or improvement of Public infrastructure. Additional items such as; 1) newspaper articles or other media news accounts, 2) public meeting minutes, and/or 3) a letter from the County Economic Development Director or State of Ohio Economic Development Professional that alludes to the requirement for the infrastructure improvement to support the business. Submittals without supporting documentation will receive 0 points for this question.)

8. What is the total number of existing users that will directly benefit from the proposed project if completed? 102 (Use households served, traffic counts, etc. and explain the basis by which you arrived at your number.) See attached sheet for explanation of how this was determined.

9. Is subdivision's population less than 5,000 Yes X No ___
If yes, continue. You may want to design your project per Small Government Project Evaluation Criteria, released for the current OPWC Round to assist in evaluating your project for potential Small Government Funding. The Small Government Criteria is available on the OPWC website at <http://www.pwc.state.oh.us/Meth.SG.PDF> If No, skip to Question 11.

10. **OHIO PUBLIC WORKS COMMISSION SMALL GOVERNMENT PROGRAM GUIDELINES**

All projects that are sponsored by a subdivision with a population of 5,000 or less, and not earning enough points for District Funding from SCIP or LTIP Funds, are then rated using the Small Government Program Rating Criteria for the corresponding funding round. In order to be rated the entity must submit the Small Government Supplement and their required budgets with their application.

Only infrastructure that is village- or township- owned is eligible for assistance. The following policies have been adopted by the Small Government Commission:

- District Integrating Committees may submit up to seven (7) applications for consideration by the Commission. All 7 must be ranked, however, only the top five (5) will be scored. The remaining two (2) will be held as contingency projects should an application be withdrawn.

- Grants are limited to \$500,000. Any assistance above that amount must be in the form of a loan.

- Grants for new or expanded infrastructure cannot exceed 50% of the project estimate.
- The Commission may deny funding for water and sewer systems that are deemed to be more cost-effective if regionalized.
- If a water or sewer project is determined to be affordable, the project will be offered a loan rather than a grant. Pay special attention to the **Water & Wastewater Affordability Supplemental and the Small Government Water & Wastewater Affordability Calculation Worksheet. Both are available on the Small Government Program Tab at <http://www.pwc.state.oh.us/SmallGovernment.html>**
- Should there be more projects that meet the “annual score” than there is funding, the tie breaker is those projects which scored highest under Health & Safety, with the second tie breaker being Condition. If multiple projects have equivalent Health & Safety and Condition scores they are arranged according to the amount of assistance from low to high. Once the funded projects are announced, “contingency projects” may be funded from project under-runs by continuing down the approved project list.
- Supplemental assistance is not provided to projects previously funded by the Commission.
- Applicants have 30 days from receipt of application by OPWC without exception to provide additional documentation to make the application more competitive under the Small Government criteria. Applications will be scored after the 30-day period has expired. The applicants for each District's two (2) contingency projects will have the same 30-day period to submit supplemental information but these applications will not be scored unless necessary to do so. **It is each applicant's responsibility for determining the need for supplemental material. The applicant will not be asked for or notified of missing information unless the Commission has changed the project type and it affects the documentation required. Important information may include, but is not limited to: age of infrastructure, traffic counts or utility users, median income information, user rates ordinances, and the Auditor's Certificate of Estimated Revenues or documentation from the Auditor of State that subdivision is in a state of fiscal emergency.**

If you desire to have your Round 33 project considered for Small Government Funding please download the Small Government Evaluation Criteria applicable to Round 33 by accessing the OPWC Website at <http://www.pwc.state.oh.us/Meth.SG.PDF>. Please complete the Small Government Evaluation Criteria and attach all required supporting documentation and attach it to the District 5 Questionnaire for Round 32.

11. MANDATORY INFORMATION, DISTRICT 5, DISCRETIONARY RANKING POINTS

List all specific user fees: Amount or
ROAD & BRIDGE PROJECTS: (OHIO REVISED CODE) Percentage

Permissive license fee	4504.02 or 4504.06 _____
	4504.15 or 4504.17 _____
	4504.16 or 4504.171 _____
	4504.172 _____
	4504.18 _____

Special property taxes 5555.48 _____

5555.49 _____

Municipal Income Tax 1%

County Sales Tax 6.75%

Others _____

(DO NOT INCLUDE SCHOOL TAXES)

SPECIFIC PROJECT AREA INFORMATION.

