POGGEMEYER
DESIGN GROUP

September 7, 2016

Mr. Todd Roth
Williams County Engineer's Office
12953 County Road G
Bryan, OH 43506

Re: Wastewater Treatment Plant Improvements
OPWC Application
West Unity, Ohio
PDG Proposal No.: 03-2016-2048

Dear Mr. Roth:

Enclosed is one original and two copies of the above-referenced OPWC application, submitted on behalf of the Village of West Unity. The Village would like this application to be considered for OPWC funding.

Please do not hesitate to contact this office if you have any questions or need any additional information.

Sincerely,

POGGEMEYER DESIGN GROUP, INC.

Michelle L. Hister
Project Administration Assistant

Enclosures

cc: Josh Fritsch, Village Administrator

RECEIVED
SEP - 8 2016

OHIO PUBLIC WORKS FOR YOU

State of Ohio

Public Works Commission

Application for Financial Assistance

IMPORTANT: Please consult "Instructions for Financial Assistance for Capital Infrastructure Projects" for guidance in completion of this form.

Applicant

Applicant: Village of West Unity — Subdivision Code: 171-84308

District Number: 5 — County: Williams — Date: 09/06/2016

Contact: Josh Fritsch, Village Administrator — Phone: (419) 924-2215
(The individual who will be available during business hours and who can best answer or coordinate the response to questions)

Email: westunityadmin@roadrunner.com — FAX: (419) 924-2894

Project

Project Name: Wastewater Treatment Plant Improvements — Zip Code: 43570

Subdivision Type (Select one)

- ☐ 1. County
- ☐ 2. City
- ☐ 3. Township
- ☒ 4. Village
- ☐ 5. Water (6119 Water District)

Project Type (Select single largest component by $)

- ☐ 1. Road
- ☐ 2. Bridge/Culvert
- ☐ 3. Water Supply
- ☒ 4. Wastewater
- ☐ 5. Solid Waste
- ☐ 6. Stormwater

Funding Request Summary (Automatically populates from page 2)

Total Project Cost:	2,139,575 .00
1. Grant:	325,000 .00
2. Loan:	0 .00
3. Loan Assistance/ Credit Enhancement:	0 .00
Funding Requested:	325,000 .00

District Recommendation (To be completed by the District Committee)

Funding Type Requested (Select one)

- ☐ State Capital Improvement Program
- ☐ Local Transportation Improvement Program
- ☐ Revolving Loan Program
- ☐ Small Government Program

District SG Priority: _____

SCIP Loan - Rate: ____ % Term: ____ Yrs — Amount: _____ .00

RLP Loan - Rate: ____ % Term: ____ Yrs — Amount: _____ .00

Grant: — Amount: _____ .00

LTIP: — Amount: _____ .00

Loan Assistance / Credit Enhancement: — Amount: _____ .00

For OPWC Use Only

STATUS

Project Number: _____

Release Date: _____

OPWC Approval: _____

Grant Amount: _____ .00

Loan Amount: _____ .00

Total Funding: _____ .00

Local Participation: _____ %

OPWC Participation: _____ %

Loan Type: ☐ SCIP ☐ RLP

Date Construction End: _____

Date Maturity: _____

Rate: _____ %

Term: _____ Yrs

1.0 Project Financial Information (All Costs Rounded to Nearest Dollar)

1.1 Project Estimated Costs

Engineering Services

Preliminary Design:	1,200 .00		
Final Design:	109,900 .00		
Construction Administration:	127,500 .00		
Total Engineering Services:	a.) 238,600 .00	14 %	
Right of Way:	b.) .00		
Construction:	c.) 1,712,250 .00		
Materials Purchased Directly:	d.) .00		
Permits, Advertising, Legal:	e.) 17,500 .00		
Construction Contingencies:	f.) 171,225 .00	10 %	
Total Estimated Costs:	g.) 2,139,575 .00		

1.2 Project Financial Resources

Local Resources

Local In-Kind or Force Account:	a.) .00		
Local Revenues:	b.) 1,814,575 .00		
Other Public Revenues:	c.) .00		
ODOT / FHWA PID:	d.) .00		
USDA Rural Development:	e.) .00		
OEPA / OWDA:	f.) .00		
CDBG: ☐ County Entitlement or Community Dev. "Formula" ☐ Department of Development	g.) .00		
Other:	h.) .00		
Subtotal Local Resources:	i.) 1,814,575 .00	85 %	

OPWC Funds (Check all requested and enter Amount)

Grant: 100 % of OPWC Funds	j.) 325,000 .00		
Loan: 0 % of OPWC Funds	k.) .00		
Loan Assistance / Credit Enhancement:	l.) 0 .00		
Subtotal OPWC Funds:	m.) 325,000 .00	15 %	
Total Financial Resources:	n.) 2,139,575 .00	100 %	

1.3 Availability of Local Funds

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local resources required for the project will be available on or before the earliest date listed in the Project Schedule section. The OPWC Agreement will not be released until the local resources are certified. Failure to meet local share may result in termination of the project. Applicant needs to provide written confirmation for funds coming from other funding sources.

2.0 Repair / Replacement or New / Expansion

2.1 Total Portion of Project Repair / Replacement:	2,139,575 .00	100 %	A Farmland Preservation letter is required for any impact to farmland
2.2 Total Portion of Project New / Expansion:	0 .00	0 %	
2.3 Total Project:	2,139,575 .00	100 %	

3.0 Project Schedule

3.1 Engineering / Design / Right of Way	Begin Date: 02/01/2017	End Date: 05/31/2017
3.2 Bid Advertisement and Award	Begin Date: 06/01/2017	End Date: 07/01/2017
3.3 Construction	Begin Date: 07/01/2017	End Date: 12/31/2017

Construction cannot begin prior to release of executed Project Agreement and issuance of Notice to Proceed.

Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by project official of record and approved by the Commission once the Project Agreement has been executed.

4.0 Project Information

If the project is multi-jurisdictional, information must be consolidated in this section.

4.1 Useful Life / Cost Estimate / Age of Infrastructure

Project Useful Life: 30 Years Age: 1969 (Year built or year of last major improvement)

Attach Registered Professional Engineer's statement, with seal or stamp and signature confirming the project's useful life indicated above and detailed cost estimate.

4.2 User Information

Road or Bridge: Current ADT _____ Year _____ Projected ADT _____ Year _____

Water / Wastewater: Based on monthly usage of 4,500 gallons per household; attach current ordinances.

Residential Water Rate Current $ 22.14 Proposed $ _____

Number of households served: 829

Residential Wastewater Rate Current $ 25.80 Proposed $ _____

Number of households served: 829

Stormwater: Number of households served: 0

4.3 Project Description

A: SPECIFIC LOCATION (Supply a written location description that includes the project termini; a map does not replace this requirement.) 500 character limit.

The proposed project is located at 317 South Liberty Street in the Village of West Unity, Ohio.

B: PROJECT COMPONENTS (Describe the specific work to be completed; the engineer's estimate does not replace this requirement) 1,000 character limit.

Proposed WWTP Imp. Phase 2 will include improvements to administrative facilities, as well as primary, secondary, tertiary, and sludge treatment components. Administration building will include an office, restroom, & laboratory. As part of the office, a Supervisory Control and Data Acquisition (SCADA) system will be installed to allow for increased operator control. The primary treatment improvements will include the construction of a new headworks bldg to replace the existing headworks channel. The existing headworks channel has a manually operated grit removal system and a manually operated coarse bar screen. The new headworks bldg would house a new vortex grit removal system and a new mechanical screen with ¼" perforations. The secondary treatment improvements will include replacement oxidation ditch rotors & add. of new chemical feed facilities for phosphorus removal. The tertiary treatment imp. will include replacement UV disinfection system & post aeration imp.

C: PHYSICAL DIMENSIONS (Describe the physical dimensions of the existing facility and the proposed facility. Include length, width, quantity and sizes, mgd capacity, etc in detail.) 500 character limit.

1 LS Screen Mechanical Unit
1 LS Building to House Mechanical Screen
1 LS Replacement Oxidation Ditch Rotor Assembly
1 LS Skid Mounted Chemical Feed System
1 LS Replacement Ultraviolet Disinfection System
1 LS Liquid Sludge Loading Station
1 LS Administration Building
1 LS SCADA

5.0 Project Officials

Changes in Project Officials must be submitted in writing from an officer of record.

5.1 Chief Executive Officer

(Person authorized in legislation to sign project agreements)

Name: Peggy Bernath

Title: Mayor

Address: 118 West Jackson Street

P.O. Box 207

City: West Unity State: OH Zip: 43570

Phone: (419) 924-2215

FAX: (419) 924-2894

E-Mail: apbernath@gmail.com

5.2 Chief Financial Officer

(Can not also serve as CEO)

Name: Kim Grime

Title: Fiscal Officer

Address: 118 West Jackson Street

P.O. Box 207

City: West Unity State: OH Zip: 43570

Phone: (419) 924-2215

FAX: (419) 924-2894

E-Mail: westunityfiscal@roadrunner.com

5.3 Project Manager

Name: Josh Fritsch

Title: Village Administrator

Address: 118 West Jackson Street

P.O. Box 207

City: West Unity State: OH Zip: 43570

Phone: (419) 924-2215

FAX: (419) 924-2894

E-Mail: westunityadmin@roadrunner.com

6.0 Attachments / Completeness review

Confirm in the boxes below that each item listed is attached (Check each box)

☑ A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.

☑ A certification signed by the applicant's chief financial officer stating the amount of all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.

☑ A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's seal or stamp and signature.

☐ A cooperative agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.

☐ Farmland Preservation Review - The Governor's Executive Order 98-IIV, "Ohio Farmland Protection Policy" requires the Commission to establish guidelines on how it will take protection of productive agricultural and grazing land into account in its funding decision making process. Please include a Farm Land Preservation statement for projects that have an impact on farmland.

☐ Capital Improvements Report. CIR Required by O.R.C. Chapter 164.06 on standard form.

☑ Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your local District Public Works Integrating Committee.

7.0 Applicant Certification

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.

Josh Fritsch, Village Administrator

Certifying Representative (Printed form, Type or Print Name and Title)

9-7-16

Original Signature / Date Signed

Village Administrator
JOSHUA FRITSCH

Fiscal Officer
KIM GRIME

Deputy Clerk
KATIE BALTOSSER

Chief of Police
J.R. JONES

Mayor
PEGGY L. BERNATH

Council Members
KEVIN GRAY, *Pres.*
KIRK THOMPSON
TERRI LEBOWSKY
DONAVON LEU
RANDY MAHLMAN
DAVID SHORT

"Northwestern Ohio Community Of Pride and Unity"

118 W. JACKSON STREET - P. O. BOX 207 • WEST UNITY, OHIO 43570-0207
Village Office: 419-924-2215 - Police Dept: 419-924-2271

"FISCAL OFFICER'S CERTIFICATION OF LOCAL FUNDS"

September 7, 2016

I, **Kim Grime, Fiscal Officer,** of the **Village of West Unity**, hereby certify that **the Village of West Unity** will be applying for other funding thru DEFA and CDBG in the amount of **$1,814,575** in the Sewer Fund and that this amount will be used to pay the local share for the **Wastewater Treatment Plant Improvements** when it is required.

