



SEPTEMBER 6, 2018

VILLAGE OF ANTWERP  
WATER IMPROVEMENT PROJECT  
OHIO PUBLIC WORKS COMMISSION  
APPLICATION RD33

POGGEMEYER DESIGN GROUP, INC.  
101 CLINTON STREET, STE. 1300  
Defiance, OH 43512





State of Ohio  
**Public Works Commission**  
*Application for Financial Assistance*

IMPORTANT: Please consult "Instructions for Financial Assistance for Capital Infrastructure Projects" for guidance in completion of this form.

**Applicant**

Applicant: Village of Antwerp Subdivision Code: 125-02204

District Number: 5 County: Paulding Date: 08/15/2018

Contact: Sara Keeran, Village Administrator Phone: (419) 258-2371  
(The individual who will be available during business hours and who can best answer or coordinate the response to questions)

Email: antadmin@frontier.com FAX: (419) 258-1564

Project Name: Canal Road & Main Street Watermain Replacements Zip Code: 45813

**Project**

Subdivision Type <small>(Select one)</small>	Project Type <small>(Select single largest component by \$)</small>	Funding Request Summary <small>(Automatically populates from page 2)</small>
<input type="checkbox"/> 1. County	<input type="checkbox"/> 1. Road	Total Project Cost: <u>305,000.00</u>
<input type="checkbox"/> 2. City	<input type="checkbox"/> 2. Bridge/Culvert	1. Grant: <u>152,500.00</u>
<input type="checkbox"/> 3. Township	<input checked="" type="checkbox"/> 3. Water Supply	2. Loan: <u>152,500.00</u>
<input checked="" type="checkbox"/> 4. Village	<input type="checkbox"/> 4. Wastewater	3. Loan Assistance/ Credit Enhancement: <u>0.00</u>
<input type="checkbox"/> 5. Water (6119 Water District)	<input type="checkbox"/> 5. Solid Waste	Funding Requested: <u>305,000.00</u>
	<input type="checkbox"/> 6. Stormwater	

**District Recommendation** (To be completed by the District Committee)

Funding Type Requested <small>(Select one)</small>	SCIP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<input type="checkbox"/> State Capital Improvement Program	RLP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<input type="checkbox"/> Local Transportation Improvement Program	Grant:	Amount: _____ .00
<input type="checkbox"/> Revolving Loan Program	LTIP:	Amount: _____ .00
<input type="checkbox"/> Small Government Program	Loan Assistance / Credit Enhancement:	Amount: _____ .00
District SG Priority: _____		

**For OPWC Use Only**

STATUS	Grant Amount: _____ .00	Loan Type: <input type="checkbox"/> SCIP <input type="checkbox"/> RLP
Project Number: _____	Loan Amount: _____ .00	Date Construction End: _____
	Total Funding: _____ .00	Date Maturity: _____
Release Date: _____	Local Participation: _____ %	Rate: _____ %
OPWC Approval: _____	OPWC Participation: _____ %	Term: _____ Yrs

**1.0 Project Financial Information** (All Costs Rounded to Nearest Dollar)

**1.1 Project Estimated Costs**

Engineering Services

Preliminary Design:	<u>7,750</u> .00		
Final Design:	<u>10,250</u> .00		
Construction Administration:	<u>8,800</u> .00		
Total Engineering Services:	a.) <u>26,800</u> .00	<u>11</u> %	
Right of Way:	b.) <u>0</u> .00		
Construction:	c.) <u>251,800</u> .00		
Materials Purchased Directly:	d.) <u>0</u> .00		
Permits, Advertising, Legal:	e.) <u>1,220</u> .00		
Construction Contingencies:	f.) <u>25,180</u> .00	<u>10</u> %	
Total Estimated Costs:	g.) <u>305,000</u> .00		

**1.2 Project Financial Resources**

Local Resources

Local In-Kind or Force Account:	a.) _____ .00		
Local Revenues:	b.) _____ .00		
Other Public Revenues:	c.) _____ .00		
ODOT / FHWA PID: _____	d.) _____ .00		
USDA Rural Development:	e.) _____ .00		
OEPA / OWDA:	f.) _____ .00		
CDBG:	g.) _____ .00		
<input type="checkbox"/> County Entitlement or Community Dev. "Formula"			
<input type="checkbox"/> Department of Development			
Other: _____	h.) _____ .00		
Subtotal Local Resources:	i.) <u>0</u> .00	<u>0</u> %	

OPWC Funds (Check all requested and enter Amount)

Grant: <u>50</u> % of OPWC Funds	j.) <u>152,500</u> .00		
Loan: <u>50</u> % of OPWC Funds	k.) <u>152,500</u> .00		
Loan Assistance / Credit Enhancement:	l.) <u>0</u> .00		
Subtotal OPWC Funds:	m.) <u>305,000</u> .00	<u>100</u> %	
Total Financial Resources:	n.) <u>305,000</u> .00	<u>100</u> %	

### 1.3 Availability of Local Funds

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local resources required for the project will be available on or before the earliest date listed in the Project Schedule section. The OPWC Agreement will not be released until the local resources are certified. Failure to meet local share may result in termination of the project. Applicant needs to provide written confirmation for funds coming from other funding sources.

### 2.0 Repair / Replacement or New / Expansion

2.1 Total Portion of Project Repair / Replacement:	<u>305,000</u> .00	<u>100</u> %	A Farmland Preservation letter is required for any impact to farmland
2.2 Total Portion of Project New / Expansion:	<u>0</u> .00	<u>0</u> %	
2.3 Total Project:	<u>305,000</u> .00	<u>100</u> %	

### 3.0 Project Schedule

3.1 Engineering / Design / Right of Way	Begin Date: <u>01/01/2019</u>	End Date: <u>04/30/2019</u>
3.2 Bid Advertisement and Award	Begin Date: <u>05/01/2019</u>	End Date: <u>06/29/2019</u>
3.3 Construction	Begin Date: <u>08/30/2019</u>	End Date: <u>12/01/2019</u>

Construction cannot begin prior to release of executed Project Agreement and issuance of Notice to Proceed.

Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by project official of record and approved by the Commission once the Project Agreement has been executed.

### 4.0 Project Information

If the project is multi-jurisdictional, information must be consolidated in this section.