Median household income \$47,841

Monthly utility rate: Water \$24.00

Sewer \$72.48

Other \$14.00 (refuse)

List any special user fees or assessment (be specific)

POLITICAL SUBDIVISION= Village of Hicksville

COUNTY= Defiance

DISCRETIONARY POINTS (BY DISTRICT COMMITTEE ONLY)= _____

(25-20-15)

Date: 7/29/19

Signature: Diane Collins

Title: Mayor

Address: 111 S. Main St., Hicksville, OH 43526

Phone: (419) 542-8095

FAX: (419) 542-2018

Email: hixmayor@defnet.com

District 5

Capital Improvement Project

Priority Rating Sheet, Round 34

Revised 04/23/19

COUNTY: <u>DELAWARE</u>		PROJECT NUMBER															
PROJECT: <u>W. HIGHWAY 155</u>																	
EST. COST: <u>211,155</u>																	
No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS					"A" x "B"	Priority Factors						No.		
			0	2	4	6	8		10	0	2	4	6	8		10	
1	1	(Repair or Replace) vs. (New or Expansion)	0	2	4	6	8	10	10	0%+ Repair or Replacement	20%+ Repair or Replacement	40%+ Repair or Replacement	60%+ Repair or Replacement	80%+ Repair or Replacement	100%+ Repair or Replacement	1	
2	1.5	Existing Physical Condition: Must submit substantiating documentation and CIR (100% New or Expansion, 50% Existing)	0	2	4	6	8	10	12	Excellent	Good	Fair	Poor	Critical	Closed or Not Operating	2	
3	2	Public Health and/or Public Safety Concerns Submittals without supporting documentation will receive 0 points for this question.	0	2	4	6	8	10	20	No Impact	Minimal	Moderate	Major	Critical	Extremely Critical	3	
4	2	Percentage of Local Share (Local funds are funds derived from the applicant budget or a loan to be paid back through the applicant budget, assessments, rates or tax reversals)*	0	2	4	6	8	10	20	0%+	10%+	20%+	30%+	40%+	50%+	4	
5	1	OTHER FUNDING SOURCES (Excluding Issue II Funds) (Grants and other revenues not contributed or collected through taxes by the applicant; including Gifts, Contributions, etc. - must submit copy of award or status letter.)	0	2	4	6	8	10	0	0%+	10%+	20%+	30%+	40%+	50%+	5	
										-9	-8	0	8	9	10		
6	2	OPWC Grant and Loan Funding Requested; Please refer to Item 5 on Questionnaire for Clarification.	-9	-8	0	8	9	10	20	Grant or Loan Only \$500,001 to \$400,001 to \$525,001	\$400,001 to \$525,001	\$525,001 to \$275,001	\$275,001 to \$175,001	\$175,001 to \$175,000	\$175,000 or less	6	
	2		-9	-8	0	8	9	10		Grant/Loan Combination \$750,000 to \$600,001 to \$467,501 to \$412,501 to \$262,501 to \$262,500	\$600,001 to \$467,501 to \$412,501 to \$262,501 to \$262,500	\$467,501 to \$412,501 to \$262,501 to \$262,500	\$412,501 to \$262,501 to \$262,500	\$262,501 to \$262,500 or less	8		
When scoring a project that is only grant or only loan, Please use the chart labeled "Grant or Loan Only". When scoring a grant/loan combination, score the project for the grant in the first chart, then use the second chart labeled "Grant/Loan Combination" to score the total (grant and loan combined). Use the lower of the two as the score.																	
No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS					"A" x "B"	Priority Factors						No.		
			0	2	4	6	8		10	0	2	4	6	8		10	
7	1	Will the Proposed Project Create Permanent jobs or retain jobs that would otherwise be permanently lost (Written Documentation Required)	0	2	4	6	8	10	0	0+ jobs	7+ jobs	15+ jobs	25+ jobs	50+ jobs	100+ jobs	8	
8	1	Benefits to Existing Users such as households, (Equivalent dwelling units), traffic counts, etc.	0	2	4	6	8	10	2	0+	100+	350+	500+	750+	1000+	9	
9		SUBTOTAL RANKING POINTS (MAX = 115)						84	Other info: Does this project have a significant impact on productive farmland? YES NO Attach impact statement if yes. Is the Applicant ready to proceed to bid after State Approval within 6 months? YES NO								
10		COUNTY PRIORITY POINTS (25-20)															
11		DISCRETIONARY POINTS (BY DISTRICT ONLY) (MAX = 12)															
12		GRAND TOTAL RANKING POINTS															

* Applicants must certify local share contribution. Specify, all funding sources to be utilized as local share at the time of application submittal.