Kim Grime

Kim Grime
Fiscal Officer
Village of West Unity

VILLAGE OF WEST UNITY

RESOLUTION # 8-2016-11

A RESOLUTION AUTHORIZING VILLAGE ADMINISTRATOR, JOSHUA FRITSCH, TO PREPARE AND SUBMIT AN APPLICATION TO PARTICIPATE IN THE OHIO PUBLIC WORKS COMMISSION STATE CAPITAL IMPROVEMENT AND/OR LOCAL TRANSPORTATION IMPROVEMENT PROGRAM(S) AND TO EXECUTE CONTRACTS AS REQUIRED AND DECLARING IT AN EMERGENCY.

WHEREAS, the State Capital Improvement Program and the Local Transportation Improvement Program both provide financial assistance to political subdivisions for capital improvements to public infrastructure, and

WHEREAS, the Village of West Unity is planning to make capital improvements to the Waste/Water Treatment (Plant Phase II), and

WHEREAS, the infrastructure improvement herein above described is considered to be a priority need for the community and is a qualified project under the OPWC programs,

NOW THEREFORE, BE IT RESOLVED by the Village of West Unity:

Section 1: Joshua Fritsch, Village Administrator, is hereby authorized to apply to the OPWC for funds as described above.

Section 2: The Mayor is further authorized to enter into any agreements as may be necessary and appropriate for obtaining this financial assistance.

BE IT FURTHER RESOLVED THAT this Resolution is declared to be an emergency measure necessary for the immediate preservation of the public peace, safety and welfare of the Village of West Unity and shall be in full force and effect immediately upon passage.

PASSED: 8-11-2016

Peggy L. Bernath, MAYOR

ATTEST: Kim Grime

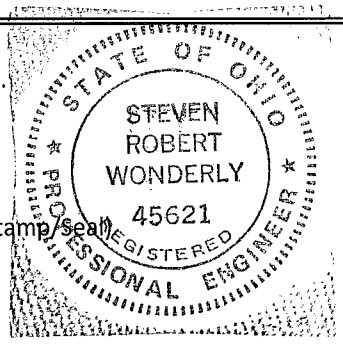
Kim Grime, FISCAL OFFICER

ENGINEER'S OPINION OF PROBABLE PROJECT COST ESTIMATE
WASTEWATER TREATMENT PLANT IMPROVEMENTS
WEST UNITY, OHIO
PDG PROPOSAL NO. 03-2016-2011

ITEM	QUANTITY	UNIT	PRICE	AMOUNT
Mechanical Screen, Vortex Grit Removal, and Building				
Screen Mechanical Unit; Includes Electrical and Mechanical Installation	1	LS	$ 112,000.00	$ 112,000.00
Vortex Grit Removal Mechanical Unit; Includes Electrical and Mechanical Installation	1	LS	$ 212,500.00	$ 212,500.00
Building to House Mechanical Screen, Grit Removal, and Collection Hopper, Masonry Walls, Footer, Slab Floor, Roof Equipment Door, Man-Door, Includes Mechanical/Heating and Electrical	1	LS	$ 304,000.00	$ 304,000.00
Secondary Treatment Improvements				
Replacement Oxidation Ditch Rotor Assembly; Includes Electrical and Mechanical Installation	1	LS	$ 218,750.00	$ 218,750.00
Skid Mounted Chemical Feed System for Addition of Coagulant to Facilitate Phosphorus Removal; Includes Electrical and Mechanical Installation	1	LS	$ 30,000.00	$ 30,000.00
Tertiary Treatment Improvements				
Replacement Ultraviolet Disinfection System Installed in Existing Channel; Includes Electrical and Mechanical Installation	1	LS	$ 150,000.00	$ 150,000.00
Sludge Handling Improvements				
Liquid Sludge Loading Station; Includes Mechanical Installation	1	LS	$ 15,000.00	$ 15,000.00
Administration Building Improvements				
Lab/Office/Restroom Facilities; Includes Mechanical, Heating, and Electrical	1	LS	$ 495,000.00	$ 495,000.00
Supervisory Control and Data Acquisition System; Installed Complete	1	LS	$ 175,000.00	$ 175,000.00
CONSTRUCTION SUBTOTAL				**$ 1,712,250.00**
Contingencies				$ 171,225.00
CONSTRUCTION TOTAL				**$ 1,883,475.00**
Preliminary Design (includes Topographic Survey)				$ 1,200.00
Final Engineering				$ 95,900.00
Bidding				$ 14,000.00
Engineering During Construction				$ 30,000.00
Construction Observation (full time)				$ 82,500.00
Testing: Soil Borings				$ 15,000.00
OEPA Permits				$ 11,500.00
Building Permits				$ 6,000.00
TOTAL ESTIMATED COST				**$ 2,139,575.00**
NOTE: This estimate does not include interest during construction, finance fees, bond counsel, assessment fees, bond insurance, or other miscellaneous expenses which can add up to 5% to 10% of the total cost once actual financing sources are finalized.				

The estimated useful life of the West Unity Wastewater Treatment Plant is thirty (30) years.

Steven R. Wonderly, P.E.



(Stamp/Seal)

VILLAGE OF WEST UNITY
WASTEWATER TREATMENT PLANT IMPROVEMENTS PHASE TWO
PROJECT NARRATIVE

The Village of West Unity in Williams County, presently owns and operates a 325,000 gpd wastewater treatment facility. The West Unity facility discharges treated wastewater into Walnut Run which is tributary to the Maumee River under NPDES Permit No. 2PB00021*KD.

The original wastewater facility was constructed in 1970. A number of the components within the wastewater treatment facility have exceeded their design life expectancy.

The Village has completed with Poggemeyer Design Group, Inc. a Preliminary Engineering Report that outlines the individual components within the wastewater treatment facility that are in need of replacement or upgrade. The proposed Wastewater Treatment Plant Improvements Phase 2 will include improvements to administrative facilities, as well as primary, secondary, tertiary, and sludge treatment components.

The administrative facilities improvements will include the construction of an administration building. The administration building will include an office, restroom, and a laboratory. As part of the office, a Supervisory Control and Data Acquisition (SCADA) system will be installed to allow for increased operator control. The primary treatment improvements will include the construction of a new headworks building to replace the existing headworks channel. The existing headworks channel has a manually operated grit removal system and a manually operated coarse bar screen. The new headworks building would house a new vortex grit removal system and a new mechanical screen with ¼" perforations.

The secondary treatment improvements will include replacement oxidation ditch rotors and addition of new chemical feed facilities for phosphorus removal. The existing oxidation ditch rotors are aging, uncovered and inefficient for oxygen transfer. The Village currently monitors phosphorus levels in the effluent but expects to have a phosphorus limit imposed during the next permit cycle. The tertiary treatment improvements will include replacement UV disinfection system and post aeration improvements. The existing UV disinfection system is aging and does not allow for an electrical turndown.

The sludge facility improvements will include a liquid sludge loading station for an easy, efficient way to participate in liquid sludge land application.

These recommended improvements to the Village of West Unity Wastewater Treatment Facility will have an estimated construction cost of $2,135,575.

925.02 RATES.

(a) The water rates to be charged each month for users of water from the Village of West Unity for the period beginning on August 15, 2014 and ending on December 31, 2014 shall be as follows:

Inside Village
$9.00 base rate plus $2.57 per 1,000 gallons used
Outside Village
$21.00 base rate plus $4.44 per 1,000 gallons used

(b) The water rates to be charged each month for users of water from the Village of West Unity beginning on January 1, 2015 shall be as follows:

Inside Village
$9.00 base rate plus $2.92 per 1,000 gallons used
Outside Village
$21.00 base rate plus $4.79 per 1,000 gallons used.

(Ord. 2014-10. Passed 8-14-14.)

921.08 RATES.

The minimum usage charge will be for 1,000 gallons for both the Inside Village Rates and the Outside Village Rates.