#### 4.1 Useful Life / Cost Estimate / Age of Infrastructure

Project Useful Life: 40 Years      Age: \_\_\_\_\_ (Year built or year of last major improvement)

*Attach Registered Professional Engineer's statement, with seal or stamp and signature confirming the project's useful life indicated above and detailed cost estimate.*

#### 4.2 User Information

Road or Bridge:      Current ADT \_\_\_\_\_ Year \_\_\_\_\_      Projected ADT \_\_\_\_\_ Year \_\_\_\_\_

Water / Wastewater: Based on monthly usage of 4,500 gallons per household; attach current ordinances.

Residential Water Rate      Current \$ 28.07      Proposed \$ 28.91

Number of households served: 807

Residential Wastewater Rate      Current \$ 15.01      Proposed \$ 15.46

Number of households served: 807

Stormwater: Number of households served: 0

## 4.3 Project Description

- A: SPECIFIC LOCATION (Supply a written location description that includes the project termini; a map does not replace this requirement.) 500 character limit.

The proposed project is located on Canal (from Erie St. to Waterworks Dr.) Road and Main (from Canal Rd. to Dagget St.) Street in the Village of Antwerp. The waterline services approximately seven (7) commercial and 24 residential properties. The proposed project will include replacement of severely deteriorated waterlines (~1,925 l.f.) along with hydrants, valves, and new services/meters. This improvement will alleviate the disruption of water service (~ 24 breaks in eight plus years).

- B: PROJECT COMPONENTS (Describe the specific work to be completed; the engineer's estimate does not replace this requirement) 1,000 character limit.

8" Waterline, under pavement	1,924 l.f.
Tap-in sleeve/Valve w/box	2 each
Misc. Fittings	1 lump sum
6"/8" Plug	5 each
Fire Hydrant Assembly	5 each
Remove FH	3 each
Remove valve & box	1 each
8" Valve w/box	3 each
45° Bend	4 each
Residential Water Services	24 each
Commercial Water Services	7 each
Pavement Repair (Asphalt)	1,070 s.y.
Seeding/Mulching	1 lump sum
Video	1 lump sum

- C: PHYSICAL DIMENSIONS (Describe the physical dimensions of the existing facility and the proposed facility. Include length, width, quantity and sizes, mgd capacity, etc in detail.) 500 character limit.

The Project will entail replacement of 8" Waterline on Canal Street, approximately 1,296 l.f. along with 2 fire hydrants, valves and 18 new services/meters and replacement of 8" Waterline on Main Street, approximately 628 l.f. along with 3 fire hydrants, valves and 13 new services/meters.

## 5.0 Project Officials

Changes in Project Officials must be submitted in writing from an officer of record.

### 5.1 Chief Executive Officer (Person authorized in legislation to sign project agreements)

Name: Ray DeLong  
Title: Mayor  
Address: 118 North Main Street  
PO Box 1046  
City: Antwerp State: OH Zip: 45813  
Phone: (419) 258-7422  
FAX: (419) 258-1564  
E-Mail: \_\_\_\_\_

### 5.2 Chief Financial Officer (Can not also serve as CEO)

Name: Aimee Lichty  
Title: Fiscal Officer  
Address: 118 North Main Street  
PO Box 1046  
City: Antwerp State: OH Zip: 45813  
Phone: (419) 258-2241  
FAX: (419) 258-1564  
E-Mail: antclktr@mchsi.com

### 5.3 Project Manager

Name: Sara Keeran  
Title: Village Administrator  
Address: 118 North Main Street  
PO Box 1046  
City: Antwerp State: OH Zip: 45813  
Phone: (419) 258-2371  
FAX: (419) 258-1564  
E-Mail: antadmin@frontier.com

## 6.0 Attachments / Completeness review

Confirm in the boxes below that each item listed is attached (Check each box)

- A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- A certification signed by the applicant's chief financial officer stating the amount of all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's seal or stamp and signature.
- A cooperative agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- Farmland Preservation Review - The Governor's Executive Order 98-IV, "Ohio Farmland Protection Policy" requires the Commission to establish guidelines on how it will take protection of productive agricultural and grazing land into account in its funding decision making process. Please include a Farm Land Preservation statement for projects that have an impact on farmland.
- Capital Improvements Report, CIR Required by O.R.C. Chapter 164.06 on standard form.
- Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your local District Public Works Integrating Committee.

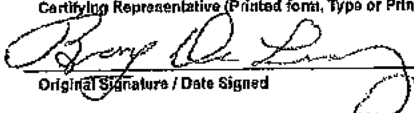
## 7.0 Applicant Certification

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

**Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.**

Ray DeLong, Mayor

\_\_\_\_\_  
Certifying Representative (Printed form, Type or Print Name and Title)

  
\_\_\_\_\_  
Original Signature / Date Signed

*SUPPORTING DOCUMENTATION*



## Village of Antwerp

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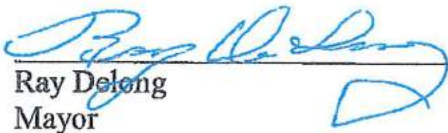
*OFFICE OF THE MAYOR*  
*Ray Delong*

PO Box 1046  
Antwerp, OH 45813  
Phone: 419-258-7422  
Fax: 419-258-1337  
e-mail: [antmayor@mchsi.com](mailto:antmayor@mchsi.com)

VILLAGE CERTIFICATION FOR AGE OF INFRASTRUCTURE  
IMPROVEMENTS

Please accept this letter as certification that no watermain improvements have taken place on the section of E. Canal and S. Main Street watermains since my term as Mayor of the Village of Antwerp began on January 1, 2004.

Please note the S. Main Street section proposed to be replaced is the original cast iron water line from the 1930s and the E. Canal section is the original cast iron water line from the 1940s. Neither of these sections of waterline have been replaced since they were installed.