VILLAGE OF HICKSVILLE

KENT MILLER, VILLAGE ADMINISTRATOR
108 N. MAIN STREET
HICKSVILLE, OH 43526

(419) 542-8095
FAX (419) 542-2018
viladmin@defnet.com

Diane Collins
MAYOR
(419)542-6161

Cheryl Smith
CLERK/TREASURER
(419)542-6138

Council Members
Ron Jones, Michael Barth, Shelia Baker
Eric Bassett, Ron Beverly, Larry Ridgway

July 31, 2019

Following is a description of the current water main along with the dates of breaks over the past few years. Based on the number of incidents and condition of the pipe the Village feels a rating of Extremely Critical is warranted.

The water main is cast iron with lead packed joints. The exact year it was installed is unknown, but it is estimated to be forty to fifty years old. After the latest break in November of 2018, the Village feels it is a high priority to have replaced. Being a long dead-end run, every user from Chicago Avenue (the starting point of the project) to the western terminus is out of service. Besides residences, this main serves a large trailer park, two apartment/condo complexes, several businesses and factories, the police department and a Head Start facility. The repairs have taken from a couple hours to six or seven hours.

Following are dates on which repairs have been made to the main:

November 18, 2018	502 W. High area
April 8, 2017	west of Chicago Ave. and W. High intersection
May 12, 2013	510 W. High area
October 30, 2012	west of Chicago Ave. and W. High intersection
April 17, 2012	west of Chicago Ave. and W. High intersection
November 12, 2011	west of Chicago Ave. and W. High intersection
July 3, 2011	502 W. High area



Kent Miller
Village of Hicksville

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July 31, 2019

The following is an explanation for Question #8 regarding existing users which will benefit. The breakdown is as follows:

Residential Users

67 units in trailer park
10 units in an apartment complex
9 units in a condo/apartment complex
1 home

87 total residential users

Commercial Users

Police Department	Fort Worth Tower
Mexican Restaurant	Steele 5 Acre Mill
Hardware Store	Hair Salon
Head Start facility	County Service Center
Insurance Agent	Yoder's Body Shop
Wholesale House	Schmidt Chevy
Sailer's Market	Super Wash
<u>One building currently empty</u>	

15 total commercial users

102 total water users

Kent Miller

RESOLUTION 2019-04

A RESOLUTION AUTHORIZING THE MAYOR OF THE VILLAGE OF HICKSVILLE TO PREPARE AND SUBMIT AN APPLICATION TO PARTICIPATE IN THE OHIO PUBLIC WORKS COMMISSION STATE CAPITAL IMPROVEMENT AND/OR LOCAL TRANSPORTATION IMPROVEMENT PROGRAM(S) AND TO EXECUTE CONTRACTS AS REQUIRED.

WHEREAS, the State Capital Improvement Program and the Local Transportation Improvement Program both provide financial assistance to political subdivisions for capital improvements to public infrastructure, and

WHEREAS, the Village of Hicksville is planning to make capital improvements to the West High Street Water Main Project, and

WHEREAS, the infrastructure improvement herein above described is considered to be a priority need for the community and is a qualified project under the OPWC programs,

NOW THEREFORE, BE IT RESOLVED by the Village of Hicksville:

- Section 1:** The Mayor of the Village of Hicksville is hereby authorized to apply to the OPWC for funds as described above.
- Section 2:** The Mayor of the Village of Hicksville is authorized to enter any agreements as may be necessary and appropriate for obtaining this financial assistance.
- Section 3:** That this Resolution shall take effect, and be in full force and effect, from and after the earliest period allowed by law.