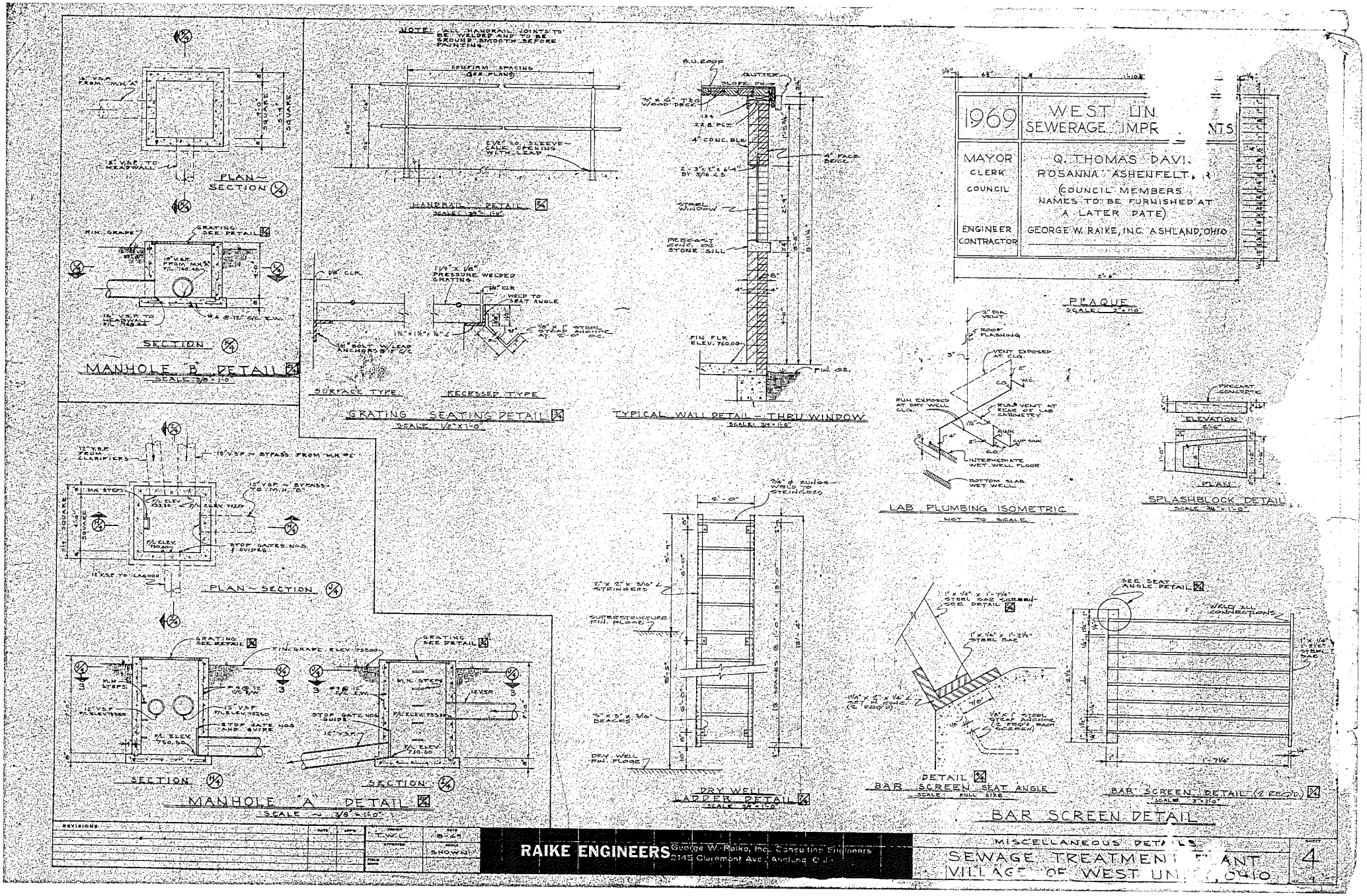
Inside Village Rates

Period	User Charge Rate Per 1,000 Gallons	Base Charge Per Month Per Residential or Commercial Unit	Debt Service Per 1,000 Gallons	Extra Strength B.O.D. Surcharge Rate Per Pound	Extra Strength S.S. Surcharge Rate Per Pound
8/15/2014 through 12/31/2014	$4.05	$6.00	$0.00	$0.24	$0.25
1/1/2015 and beyond	$4.40	$6.00	$0.00	$0.24	$0.25

Outside Village Rates

Period	User Charge Rate per 1,000 Gallons	Base Charge Per Month Per Residential or Commercial Unit	Debt Service Per 1,000 Gallons	Extra Strength B.O.D. Surcharge Rate Per Pound	Extra Strength S.S. Surcharge Rate Per Pound
8/15/2014 through 12/31/2014	$4.05	$6.00	$2.45	$0.24	$0.25
1/1/2015 and beyond	$4.40	$6.00	$2.45	$0.24	$0.25

(Ord. 2014-11. Passed 8-14-14.)



NOTE: ALL HANDRAIL JOINTS TO BE WELDED AND TO BE GROUND SMOOTH BEFORE PAINTING
PLAN ~ SECTION
SECTION
MANHOLE "B" DETAIL
SCALE 3/8" = 1'-0"
HANDRAIL DETAIL
SURFACE TYPE
RECESSED TYPE
GRATING SEATING DETAIL
SCALE 1/2" X 1'-0"
TYPICAL WALL DETAIL - THRU WINDOW
SCALE 3/4" = 1'-0"
1969
WEST UN
SEWERAGE IMPR
NTS
MAYOR
CLERK
COUNCIL
ENGINEER
CONTRACTOR
Q. THOMAS DAVI
ROSANNA ASHENFELT
(COUNCIL MEMBERS NAMES TO BE FURNISHED AT A LATER DATE)
GEORGE W. RAIKE, INC. ASHLAND, OHIO
PLAQUE
SCALE 3" = 1'-0"
LAB PLUMBING ISOMETRIC
NOT TO SCALE
ELEVATION
PLAN
SPLASHBLOCK DETAIL
SCALE 3/4" = 1'-0"
PLAN ~ SECTION
SECTION
SECTION
MANHOLE "A" DETAIL
SCALE ~ 3/8" = 1'-0"
DRY WELL LADDER DETAIL
SCALE 3/4" = 1'-0"
DETAIL
BAR SCREEN SEAT ANGLE
SCALE FULL SIZE
BAR SCREEN DETAIL (2 REQ'D)
SCALE 3" = 1'-0"
BAR SCREEN DETAIL
REVISIONS
T.W.L.
SHOWN
RAIKE ENGINEERS George W. Raike, Inc. Consulting Engineers
2145 Claremont Ave., Ashland, Ohio
MISCELLANEOUS DETAILS
SEWAGE TREATMENT PLANT
VILLAGE OF WEST UN , OHIO
SHEET
4

District 5
Capital Improvement Project
Priority Rating Sheet, Round 31

Revised 06/14/16

PROJECT NUMBER

COUNTY: Williams
PROJECT: West Unity WWTP Imp.
EST. COST: $2,139,575

No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS	"A"x"B"	Priority Factors						No.
					0	2	4	6	8	10	
1	1	(Repair or Replace) vs. (New or Expansion)	0 2 4 6 8 10	10	0% + Repair or Replacement	20% + Repair or Replacement	40% + Repair or Replacement	60% Repair or Replacement	80% + Repair or Replacement	100% + Repair or Replacement	1
2	1.5	Existing Physical Condition: Must submit substantiating documentation and CIR (100% New or Expansion = 0 Points)	0 2 4 6 8 10	9	Excellent	Good	Fair	Poor	Critical	Closed or Not Operating	2
3	2	Public Health and/or Public Safety Concerns Submittals without supporting documentation will receive 0 points for this question	0 2 4 6 8 10	12	No Impact	Minimal	Moderate	Major	Critical	Extremely Critical	3
4	2	Percentage of Local Share (Local funds are funds derived from the applicant budget or a loan to be paid back through the applicant budget assessments, rates or tax revenues)	0 2 4 6 8 10	20	0%+	10%+	20%+	30%+	40%+	50%+	4
5	1	OTHER FUNDING SOURCES (Excluding Issue II Funds) (Grants and other revenues not contributed or collected through taxes by the applicant, including Gifts, Contributions, etc. - must submit copy of award or status letter)	0 2 4 6 8 10	0	0%+	10%+	20%+	30%+	40%+	50%+	5

No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS	"A"x"B"	Priority Factors						No.
					-9	-8	0	8	9	10	
					Grant or Loan Only						
6	2	OPWC Grant and Loan Funding Requested; Please refer to Item 6 on Questionnaire for Clarification	-9 -8 0 8 9 10	16	$500,001 or more	$400,001 to $500,000	$325,001 to $400,000	$275,001 to $325,000	$175,001 to $275,000	$175,000 or less	6
	2				**Grant/Loan Combination**						
			-9 -8 0 8 9 10		$750,000 or more	$600,001 to $750,000	$487,501 to $600,000	$412,501 to $487,500	$262,501 to $412,500	$262,500 or less	

When scoring a project that is only grant or only loan. Please use the chart labeled "Grant or Loan Only". When scoring a grant/loan combination, score the project for the grant in the first chart, then use the second chart labeled "Grant/Loan Combination" to score the total (grant and loan combined). Use the lower of the two as the score.

No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS	"A"x"B"	Priority Factors						No.
					0	2	4	6	8	10	
7	1	Will the Proposed Project Create Permanent jobs or retain jobs that would otherwise be permanently lost (Written Documentation Required)	0 2 4 6 8 10	0	0+ jobs	7+ jobs	15+ jobs	25+ jobs	50+ jobs	100+ jobs	8
8	1	Benefits to Existing User such as households, (Equivalent dwelling units), traffic Counts, etc.	0 2 4 6 8 10	8	0+	100+	350+	500+	750+	1000+	9
9		SUBTOTAL RANKING POINTS (MAX. = 115)		75	Other Info: Does this project have a significant impact on productive farmland? YES NO X *Attach impact statement if yes.* Is the Applicant ready to proceed to bids after State Approval within 6 months? YES X NO						
10		**COUNTY PRIORITY POINTS (25-20 -15)**									
11		DISCRETIONARY POINTS (BY DISTRICT ONLY) (MAX=12)									
12		GRAND TOTAL RANKING POINTS									

Revised: June 14, 2016

DISTRICT 5
CAPITAL IMPROVEMENT PROJECTS
QUESTIONNAIRE
ROUND 31

Name of Applicant: Village of West Unity
Project Title: Wastewater Treatment Plant Improvements

The following questions are to be answered for each application submitted for State Issue II SCIP, LTIP and Loan Projects. Please provide specific information using the best documentation available to you. Justification of your responses to these questions will be required if your project is selected for funding, so please provide correct and accurate responses.

1. What percentage of the project in repair A= 50 %, replacement B= 50 %, expansion C= __%, and new D= ___%? (Use dollar amounts of project to figure percentages and make sure the total equals one hundred (100) percent) A+B= 100 % C+D= ___%

   Repair/Replacement =Repair or Replacement of public facilities owned by the government (any subdivision of the state).

   New/Expansion = Replacement of privately owned wells, septic systems, private water or wastewater systems, etc.

2. Give the physical condition rating from the Capital Improvements Report (CIR) Inventory : Poor

   Closed or Not Operating: The condition is unusable, dangerous and unsafe. The primary components have failed. The infrastructure is not functioning at all.

   Critical: The condition is causing or contributing to a serious non-compliance situation and is threatening the intended design level of service. The infrastructure is functioning at seriously diminished capacity. Imminent failure is anticipated within 18 months. Repair and/or replacement is required to eliminate the critical condition and meet current design standards. **(For Road Projects structural repair items would represent a minimum of 25% of the total Project Cost).**

   Poor: The condition is substandard and requires repair/replacement in order to return to the intended level of service and comply with current design standards. Infrastructure contains a major deficiency and is functioning at a diminished capacity.

   Fair: The condition is average, not good or poor. The infrastructure is still functioning as originally intended. Minor deficiencies exist requiring repair to continue to function as originally intended and/or to meet current design standards.

   Good: The condition is safe and suitable to purpose. Infrastructure is functioning as originally intended, but requires minor repairs and/or upgrades to meet current design standards.

Excellent:	The condition is new, or requires no repair. Or, no supporting documentation has been submitted.

The CIR must be included with the application in order to receive points along with supporting documentation (e.g. photos or a narrative) justifying the rating.

3. If the proposed project is not approved what category would best represent the impact on the general health and/or public safety?

ROADS

Extremely Critical:	Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Major Access Road.*
Critical:	Resurfacing, Restoration and Rehabilitation (3R) of a Major Access Road.*
Major:	Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Minor Access Road.*
Moderate:	Resurfacing, Restoration and Rehabilitation (3R) of a Minor Access Road.*
Minimal:	Preventative Maintenance of a Major Access Road.
No Impact:	Preventative Maintenance of a Minor Access Road.

