



State of Ohio
Public Works Commission
Application for Financial Assistance

IMPORTANT: Please consult "Instructions for Financial Assistance for Capital Infrastructure Projects" for guidance in completion of this form.

Applicant

Applicant: City of Napoleon Subdivision Code: 069-53550
 District Number: 5 County: Henry Date: 08/12/2019
 Contact: Chad E. Lulfs, P.E., P.S. Phone: (419) 592-4010
(The individual who will be available during business hours and who can best answer or coordinate the response to questions)
 Email: clulfs@napoleonohio.com FAX: (419) 599-8393

Project

Project Name: Oberhaus Interceptor I/I Reduction Project (L.T.C.P. Project No. 21B) Zip Code: 43545

Subdivision Type	Project Type	Funding Request Summary
<small>(Select one)</small>	<small>(Select single largest component by \$)</small>	<small>(Automatically populates from page 2)</small>
<input type="checkbox"/> 1. County	<input type="checkbox"/> 1. Road	Total Project Cost: <u>410,000.00</u>
<input checked="" type="checkbox"/> 2. City	<input type="checkbox"/> 2. Bridge/Culvert	1. Grant: <u>275,000.00</u>
<input type="checkbox"/> 3. Township	<input type="checkbox"/> 3. Water Supply	2. Loan: <u>0.00</u>
<input type="checkbox"/> 4. Village	<input checked="" type="checkbox"/> 4. Wastewater	3. Loan Assistance/ Credit Enhancement: <u>0.00</u>
<input type="checkbox"/> 5. Water (6119 Water District)	<input type="checkbox"/> 5. Solid Waste	Funding Requested: <u>275,000.00</u>
	<input type="checkbox"/> 6. Stormwater	

District Recommendation (To be completed by the District Committee)

<u>Funding Type Requested</u> <small>(Select one)</small>	SCIP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<input type="checkbox"/> State Capital Improvement Program	RLP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<input type="checkbox"/> Local Transportation Improvement Program	Grant:	Amount: _____ .00
<input type="checkbox"/> Revolving Loan Program	LTIP:	Amount: _____ .00
<input type="checkbox"/> Small Government Program	Loan Assistance / Credit Enhancement:	Amount: _____ .00
District SG Priority: _____		

For OPWC Use Only

<u>STATUS</u>	Grant Amount: _____ .00	Loan Type: <input type="checkbox"/> SCIP <input type="checkbox"/> RLP
Project Number: _____	Loan Amount: _____ .00	Date Construction End: _____
	Total Funding: _____ .00	Date Maturity: _____
Release Date: _____	Local Participation: _____ %	Rate: _____ %
OPWC Approval: _____	OPWC Participation: _____ %	Term: _____ Yrs

1.0 Project Financial Information (All Costs Rounded to Nearest Dollar)

1.1 Project Estimated Costs

Engineering Services

Preliminary Design:	_____	0	.00	
Final Design:	_____	0	.00	
Construction Administration:	_____	0	.00	
Total Engineering Services:	a.) _____	0	.00	0 %
Right of Way:	b.) _____	0	.00	
Construction:	c.) _____	410,000	.00	
Materials Purchased Directly:	d.) _____	0	.00	
Permits, Advertising, Legal:	e.) _____	0	.00	
Construction Contingencies:	f.) _____	0	.00	0 %
Total Estimated Costs:	g.) _____	410,000	.00	

1.2 Project Financial Resources

Local Resources

Local In-Kind or Force Account:	a.) _____	0	.00	
Local Revenues:	b.) _____	135,000	.00	
Other Public Revenues:	c.) _____	0	.00	
ODOT / FHWA PID: _____	d.) _____	0	.00	
USDA Rural Development:	e.) _____	0	.00	
OEPA / OWDA:	f.) _____	0	.00	
CDBG:	g.) _____	0	.00	
<input type="checkbox"/> County Entitlement or Community Dev. "Formula"				
<input type="checkbox"/> Department of Development				
Other: _____	h.) _____	0	.00	
Subtotal Local Resources:	i.) _____	135,000	.00	33 %

OPWC Funds (Check all requested and enter Amount)

Grant: <u>100</u> % of OPWC Funds	j.) _____	275,000	.00	
Loan: <u>0</u> % of OPWC Funds	k.) _____	0	.00	
Loan Assistance / Credit Enhancement:	l.) _____	0	.00	
Subtotal OPWC Funds:	m.) _____	275,000	.00	67 %
Total Financial Resources:	n.) _____	410,000	.00	100 %

1.3 Availability of Local Funds

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local resources required for the project will be available on or before the earliest date listed in the Project Schedule section. The OPWC Agreement will not be released until the local resources are certified. Failure to meet local share may result in termination of the project. Applicant needs to provide written confirmation for funds coming from other funding sources.

2.0 Repair / Replacement or New / Expansion

2.1 Total Portion of Project Repair / Replacement:	_____ 410,000 .00	_____ 100 %
2.2 Total Portion of Project New / Expansion:	_____ 0 .00	_____ 0 %
2.3 Total Project:	_____ 410,000 .00	_____ 100 %

A Farmland Preservation letter is required for any impact to farmland

3.0 Project Schedule

3.1 Engineering / Design / Right of Way	Begin Date: <u>01/13/2020</u>	End Date: <u>07/03/2020</u>
3.2 Bid Advertisement and Award	Begin Date: <u>08/17/2020</u>	End Date: <u>09/30/2020</u>
3.3 Construction	Begin Date: <u>10/05/2020</u>	End Date: <u>05/29/2021</u>

Construction cannot begin prior to release of executed Project Agreement and issuance of Notice to Proceed.

Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by project official of record and approved by the Commission once the Project Agreement has been executed.

4.0 Project Information

If the project is multi-jurisdictional, information must be consolidated in this section.

4.1 Useful Life / Cost Estimate / Age of Infrastructure

Project Useful Life: 50 Years Age: 1959 (Year built or year of last major improvement)

Attach Registered Professional Engineer's statement, with seal or stamp and signature confirming the project's useful life indicated above and detailed cost estimate.

4.2 User Information

Road or Bridge: Current ADT _____ Year _____ Projected ADT _____ Year _____

Water / Wastewater: Based on monthly usage of 4,500 gallons per household; attach current ordinances.

Residential Water Rate Current \$ 55.35 Proposed \$ 55.35

Number of households served: 3,239

Residential Wastewater Rate Current \$ 72.03 Proposed \$ 72.03

Number of households served: 3,158

Stormwater: Number of households served: 4,768

4.3 Project Description

- A: SPECIFIC LOCATION (Supply a written location description that includes the project termini; a map does not replace this requirement.) 500 character limit.

The project is located in the rear yards on a sanitary sewer easement behind the properties from 1111 Woodlawn Avenue to 1325 Woodlawn Avenue.

- B: PROJECT COMPONENTS (Describe the specific work to be completed; the engineer's estimate does not replace this requirement) 1,000 character limit.

An existing clay sanitary interceptor sewer, laterals, and manholes will be replaced with a PVC interceptor sewer, PVC laterals, and precast concrete manholes. 18" & 15" sanitary sewers will be installed to replace an existing sanitary sewer that experiences high amounts of inflow and infiltration. Existing sewer laterals and manholes will also be replaced.

- C: PHYSICAL DIMENSIONS (Describe the physical dimensions of the existing facility and the proposed facility. Include length, width, quantity and sizes, mgd capacity, etc in detail.) 500 character limit.

The existing clay sanitary interceptor sewer is 15" & 12". This will be replaced with 740 l.f. of new 18" PVC sanitary interceptor sewer and 1,365 l.f. of 15" PVC sanitary interceptor sewer. Sanitary sewer laterals and manholes will be replaced. Capacity will increase by approximately 0.6 MGD. This allows for in-line storage as required by the Findings & Orders.

5.0 Project Officials

Changes in Project Officials must be submitted in writing from an officer of record.