DATED: May 20, 2019

Diane Collins
DIANE COLLINS, MAYOR

ATTEST:

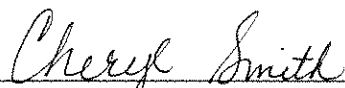
Cheryl Smith
CHERYL SMITH, FISCAL OFFICER

CERTIFICATION REGARDING AVAILABILITY OF FUNDS

Date: July 26, 2019

ATTEST:

I, Cheryl Smith, Fiscal Officer for the Village of Hicksville, hereby certify that the Village of Hicksville has the amount of one hundred forty one thousand, one hundred fifty five and no/00 dollars (\$141,155.00) in the Water Enterprise Fund, and that this amount will be used to pay the local share for the West High Water Main Replacement Project, when it is required.



Cheryl Smith, Fiscal Officer

SEAL:

MAYOR Diane Collins
419-542-6161
hixmayor@defnet.com

ADMINISTRATOR Kent Miller
419-542-8095
viladmin@defnet.com

FISCAL OFFICER Cheryl Smith
419-542-6138
hixcs@defnet.com

SOLICITOR Tray Essex
419-956-2012
hicksvillesolicitor@gmail.com

COUNCIL MEMBERS: Shelia Baker - Michael Barth - Eric Bassett - Ron Beverly Sr. - Ron Jones - Larry Ridgway

Engineer's Opinion of Probable Costs

Client Village of Hicksville
Project W. High Waterline OPWC (Old Mill Road to El Grande (private drive) 18-Jul-19
PDG No. 168000 00133

Item No.	Item	Units	Total Quantity	Unit Price	Total Price
1	10" Waterline, under pavement	1,200	l.f.	\$ 100.00	\$ 120,000.00
2	10" x 10" x 6" Tee	6	each	\$ 850.00	\$ 5,100.00
3	6" Elbows	6	each	\$ 750.00	\$ 4,500.00
4	10" Elbows	6	each	\$ 1,000.00	\$ 6,000.00
5	Remove 6" Valve w/ Box	4	each	\$ 500.00	\$ 2,000.00
6	New 6" Valve w/ Box	4	each	\$ 1,750.00	\$ 7,000.00
7	New 10" Valve w/ Box	2	each	\$ 2,500.00	\$ 5,000.00
8	6" Plug	2	each	\$ 500.00	\$ 1,000.00
9	Meter Pit and Meter	2	each	\$ 2,000.00	\$ 4,000.00
10	Replace Commercial Water Services	8	each	\$ 1,500.00	\$ 12,000.00
11	Replace Residential Water Services	1	each	\$ 1,500.00	\$ 1,500.00
12	Fire Hydrant Assembly	2	each	\$ 4,500.00	\$ 9,000.00
13	Remove Existing Fire Hydrant	1	each	\$ 950.00	\$ 950.00
14	Concrete Pavement/Sidewalk	50	s.y.	\$60.00	\$3,000.00
15	Asphalt Pavement Repair	650	s.y.	\$60.00	\$39,000.00
16	Seeding and Mulching	1	lump sum	\$3,000.00	\$3,000.00
17	Video	1	lump sum	\$ 3,000.00	\$ 3,000.00
			Sub-Total		\$ 226,050.00
	10% Contingency				\$ 22,605.00
			TOTAL		\$ 248,655.00

ENGINEERING

Surveying	
Preliminary Design	\$2,000.00
Final Design	\$2,500.00
Bidding	\$4,000.00
Construction Administration	\$1,000.00
Construction Observation	\$3,000.00
	<u>\$10,000.00</u>
TOTAL	\$22,500.00

TOTAL ESTIMATED COST \$ 271,155.00

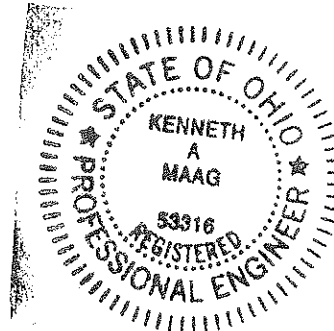
I hereby state that this is an accurate estimate of probable cost and that the useful life of the project is at least 30 years.
The cost estimate is based on using Federal Davis-Bacon Wage Rates.