  
Ray Delong  
Mayor

**Proposed Water Rate Increase for 2019-2020-2021**

**3% Increase on Consumption**

	Residential	Apartments	In Town Businesses	Monthlys
17-Jan	4,540,830	721,809	667,873	17-Jan 637,507
17-Apr	4,507,769	661,183	512,307	17-Feb 814,203
17-Jul	5,334,354	648,305	557,164	17-Mar 558,740
17-Oct	5,469,766	759,292	607,170	17-Apr 570,820
<b>Total Consumption</b>	<b>19,852,719</b>	<b>2,790,589</b>	<b>2,344,514</b>	17-May 484,590
				17-Jun 587,390
				17-Jul 545,130
17-Jan	363,938	571,910	12,950	17-Aug 547,650
17-Apr	363,754	466,316	6,300	17-Sep 779,732
17-Jul	382,171	529,086	5,220	17-Oct 477,083
17-Oct	388,156	540,062	5,590	17-Nov 532,218
<b>Total Consumption</b>	<b>1,498,019</b>	<b>2,107,374</b>	<b>30,060</b>	17-Dec 577,311
				<b>Total</b> <b>7,112,374</b>

	Current Rate	2019	Rate	2020	Rate	2021	Rate
Residential	\$	5.31	\$ 3,162.54	\$ 5.47	\$ 3,257.83	\$ 5.63	\$ 3,454.37
Apartment	\$	5.31	\$ 444.54	\$ 5.47	\$ 457.94	\$ 5.63	\$ 485.56
In Town Business	\$	5.31	\$ 373.48	\$ 5.47	\$ 384.73	\$ 5.63	\$ 407.95
Monthly's	\$	5.31	\$ 1,133.00	\$ 5.47	\$ 1,167.14	\$ 5.63	\$ 1,237.55
Out of Town Business	\$	9.30	\$ 417.95	\$ 9.57	\$ 430.53	\$ 9.87	\$ 443.56
Homestead - In	\$	3.14	\$ 198.51	\$ 3.23	\$ 204.20	\$ 3.33	\$ 210.53
Homestead - Out	\$	5.50	\$ 4.96	\$ 5.67	\$ 5.11	\$ 5.84	\$ 5.27
	\$		\$ 5,734.98	\$	\$ 5,907.49	\$	\$ 6,244.79

\*These estimates are based on consumption from 2017. Water consumption from this year was not used to calculate as this is only a partial year.

\*\*These estimates do NOT include leak adjustments.

## Lee Rausch

---

**From:** Sara Keeran <antadmin@frontier.com>  
**Sent:** Wednesday, August 29, 2018 12:26 PM  
**To:** Lee Rausch  
**Subject:** OPWC  
**Attachments:** OPWC Attachments.pdf

Lee:

I have attached the age of infrastructure along with the proposed rate increase. The mayor's e-mail is antmayor@mchsi.com. We serve 807 water customers, and we have had 24 breaks between the S. Main and E. Canal lines over the past 8 years. I will get the page that the Mayor needs to sign to you tomorrow. As far as need statement, I think it's quite obvious with the age of the lines and the number of breaks we have had that the cost in repair, sub-contractors and overtime required let along the inconvenience of downtown businesses and residents being without water should justify the request.

Let me know what else you need.

Sara Keeran, Village Administrator  
Village of Antwerp  
Box 1046 Antwerp, OH 45813  
Ph: 419-258-2371  
Fax: 419-258-1564  
Cell: 419-506-0205

2019 Watermain Improvements, Antwerp, Ohio



Hydrant to Replace



Multiple Patches from Repairs

Canal Road



Canal Road

2019 Watermain Improvements, Antwerp, Ohio



Hydrant to Replace



Multiple Patches from Repairs

Main Street

*CERTIFICATION OF LOCAL FUNDS*

**CHIEF FINANCIAL OFFICER'S CERTIFICATION OF LOCAL FUNDS**

**LOAN REPAYMENT LETTER**

September 1, 2018

I, Aimee Lichty, Fiscal Officer, of the Village of Antwerp, Ohio, hereby certify that the Village of Antwerp will collect the amount of \$152,500.00 in the Water Capital Improvement Fund and that this amount will be used to repay the Ohio Public Works Commission SCIP or RLP loan requested for the S. Main Street and E. Canal Waterline Replacement Project over a twenty (20) year term.



Aimee Lichty, Fiscal Officer

**ENGINEER'S ESTIMATE**



ENGINEER'S ESTIMATE ----- \$ 305,000

8/16/2018

Canal Rd. Waterline OPWC (Erie St. to Waterworks Dr.)

Main St. Waterline OPWC (Canal Rd. to Daggett St.)

PDG Proposal No. 149000 00093

August 15, 2018

Poggemeyer Design Group, Inc.

101 Clinton St., Ste. 1300

Defiance, Ohio 43512



Item Description	Estimated Quantity		Unit Price	Total Cost
<b>Canal Road</b>				
1 8" Waterline, under pavement	1,296	l.f.	\$ 65.00	\$ 84,240.00
2 10" x 8" TS&V	1	each	\$ 2,500.00	\$ 2,500.00
3 45° Bend	4	each	\$ 400.00	\$ 1,600.00
4 Remove GV & Box	1	each	\$ 750.00	\$ 750.00
5 New 8" Valve w/ Box	1	each	\$ 1,900.00	\$ 1,900.00
6 6" Plug	3	each	\$ 200.00	\$ 600.00
7 Misc. Fittings	1	ls	\$ 1,500.00	\$ 1,500.00
8 Replace Residential Water Services	18	each	\$ 1,200.00	\$ 21,600.00
9 Fire Hydrant Assembly	2	each	\$ 5,500.00	\$ 11,000.00
10 Remove Existing Fire Hydrant	1	each	\$ 400.00	\$ 400.00
11 Asphalt Pavement Repair	720	s.y.	\$35.00	\$25,200.00
12 Seeding and Mulching	1	lump sum	\$2,000.00	\$2,000.00
13 Video	1	lump sum	\$ 1,125.00	\$ 1,125.00
<b>Main Street</b>				
1 8" Waterline, under pavement	628	l.f.	\$ 65.00	\$ 40,820.00
2 8" x 8" TS&V	1	each	\$ 740.00	\$ 740.00
3 New 8" Valve w/ Box	2	each	\$ 1,800.00	\$ 3,600.00
4 8" Plug	2	each	\$ 200.00	\$ 400.00
5 Misc. Fittings	1	ls	\$ 1,500.00	\$ 1,500.00
6 Replace Residential Water Services	6	each	\$ 1,200.00	\$ 7,200.00
7 Replace Commercial Water Services	7	each	\$ 1,200.00	\$ 8,400.00
8 Fire Hydrant Assembly	3	each	\$ 5,500.00	\$ 16,500.00
9 Remove Existing Fire Hydrant	2	each	\$ 300.00	\$ 600.00
10 Asphalt Pavement Repair	350	s.y.	\$45.00	\$15,750.00
11 Seeding and Mulching	1	lump sum	\$750.00	\$750.00
12 Video	1	lump sum	\$ 1,125.00	\$ 1,125.00
		Sub-Total		\$ 251,800.00
10% Contingency				\$ 25,180.00
		TOTAL		\$ 276,980.00