5.1 Chief Executive Officer (Person authorized in legislation to sign project agreements)

Name: Joel L. Mazur
Title: City Manager
Address: 255 W. Riverview Avenue
P.O. Box 151
City: Napoleon State: OH Zip: 43545
Phone: (419) 592-4010
FAX: (419) 599-8393
E-Mail: jmazur@napoleonohio.com

5.2 Chief Financial Officer (Can not also serve as CEO)

Name: Gregory J. Heath
Title: City Clerk/Finance Director
Address: 255 W. Riverview Avenue
P.O. Box 151
City: Napoleon State: OH Zip: 43545
Phone: (419) 592-4010
FAX: (419) 599-8393
E-Mail: gheath@napoleonohio.com

5.3 Project Manager

Name: Chad E. Lulfs, P.E., P.S.
Title: Director of Public Works
Address: 255 W. Riverview Avenue
P.O. Box 151
City: Napoleon State: OH Zip: 43545
Phone: (419) 592-4010
FAX: (419) 599-8393
E-Mail: clulfs@napoleonohio.com

6.0 Attachments / Completeness review

Confirm in the boxes below that each item listed is attached (Check each box)

- A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- A certification signed by the applicant's chief financial officer stating the amount of all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's seal or stamp and signature.
- A cooperative agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- Farmland Preservation Review - The Governor's Executive Order 98-IV, "Ohio Farmland Protection Policy" requires the Commission to establish guidelines on how it will take protection of productive agricultural and grazing land into account in its funding decision making process. Please include a Farm Land Preservation statement for projects that have an impact on farmland.
- Capital Improvements Report. CIR Required by O.R.C. Chapter 164.06 on standard form.
- Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your local District Public Works Integrating Committee.

7.0 Applicant Certification

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.

Joel L. Mazur, City Manager

Certifying Representative (Printed form, Type or Print Name and Title)

Original Signature / Date Signed

8/27/19

RESOLUTION NO. 053-19

A RESOLUTION AUTHORIZING THE CITY MANAGER TO EXECUTE ALL DOCUMENTS NECESSARY TO APPLY FOR AND ACCEPT OHIO PUBLIC WORKS COMMISSION STATE CAPITAL IMPROVEMENT PROGRAM (SCIP) AND LOCAL TRANSPORTATION IMPROVEMENT PROGRAM (LTIP) FUNDS FOR PROJECTS DEEMED NECESSARY BY THE CITY ENGINEER IN THE YEAR 2020

BE IT RESOLVED BY THE COUNCIL OF THE CITY OF NAPOLEON, OHIO:


Section 1. That, the City Manager is directed to execute all documents necessary to apply for and accept Ohio Public Works Commission State Capital Improvement Program (SCIP) and Local Transportation Improvement Program (LTIP) funds for the year for projects deemed necessary by the City Engineer in the Year 2020, including but not limited to execution of Grant Agreement(s).

Section 2. That, it is found and determined that all formal actions of this City Council concerning and relating to the adoption of this Resolution were adopted in open meetings of this City Council, and that all deliberations of this City Council and any of its committees that resulted in such formal actions were in compliance with all legal requirements, including Section 121.22 of the Ohio Revised Code and the Codified Ordinances of Napoleon Ohio.

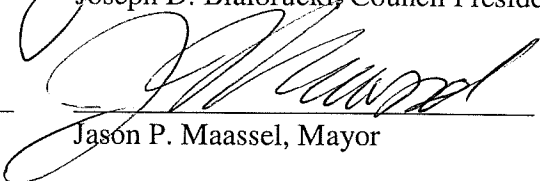
Section 3. That, if any other prior Ordinance or Resolution is found to be in conflict with this Resolution, then the provisions of this Resolution shall prevail. Further, if any portion of this Resolution is found to be invalid for any reason, such decision shall not affect the validity of the remaining portions of this Resolution or any part thereof.

Section 4. That, this Resolution is declared to be an emergency measure necessary for the immediate preservation of the public peace, health or safety of the City and its inhabitants, and for the further reason that this legislation must be in effect at the earliest possible time to assure the prompt and efficient application for project funds related to public peace, health or safety of the City; therefore, provided it receives the required number of votes for passage as emergency legislation, it shall be in full force and effect immediately upon its passage; otherwise, it shall be in full force and effect at the earliest time permitted by law. Further, the Emergency Clause is necessary to be in effect at the earliest possible time to allow for proper and timely application of grant funds, and for further reasons as stated in the Preamble hereof.

Passed: August 19, 2019


Joseph D. Bialorucki, Council President

Approved: August 19, 2019


Jason P. Maassel, Mayor

VOTE ON PASSAGE 6 Yea 0 Nay 0 Abstain

Attest:

Roxanne Dietrich
Roxanne Dietrich, interim Clerk of Council

I, Roxanne Dietrich, interim Clerk of Council for the City of Napoleon, do hereby certify that the foregoing Resolution No. 053-19 was duly published in the Northwest Signal, a newspaper of general circulation in said City, on the _____ day of _____; & I further certify the compliance with rules established in Chapter 103 of the Codified Ordinances Of Napoleon Ohio and the laws of the State of Ohio pertaining to Public Meetings.

Roxanne Dietrich, interim Clerk of Council

Certification

I, Roxanne Dietrich, interim Clerk of Council for the City of Napoleon, Ohio, do hereby certify that this document is a true and correct copy of Resolution No. 053-19 passed August 19, 2019.

Roxanne Dietrich
Roxanne Dietrich- interim Clerk of Council

Aug. 19. 2019
Date

STATUS OF FUNDS REPORT

The requested funds for the proposed improvements will be paid through revenues produced from sewer rates. Enclosed is Ordinance No. 028-14 establishing sewer rates for customers inside and outside of the corporation limits of the City.

The Oberhaus Interceptor I/I Reduction Project (L.T.C.P. Project No. 21B) will be requested in the 2020 City of Napoleon Budget, to be appropriated subject to approval by City Council.



Kelly O'Boyle, Finance Director

CITY OF NAPOLEON, OHIO
 OBERHAUS INTERCEPTOR I/I REDUCTION PROJECT
 L.T.C.P. PROJECT NO. 20B

Engineer's Estimate of Construction

ITEM NO.	DESCRIPTION	ESTIMATED QUANTITY	UNIT	UNIT PRICE	TOTAL
<i>ROADWAY</i>					
1	Clearing And Grubbing	1	LS	\$10,000.00	\$10,000.00
2	Asphalt Concrete Base (ODOT 301 PG64-22)	4	CY	\$200.00	\$800.00
3	Asphalt Concrete Surface (ODOT 441 Type 1, Medium Traffic, PG64-22)	2	CY	\$250.00	\$500.00
4	12"-24" Tree Removal	6	EA	\$500.00	\$3,000.00
5	24"-42" Tree Removal	2	EA	\$1,000.00	\$2,000.00
6	Storm And Field Drain Replacement (All Sizes)	100	LF	\$20.00	\$2,000.00
7	Topsoil	470	CY	\$35.00	\$16,450.00
8	Fertilizer, Seeding, & Mulching	5,560	SY	\$2.50	\$13,900.00
9	Construction Layout Stakes	1	LS	\$10,000.00	\$10,000.00
10	Mobilization	1	LS	\$10,000.00	\$10,000.00
11	Maintaining Traffic	1	LS	\$5,000.00	\$5,000.00
12	Storm Water Pollution Prevention Plan	1	LS	\$5,600.00	\$5,600.00
ESTIMATED COST OF ROADWAY CONSTRUCTION:					\$79,250.00
<i>SANITARY SEWER</i>					
13	6" PVC ASTM D3034 SDR 35 (Sanitary), Type C	275	LF	\$60.00	\$16,500.00
14	8" PVC ASTM D3034 SDR 35 (Sanitary), Type C	15	LF	\$60.00	\$900.00
15	10" PVC ASTM D3034 SDR 35 (Sanitary), Type C	20	LF	\$75.00	\$1,500.00
16	12" PVC ASTM D3034 SDR 35 (Sanitary), Type C	5	LF	\$100.00	\$500.00
17	15" PVC ASTM D3034 SDR 35 (Sanitary), Type B	65	LF	\$120.00	\$7,800.00