Prepared By:
POGGEMEYER DESIGN GROUP, INC.
101 Clinton St., Ste. 1300
Defiance, OH 43512



Kenneth A. Maag, P.E. Principal Owner
Date 7-21-19

Stamp/Seal



921.03 SEWERAGE RATES.

Each house, device or individual structure of any sort whatsoever, which has a connection to and/or which contributes liquids or other matter to the Village sewer system, shall pay a charge therefore. The rates charged for sewer service by the Village shall be based upon the number of gallons used subject to the provisions of subsection (d) hereof, as follows:

(a) A per gallon usage charge, which is to be paid for all houses, devices or individual structures of any sort whatsoever contributing sewage to the Village sewer system, shall be as follows:

(1) Effective beginning with bills to be sent out in July, 2017, eight dollars and ninety-one cents (\$8.91) per 1,000 gallons;

(2) Effective beginning with bills to be sent out in January, 2018, nine dollars and seventeen cents (\$9.17) per 1,000 gallons;

(3) Effective beginning with bills to be sent out in January, 2019, nine dollars and forty-four cents (\$9.44) per 1,000 gallons;

(4) Effective beginning with bills to be sent out in January, 2020, nine dollars and seventy-two cents (\$9.72) per 1,000 gallons;

(5) Users outside of the Village shall have such charges multiplied by a factor of two to determine the per gallon usage charge.

(b) In addition to the per gallon usage charge referenced in paragraph (a)(1) and (a)(2) above, there shall be a minimum charge, per month as follows:

(1) Effective beginning with bills to be sent out in January, 2018, for each and every house, device or individual structure of any sort whatsoever with access to the Village sewage system, a minimum charge of thirty dollars (\$30.00).

(2) The minimum charges listed above shall apply even if the property owner is only a part-time resident and/or even if the water meter has been removed and the water service has been shut off. In addition, this minimum charge shall apply to any properties within the Village with septic systems.

(3) Effective beginning with bills to be sent out in January, 2018, for non-residential accounts, there shall be a minimum charge which shall be based upon the diameter of the incoming water lines to the property. For a one inch water line, the minimum charge is thirty dollars (\$30.00). For water lines in excess of one inch, the minimum charge shall increase the sum of thirty dollars (\$30.00) for each additional inch in diameter of the incoming water line.

(c) For those users who do not have water meters, a flat rate per month shall be charged as follows:

(1) Effective beginning with bills sent out in January, 2018, thirty dollars (\$30.00).

(d) For the purpose of this section, whenever charges are expressed in terms of gallons per month, the term shall refer to the amount of water purchased from the Village in gallons by the user per month.

(a) If, in the judgment of the Village Administrator and/or such other Village employees as he may designate, an approved backflow prevention device is necessary for the safety of the public water system, the Village Administrator and/or such other Village employees shall give notice to the water consumer to install such an approved device immediately. The water consumer shall, at his own expense, install such an approved device at a location and in a manner approved by the Village Administrator and/or such other Village employees and shall have inspections and tests made of the approved devices as required by the Village Administrator.

(b) No person, firm or corporation shall establish or permit to be established or maintain or permit to be maintained any connection whereby a private, auxiliary or emergency water supply other than the regular public water supply of the Village may enter the supply or distributing system of the Municipality, unless such private, auxiliary or emergency water supply and the method of connection and use of such supply shall have been approved by the Village Administrator and the Ohio Environmental Protection Agency.

(c) If it comes to the attention of the Village that a water consumer has an independent water supply and does not have an approved cross connection device, the Village Administrator or his designated employee shall notify the water consumer that if an approved cross connection device is not installed within ten days, the Village may terminate water service to the consumer until such time as an approved cross connection device is installed.

(d) If it is determined by the Village Administrator that the lack of an approved cross connection device poses a possibility of contamination of Village water supply or threatens to cause a health hazard to other water consumers in any manner the Administrator may terminate water service immediately until such time such approved device is installed.

(Ord. 829. Passed 4-7-80.)

925.02 WATER RATES.

(a) There shall be a charge for each and every 1,000 gallons of water used within the Village, as follows:

(1) Effective with bills to be sent out in July, 2017; three dollars and twenty-one cents (\$3.21);

(2) Effective January 1, 2018; three dollars and thirty-one cents (\$3.31);

(3) Effective January 1, 2019; three dollars and forty-one cents (\$3.41);

(4) Effective January 1, 2020; three dollars and fifty-one cents (\$3.51).

(b) Users outside of the Village shall have such charges multiplied by a factor of two to determine the surcharge for the use of the Village water system.