**Ohio EPA PTI**

Canal Road only

\$ 1,220.00

**ENGINEERING**

Surveying	\$3,300.00
Preliminary Design	\$4,450.00
Final Design	\$7,750.00
Bidding	\$2,500.00
Construction Administration	\$4,800.00
Construction Observation (Part Time)	\$4,000.00
TOTAL	\$26,800.00

**TOTAL ESTIMATED COST**

\$ 305,000.00

I hereby state that this is an accurate estimate of probable cost and that the useful life of the project is at least 30 years.

The cost estimate is based on using Federal Davis-Bacon Wage Rates.

Prepared By:

POGGEMEYER DESIGN GROUP, INC.

101 Clinton Street, Ste. 1300

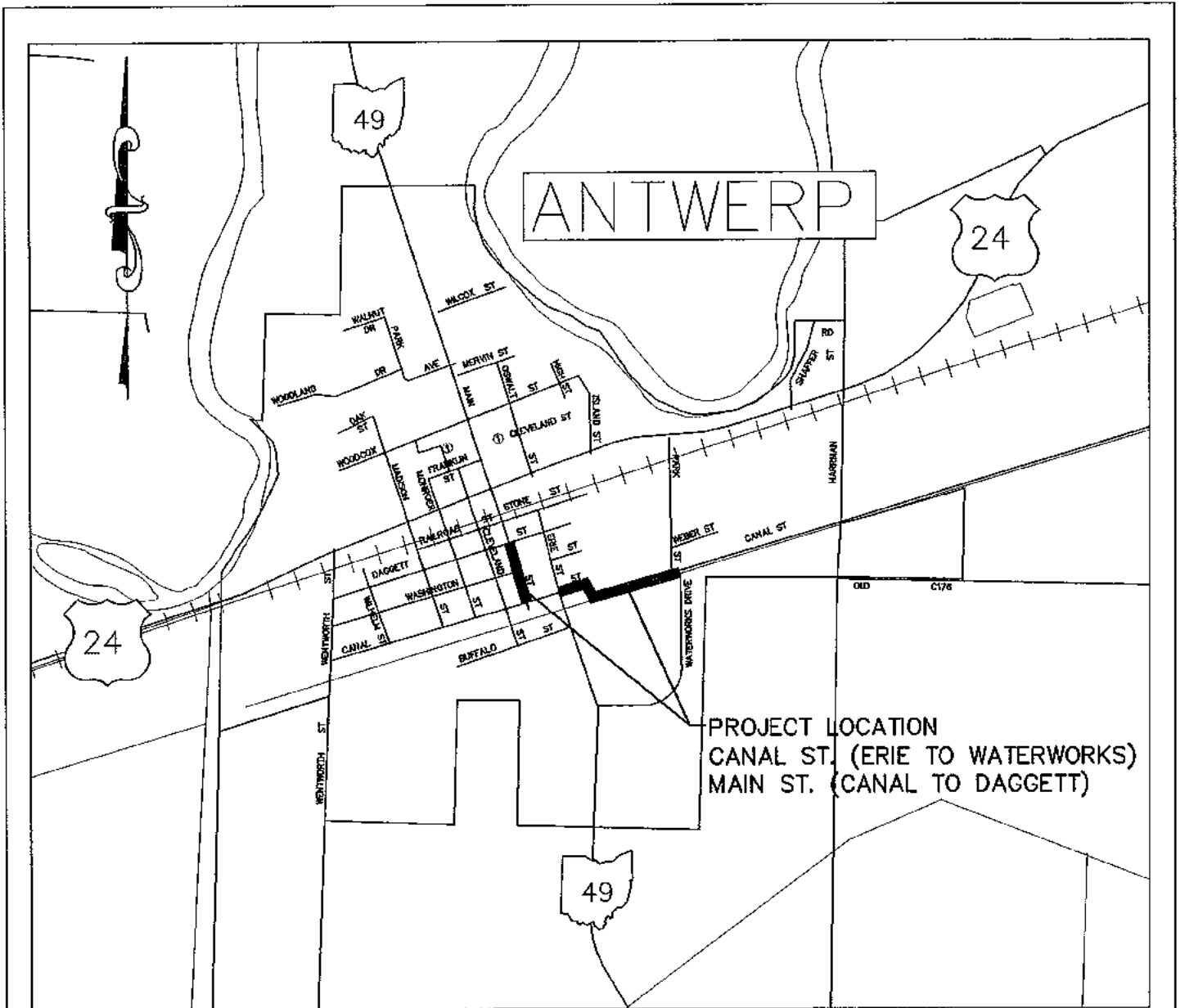
Defiance, OH 43512

Lee P. Rausch, P.E., Senior Project Manager

Date



*LOCATION MAP*



LOCATION MAP  
NOT TO SCALE



**POGGEMEYER DESIGN GROUP, INC.**  
ARCHITECTS + ENGINEERS + PLANNERS  
101 CLINTON STREET, SUITE 1300 DEFIANCE, OHIO 43512

DRAWN BY : NTH  
CHECKED BY: LPR

DATE: 8-16-18  
JOB NO. 149000-00093

## RESOLUTION

**RESOLUTION NO. 2018-07**

**A RESOLUTION AUTHORIZING THE MAYOR OF THE VILLAGE OF ANTWERP, OHIO, TO PREPARE AND SUBMIT AN APPLICATION TO PARTICIPATE IN THE OHIO PUBLIC WORKS COMMISSION STATE CAPITAL IMPROVEMENT PROGRAM AND TO EXECUTE CONTRACTS AS REQUIRED TO OBTAIN FINANCIAL ASSISTANCE FOR S. MAIN STREET AND E. CANAL STREET WATERLINE IMPROVEMENTS; AND DECLARING THE SAME AN EMERGENCY**

**WHEREAS**, the State Capital Improvement Program provides financial assistance to political subdivisions for capital improvements to public infrastructure; and

**WHEREAS**, the Village of Antwerp is planning to make capital improvements to the S. Main Street and E. Canal Street Waterlines; and

**WHEREAS**, the infrastructure improvement herein above described is considered to be a priority need for the community and is a qualified project under the Ohio Public Works Commission ("OPWC") programs.