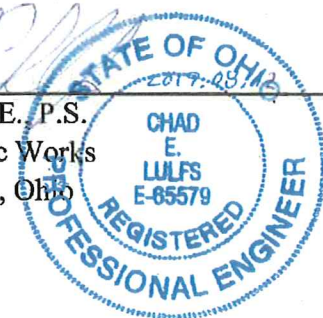
18	15" PVC ASTM D3034 SDR 35 (Sanitary), Type C	1,300	LF	\$100.00	\$130,000.00
19	18" PVC ASTM D3034 SDR 35 (Sanitary), Type C	740	LF	\$125.00	\$92,500.00
20	6" On 15" PVC Wye (Heavy Duty)	9	EA	\$350.00	\$3,150.00
21	6" On 18" PVC Wye (Heavy Duty)	7	EA	\$400.00	\$2,800.00
22	8" x 8" PVC Coupler	1	EA	\$300.00	\$300.00
23	10" x 10" PVC Coupler	1	EA	\$350.00	\$350.00
24	12" x 12" PVC Coupler	1	EA	\$350.00	\$350.00
25	18" x 18" PVC Coupler	1	EA	\$400.00	\$400.00
26	6" Cleanout With Inverted Cap	18	EA	\$250.00	\$4,500.00
27	6" PVC Plug (Sanitary)	2	EA	\$50.00	\$100.00
28	Flexible Coupling With Stainless Steel Bands (4"-6")	16	EA	\$50.00	\$800.00
29	48" Manhole With Standard Cone (Sanitary)	7	EA	\$5,000.00	\$35,000.00
30	Manhole Casting & Gasketed Lid Marked "Sanitary Sewer"	7	EA	\$500.00	\$3,500.00
31	Sanitary Manhole Abandon	5	EA	\$500.00	\$2,500.00
32	Sanitary Manhole Removal	6	EA	\$1,000.00	\$6,000.00
33	Sanitary Sewer Abandon (Fill with Grout)	1,500	LF	\$10.00	\$15,000.00
34	Sanitary Sewer Televising	2,100	LF	\$3.00	\$6,300.00
ESTIMATED COST OF SANITARY SEWER CONSTRUCTION:					\$330,750.00

TOTAL ESTIMATED COST OF CONSTRUCTION: \$410,000.00

These costs are based on the utilization of Prevailing Wage rates for labor as established by the Department of Industrial Relations.

The estimated useful life of these improvements is fifty (50) years.

Chad E. Lulfs, P.E., P.S.
Director of Public Works
City of Napoleon, Ohio



City of Napoleon Engineering Department
Napoleon, Ohio
2019.08.12

FARMLAND PRESERVATION REVIEW
FOR THE OHIO PUBLIC WORKS COMMISSION
OBERHAUS INTERCEPTOR I/I REDUCTION PROJECT (L.T.C.P. Project No. 21B)
August 12, 2019

This review is to comply with Farmland Preservation Review Advisory of the Ohio Public Works Commission and the Governor's Executive Order 98-IIV. This review was accomplished by the City of Napoleon's Director of Public Works.

1. The immediate impact the project will have on productive agricultural and grazing land related to land acquisition.

There shall be no immediate impact on productive agricultural and grazing land related to land acquisition.

2. Indirect impact that will result in the loss of productive agricultural and grazing land from development related to the project.

There shall be no indirect impact on productive agricultural and grazing land from development related to the project.

3. Mitigation measures that could be implemented when alternative sites or locations are not feasible.

No mitigation measures are available. This project will replace existing sanitary sewers to aid in preventing overflows into the river and basements.

BEFORE THE
OHIO ENVIRONMENTAL PROTECTION AGENCY

OHIO E.P.A.

JUL 25 2000

ENTERED DIRECTOR'S JOURNAL

In the matter of:
City of Napoleon : Director's Final Findings
Henry County : and Orders
P.O. Box 151
Napoleon, Ohio 43545

Jurisdiction

Pursuant to Ohio Revised Code (ORC) Chapter 6111, the Director of the Environmental Protection Agency hereby makes the following Findings and issues the following Orders:

Parties Bound

These orders shall apply to and be binding upon the City of Napoleon, hereinafter "Respondent", its assigns and successors in interest. No change in ownership or operation of the Napoleon Publically Owned Treatment Works, hereinafter "Facility", will in any way alter Respondent's responsibilities under these Orders. Respondent's obligations under these orders may be altered only by the written approval of the Director of the Ohio EPA.

Findings of Fact

1. Respondent owns and operates the Napoleon Wastewater Treatment Plant (WWTP) located at 4735 E. Washington St. , Napoleon, Ohio, and the associated collection system. Collectively, the WWTP and its collection system are hereinafter referred to as the Napoleon Publicly Owned Treatment Works (Napoleon POTW), or the "Facility".
2. The Napoleon POTW discharges "sewage", "industrial waste", and/or "other wastes", as those terms are defined in ORC Section 6111.01, to the Maumee River, and Oberhaus Creek and their tributaries.
3. The Maumee River, Oberhaus Creek, and their tributaries constitute "waters of the state" as defined by ORC Section 6111.01.
4. The Respondent holds NPDES permit number 2PD00000*LD (OH0020893), issued May 24, 1994, which authorizes the city to discharge from the Napoleon POTW to waters of the state. The permit was effective from July 1, 1994 through June 30, 1999.
5. The collection system consists of both combined sewer areas, where it is

I certify this to be a true and accurate copy of the official document as filed in the records of the Ohio Environmental Protection Agency.

By: Thomas J. O'Connell Date 7-25-00

designed to convey both sewage and storm water during wet weather, and separate sanitary sewers, which are not designed to convey storm water except in insignificant amounts. In addition to the discharges from the treatment plant, Respondent's NPDES permit includes four collection system overflows and a WWTP Bypass, which during wet weather discharge to the Maumee River and Oberhaus Creek.

6. NPDES permit No. 2PD00000*LD included a compliance schedule for the submittal of a CSO Operational Plan by January 1, 1996. The plan was received on January 2, 1996. This plan identified ten unpermitted collection system overflows: three on a combined sewer system and seven on a separated sanitary sewer. The plan also indicated that two of the permitted overflows were SSOs.
7. Since the time of the CSO Operational Plan's submittal, two of the unpermitted CSOs and one of the unpermitted SSOs have been eliminated.
8. Respondent has implemented, and continues to implement, the nine minimum control measures to reduce and eliminate CSO's in its system.
9. The remaining unpermitted SSOs are as follows:

<u>Outfall #</u>	<u>Location</u>	<u>Receiving Sewer</u>	<u>Stream</u>
5	W. Clinton & Sheffield	River	Maumee River
7	Scott & Oberhaus Creek	Oberhaus Creek	Oberhaus
9	Oberhaus Creek & Woodlawn	Oberhaus Creek	Oberhaus
10	Stevenson & Oberhaus Creek	Oberhaus Creek	Oberhaus
11	W. Maumee & Graceway	Southside	Maumee River
14	Riverview Ave. & Wayne Park	Front	Maumee River

10. NPDES permit No 2PD00000*LD included one plant bypass (Outfall 602) and two collection system overflows (Outfalls numbered 2PD00000002 and 2PD00000005) located in separate sanitary sewer areas. Respondent has documented several overflow events at these SSOs. The following list indicates the number of documented overflow events at the plant bypass and at each of these SSOs between July 1994 and July 1999 (with the exception of November 1998). It should be noted that the WWTP was under construction from July 1997 until October 1998.

contracts, initiating and completing construction, and attaining compliance with the terms of the NPDES permit.

Three copies of the General Plan shall be submitted to the Ohio EPA Northwest District Office. During the planning process, Ohio EPA will evaluate proposed measures for approval of the overall concept and the use of facilities included in the proposal. Ohio EPA will authorize the installation of proposed facilities through the issuance of a Permit to Install upon submission of detailed plans.

8. Within 36 months from the first day of January immediately following the effective date of these Orders, Respondent shall develop and submit a Combined Sewer System Long Term Control Plan. The goal of the plan is that discharges from ~~combined sewer overflows shall not cause or significantly contribute to violations~~ of water quality standards, including toxicity impacts on stream biota, or impairment of designated uses of the waters of the state, including, without limitation, the Maumee River and Oberhaus Creek. The plan shall address, at a minimum, the following:

- a. Respondent shall characterize its collection system and overflows using the tools of monitoring and modeling. A monitoring program will be proposed that provides adequate data to characterize and model the collection system and overflows; supports development and implementation of the minimum control measures; supports development and implementation of a long-term control plan; and allows the effectiveness of control measures to be evaluated.
- b. Respondent shall identify CSO discharges to State Resource Waters (OAC 3745-1-05), Bathing Waters (OAC 3745-1-07(B)(4)), and all surface waters within 500 yards of an existing public water supply intake and designate these discharges as the highest priority for elimination, relocation or treatment. Overflows to these waters shall be eliminated or relocated whenever physically and economically achievable, except when this would cause unacceptable water quality impacts elsewhere in the system. If elimination or relocation is not possible, then treatment must be provided that will result in attainment of water quality standards and designated uses.
- c. Respondent shall identify CSO discharges to waters of the state, including small, accessible urban streams, where there is a high probability for contact recreation, and develop controls to ensure that these waters attain the applicable water quality standards for bacteria. The potential for human health impacts, public input on the recreational value of the streams, and financial considerations should be used to prioritize controls for these streams.
- d. Respondent shall develop and implement a significant notification program that informs the public of the possible health and environmental impacts associated with CSOs, and advises against contact recreation when elevated bacteria

levels may endanger public health.