Projects that have a variety of work will be scored in the LOWEST category of work contained in the Construction Estimate.

Road/Street Classifications:

Major Access Road:	*Roads or streets that have a dual function of providing access to adjacent properties and providing through or connecting service between other roads.*
Minor Access Road:	*Roads or streets that primarily provide access to adjacent properties without through continuity, such as cul-de-sacs or loop roads or streets.*
Preventative Maintenance:	*Non Structural Pavement work such as chip sealing, cape sealing, microsurfacing, crack sealing, etc.*

*(3R) Resurfacing, Restoration and Rehabilitation - Improvements to existing roadways, which have as their main purpose, the restoration of the physical features (pavement, curb, guardrail, etc.) without altering the original design elements.

*(4R) Resurfacing, Restoration, Rehabilitation and Reconstruction - Much like 3R, except that 4R allows for the complete reconstruction of the roadway and alteration of certain design elements (i.e., lane widths, shoulder width, SSD, etc.).

BRIDGES SUFFICIENCY RATING

Extremely Critical:	0-25, or a General Appraisal rating of 3 or less.
Critical:	27-50, or a General Appraisal rating of 4.
Major:	51-65 or a General Appraisal rating of 5 or 6.
Moderate:	66-80 or a General Appraisal rating of 7.
Minimal:	81-100 or a General Appraisal rating of more than 7.
No Impact:	Bridge on a new roadway.

WASTEWATER TREATMENT PLANTS

Extremely Critical:	Environmental Protection Agency (EPA) orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
Critical:	Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
Major:	Replace deficient appurtenances. Update existing processes due to EPA recommendations.
Moderate:	Increase capacity to meet current needs or update processes to improve effluent quality.
Minimal:	New/Expansion project to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

WATER TREATMENT PLANT

Extremely Critical:	EPA orders in the form of a consent decree, findings and orders or court order.
Critical:	Improvements to meet Environmental Protection Agency (EPA) Safe Drinking Water Regulations and/or NPDES Orders.
Major:	Replace deficient appurtenances. Update existing processes due to EPA recommendations.
Moderate:	Increase capacity to meet current needs or update processes to improve water quality.
Minimal:	New/Expansion project to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

COMBINED SEWER SEPARATIONS (May be construction of either new storm or sanitary sewer as long as the result is two separate sewer systems.)

Extremely Critical:	EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
Critical:	Separate, due to chronic backup or flooding in basements.
Major:	Separate, due to documented water quality impairment, or due to EPA recommendations.
Moderate:	Separate, due to specific development proposal within or upstream of the combined system area.
Minimal:	Separate, to conform to current design standards.
No Impact:	No positive health effect.

STORM SEWERS

Extremely Critical:	EPA orders in the form of a consent decree, findings and orders or court order.
Critical:	Chronic flooding (structure damage).
Major:	Inadequate capacity (land damage).
Moderate:	Inadequate capacity with no associated damage.
Minimal:	New/Expansion to meet current needs.
No Impact:	New/Expansion to meet future or project needs.

CULVERTS

Extremely Critical:	Structurally deficient or functionally obsolete. Deterioration has already caused a safety Critical: hazard to the public.
Critical:	Inadequate capacity with land damage and the existing or high probability of property damage.
Major:	Inadequate capacity (land damage).
Moderate:	Inadequate capacity with no associated damage.
Minimal:	New/Expansion to meet current needs.
No Impact:	New/Expansion to meet future or projected needs.

SANITARY SEWERS

Extremely Critical:	EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
Critical:	Replace, due to chronic pipe failure, chronic backup or flooding in basements. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
Major:	Replace, due to inadequate capacity or infiltration, or due to EPA recommendations.
Moderate:	Rehabilitate to increase capacity to meet current needs or to reduce inflow and infiltration.
Minimal:	New/Expansion project to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

SANITARY LIFT STATIONS AND FORCE MAINS

Extremely Critical:	Structurally deficient. Deterioration has already caused a safety/health hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
Critical:	Inadequate capacity with actual or a high probability of property damage. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
Major:	EPA recommendations, or, reduces a probable health and/or safety problem.
Moderate:	Rehabilitate to increase capacity to meet current needs.
Minimal:	New/Expansion to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

WATER PUMP STATIONS

Extremely Critical:	Structurally deficient. Deterioration has already caused a safety hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
Critical:	Inadequate capacity with the inability to maintain pressure required for fire flows.
Major:	Replace due to inadequate capacity or EPA recommendations.
Moderate:	Rehabilitate to increase capacity to meet current needs.
Minimal:	New/Expansion to meet a specific development proposal.

No Impact: New/Expansion to meet future or projected needs.

WATER LINES/WATER TOWERS

Extremely Critical: Solve low water pressure or excessive incidents of main breaks in project area.

Critical: Replace, due to deficiency such as excessive corrosion, etc.

Major: Replace undersized water lines as upgrading process.

Moderate: Increase capacity to meet current needs.

Minimal: New/Expansion project to meet a specific development proposal.

No Impact: New/Expansion to meet future or projected needs.

OTHER

Extremely Critical: There is a present health and/or safety threat.

Critical: The project will provide immediate health and/or safety benefit.

Major: The project will reduce a probable health and/or safety problem.

Moderate: The project will delay a health and/or safety problem.

Minimal: A possible future health and/or safety problem mitigation.

No Impact: No health and/or safety effect.

NOTE: *Combined projects that can be rated in more than one subset may be rated in the other category at the discretion of the District 5 Executive Committee. In general, the majority of the cost or scope of the project shall determine the category under which the project will be scored.*

(Submittals without supporting documentation will receive 0 Points for this question.)

Extremely Critical ___, Critical ___, Major _X_, Moderate ___, Minimal ___, No Impact ___. Explain your answer._____

_____

(Additional narrative, charts and/or pictures should be attached to questionnaire)

4. Identify the amount of local funds that will be used on the project as a percentage of the total project cost.

A.) Amount of Local Funds = $ 1,814,575

B.) Total Project Cost = $ 2,139,575

RATIO OF LOCAL FUNDS DIVIDED by TOTAL PROJECT COSTS (A/B)= 85 %

Note: Local funds should be considered funds derived from the applicant budget or loans funds to be paid back through local budget, assessments, rates or tax revenues collected by the applicant.

5. Identify the amount of other funding sources to be used on the project, excluding State Issue II or LTIP Funds, as a percentage of the total project cost.

Grants ____% Gifts ____%, Contributions ____%

Other ____% (explain)_____ , Total ____%

Note: Grant funds and other revenues not contributed or collected through taxes by the applicant should be considered other funds. The Scope of Work for each Funding Source must be the same.

6. Total Amount of SCIP and Loan Funding Requested- An Applicant can request a grant per the categories below for points as indicated on the Priority Rating Sheet. If the Applicant is including a loan request equal to, but not exceeding 50% of the OPWC funding amounts listed below, there will be no point penalty. If loan funds requested are more than 50%, points as listed in the Priority Rating Sheet will apply.

_____	$500,001 or More
_____	$400,001-$500,000
_____	$325,001-$400,000
X	$275,001-$325,000
_____	$175,001-$275,000
_____	$175,000 or Less

There are times when the District spends all of the grant money and has loan money remaining. When this happens, the district makes a loan offer in the amount of the requested grant to the communities that were not funded. The offers are made in the order of scoring. We need to know if you are not successful in obtaining grant dollars for your project if you would be interested in loan money:

YES _____ NO X

(This will only be considered if you are not funded with grant money and there is remaining loan money.) **Please note: if you answer "no" you will not be contacted, only if you answer "yes" will an offer be made in the event that there is loan money remaining.**

7. If the proposed project is funded, will its completion directly result in the creation of permanent full-time equivalent (FTE) jobs (FTE jobs shall be defined as 35 hours/week) ? Yes ___ No X. If yes, how many jobs within eighteen months? __ Will the completed project retain jobs that would otherwise

be permanently lost? Yes ___ No __X__. If yes, how many jobs ____ **will be created/retrained** within 18 months **following the completion of the improvements**?
(Supporting documentation in the form of letter from affected industrial or commercial enterprises that specify full time equivlent jobs that will be retained or created directly by the installation or improvement of Public infrastructure. Additional items such as; 1) newspaper articles or other media news accounts, 2) public meeting minutes, and/or 3) a letter from the County Economic Development Director or State of Ohio Economic Development Professional that alludes to the requirement for the infrastructure improvement to support the business. Submittals without supporting documentation will receive 0 points for this question.)

8. What is the total number of existing users that will directly benefit from the proposed project if completed? __829 HH____ (Use households served, traffic counts, etc. and explain the basis by which you arrived at your number.)

9. Is subdivision's population less than 5,000 Yes _X___ No ___
If yes, continue. You may want to design your project per Small Government Project Evaluation Criteria, released for the current OPWC Round to assist in evaluating your project for potential Small Government Funding. The Small Government Criteria is available on the OPWC website at http://www.pwc.state.oh.us/Meth.SG.PDF If No, skip to Question 11.

10. **OHIO PUBLIC WORKS COMMISSION SMALL GOVERNMENT PROGRAM GUIDELINES**

All projects that are sponsored by a subdivision with a population of 5,000 or less, and not earning enough points for District Funding from SCIP or LTIP Funds, are then rated using the Small Government Program Rating Criteria for the corresponding funding round. In order to be rated the entity must submit the Small Government Suppliment and their required budgets with their application. **Only infrastructure that is village- or township- owned is eligible for assistance.** The following policies have been adopted by the Small Government Commission:

- District Integrating Committees may submit up to seven (7) applications for consideration by the Commission. All 7 must be ranked, however, only the top five (5) will be scored. The remaining two (2) will be held as contingency projects should an application be withdrawn.

- Grants are limited to $500,000. Any assistance above that amount must be in the form of a loan.

- Grants for new or expanded infrastructure cannot exceed 50% ofthe project estimate.

- The Commission may deny funding for water and sewer systems that are deemed to be more cost-effective if regionalized.

• If a water or sewer project is determined to be affordable, the project will be offered a loan rather than a grant. Pay special attention to the **Water & Wastewater Affordability Supplemental and the Small Government Water & Wastewater Affordability Calculation Worksheet. Both are available on the Small Government Program Tab at http://www.pwc.state.oh.us/SmallGovernment.html**

• Should there be more projects that meet the "annual score" than there is funding, the tie breaker is those projects which scored highest under Health & Safety, with the second tie breaker being Condition. If multiple projects have equivalent Health & Safety and Condition scores they are arranged according to the amount of assistance from low to high. Once the funded projects are announced, "contingency protects" may be funded from project under-runs by continuing down the approved project list.

• Supplemental assistance is not provided to projects previously funded by the Commission.