**NOW, THEREFORE, BE IT RESOLVED** by the Council of the Village of Antwerp, County of Paulding, State of Ohio:


**Section 1.** The Mayor of the Village of Antwerp, Ohio, is hereby authorized to apply to the OPWC for funds to be used in capital improvements to the S. Main Street and E. Canal Street Waterlines.


**Section 2.** The Mayor of the Village of Antwerp, Ohio, is further authorized to enter into any agreement as may be necessary and appropriate for obtaining this financial assistance.

**Section 3.** It is found and determined that all formal actions of the Council of the Village of Antwerp, Ohio, concerning or relating to the passage of this Resolution were adopted in an open meeting of the Council, and that all deliberations of the Council and any of its committees that resulted in such formal action, were in meetings open to the public, in compliance with all legal requirements.

**Section 4.** This Resolution is hereby declared to be an emergency measure necessary for the immediate preservation of the public health, safety and welfare of the Village and for the further reason that the Village needs to make improvements to the S. Main Street and E. Canal Street Waterlines for the well-being of the residents and this Resolution shall be in full force and effect immediately after its passage; otherwise, it shall take effect and be in full force after the earliest period allowed by law.

Passed: Aug 20, 2018.

  
\_\_\_\_\_  
Ray DeLong, Mayor  
Village of Antwerp

Attest:  
  
\_\_\_\_\_  
Aimee Lichty, Fiscal Officer

DISTRICT 5

PRIORITY RATING SHEET

District 5  
 Capital Improvement Project  
 Priority Rating Sheet, Round 33

Revised 04/17/18

COUNTY: Paulding		PROJECT NUMBER														
PROJECT: Canal/Main Watermain Replacements		149000-00093														
EST. COST: \$305,000																
No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS					"A"x"B"	Priority Factors						No.	
			0	2	4	6	8		10	0	2	4	6	8		10
1	1	(Repair or Replace) vs. (New or Expansion)	0	2	4	6	8	10	10	0% + Repair or Replacement	20% + Repair or Replacement	40% + Repair or Replacement	60% Repair or Replacement	80% + Repair or Replacement	100% + Repair or Replacement	1
2	1.5	Existing Physical Condition: Must submit substantiating documentation and CIR (100% New or Expansion = 0 Points)	0	2	4	6	8	10	12	Excellent	Good	Fair	Poor	Critical	Closed or Not Operating	2
3	2	Public Health and/or Public Safety Concerns Submittals without supporting documentation will receive 0 points for this question	0	2	4	6	8	10	20	No Impact	Minimal	Moderate	Major	Critical	Extremely Critical	3
4	2	Percentage of Local Share (Local funds are funds derived from the applicant budget or a loan to be paid back through the applicant budget assessments, rates or tax revenues)*	0	2	4	6	8	10	20	0%+	10%+	20%+	30%+	40%+	50%+	4
5	1	OTHER FUNDING SOURCES (Excluding Issue II Funds) (Grants and other revenues not contributed or collected through taxes by the applicant, including Gifts, Contributions, etc. - must submit copy of award or status letter)	0	2	4	6	8	10	0	0%+	10%+	20%+	30%+	40%+	50%+	5
No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS					"A"x"B"	Priority Factors						No.	
			-9	-8	0	8	9		10	-9	-8	0	8	9		10
									Grant or Loan Only							
6	2	OPWC Grant and Loan Funding Requested; Please refer to Item 6 on Questionnaire for Clarification	-9	-8	0	8	9	10	0	\$500,001 or more	\$400,001 to \$500,000	\$325,001 to \$400,000	\$275,001 to \$325,000	\$175,001 to \$275,000	\$175,000 or less	6
	2		-9	-8	0	8	9	10	18	Grant/Loan Combination \$750,000 or more	\$600,001 to \$750,000	\$487,501 to \$600,000	\$412,501 to \$487,500	\$262,501 to \$412,500	\$262,500 or less	
When scoring a project that is only grant or only loan. Please use the chart labeled "Grant or Loan Only". When scoring a grant/loan combination, score the project for the grant in the first chart, then use the second chart labeled "Grant/Loan Combination" to score the total (grant and loan combined). Use the lower of the two as the score.																
No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS					"A"x"B"	Priority Factors						No.	
			0	2	4	6	8		10	0	2	4	6	8		10
7	1	Will the Proposed Project Create Permanent jobs or retain jobs that would otherwise be permanently lost (Written Documentation Required)	0	2	4	6	8	10	0	0+ jobs	7+ jobs	15+ jobs	25+ jobs	50+ jobs	100+ jobs	8
8	1	Benefits to Existing User such as households, (Equivalent dwelling units), traffic Counts, etc.	0	2	4	6	8	10	8	0+	100+	350+	500+	750+	1000+	9
9		SUBTOTAL RANKING POINTS (MAX. = 115)							88	Other Info: Does this project have a significant impact on productive farmland? YES NO Attach impact statement if yes. Is the Applicant ready to proceed to bids after State Approval within 6 months? YES NO-						
10		COUNTY PRIORITY POINTS (25-20 -15)							15							
11		DISCRETIONARY POINTS (BY DISTRICT ONLY) (MAX=12)														
12		GRAND TOTAL RANKING POINTS							103							

\* Applicants must certify local share contribution. Specify, all funding sources to be utilized as local share at the time of application submittal.



Revised: April 17, 2018

DISTRICT 5  
CAPITAL IMPROVEMENT PROJECTS  
QUESTIONNAIRE  
ROUND 33

Name of Applicant: Village of Antwerp

Project Title: Canal Road & Main Street Watermain Replacements

The following questions are to be answered for each application submitted for State Issue II SCIP, LTIP and Loan Projects. Please provide specific information using the best documentation available to you. Justification of your responses to these questions will be required if your project is selected for funding, so please provide correct and accurate responses. **Communities and Townships under 5,000 in population should also complete Small Government Criteria.**

1. What percentage of the project in repair A= 100 %, replacement B= \_\_%, expansion C= \_\_%, and new D= \_\_%? (Use dollar amounts of project to figure percentages and make sure the total equals one hundred (100) percent) A+B= 100 % C+D= \_\_%

Repair/Replacement = Repair or Replacement of public facilities owned by the government (any subdivision of the state).