- e. Respondent shall consider either the "presumption" or the "demonstration" approach included in U.S. EPA's National Combined Sewer Overflow Policy (April 19, 1994). Elimination of overflows shall always be evaluated as a control option and shall be implemented if it is cost effective, economically achievable, and does not cause new or significantly increased overflows elsewhere in the system.
- f. As part of CSO control, Ohio EPA expects communities to identify combined and separate sewered areas and to minimize the impact of separate sanitary flows on CSO discharges. Steps to consider include: using express sewers to route sanitary flows around combined sewer areas and reducing infiltration and inflow into the separate sewers. Communities also should consider ways to reduce storm water flow into combined sewers. Steps to consider include: diverting storm water away from the combined system (e.g, by constructing retention basins); removing inflow, such as roof drains); and using catch basin flow restriction.
- g. Respondent shall conduct cost/performance analyses to determine where the increment of CSO abatement diminishes compared to the increased costs.
- h. Respondent shall propose revisions to the Combined Sewer System Operational Plan necessary to implement long term controls.
- i. Respondent shall consider sanitary sewer separation projects, the elimination of CSO outfalls, the addition of primary treatment and disinfection capacity, and other cost effective measures, such as retention basins, for the purpose of eliminating, or increasing the treatment of, wet weather flows. During the planning process, Ohio EPA will evaluate proposed measures for approval of the overall concept and the use of facilities included in the proposal. Ohio EPA will authorize the installation of proposed facilities through the issuance of a Permit to Install upon submission of detailed plans.
- j. Respondent shall propose an implementation schedule based on consideration of the following: the relative magnitude of adverse impacts on water quality standards and designated uses, the community's financial capability, the relative cost/performance evaluations of individual projects, the priorities developed through public participation, and previous efforts to control CSOs.
- k. Respondent shall give the public affected by the development and implementation of the CSO Long Term Control Plan the opportunity to actively participate in the process. This includes participation in the evaluation and selection of controls, in determining the value that the community places on recreation opportunities that are impacted by CSO discharges, and in setting

priorities for CSO control projects.

When the CSO Long Term Control Plan is approved by the Director of Ohio EPA, the implementation schedule included in the plan shall be incorporated by reference as part of Respondent's NPDES permit, or the NPDES permit may be modified to incorporate the approved implementation schedule.

9. Respondent shall pay to Ohio EPA the amount of twelve thousand dollars (\$12,000.00) in settlement of Ohio EPA claims for civil penalties, which may be assessed pursuant to Chapter 6111 of the Ohio Revised Code. This payment shall be made by tendering a certified check made payable to "Treasurer, State of Ohio" for the full amount within 30 days after the effective date of these Orders to the following address:

Vicki Galilei, Office of Fiscal Administration
Ohio Environmental Protection Agency
Lazarus Government Center
P.O. Box 1049
Columbus, Ohio 43216-1049

A photocopy of the check shall be made to Jim Vinch of Ohio EPA Legal.

10. All documents required to be delivered by Respondent pursuant to Orders 2,4,5,6, 7, 8 and 9 shall be deemed to be delivered as of the date that Respondent deposits such document in the mail, postage prepaid, to the following address:

Ohio Environmental Protection Agency
Northwest District Office
347 North Dunbridge Road
Bowling Green, Ohio 43402
(ATTN: Enforcement Group Leader)

OTHER APPLICABLE LAWS

All actions required to be taken pursuant to these Orders shall be undertaken in accordance with the requirements of all applicable local, state, and federal laws and regulations. Nothing in these Orders shall be construed as waiving or compromising in any way the applicability and enforcement of any other statutes or regulations applicable to Respondent's operation of its Facility.

RESERVATION OF RIGHTS

These Orders do not prevent Ohio EPA from enforcing the terms of these Orders or from taking other administrative, legal, or equitable action as deemed appropriate and necessary, including seeking penalties against Respondent for noncompliance with these Orders or for violations identified in these Orders. These Orders do not prevent Ohio EPA from exercising its authority to require Respondent to perform additional activities pursuant to Chapter 6111 of the Ohio Revised Code or any other applicable law in the future. These Orders do not restrict the right of Respondent to raise any administrative, legal, or equitable claim or defense for any additional activities that Ohio EPA may seek to require of respondent. These Orders do not limit the authority of Ohio EPA to seek relief for violations not cited in these Orders.

TERMINATION

The Respondent's obligations under these Orders shall be satisfied and terminate when Respondent demonstrates in writing, and certifies to the satisfaction of Ohio EPA that all obligations under these Orders have been performed, and the Chief of Ohio EPA's Division of Surface Water acknowledges in writing the termination of these Orders.

This certification shall be submitted by the Respondent to the Northwest District Office (Attention: DSW Enforcement Group Leader) and shall be signed by a responsible official of the City of Napoleon.

A responsible official is as defined in Ohio Administrative Code (OAC) 3745-33-03(D)(1) for a corporation, OAC 3745-33-03(D)(2) for a partnership, OAC 3745-33-03(D)(3) for a sole proprietorship, and OAC 3745-33-03(D)(4) for a municipal, state, or other public facility. The certification shall contain the following attestation:

"I certify under the penalty of law that I have personally examined and am familiar with the information contained in or accompanying this certification, and based on my inquiry of those individuals immediately responsible for obtaining the information, I believe the information contained in or accompanying this certification is true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine or imprisonment."

WAIVER

In order to resolve disputed claims, without admission of fact, violation or liability, Respondent agrees that these Orders are lawful and reasonable, that the schedules provided for compliance herein are reasonable, and the Respondent agrees to comply with these Orders. Ohio EPA specifically does not waive its right to pursue actions and civil penalties as set forth in the reservation of Rights paragraph of these ORDERS.

Respondent hereby waives the right to appeal the issuance, terms, and service of these Orders, and it hereby waives any and all rights it might have to seek judicial review of said Orders either in law or equity.

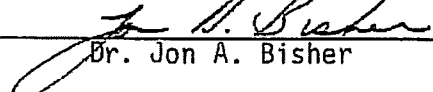
Notwithstanding the preceding, in the event that these Orders are appealed by any other party to the Environmental Review Appeals Commission, or any court, Respondent retains the right to intervene and participate in such appeal. In such event, respondent shall continue to comply with these Orders notwithstanding such appeal and intervention unless said Orders are stayed, vacated, or modified.

SIGNATURE AUTHORITY

Each undersigned representative of a signatory to these Orders certifies that he or she is fully authorized to enter into the terms and conditions of these Orders and to legally bind such signatory to this document.

IT IS SO AGREED:

City of Napoleon

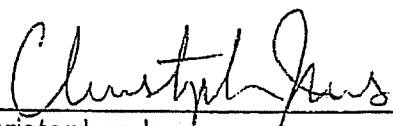
BY: 
Dr. Jon A. Bisher

TITLE: City Manager

DATE: June 19, 2000

IT IS SO ORDERED AND AGREED:

Ohio Environmental Protection Agency


Christopher Jones
Director

DATE: 7-19-00

ATTACHMENT A

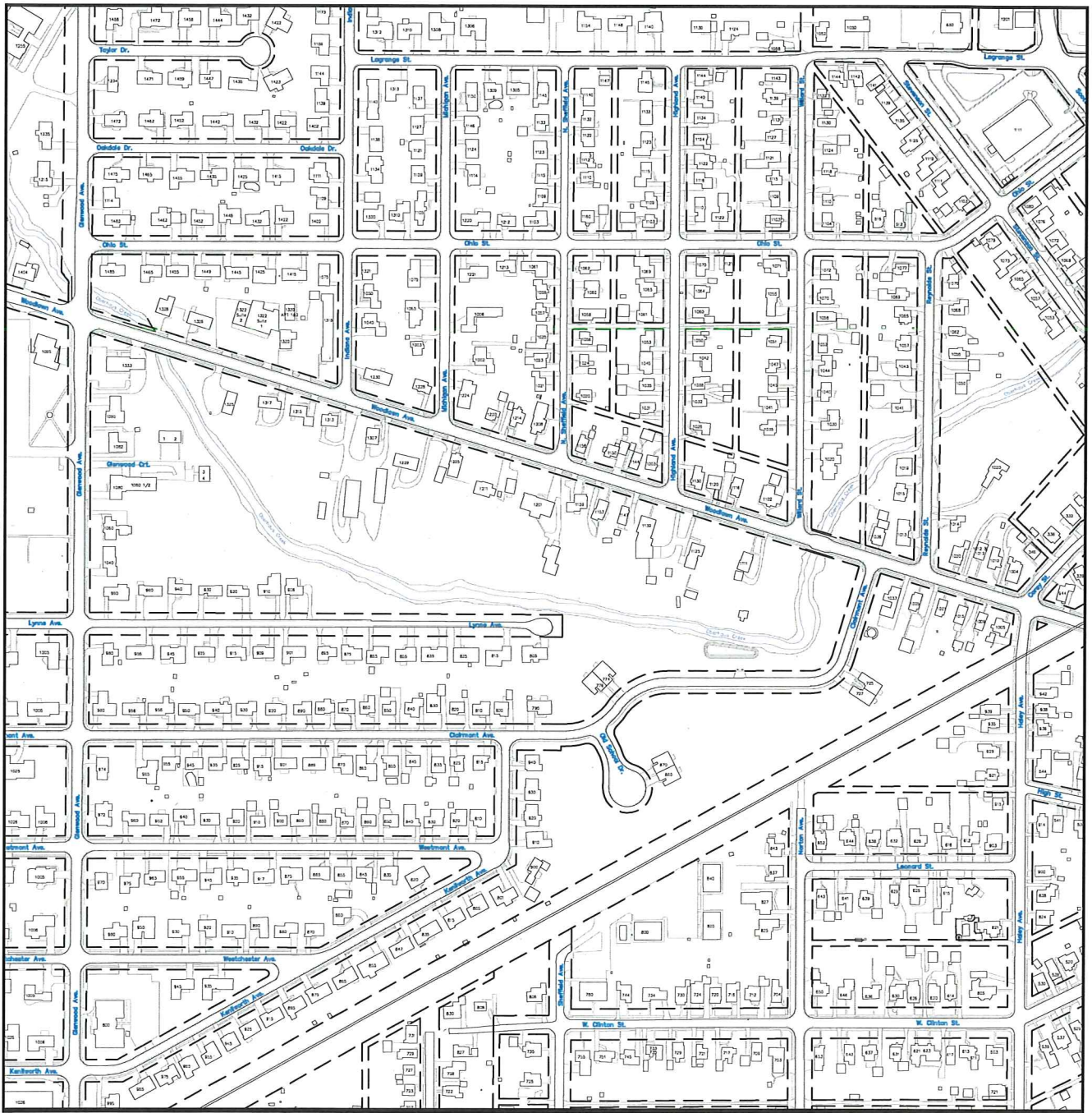
MONITORING REQUIREMENTS FOR OUTFALLS 002, 005, 5, 7, 9, 10, 11, and 14:

CHARACTERISTIC REQUIREMENT				MONITORING
<u>Code</u>	<u>Units</u>	<u>Parameter</u>	<u>Frequency</u>	<u>Measurement Sample Type</u>
00530	mg/l	Suspended Solids	1/Month	Grab
50050	MGD	Flow	When Discharging	Daily Estimate
80082	mg/l	CBOD ₅	1/Month	Grab
80998	No./Month	Occurrences	When Discharging	Estimate
80999	Hours	Duration	When Discharging	Daily Estimate
	SU	pH	1/month	Grab
	mg/l	Dissolved Oxygen	1/month	Grab

Respondent shall set up a rotating schedule to sample at least three (3) outfalls during each storm event. Samples should be collected during the first 30 minutes of discharge or as soon as possible. Data for the number of Occurrences per day, the daily Duration and the total daily Flow may be estimated.

For days when there are no overflow events, data for parameters which require laboratory analysis should be reported as "AH" and an explanation of "No Discharge" entered in the "Additional Remarks" section. Also, on such days Zero should be reported for occurrences, duration, and flow.

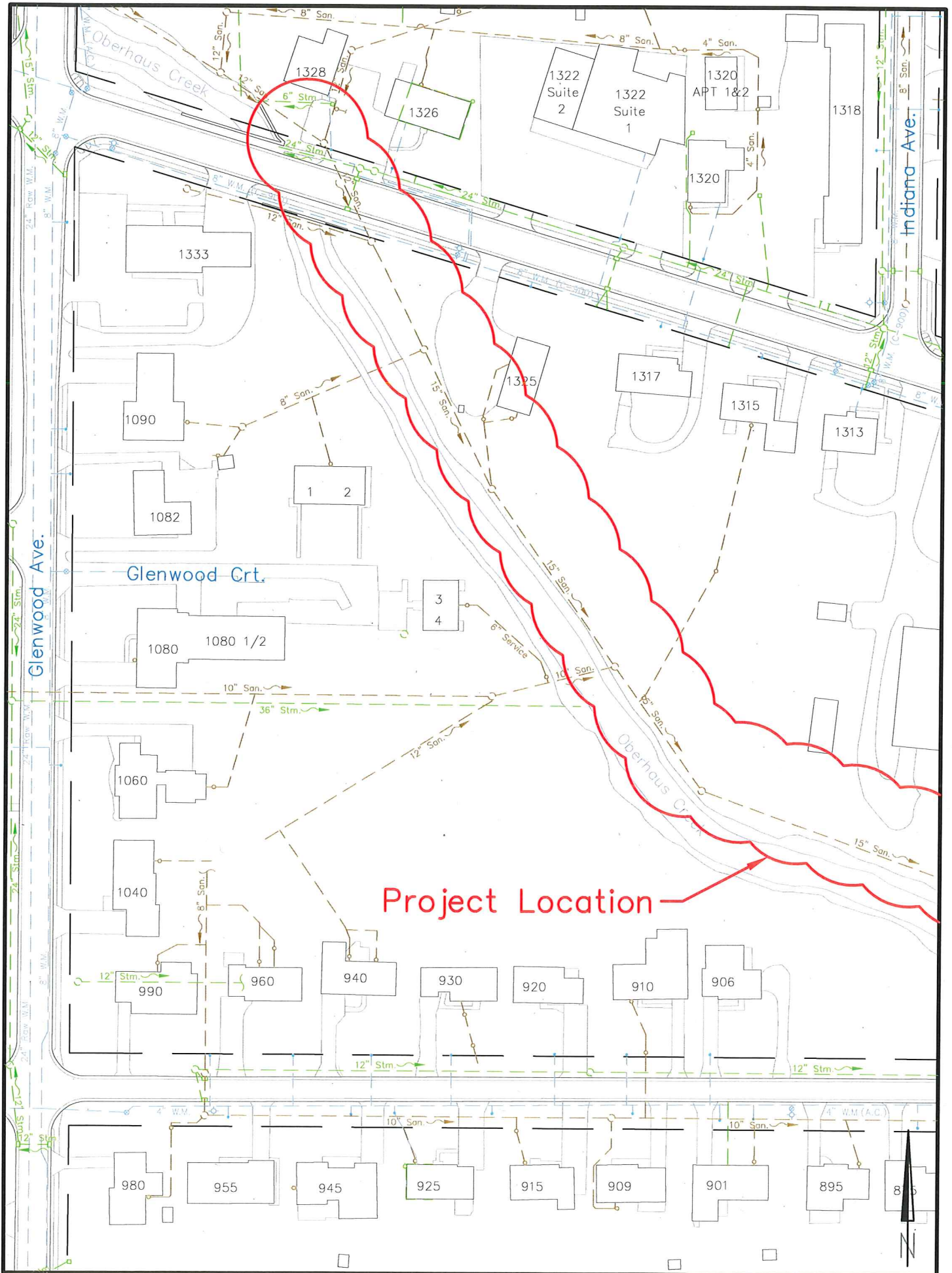
Monitoring data shall be submitted for each month when discharge occurs. When discharge occurs the monthly monitoring report shall be attached to the normal monthly report form.