(c) In addition to the charges set forth in subsection (a) hereof, there shall be a minimum monthly charge for each and every user of the Village water system, as follows:

(1) Effective January 1, 2008; five dollars (\$5.00);

(2) Effective January 1, 2009; seven dollars (\$7.00);

- (3) Effective January 1, 2010; eight dollars (\$8.00);
- (4) Effective January 1, 2011; eight dollars and thirty cents (\$8.30); and
- (5) Effective January 1, 2012; eight dollars and sixty-five cents (\$8.65).

(d) The foregoing charges are minimum, not maximum, charges, and the Village reserves the right and is obligated to increase such rates at any time should the revenues of the waterworks system prove insufficient to pay the operating and maintenance expenses and the debt service charges of the bonds issued to pay the cost of constructing such system.

(e) Charges for services furnished to the Village and its inhabitants and other users by the municipal waterworks system shall be rendered and collected monthly by the Village Administrator. Such charges shall appear on the bills for the sanitary sewage system, and the payment of one without the other shall not be accepted.

(f) Should the bill for any service rendered by the waterworks system remain unpaid by the fifteenth day of the month following the month to which the billing pertains, a penalty of ten percent shall be added thereto. If such bills are not paid by the thirtieth of the month after the bill is sent, the Village Administrator is authorized to cut off water service to the premises. Service shall be resumed only after payment of the bill and an additional fee of ten dollars (\$10.00). If the bill is not paid within ninety days, the Clerk-Treasurer is authorized to certify the delinquent bill plus the penalties to the County Auditor for collection at the same time that other taxes and assessments are collected.

(g) The owner of private property which is served by the waterworks system, as well as the lessee of the premises, is liable to the Village for all water service to such premises and the payment thereof.

(h) Pursuant to R.C. § 743.09, the Hicksville Exempted School District shall be charged a proportionate charge for water service, which shall be made in the ratio which the tax valuation of the property outside the municipal corporation bears to the tax valuation of all of the property within such School District. This ratio shall be reviewed annually in January of each year and the proportionate charge shall be adjusted based upon the formula contained in this subsection.

(Ord. 98-34. Passed 12-21-98; Ord. 2004-11. Passed 6-21-04; Ord. 2007-09. Passed 8-21-07; Ord. 2007-15. Passed 10-15-07; Ord. 2011-10. Passed 11-21-11; Ord. 2015-15. Passed 11-16-15; Ord. 2017-11. Passed 4-17-17.)

925.03 WATER MANAGEMENT TASK FORCE.

There is hereby established a Water Management Task Force consisting of the Mayor, the President of Council, the Village Administrator and the Water Superintendent. The duties of the Water Management Task Force will be to monitor water usage during times of a water emergency and to implement the different levels of water conservation measures needed to ensure an adequate supply of water for the residents of the Village. In addition, the Water Management Task Force will be responsible for monitoring and coordinating the movement of the transportation of all water both into the Village or out of the Village, as directed by the State of Ohio Environmental Protection Agency.

(Ord. 89-110. Passed 3-6-89.)

Small Government Commission
Water & Wastewater Ability & Effort Supplemental
 (This form must be completed and submitted for all Water and Wastewater applications)

Applicant: Village of Hicksville

System Users – The Small Government Commission will use households from the most recent decennial Census of Population and Housing unless a system-generated user report for inside users is provided or Equivalent Dwelling Units (provide calculation if using EDUs).

1,530

Usage – The Small Government Commission will assume 4,500 gallons per month unless a system-generated usage report is provided proving higher consumption.

4,500 gpm

Rates – Provide both water and wastewater rates, and any surcharges. Attach all relevant ordinances/resolutions showing the effective dates and rate tables. If service is supplied by a different entity the applicant must provide the same information as if it were supplying the service. Calculation of rates must be clear as supported by ordinance or resolution. Calculation must be for rates in effect and in active billing by December 2017; approved rates for a future date will not be accepted (see exception for new systems in Applicants Manual).