New/Expansion = Replacement of privately owned wells, septic systems, private water or wastewater systems, etc.

2. Give the physical condition rating : Critical

Closed or Not Operating: The condition is unusable, dangerous and unsafe. The primary components have failed. The infrastructure is not functioning at all.

Critical: The condition is causing or contributing to a serious non-compliance situation and is threatening the intended design level of service. The infrastructure is functioning at seriously diminished capacity. Imminent failure is anticipated within 18 months. Repair and/or replacement is required to eliminate the critical condition and meet current design standards. **(For Road Projects structural repair items would represent a minimum of 25% of the total Project Cost).**

Poor: The condition is substandard and requires repair/replacement in order to return to the intended level of service and comply with current design standards. Infrastructure contains a major deficiency and is functioning at a diminished capacity.

Fair: The condition is average, not good or poor. The infrastructure is still functioning as originally intended. Minor deficiencies exist requiring repair to continue to function as originally intended and/or to meet current design standards.

Good: The condition is safe and suitable to purpose. Infrastructure is functioning as originally intended, but requires minor repairs and/or upgrades to meet current design standards.

Excellent: The condition is new, or requires no repair. Or, no supporting documentation has been submitted.

- **In order to receive points provide supporting documentation (e.g. photos, a narrative, maintenance history, or third party findings) to justify the rating.**

3. If the proposed project is not approved what category would best represent the impact on the general health and/or public safety?

## ROADS

Extremely Critical: Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Major Access Road.\*

Critical: Resurfacing, Restoration and Rehabilitation (3R) of a Major Access Road.\*

Major: Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Minor Access Road.\*

Moderate: Resurfacing, Restoration and Rehabilitation (3R) of a Minor Access Road.\*

Minimal: Preventative Maintenance of a Major Access Road.

No Impact: Preventative Maintenance of a Minor Access Road.

**Projects that have a variety of work will be scored in the LOWEST category of work contained in the Construction Estimate.**

### *Road/Street Classifications:*

*Major Access Road:* Roads or streets that have a dual function of providing access to adjacent properties and providing through or connecting service between other roads.

*Minor Access Road:* Roads or streets that primarily provide access to adjacent properties without through continuity, such as cul-de-sacs or loop roads or streets.

*Preventative Maintenance:* Non Structural Pavement work such as chip sealing, cape sealing, microsurfacing, crack sealing, etc.

\*(3R) Resurfacing, Restoration and Rehabilitation - Improvements to existing roadways, which have as their main purpose, the restoration of the physical features (pavement, curb, guardrail, etc.) without altering the original design elements.

\*(4R) Resurfacing, Restoration, Rehabilitation and Reconstruction - Much like 3R, except that 4R allows for the complete reconstruction of the roadway and alteration of certain design elements (i.e., lane widths, shoulder width, SSD, etc.).

## BRIDGES SUFFICIENCY RATING

Extremely Critical:	0-25, or a General Appraisal rating of 3 or less.
Critical:	27-50, or a General Appraisal rating of 4.
Major:	51-65 or a General Appraisal rating of 5 or 6.
Moderate:	66-80 or a General Appraisal rating of 7.
Minimal:	81-100 or a General Appraisal rating of more than 7.
No Impact:	Bridge on a new roadway.

## WASTEWATER TREATMENT PLANTS

Extremely Critical:	Environmental Protection Agency (EPA) orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
Critical:	Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
Major:	Replace deficient appurtenances. Update existing processes due to EPA recommendations.
Moderate:	Increase capacity to meet current needs or update processes to improve effluent quality.
Minimal:	New/Expansion project to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

## WATER TREATMENT PLANT

Extremely Critical:	EPA orders in the form of a consent decree, findings and orders or court order.
Critical:	Improvements to meet Environmental Protection Agency (EPA) Safe Drinking Water Regulations and/or NPDES Orders.
Major:	Replace deficient appurtenances. Update existing processes due to EPA recommendations.
Moderate:	Increase capacity to meet current needs or update processes to improve water quality.
Minimal:	New/Expansion project to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

COMBINED SEWER SEPARATIONS (May be construction of either new storm or sanitary sewer as long as the result is two separate sewer systems.)

Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.

Critical: Separate, due to chronic backup or flooding in basements.

Major: Separate, due to documented water quality impairment, or due to EPA recommendations.

Moderate: Separate, due to specific development proposal within or upstream of the combined system area.

Minimal: Separate, to conform to current design standards.

No Impact: No positive health effect.

STORM SEWERS

Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.

Critical: Chronic flooding (structure damage).

Major: Inadequate capacity (land damage).

Moderate: Inadequate capacity with no associated damage.

Minimal: New/Expansion to meet current needs.

No Impact: New/Expansion to meet future or project needs.

CULVERTS

Extremely Critical: Structurally deficient or functionally obsolete. Deterioration has already caused a safety Critical: hazard to the public.

Critical: Inadequate capacity with land damage and the existing or high probability of property damage.

Major: Inadequate capacity (land damage).

Moderate: Inadequate capacity with no associated damage.

Minimal: New/Expansion to meet current needs.

No Impact: New/Expansion to meet future or projected needs.

## SANITARY SEWERS

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
- Critical: Replace, due to chronic pipe failure, chronic backup or flooding in basements. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: Replace, due to inadequate capacity or infiltration, or due to EPA recommendations.
- Moderate: Rehabilitate to increase capacity to meet current needs or to reduce inflow and infiltration.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

## SANITARY LIFT STATIONS AND FORCE MAINS

- Extremely Critical: Structurally deficient. Deterioration has already caused a safety/health hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Inadequate capacity with actual or a high probability of property damage. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: EPA recommendations, or, reduces a probable health and/or safety problem.
- Moderate: Rehabilitate to increase capacity to meet current needs.
- Minimal: New/Expansion to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

## WATER PUMP STATIONS

- Extremely Critical: Structurally deficient. Deterioration has already caused a safety hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Inadequate capacity with the inability to maintain pressure required for fire flows.
- Major: Replace due to inadequate capacity or EPA recommendations.
- Moderate: Rehabilitate to increase capacity to meet current needs.
- Minimal: New/Expansion to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER LINES/WATER TOWERS

- Extremely Critical: Solve low water pressure or excessive incidents of main breaks in project area.
- Critical: Replace, due to deficiency such as excessive corrosion, etc.
- Major: Replace undersized water lines as upgrading process.
- Moderate: Increase capacity to meet current needs.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

OTHER

- Extremely Critical: There is a present health and/or safety threat.
- Critical: The project will provide immediate health and/or safety benefit.
- Major: The project will reduce a probable health and/or safety problem.
- Moderate: The project will delay a health and/or safety problem.
- Minimal: A possible future health and/or safety problem mitigation.
- No Impact: No health and/or safety effect.