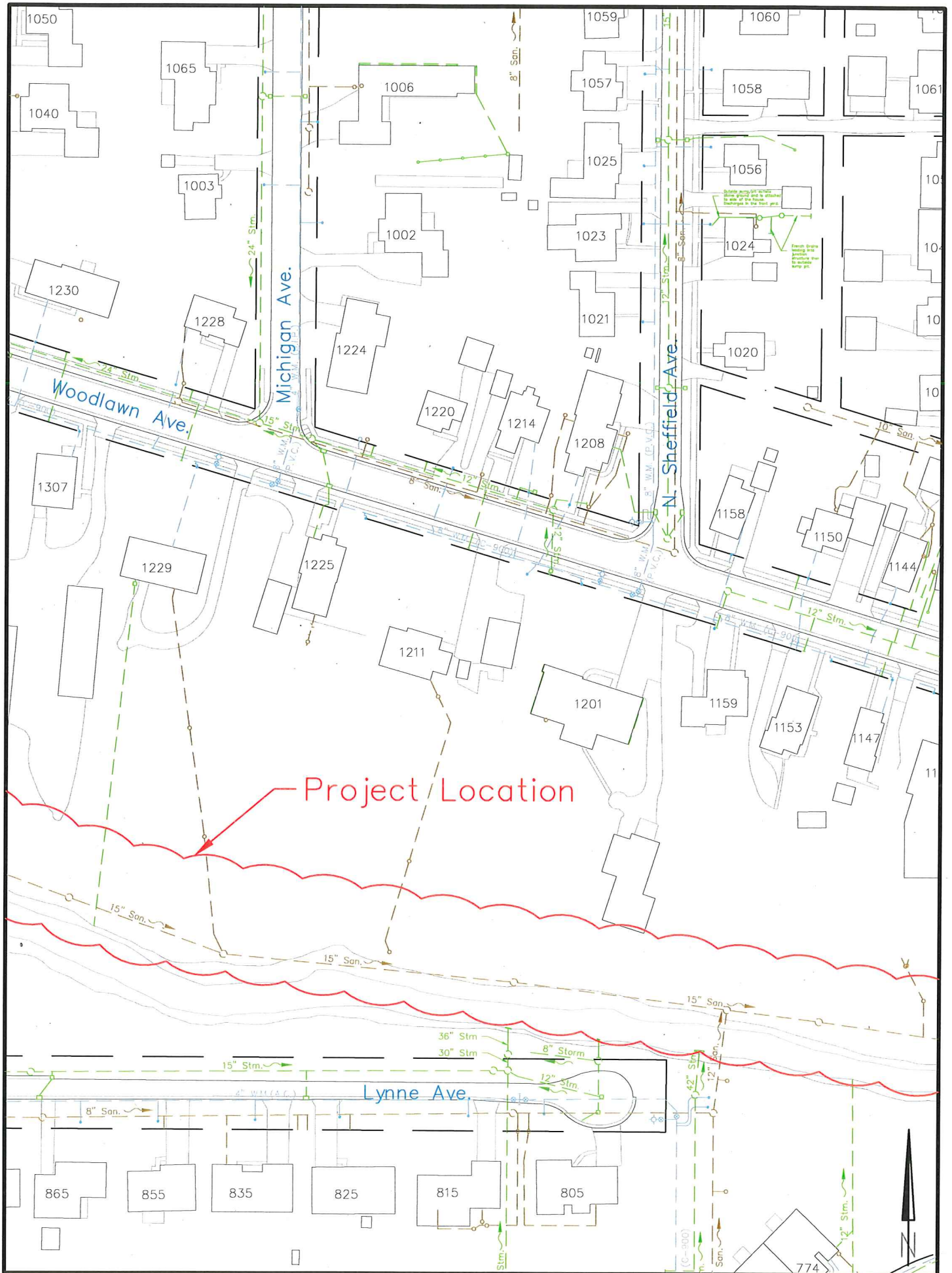


Location Map

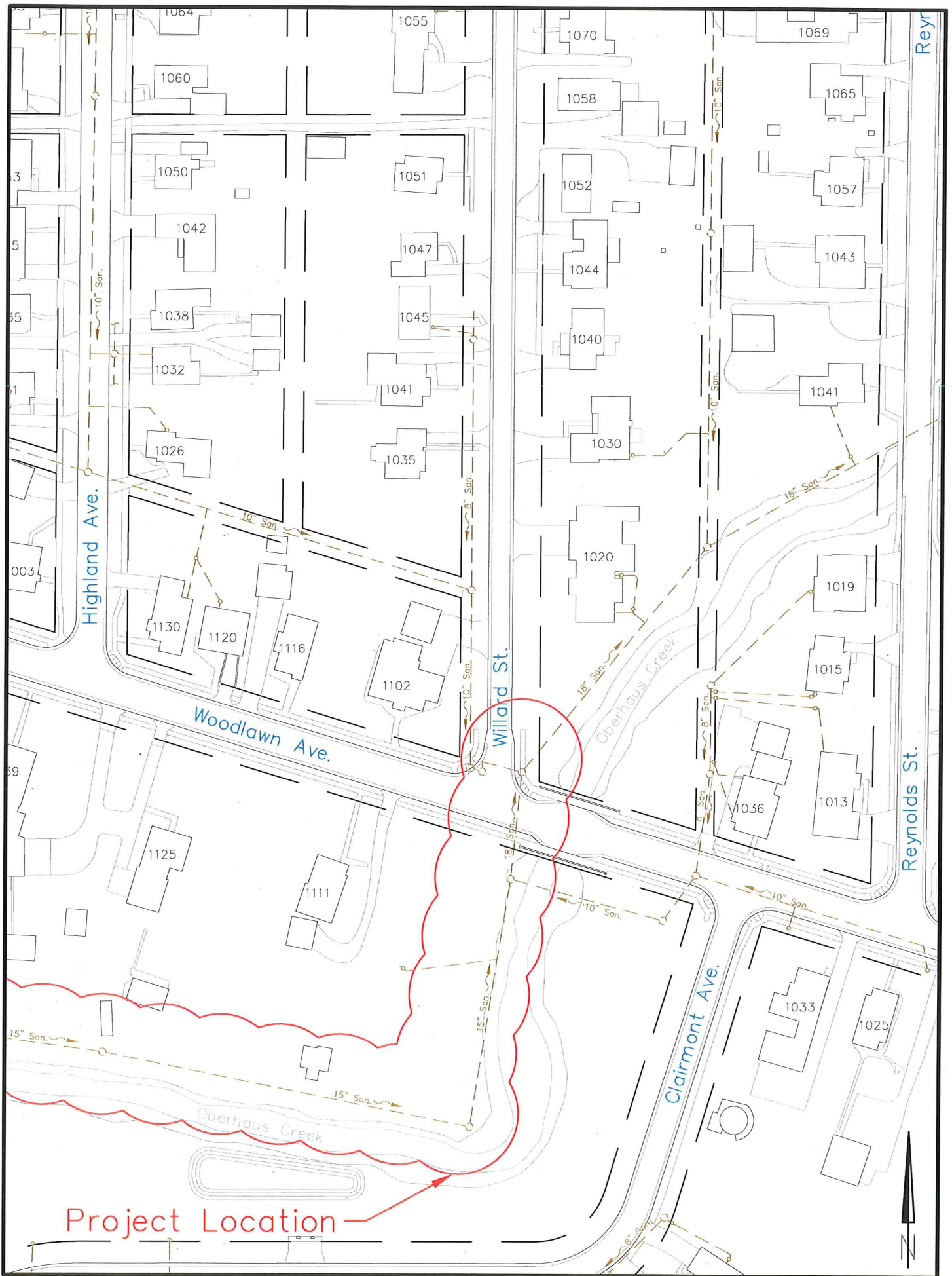
2019







Project Location



Ohio Public Works Commission
SUMMARY FORM

City of Napoleon Subdivision 069-53550 Henry County Date 08/12/2019

Infrastructure Component	Replacement Cost	Repair Cost	Total Units Center Line Miles	Units/Physical Condition					Critical	Unknown
				Excellent	Good	Fair	Poor			
Roads	99,792,000	9,979,200	56.00	13.00	13.00	14.00	9.00	7.00		
Bridges	2,400,000	200,000	Number of Bridges 4	3	0	0	0	1		
Culverts	200,000	50,000	Number of Culverts 1	0	1	0	0	0		
Water Supply Systems	30,000,000	10,000,000	Number of Facilities 3	1	1	1	0	0		
Water Distribution	28,000,000	10,000,000	Linear Feet (Thousands) 334.00	44.00	99.00	157.00	30.00	4.00	0	
Wastewater Systems	35,000,000	15,000,000	Number of Facilities 7	2	1	2	1	1		
Wastewater Collection	50,000,000	5,000,000	Linear Feet (Thousands) 249.00	42.00	60.00	135.00	6.00	6.00	0.00	
Stormwater Collection	30,000,000	3,000,000	Linear Feet (Thousands) 196.00	25.00	60.00	104.00	5.00	2.00	0.00	
Solid Waste Disposal	0	0	Capacity (Tons per Day) 0	0	0	0	0	0	0	
Totals	275,392,000	53,229,200								