WATER

Billing Period: Monthly X Quarterly _____ Other _____
 Unit of Measurement: Gallons X Cubic Feet _____ Flat Rate _____

Base Charge	\$ <u>8.65</u>	0 to X gallons or 0 to X cubic feet
Second Increment	\$ <u>3.41</u>	\$ per unit from X to Y
Additional Increments	\$ _____	\$ per unit from Y to Z
Additional Increments	\$ _____	per 1,000 gallons
Surcharges	\$ _____	
TOTAL	\$ <u>24.00</u>	

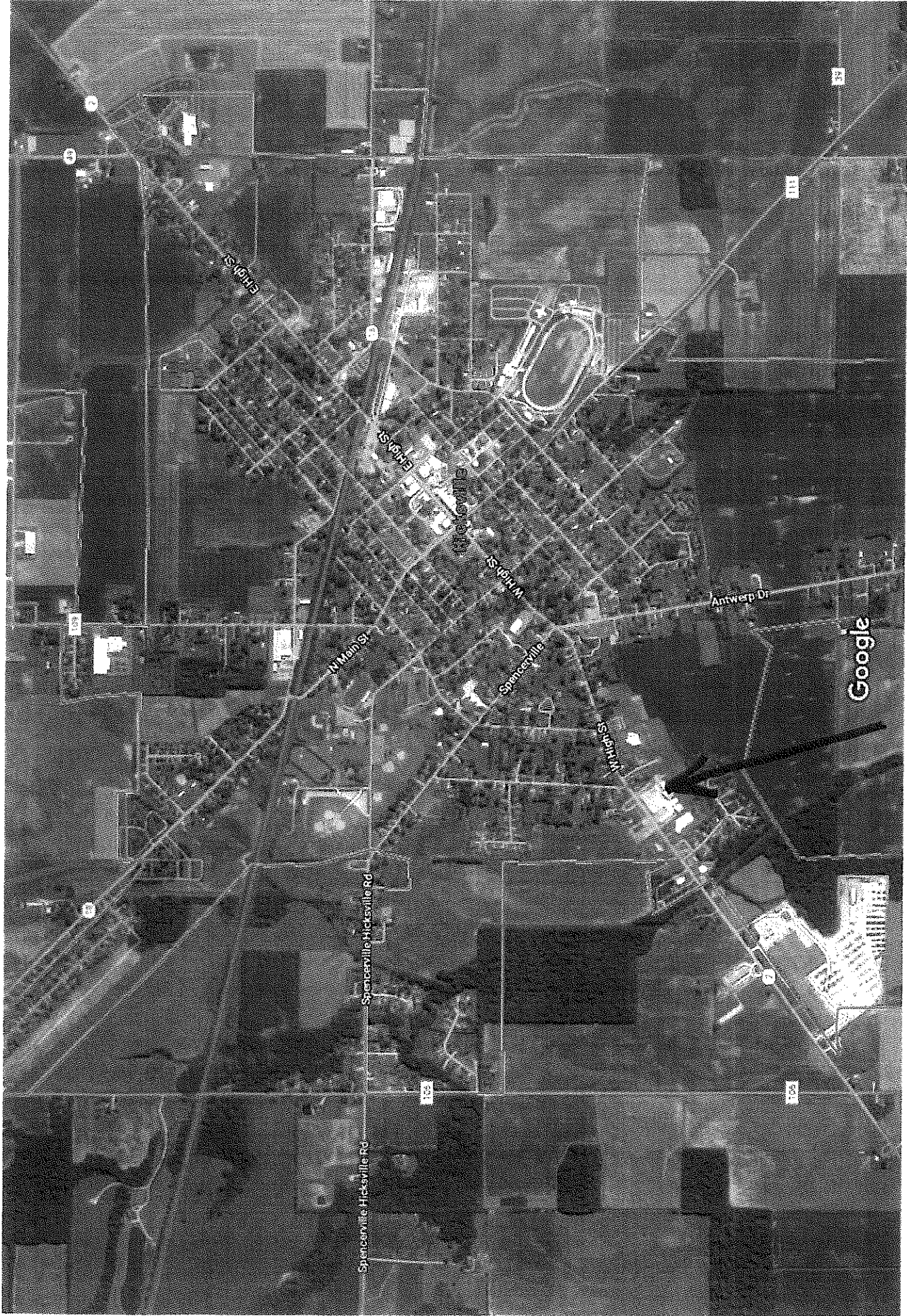
WASTEWATER

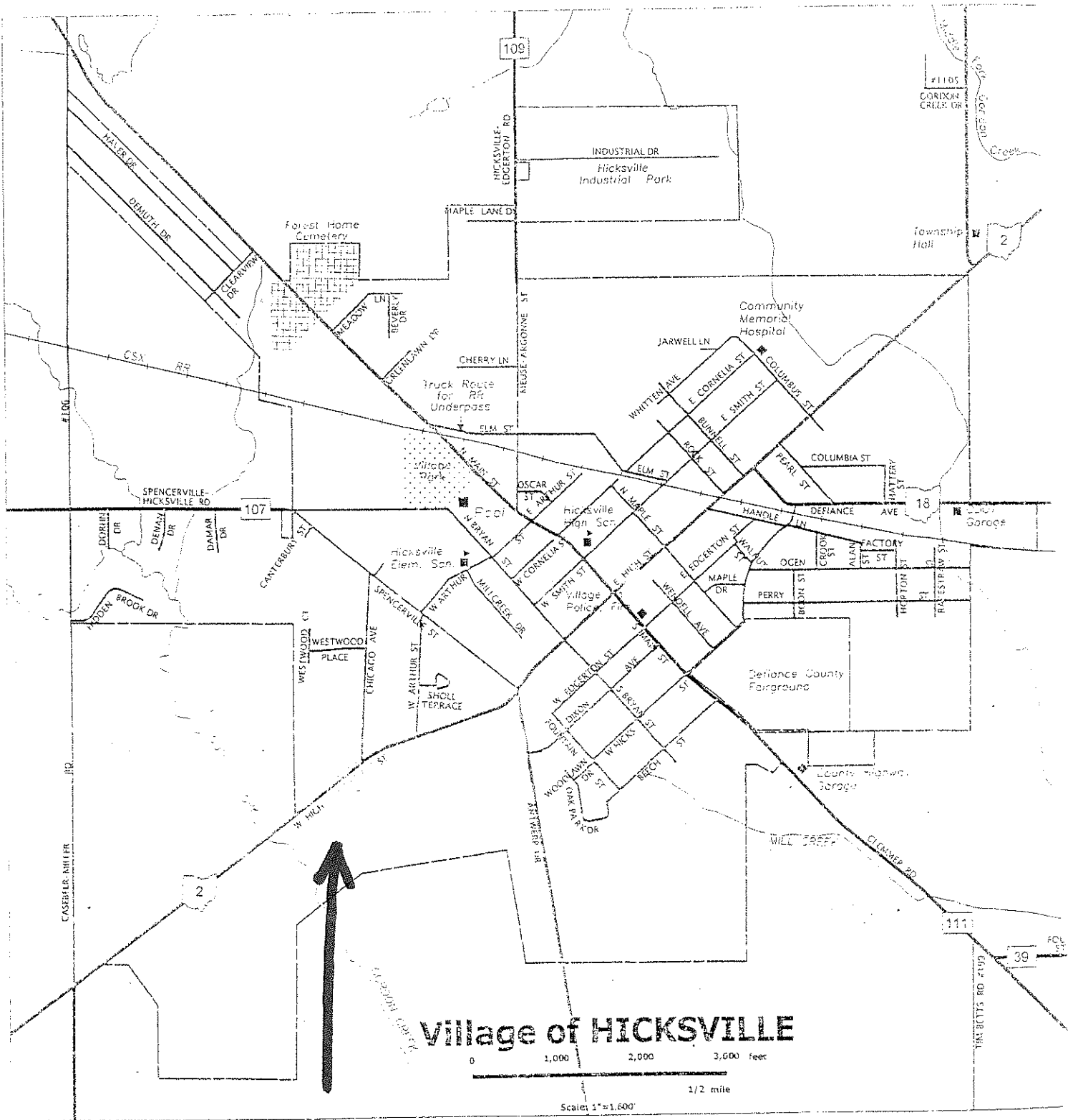
Billing Period: Monthly X Quarterly _____ Other _____
 Unit of Measurement: Gallons X Cubic Feet _____ Flat Rate _____

Base Charge	\$ <u>30.00</u>	0 to X gallons or 0 to X cubic feet
Second Increment	\$ <u>9.44</u>	\$ per unit from X to Y
Additional Increments	\$ _____	\$ per unit from Y to Z
Additional Increments	\$ _____	per 1,000 gallons
Surcharges	\$ _____	
TOTAL	\$ <u>72.48</u>	

SMALL GOVERNMENT COMMISSION USE ONLY	
Water	_____
Wastewater	_____
Determination	_____

Google Maps Hicksville





**WORK
AREA**