*NOTE: Combined projects that can be rated in more than one subset may be rated in the other category at the discretion of the District 5 Executive Committee. In general, the majority of the cost or scope of the project shall determine the category under which the project will be scored.*

(Submittals without supporting documentation will receive 0 Points for this question.)

Extremely Critical X, Critical \_\_, Major \_\_, Moderate \_\_, Minimal \_\_, No Impact \_\_. Explain your answer. Excessive main breaks disrupt service to businesses and residents \_\_

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(Additional narrative, charts and/or pictures should be attached to questionnaire)

4. Identify the amount of local funds that will be used on the project as a percentage of the total project cost.

A.) Amount of Local Funds = \$ 152,500

B.) Total Project Cost = \$ 305,000

RATIO OF LOCAL FUNDS DIVIDED by TOTAL PROJECT COSTS (A/B)= 50 %

Note: Local funds should be considered funds derived from the applicant budget or loans funds to be paid back through local budget, assessments, rates or tax revenues collected by the applicant.

5. Identify the amount of other funding sources to be used on the project, excluding State Issue II or LTIP Funds, as a percentage of the total project cost.

Grants \_\_\_% Gifts \_\_\_%, Contributions \_\_\_%

Other \_\_\_% (explain) \_\_\_\_\_ , Total 0 %

Note: Grant funds and other revenues not contributed or collected through taxes by the applicant should be considered other funds. The Scope of Work for each Funding Source must be the same.

6. Total Amount of SCIP and Loan Funding Requested- An Applicant can request a grant per the categories below for points as indicated on the Priority Rating Sheet. If the Applicant is including a loan request equal to, but not exceeding 50% of the OPWC funding amounts listed below, there will be no point penalty. If loan funds requested are more than 50%, points as listed in the Priority Rating Sheet will apply.

- \_\_\_\_\_ \$500,001 or More
- X\_\_\_\_\_ \$400,001-\$500,000
- \_\_\_\_\_ \$325,001-\$400,000
- \_\_\_\_\_ \$275,001-\$325,000
- \_\_\_\_\_ \$175,001-\$275,000
- \_\_\_\_\_ \$175,000 or Less

There are times when the District spends all of the grant money and has loan money remaining. When this happens, the district makes a loan offer in the amount of the requested grant to the communities that were not funded. The offers are made in the order of scoring. We need to know if you are not successful in obtaining grant dollars for your project if you would be interested in loan money:

YES X NO \_\_\_\_\_

(This will only be considered if you are not funded with grant money and there is remaining loan money.) **Please note: if you answer "no" you will not be contacted, only if you answer "yes" will an offer be made in the event that there is loan money remaining.**

7. If the proposed project is funded, will its completion directly result in the creation of permanent full-time equivalent (FTE) jobs (FTE jobs shall be defined as 35 hours/week) ? Yes \_\_\_ No X. If yes, how many jobs within eighteen months? \_\_\_ Will the completed project retain jobs that would otherwise be permanently lost? Yes \_\_\_ No X. If yes, how many jobs \_\_\_\_\_ **will be created/retrained** within 18

months following the completion of the improvements?

(Supporting documentation in the form of letter from affected industrial or commercial enterprises that specify full time equivalent jobs that will be retained or created directly by the installation or improvement of Public infrastructure. Additional items such as; 1) newspaper articles or other media news accounts, 2) public meeting minutes, and/or 3) a letter from the County Economic Development Director or State of Ohio Economic Development Professional that alludes to the requirement for the infrastructure improvement to support the business. Submittals without supporting documentation will receive 0 points for this question.)

8. What is the total number of existing users that will directly benefit from the proposed project if completed? 24 residential and 7 commercial users based on services off the mains but the entire Village loses water pressure with each break, total of 807 customers. (Use households served, traffic counts, etc. and explain the basis by which you arrived at your number.)
9. Is subdivision's population less than 5,000 Yes X No       
If yes, continue. You may want to design your project per Small Government Project Evaluation Criteria, released for the current OPWC Round to assist in evaluating your project for potential Small Government Funding. The Small Government Criteria is available on the OPWC website at <http://www.pwc.state.oh.us/Meth.SG.PDF> If No, skip to Question 11.

10. **OHIO PUBLIC WORKS COMMISSION SMALL GOVERNMENT PROGRAM GUIDELINES**

All projects that are sponsored by a subdivision with a population of 5,000 or less, and not earning enough points for District Funding from SCIP or LTIP Funds, are then rated using the Small Government Program Rating Criteria for the corresponding funding round. In order to be rated the entity must submit the Small Government Supplement and their required budgets with their application. **Only infrastructure that is village- or township- owned is eligible for assistance.** The following policies have been adopted by the Small Government Commission:

- District Integrating Committees may submit up to seven (7) applications for consideration by the Commission. All 7 must be ranked, however, only the top five (5) will be scored. The remaining two (2) will be held as contingency projects should an application be withdrawn.
- Grants are limited to \$500,000. Any assistance above that amount must be in the form of a loan.
  - Grants for new or expanded infrastructure cannot exceed 50% of the project estimate.
- The Commission may deny funding for water and sewer systems that are deemed to be more cost-effective if regionalized.





Others \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(DO NOT INCLUDE SCHOOL TAXES)

SPECIFIC PROJECT AREA INFORMATION.