Subdivision Socio-Economic Characteristics

Current		Census Information			
Population	8,299	Population Total	8,749	% LMI	42.50%
Total Households	3,858	Households	3,964	% Poverty	18.80%
% Unemployment	6%	MHI	\$44,741	% Unemploy	5.50%

Preparer's Name, Phone Number, email : Chad E. Luffis, P.E., P.S.; (419) 592-4010; cluffs@napoleonohio.com

**DISTRICT 5
CAPITAL IMPROVEMENT PROJECTS
QUESTIONNAIRE
ROUND 34**

Name of Applicant: City of Napoleon

Project Title: Oberhaus Interceptor I/I Reduction Project (L.T.C.P. Project No. 21B)

The following questions are to be answered for each application submitted for State Issue II SCIP, LTIP and Loan Projects. Please provide specific information using the best documentation available to you. Justification of your responses to these questions will be required if your project is selected for funding, so please provide correct and accurate responses. **Communities and Townships under 5,000 in population should complete the Small Government Criteria.**

1. What percentage of the project in repair A= __%, replacement B= 100%, expansion C= __%, and new D= __%? (Use dollar amounts of project to figure percentages and make sure the total equals one hundred (100) percent) A+B= 100 % C+D= __%

Repair/Replacement = Repair or Replacement of public facilities owned by the government (any subdivision of the state).

New/Expansion = Replacement of privately owned wells, septic systems, private water or wastewater systems, etc.

2. Give the physical condition rating:

Closed or Not Operating: The condition is unusable, dangerous and unsafe. The primary components have failed. The infrastructure is not functioning at all.

Critical: The condition is causing or contributing to a serious non-compliance situation and is threatening the intended design level of service. The infrastructure is functioning at seriously diminished capacity. Imminent failure is anticipated within 18 months. Repair and/or replacement is required to eliminate the critical condition and meet current design standards. **(For Road Projects structural repair items would represent a minimum of 25% of the total Project Cost.)**

Poor: The condition is substandard and requires repair/replacement in order to return to the intended level of service and comply with current design standards. Infrastructure contains a major deficiency and is functioning at a diminished capacity.

Fair: The condition is average, not good or poor. The infrastructure is still functioning as originally intended. Minor deficiencies exist requiring repair to continue to function as originally intended and/or to meet current design standards.

Good: The condition is safe and suitable to purpose. Infrastructure is functioning as originally intended, but requires minor repairs and/or upgrades to meet

current design standards.

Excellent: The condition is new, or requires no repair. Or, no supporting documentation has been submitted.

* **In order to receive points provide supporting documentation (e.g. photos, a narrative, maintenance history, or third party findings) to justify the rating.**

3. If the proposed project is not approved what category would best represent the impact on the general health and/or public safety?

ROADS

Extremely Critical: Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Major Access Road.*

Critical: Resurfacing, Restoration and Rehabilitation (3R) of a Major Access Road.*

Major: Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Minor Access Road.*

Moderate: Resurfacing, Restoration and Rehabilitation (3R) of a Minor Access Road.*

Minimal: Preventative Maintenance of a Major Access Road.

No Impact: Preventative Maintenance of a Minor Access Road.

Projects that have a variety of work will be scored in the LOWEST category of work contained in the Construction Estimate.

Road/Street Classifications:

Major Access Road: Roads or streets that have a dual function of providing access to adjacent properties and providing through or connecting service between other roads.

Minor Access Road: Roads or streets that primarily provide access to adjacent properties without through continuity, such as cul-de-sacs or loop roads or streets.

Preventative Maintenance: Non Structural Pavement work such as chip sealing, cape sealing, microsurfacing, crack sealing, etc.

*(3R) Resurfacing, Restoration and Rehabilitation – Improvement to existing roadways, which have as their main purpose, the restoration of the physical features (pavement, curb, guardrail, etc.) without altering the original design elements. **(Surface and Intermediate layer Mill and Fills, overlays with less than or equal to 3” of additional pavement, etc.)**

*(4R) Resurfacing, Restoration, Rehabilitation and Reconstruction – Much like 3R, except that 4R allows for the complete reconstruction of the roadway and alteration of certain design elements (i.e., lane widths, shoulder width, SSD, **overlays with greater than 3” of additional pavement, etc.**).

BRIDGES SUFFICIENCY RATING

- Extremely Critical: 0-25, or a General Appraisal rating of 3 or less.
- Critical: 27-50, or a General Appraisal rating of 4.
- Major: 51-65 or a General Appraisal rating of 5 or 6.
- Moderate: 66-80 or a General Appraisal rating of 7.
- Minimal: 81-100 or a General Appraisal rating of more than 7.
- No Impact: Bridge on a new roadway.

WASTEWATER TREATMENT PLANTS

- Extremely Critical: Environmental Protection Agency (EPA) orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
- Critical: Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: Replace deficient appurtenances. Update existing processes due to EPA recommendations.
- Moderate: Increase capacity to meet current needs or update processes to improve effluent quality.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER TREATMENT PLANT

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Improvements to meet Environmental Protection Agency (EPA) Safe Drinking Water Regulations and/or NPDES Orders.
- Major: Replace deficient appurtenances. Update existing processes due to EPA recommendations.
- Moderate: Increase capacity to meet current needs or update processes to improve water quality.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

COMBINED SEWER SEPARATIONS (May be construction of either new storm or sanitary sewer as long as the result is two separate sewer systems.)

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
- Critical: Separate, due to chronic backup or flooding in basements.
- Major: Separate, due to documented water quality impairment, or due to EPA recommendations.
- Moderate: Separate, due to specific development proposal within or upstream of the combined system area.
- Minimal: Separate, to conform to current design standards.
- No Impact: No positive health effect.

STORM SEWERS

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Chronic flooding (structure damage).
- Major: Inadequate capacity (land damage).
- Moderate: Inadequate capacity with no associated damage.
- Minimal: New/Expansion to meet current needs.
- No Impact: New/Expansion to meet future or project needs.

CULVERTS

- Extremely Critical: Structurally deficient or functionally obsolete. Deterioration has already caused a safety hazard to the public.
- Critical: Inadequate capacity with land damage and the existing or high probability of property damage.
- Major: Inadequate capacity (land damage).
- Moderate: Inadequate capacity with no associated damage.
- Minimal: New/Expansion to meet current needs.
- No Impact: New/Expansion to meet future or projected needs.

SANITARY SEWERS

- Extremely Critical: X EPA orders in the form of a consent decree, findings and orders or court order.
Health Department Construction Ban.
- Critical: Replace, due to chronic pipe failure, chronic backup or flooding in basements. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: Replace, due to inadequate capacity or infiltration, or due to EPA recommendations.
- Moderate: Rehabilitate to increase capacity to meet current needs or to reduce inflow and infiltration.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

SANITARY LIFT STATIONS AND FORCE MAINS

- Extremely Critical: Structurally deficient. Deterioration has already caused a safety/health hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Inadequate capacity with actual or a high probability of property damage. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: EPA recommendations, or, reduces a probable health and/or safety problem.
- Moderate: Rehabilitate to increase capacity to meet current needs.
- Minimal: New/Expansion to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER PUMP STATIONS

- Extremely Critical: Structurally deficient. Deterioration has already caused a safety hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Inadequate capacity with the inability to maintain pressure required for fire flows.
- Major: Replace due to inadequate capacity or EPA recommendations.
- Moderate: Rehabilitate to increase capacity to meet current needs.
- Minimal: New/Expansion to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER LINES/WATER TOWERS

- Extremely Critical: Solve low water pressure or excessive incidents of main breaks in project area.
- Critical: Replace, due to deficiency such as excessive corrosion, etc.
- Major: Replace undersized water lines as upgrading process.
- Moderate: Increase capacity to meet current needs.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

OTHER

- Extremely Critical: There is a present health and/or safety threat.
- Critical: The project will provide immediate health and/or safety benefit.
- Major: The project will reduce a probable health and/or safety problem.
- Moderate: The project will delay a health and/or safety problem.
- Minimal: A possible future health and/or safety problem mitigation.
- No Impact: No health and/or safety effect.