• Applicants have 30 days from receipt of application by OPWC without exception to provide additional documentation to make the application more competitive under the Small Government criteria. Applications will be scored after the 30-day period has expired. The applicants for each District's two (2) contingency projects will have the same 30-day period to submit supplemental information but these applications will not be scored unless necessary to do so. **It is each applicant's responsibility for determining the need for supplemental material. The applicant will not be asked for or notified of missing information unless the Commission has changed the project type and it affects the documentation required. Important information may include, but is not limited to: age of infrastructure, traffic counts or utility users, median income information, user rates ordinances, and the Auditor's Certificate of Estimated Revenues or documentation from the Auditor of State that subdivision is in a state of fiscal emergency.**

If you desire to have your Round 31 project considered for Small Government Funding please download the Small Government Evaluation Criteria applicable to Round 31 by accessing the OPWC Website at http://www.pwc.state.oh.us/Meth.SG.PDF. Please complete the Small Government Evaluation Criteria and attach all required supporting documentation and attach it to the District 5 Questionnaire for Round 31.

11. MANDATORY INFORMATION, DISTRICT 5, DISCRETIONARY RANKING POINTS

List all specific user fees: Amount or
ROAD & BRIDGE PROJECTS: (OHIO REVISED CODE) Percentage

Permissive license fee	4504.02 or 4504.06	_____
	4504.15 or 4504.17	_____
	4504.16 or 4504.171	_____
	4504.172	_____
	4504.18	_____
Special property taxes	5555.48	_____
	5555.49	_____
Municipal Income Tax	_____	

County Sales Tax _____

Others _____

_____

_____

(DO NOT INCLUDE SCHOOL TAXES)

SPECIFIC PROJECT AREA INFORMATION.

Median household income $42,614

Monthly utility rate: Water $22.14

Sewer $25.80

Other _____

List any special user fees or assessment (be specific)

_____

_____

_____

POLITICAL SUBDIVISION= Village of West Unity

COUNTY= Williams

DISCRETIONARY POINTS (BY DISTRICT COMMITTEE ONLY)= _____

(25-20-15)

Date: 9/7/16

Signature: _____

Title: Project Administration Assistant

Address: 1168 North Main Street, Bowling Green, Ohio 43402

Phone: 419-352-7537

FAX: 419-353-0187

Email: histerm@poggemeyer.com

OHIO PUBLIC WORKS FOR YOU

OHIO PUBLIC WORKS COMMISSION
SMALL GOVERNMENT PROGRAM

PY 31 METHODOLOGY

May 2016

EVALUATION CRITERIA

Complete and appropriate support documentation must be provided for a criterion in order to be awarded points. See Applicants Manual for guidance, forms and checklist.

1. Ability and Effort of the Applicant to Finance the Project (Maximum 10 points)

A. Road, Bridges/Culverts, Storm Water, Solid Waste Projects Only – "Auditor's Certificate of Estimated Resources" showing fund detail, as provided in ORC sections 5705.35 and 5705.36 is used to determine potential financial resources available for the project. Score is based on the project's total cost as a percentage of financial resources.

_____ 0 Total project cost represents 0 to 20% of subdivision's total combined funds legally eligible for infrastructure type

_____ 2 Total project cost represents 21 to 40% of subdivision's total combined funds legally eligible for infrastructure type

_____ 4 Total project cost represents 41 to 60% of subdivision's total combined funds legally eligible for infrastructure type

_____ 6 Total project cost represents 61 to 80% of subdivision's total combined funds legally eligible for infrastructure type

_____ 8 Total project cost represents 81 to 100% of subdivision's total combined funds legally eligible for infrastructure type

_____ 10 Total project cost exceeds 100% of subdivision's total combined funds legally eligible for infrastructure type, or subdivision is in fiscal emergency

B. Water and Wastewater Projects Only – Determined by SG Administrator according to the Water & Wastewater Ability & Effort Calculation described in Applicants Manual. Information is obtained from both water and wastewater rate ordinances and the Small Government Water & Wastewater Ability & Effort Supplemental.

_____ 0 +2 or more standard deviations above Average Variance

_____ 2 +1 to +2 standard deviations above Average Variance

_____ 4 0 to +1 standard deviations above Average Variance

__X__ 6 0 to -1 standard deviations below Average Variance

_____ 8 -1 to -2 standard deviations below Average Variance

_____ 10 -2 or more standard deviations below Average Variance, or subdivision is in fiscal emergency

2. Importance of Project to Health and Safety of Citizens - Score is assigned according to the application project description and any pertinent supplemental documentation. (Maximum 10 points)

A. Road, Bridge, Culvert

_____ 0 New infrastructure to meet future or projected needs

_____ 2 New infrastructure to meet current needs; Roadway surface paving less than 2 inches; Bridges with General Appraisal of 6 or above or with a Sufficiency Rating of 81-100

_____ 4 Roadway resurfacing paving equal to or greater than 2 inches with/without milling; Replace or install signal where warranted; Bridges with a General Appraisal of 5 or Sufficiency Rating of 66-80; Culvert replacement with no associated damage

_____ 6 Road widening to add paved shoulders and/or roadway paving with full-depth base repair equal to or greater than 5% of roadway area; Intersection improvement to add lanes or realignment; Bridges with a General Appraisal of 4 or Sufficiency Rating of 51-65; Culverts with inadequate flow capacity

_____ 8 Complete roadway full-depth reconstruction or reclamation with/without drainage or widening to add lanes; Intersection improvements to address excessive accident rate and/or inadequate level of service with a Crash Reduction Factor (0.0<CRF<0.2); Bridges with a General Appraisal of 3 or Sufficiency Rating of 26-50, or posted load reduction; Culverts with inadequate flow capacity and property damage (i.e. flooding)

_____ 10 Complete roadway reconstruction or reclamation with/without drainage with widening to add lanes; Intersection improvement to address excessive accident rate and/or inadequate level of service with Crash Reduction Factor (CRF>=0.2); Bridges with General Appraisal of 2 or less, or Sufficiency Rating of less than 26; Culverts that are structurally deficient

B. Water, Wastewater, Storm Water, Solid Waste

_____ 0 Infrastructure to meet future or projected needs

_____ 2 Expanded infrastructure to meet specific development proposal

_____ 4 Infrastructure to meet current needs; Update processes to improve effluent or water quality; To remain in compliance with permit due to increased standards; Increase storm sewer capacity in which there is no associated land damage; Increase sanitary sewer capacity; Replace water meters as part of an upgrade

__X__ 6 OEPA recommendations; District health board recommendations; Increase storm sewer capacity that has associated land damage; Replace undersized waterlines as part of upgrade; Install new meters or replace meters that have exceeded useful life

_____ 8 Replacement of storm or sanitary sewers due to chronic flooding, back-up, or property damage; Inflow and/or Infiltration; Inadequate capacity to maintain pressure required for fire flows; Replacement of waterlines or towers due to excessive corrosion

_____ 10 OEPA Findings & Orders, OEPA orders contained in permit, Consent Decree or Court Order; Structural separations (CSOs)

3. Age and Condition of System to be repaired or replaced. This is a two-part criterion. (Maximum 10 points)

Part I – Age: This uses provided documentation for existing infrastructure. Documentation pertains to source documentation or from a compliant letter written by an eligible local official who can vouch for the time period during his/her term in office. If no documentation the default score is 1 point. (Maximum 5 points)

Life	50	20	50	50	50	30	50
Project Type	Bridge/ Culvert	Road	Sanitary Sewer	Solid Waste	Storm Water	Wastewater	Water
Points							
0	New/ Expansion	New/ Expansion	New/ Expansion	New/ Expansion	New/ Expansion	New/ Expansion	New/ Expansion
1	2001-2015	2010-2015	2001-2015	2001-2015	2001-2015	2007-2015	2001-2015
2	1989-2000	2005-2009	1989-2000	1989-2000	1989-2000	2000-2006	1989-2000
3	1977-1988	1995-2004	1977-1988	1977-1988	1977-1988	1992-1999	1977-1988
4	1965-1976	1995-1999	1965-1976	1965-1976	1965-1976	1985-1991	1965-1976
5	Before 1965 or closed	Before 1995 or closed	Before 1965	Before 1965	Before 1965	Before 1985 or out of service	Before 1965 or out of service

Part II - Condition (Maximum 5 points)

_____ 1 New/Expansion: New or expansion project components represent at least 50% of improvements

__X__ 3 Poor: Infrastructure requires repair to continue functioning as originally intended and/or upgrade to meet current design standards.

_____ 5 Failed: Not functioning

4. Leveraging Ratio – Local and all non-OPWC funding sources as a percentage of total funding. (Maximum 10 points)

		Repair/Replacement	New/Expansion
_____	0	10 or less	50 or less
_____	1	11-15	51-55
_____	2	16-20	56-60
_____	3	21-25	61-65
_____	4	26-30	66-70
_____	5	31-35	71-75
_____	6	36-40	76-80
_____	7	41-45	81-85
_____	8	46-50	86-90
_____	9	51-55	91-95
__X__	10	56 or more	96 or more

5. Population Benefit – Number of those to benefit directly from the improvement as a percentage of applicant's total population. (Maximum 5 points)

_____	0	10% or less
_____	1	25% - 11%
_____	2	35% - 26%
_____	3	45% - 36%
_____	4	55% - 46%
X	5	56% or more

6. District Priority Ranking as provided by District (Maximum 10 points)

_____	6	5th ranked district project
_____	7	4th ranked district project
_____	8	3rd ranked district project
_____	9	2nd ranked district project
_____	10	1st ranked district project

7. Amount of OPWC grant and loan funds requested (Maximum 10 points)

_____	0	$500,000 or more
_____	2	$400,000 - $499,999
X	4	$300,000 - $399,999
_____	6	$200,000 - $299,999
_____	8	$100,000 - $199,999
_____	10	$99,999 or less

8. Loan request – Amount of loan funds as a percentage of OPWC assistance. (Maximum 10 points)

_____	1	15 - 29% of OPWC assistance
_____	5	30 - 49% of OPWC assistance
_____	10	50 - 100% of OPWC assistance

9. Useful Life of Project – Taken from engineer's useful life statement. (Maximum 5 points)

_____	1	7 - 9 years
_____	2	10 - 14 years
_____	3	15 - 19 years
_____	4	20 - 24 years
X	5	25 years or more

10. Median Household Income – Applicant's MHI as a percentage of the statewide MHI. Information derived from the most recent 5-year American Community Survey as published by the Ohio Development Services Agency. (Maximum 10 points)

_____	2	110% or more
_____	4	100% - 109%
_____	6	90% - 99%
X	8	80% – 89%
_____	10	79% or less

11. Readiness to proceed. This is a two-part criterion. (Maximum 5 points)

Part I - Status of Plans – This uses the Small Government Commission's Engineer's Plan Status Certification. (Maximum 5 points)

__X__ 0 Plans not yet begun

_____ 3 Surveying through Preliminary Design Completed (Items A-C)

_____ 5 Surveying through final construction plans, and secured permits and right-of-way as appropriate (Items A-H)

Part II - Status of Funding Sources – This uses source documentation including compliant CFO certifications and loan letters. (Maximum 5 points)

_____ 0 All funds not yet committed

_____ 3 Applications submitted to funding entities

__X__ 5 All funding committed

Small Government Commission
Engineer's Plan Status Certification
Required for Criterion No. 11, Part I

Applicant: Village of West Unity

District No.: 5

Project Name: Wastewater Treatment Plant Improvements

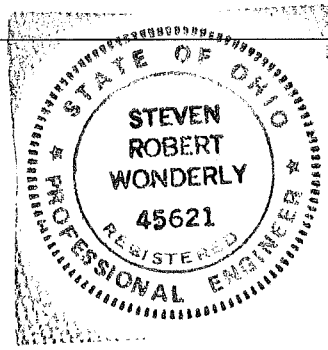
Item		Necessary for project?		Status	Completion Date
Met Completion dates for Items A – C (2 points)					
A	Surveying	Y √	N/A ☐	TO BE COMPLETED	
B	R/W Acquisition Identified	Y ☐	N/A √		
C	Preliminary Design	Y √	N/A ☐	TO BE COMPLETED	
Met Completion dates for Items A – H (5 points)					
D	Final Construction Plans	Y √	N/A ☐	TO BE COMPLETED	
E	Permit to Install Issued	Y √	N/A ☐	TO BE COMPLETED	
F	NPDES Issued	Y ☐	N/A √		
G	Other Permits Issued	Y √	N/A ☐	TO BE COMPLETED	
H	Executed Right of Way Option or Agreement	Y ☐	N/A √		

I hereby certify that the information above is true and correct to the best of my knowledge and belief.

Steven R. Wonderly, P.E.
Engineer's Printed Name

Engineer's Signature

9/6/2016
Date



Engineer's Stamp/Seal

Small Government Commission
Water & Wastewater Ability & Effort Supplemental
(This form must be completed and submitted for all Water and Wastewater applications)

Applicant: **Village of West Unity**

System Users – The Small Government Commission will use households from the most recent decennial Census of Population and Housing unless a system-generated user report for inside users is provided or Equivalent Dwelling Units (provide calculation if using EDUs).

829

Usage – The Small Government Commission will assume 4,500 gallons per month unless a system-generated usage report is provided proving higher consumption.

4500

Rates – Provide both water and wastewater rates, and any surcharges. Attach all relevant ordinances/resolutions showing the effective dates and rate tables. If service is supplied by a different entity the applicant must provide the same information as if it were supplying the service. Calculation of rates must be clear as supported by ordinance or resolution. Calculation must be for rates in effect and in active billing by December 2016; approved rates for a future date will not be accepted (see exception for new systems in Applicants Manual).

WATER

Billing Period:	Monthly	X	Quarterly		Other	
Unit of Measurement:	Gallons	X	Cubic Feet		Flat Rate	

Base Charge	$ 9.00	
Second Increment	$ 13.14	$2.92 per 1000 gallons
Additional Increments	$	$ per unit from Y to Z
Additional Increments	$	
Surcharges	$	
TOTAL	$ 22.14	

WASTEWATER

Billing Period:	Monthly	X	Quarterly		Other	
Unit of Measurement:	Gallons	X	Cubic Feet		Flat Rate	

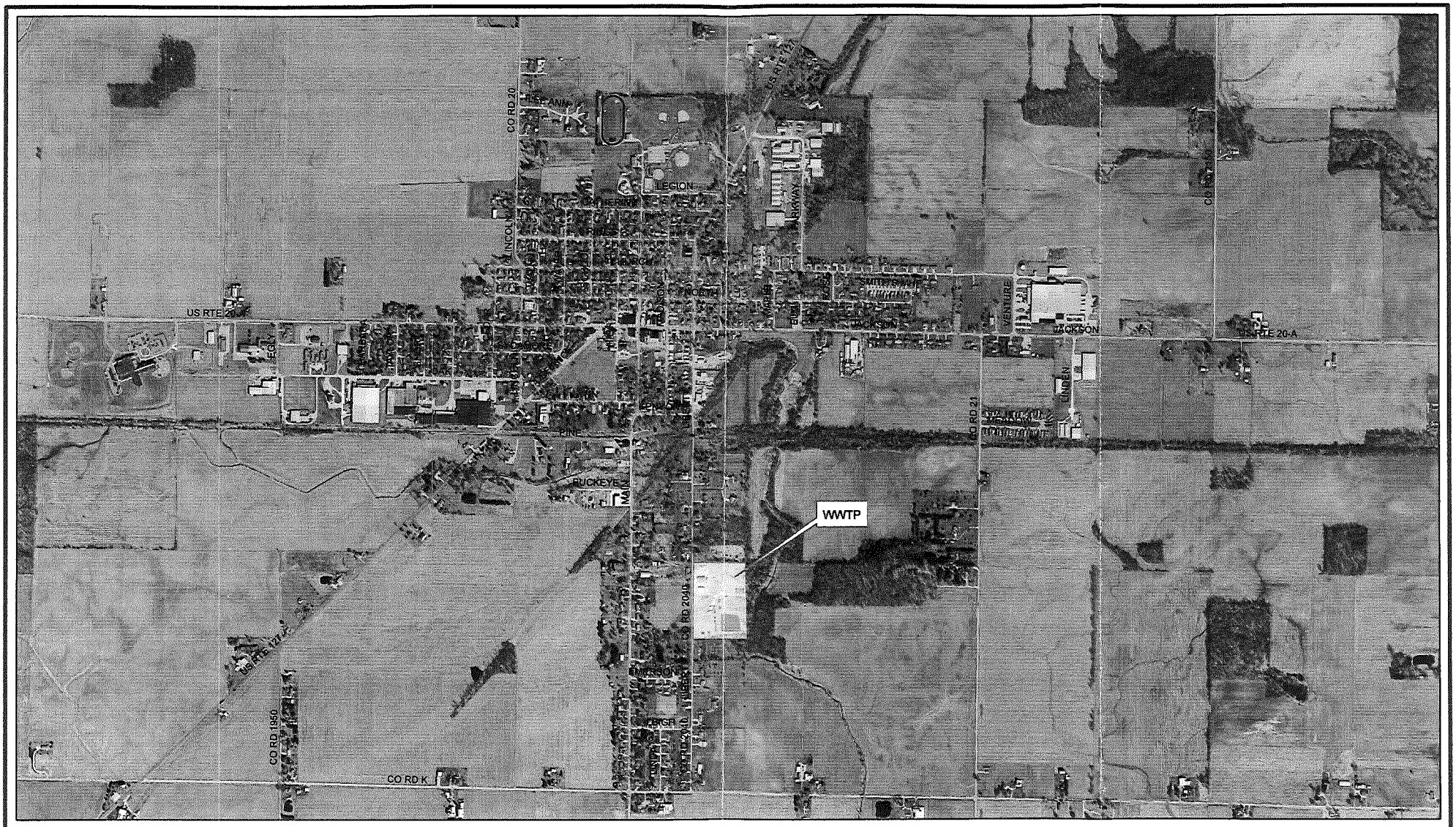
Base Charge	$ 6.00	
Second Increment	$ 19.80	$4.40 per 1000 gallons
Additional Increments	$	$ per unit from Y to Z
Additional Increments	$	
Surcharges	$	
TOTAL	$ 25.80	