NOTE: Combined projects that can be rated in more than one subset may be rated in the other category at the discretion of the District 5 Executive Committee. In general, the majority of the cost or scope of the project shall determine the category under which the project will be scored.

(Submittals without supporting documentation will receive 0 Points for this question.)

Extremely Critical XX, Critical __, Major __, Moderate __, Minimal __, No Impact __.

Explain your answer.

The existing sanitary sewers are too small to handle the flows in this area due to inflow and infiltration in the system. Overflows have occurred in this area due to insufficient capacity. Sewer work is per the Findings & Orders documents (included in application).

(Additional narrative, charts and/or pictures should be attached to questionnaire)

4. Identify the amount of local funds that will be used on the project as a percentage of the total project cost.

A.) Amount of Local Funds = \$ 135,000.00

B.) Total Project Cost = \$ 410,000.00

RATIO OF LOCAL FUNDS DIVIDED by TOTAL PROJECT COSTS (A/B) = 33 %

Note: Local funds should be considered funds derived from the applicant budget or loans funds to be paid back through local budget, assessments, rates or tax revenues collected by the applicant.

5. Identify the amount of other funding sources to be used on the project, excluding State Issue II or LTIP Funds, as a percentage of the total project cost.

Grants ___% Gifts ___%, Contributions ___%

Other ___% (explain) _____, Total ___%

Note: Grant funds and other revenues not contributed or collected through taxes by the applicant should be considered other funds. The Scope of Work for each Funding Source must be the same.

6. Total Amount of SCIP and Loan Funding Requested- An Applicant can request a grant per the categories below for points as indicated on the Priority Rating Sheet. If the Applicant is including a loan request equal to, but not exceeding 50% of the OPWC funding amounts listed below, there will be no point penalty. If loan funds requested are more than 50%, points as listed in the Priority Rating Sheet will apply.

_____	\$500,001 or More
_____	\$400,001-\$500,000
_____	\$325,001-\$400,000
_____	\$275,001-\$325,000
<u> X </u>	\$175,001-\$275,000
_____	\$175,000 or Less

There are times when the District spends all of the grant money and has loan money remaining. When this happens, the district makes a loan offer in the amount of the requested grant to the communities that were not funded. The offers are made in the order of scoring. We need to know if you are not successful in obtaining grant dollars for your project if you would be interested in loan money:

YES X NO _____

(This will only be considered if you are not funded with grant money and there is remaining loan money.) **Please note: if you answer “no” you will not be contacted, only if you answer “yes” will an offer be made in the event that there is loan money remaining.**

7. If the proposed project is funded, will its completion directly result in the creation of permanent full-time equivalent (FTE) jobs (FTE jobs shall be defined as 35 hours/week)? Yes ___ No X . If yes, how many jobs within eighteen months? ___ Will the completed project retain jobs that would otherwise

be permanently lost? Yes ___ No ___. If yes, how many jobs _____ **will be created/retrained** within 18 months **following the completion of the improvements?**

(Supporting documentation in the form of letter from affected industrial or commercial enterprises that specify full time equivalent jobs that will be retained or created directly by the installation or improvement of Public infrastructure. Additional items such as; 1) newspaper articles or other media news accounts, 2) public meeting minutes, and/or 3) a letter from the County Economic Development Director or State of Ohio Economic Development Professional that alludes to the requirement for the infrastructure improvement to support the business. Submittals without supporting documentation will receive 0 points for this question.)

8. What is the total number of existing users that will directly benefit from the proposed project if completed? 1150 users are connected to the Oberhaus Interceptor. (Use households served, traffic counts, etc. and explain the basis by which you arrived at your number.)
9. Is subdivision's population less than 5,000 Yes ___ No X
If yes, continue. You may want to design your project per Small Government Project Evaluation Criteria, released for the current OPWC Round to assist in evaluating your project for potential Small Government Funding. The Small Government Criteria is available on the OPWC website at <http://www.pwc.state.oh.us/Meth.SG.PDF> If No, skip to Question 11.

10. **OHIO PUBLIC WORKS COMMISSION SMALL GOVERNMENT PROGRAM GUIDELINES**

All projects that are sponsored by a subdivision with a population of 5,000 or less, and not earning enough points for District Funding from SCIP or LTIP Funds, are then rated using the Small Government Program Rating Criteria for the corresponding funding round. In order to be rated the entity must submit the Small Government Supplement and their required budgets with their application. **Only infrastructure that is village- or township- owned is eligible for assistance.** The following policies have been adopted by the Small Government Commission:

- District Integrating Committees may submit up to seven (7) applications for consideration by the Commission. All 7 must be ranked, however, only the top five (5) will be scored. The remaining two (2) will be held as contingency projects should an application be withdrawn.
- Grants are limited to \$500,000. Any assistance above that amount must be in the form of a loan.
- Grants for new or expanded infrastructure cannot exceed 50% of the project estimate.
- The Commission may deny funding for water and sewer systems that are deemed to be more cost-effective if regionalized.

- If a water or sewer project is determined to be affordable, the project will be offered a loan rather than a grant. Pay special attention to the **Water & Wastewater Affordability Supplemental and the Small Government Water & Wastewater Affordability Calculation Worksheet**. Both are available on the Small Government Program Tab at <http://www.pwc.state.oh.us/SmallGovernment.html>

- Should there be more projects that meet the “annual score” than there is funding, the tie breaker is those projects which scored highest under Health & Safety, with the second tie breaker being Condition. If multiple projects have equivalent Health & Safety and Condition scores they are arranged according to the amount of assistance from low to high. Once the funded projects are announced, “contingency projects” may be funded from project under-runs by continuing down the approved project list.

- Supplemental assistance is not provided to projects previously funded by the Commission.

- Applicants have 30 days from receipt of application by OPWC without exception to provide additional documentation to make the application more competitive under the Small Government criteria. Applications will be scored after the 30-day period has expired. The applicants for each District's two (2) contingency projects will have the same 30-day period to submit supplemental information but these applications will not be scored unless necessary to do so. **It is each applicant's responsibility for determining the need for supplemental material. The applicant will not be asked for or notified of missing information unless the Commission has changed the project type and it affects the documentation required. Important information may include, but is not limited to: age of infrastructure, traffic counts or utility users, median income information, user rates ordinances, and the Auditor's Certificate of Estimated Revenues or documentation from the Auditor of State that subdivision is in a state of fiscal emergency.**

If you desire to have your Round 31 project considered for Small Government Funding please download the Small Government Evaluation Criteria applicable to Round 31 by accessing the OPWC Website at <http://www.pwc.state.oh.us/Meth.SG.PDF>. Please complete the Small Government Evaluation Criteria and attach all required supporting documentation and attach it to the District 5 Questionnaire for Round 31.

11. MANDATORY INFORMATION, DISTRICT 5, DISCRETIONARY RANKING POINTS

List all specific user fees: Amount or
ROAD & BRIDGE PROJECTS: (OHIO REVISED CODE) Percentage

Permissive license fee	4504.02 or 4504.06	_____
	4504.15 or 4504.17	_____ 50%
	4504.16 or 4504.171	_____
	4504.172	_____ 100%
	4504.18	_____
Special property taxes	5555.48	_____
	5555.49	_____
Municipal Income Tax	_____ 1.5%	

County Sales Tax 7.25%

Others _____

(DO NOT INCLUDE SCHOOL TAXES)

SPECIFIC PROJECT AREA INFORMATION.

Median household income \$44,741

Monthly utility rate: Water \$55.35/6 Units

Sewer \$72.03/6 Units

Refuse = \$18.00

Other Electric = 89.55/700 kWh

List any special user fees or assessment (be specific)

POLITICAL SUBDIVISION= City of Napoleon

COUNTY= Henry

DISCRETIONARY POINTS (BY DISTRICT COMMITTEE ONLY)= _____

(25-20-15)

Date: 8/27/19

Signature: 

Title: City Manager

Address: 255 W. Riverview Avenue, P.O. Box 151, Napoleon, Ohio 43545

Phone: 419-592-4010

FAX: 419-599-8393

Email: jmazur@napoleonohio.com