SMALL GOVERNMENT COMMMISION USE ONLY

Water _____

Wastewater _____

Determination _____

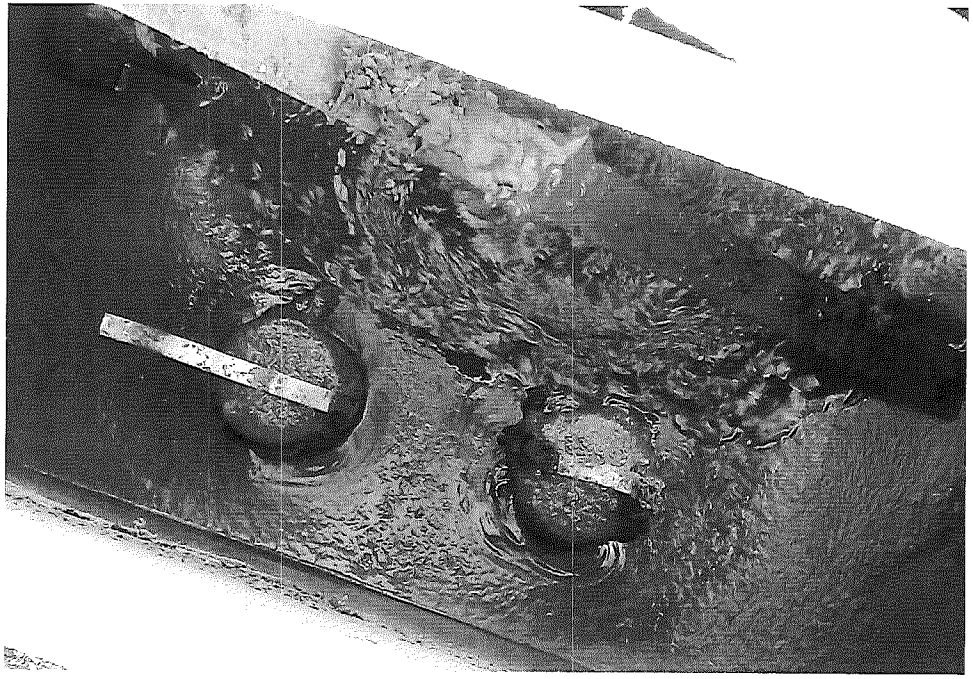


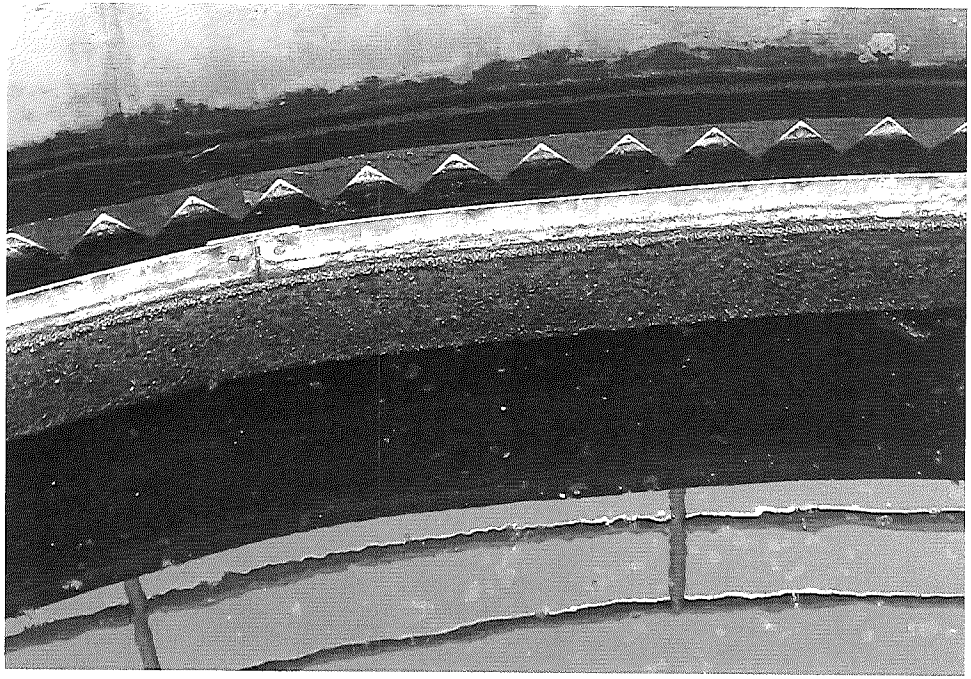
0 1,000 2,000 4,000 Feet

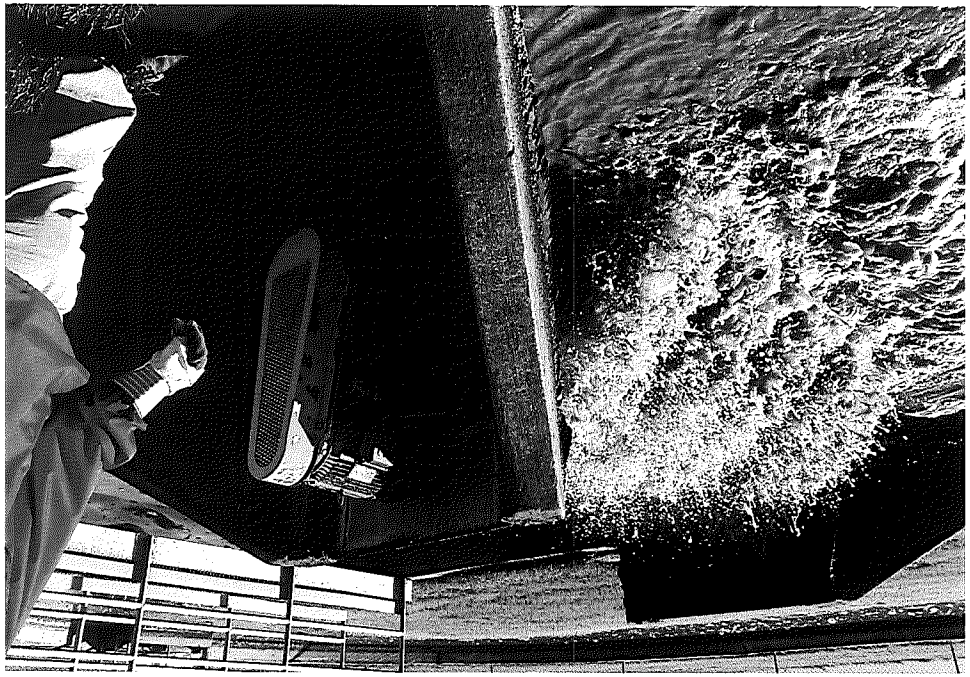
N

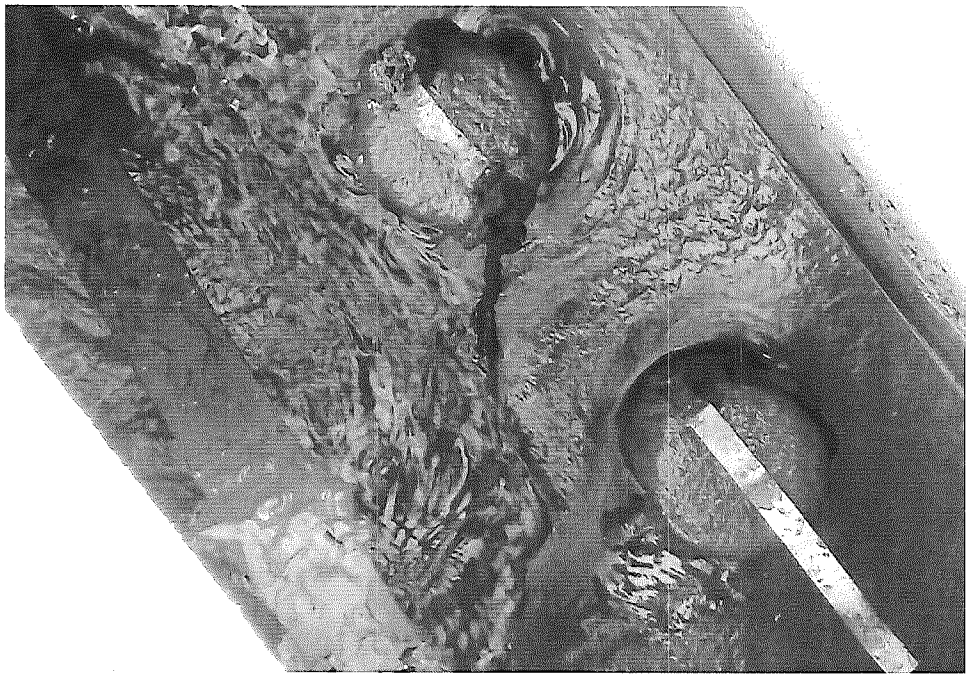
JGK 1/21/2016 PDG JOB# 1289-003 FILE: S:\1289-003\PLATE2.MXD	WEST UNITY WWTP LOCATION AERIAL MAP PLATE TWO	WEST UNITY WWTP EVALUATION

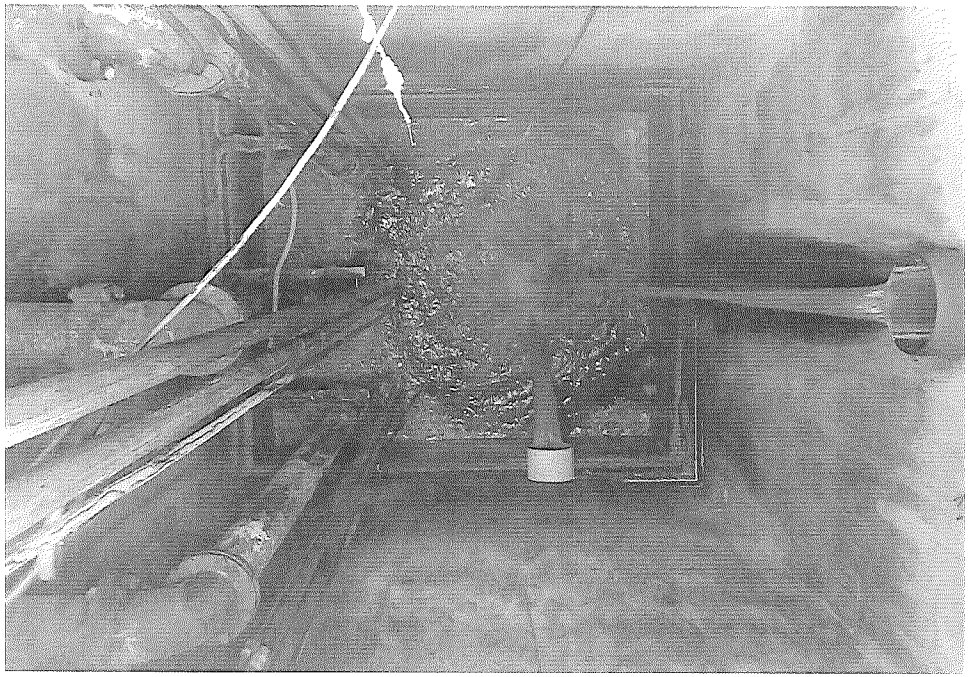
POGGEMEYER DESIGN GROUP

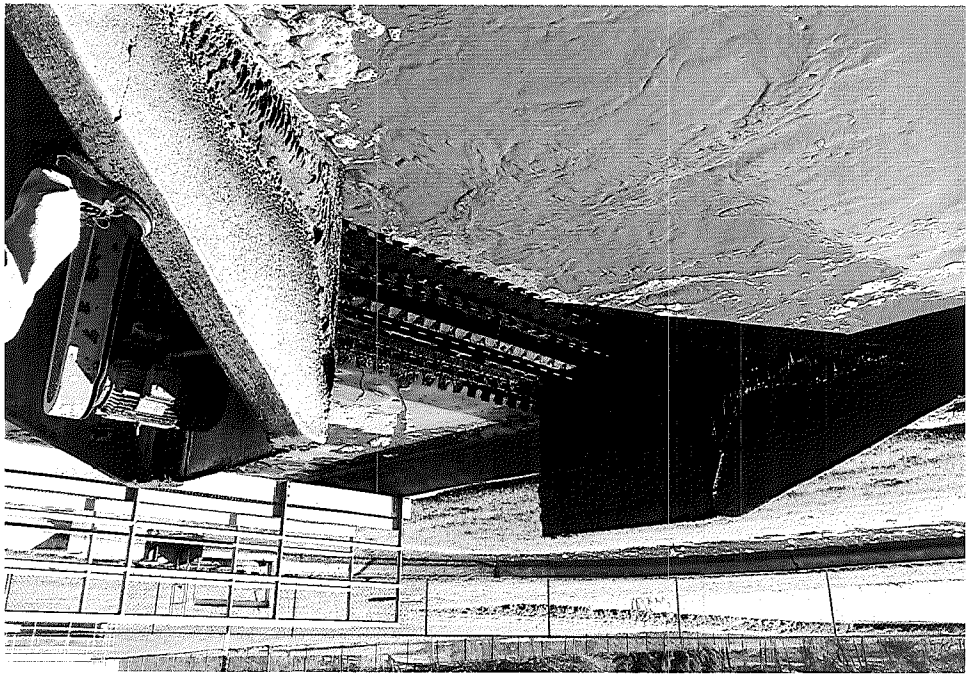


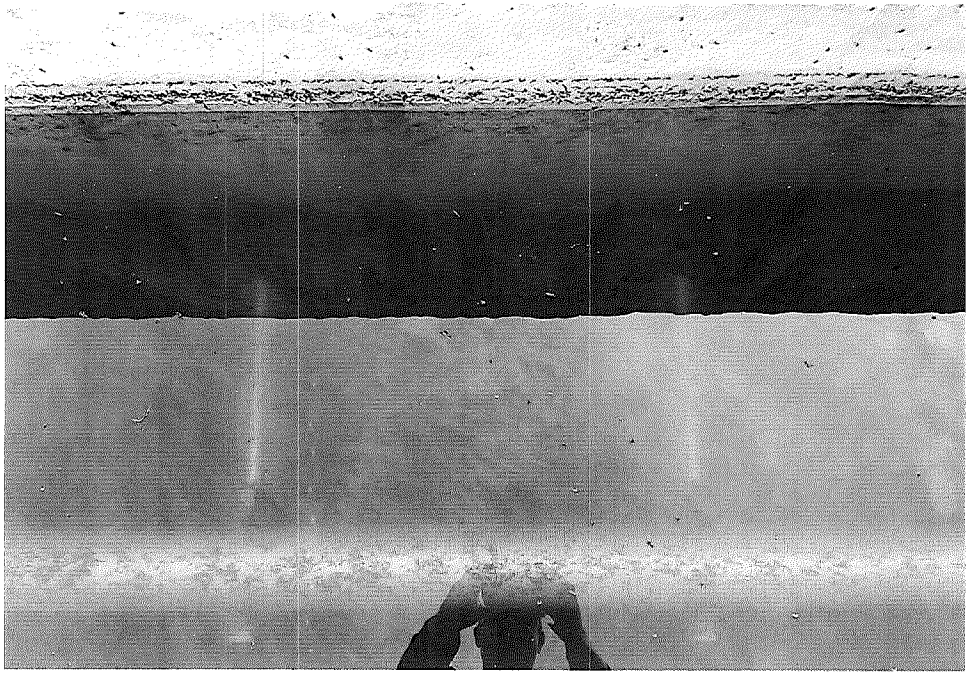












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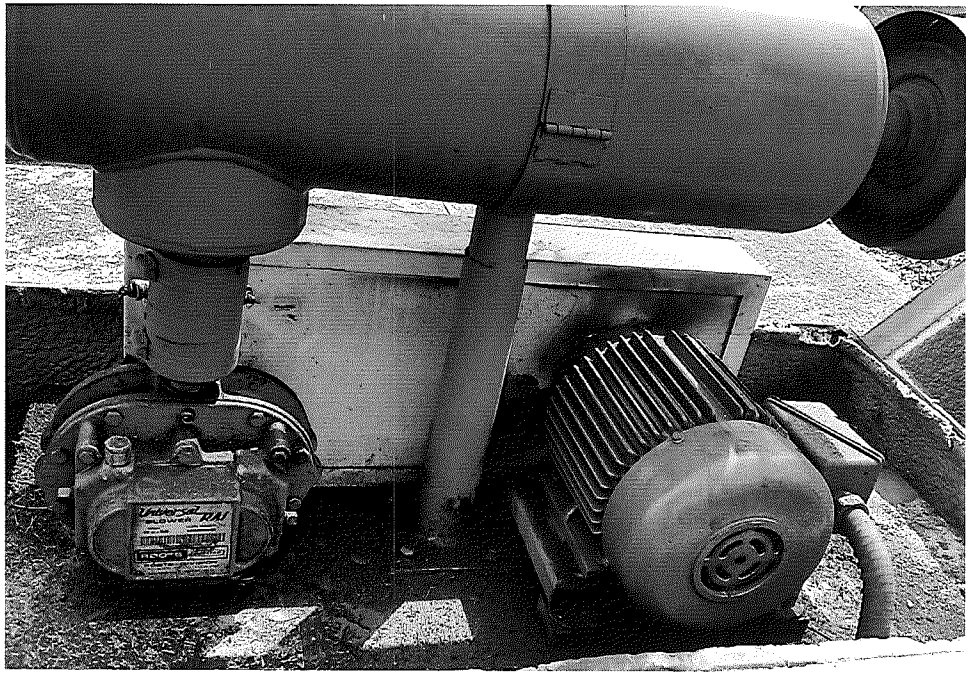
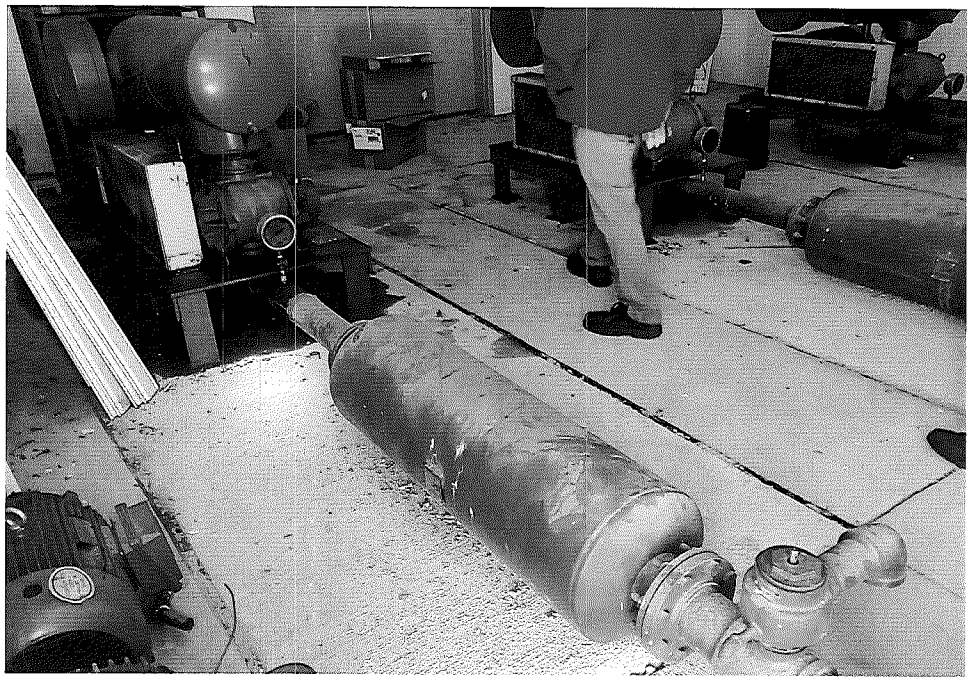
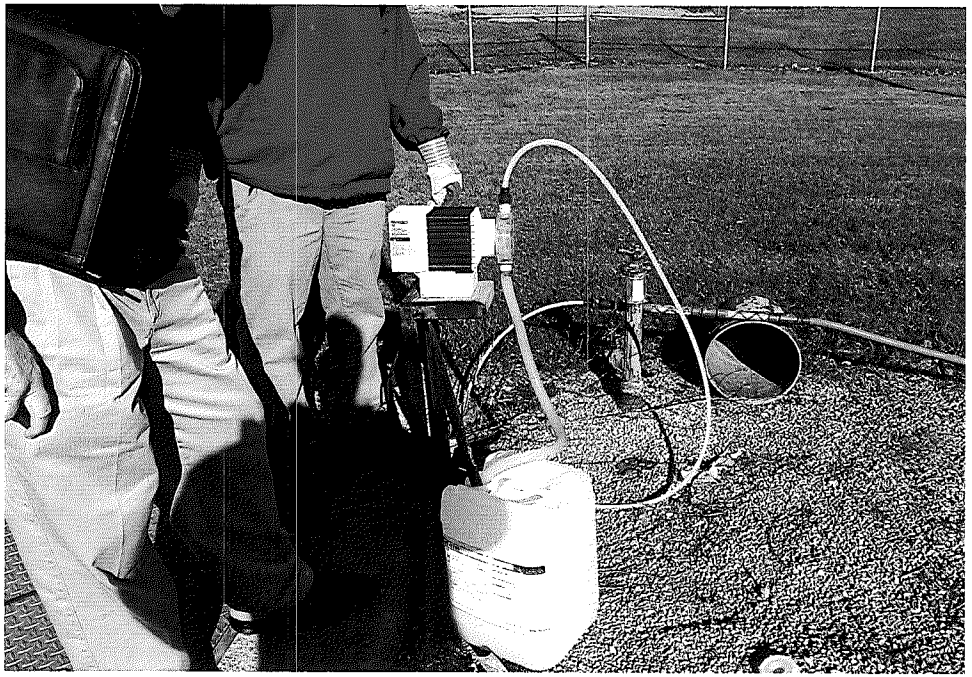
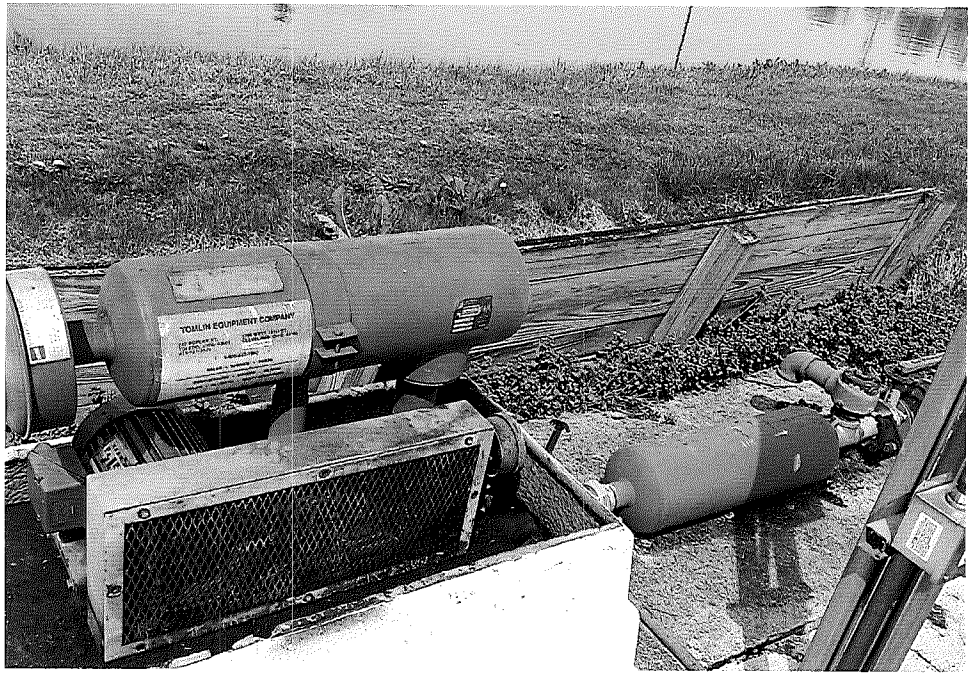
BLOWER
MODEL
22 U– RAI
SERIAL NUMBER
SR00000950
ROOTS
DRESSER
ROOTS DIVISION
DRESSER INDUSTRIES, INC.





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