Median household income \$40,184

Monthly utility rate: Water \$31.86

Sewer \$21.66

Other Storm - \$5 per month, Trash - \$8.85 per month

List any special user fees or assessment (be specific)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

POLITICAL SUBDIVISION= \_\_\_\_\_

COUNTY= \_\_\_\_\_

DISCRETIONARY POINTS (BY DISTRICT COMMITTEE ONLY)= \_\_\_\_\_

(25-20-15)

Date: September 6, 2018

Signature: 

Title: Sr. Project Manager

Address: 101 Clinton Street, Defiance, Ohio 43512

Phone: 419-782-3067

FAX: \_\_\_\_\_

Email: rauschl@poggemeyer.com

**SMALL GOVERNMENT APPLICATION**



**OHIO PUBLIC WORKS COMMISSION  
SMALL GOVERNMENT PROGRAM**

**PY 33 METHODOLOGY**

**May 2018**

## EVALUATION CRITERIA

**Complete and appropriate support documentation must be provided for a criterion in order to be awarded points. See Applicants Manual for guidance, forms and checklist.**

1. Ability and Effort of the Applicant to Finance the Project (Maximum 10 points)
  - A. Roads, Bridges/Culverts, Storm Water, Solid Waste Projects Only – “Auditor’s Certificate of Estimated Resources” showing fund detail, as provided in ORC sections 5705.35 and 5705.36 is used to determine potential financial resources available for the project. Score is based on the project’s total cost as a percentage of financial resources.
    - 0 Total project cost represents 0 to 20% of subdivision's total combined funds legally eligible for infrastructure type
    - 2 Total project cost represents 21 to 40% of subdivision's total combined funds legally eligible for infrastructure type
    - 4 Total project cost represents 41 to 60% of subdivision's total combined funds legally eligible for infrastructure type
    - 6 Total project cost represents 61 to 80% of subdivision's total combined funds legally eligible for infrastructure type
    - 8 Total project cost represents 81 to 100% of subdivision's total combined funds legally eligible for infrastructure type
    - 10 Total project cost exceeds 100% of subdivision's total combined funds legally eligible for infrastructure type, or subdivision is in fiscal emergency
  - B. Water and Wastewater Projects Only – Determined by SG Administrator according to the Water & Wastewater Ability & Effort calculation described in Applicants Manual. Information is obtained from both water and wastewater rate ordinances and the Small Government Water & Wastewater Ability & Effort Supplemental.
    - 0 +2 or more standard deviations above Average Variance
    - 2 +1 to +2 standard deviations above Average Variance
    - 4 0 to +1 standard deviations above Average Variance
    - 6 0 to -1 standard deviations below Average Variance
    - 8 -1 to -2 standard deviations below Average Variance
    - 10 -2 or more standard deviations below Average Variance, or subdivision is in fiscal emergency

2. Importance of Project to Health and Safety of Citizens – Score is assigned according to the application project description and any pertinent supplemental documentation. (Maximum 10 points)

A. Road, Bridge, Culvert

- 0 New infrastructure to meet future or projected needs
- 2 New infrastructure to meet current needs; Roadway surface paving less than 2 inches; Bridges with General Appraisal of 6 or above or with a Sufficiency Rating of 81-100
- 4 Roadway surface paving equal to or greater than 2 inches with/without milling; Replace or install signal where warranted; Bridges with a General Appraisal of 5 or Sufficiency Rating of 66-80; Culvert replacement with no associated damage
- 6 Road widening to add paved shoulders or for safe passage, and/or roadway paving with full-depth base repair equal to or greater than 5% of roadway surface area; Intersection improvement to add turn lanes or realignment; Bridges with a General Appraisal of 4 or Sufficiency Rating of 51-65; Culverts with inadequate flow capacity
- 8 Complete roadway full-depth reconstruction (includes removal/replacement of base) or reclamation with/without drainage; Widening to add travel lanes; Intersection improvements to address excessive accident rate and/or inadequate level of service with Crash Reduction Factor ( $0.0 < CRF < 0.2$ ); Bridges with a General Appraisal of 3 or Sufficiency Rating of 26-50, or posted load reduction; Culverts with inadequate flow capacity and property damage (i.e. flooding)
- 10 Complete roadway reconstruction or reclamation with/without drainage with widening to add travel lanes; Intersection improvement to address excessive accident rate and/or inadequate level of service with Crash Reduction Factor ( $CRF \geq 0.2$ ); Bridges with General Appraisal of 2 or less, or Sufficiency Rating of less than 26; Culverts that are structurally deficient

B. Water, Wastewater, Storm Water, Solid Waste

- 0 Infrastructure to meet future or projected needs
- 2 Expanded infrastructure to meet specific development proposal
- 4 Infrastructure to meet current needs; Update processes to improve effluent or water quality; To remain in compliance with permit due to increased standards; Increase storm sewer capacity in which there is no associated land damage; Increase sanitary sewer capacity; Replace water meters as part of an upgrade
- 6 OEPA recommendations; District health board recommendations; Increase storm sewer capacity that has associated land damage; Replace undersized waterlines as part of upgrade; Install new meters or replace meters that have exceeded useful life
- 8 Replacement of storm or sanitary sewers due to chronic flooding, back-up, or property damage; Inflow and/or Infiltration; Inadequate capacity to maintain pressure required for fire flows; Replacement of waterlines or towers due to excessive corrosion
- 10 OEPA Findings & Orders, OEPA orders contained in permit, Consent Decree or Court Order; Structural separations (CSOs)

3. Age and Condition of System to be repaired or replaced. This is a two-part criterion. (Maximum 10 points)

Part I – Age: This uses provided documentation for existing infrastructure. Documentation pertains to source documentation or from a compliant letter written by an eligible local official who can vouch for the time period during his/her term in office. If no documentation the default score is 1 point. (Maximum 5 points)

Life	20	30	50
Project Type	Road	Wastewater	Bridge/Culvert.
Points			Sanitary Sewer, Water, Storm Water, Solid Waste
0	New / Expansion	New / Expansion	New / Expansion
1	2013-2018	2010-2018	2004-2018
2	2008-2012	2003-2009	1992-2003
3	2003-2007	1995-2002	1980-1991
4	1998-2002	1988-1994	1968-1979
5	Before 1998 or closed	Before 1988 or out of service	Before 1968 or closed

Part II – Condition (Maximum 5 points)

- 1 New/Expansion: New or expansion project components represent at least 50% of improvements
- 3 Poor: Infrastructure requires repair to continue functioning as originally intended and/or upgrade to meet current design standards.
- 5 Failed: Not functioning

4. Leveraging Ratio – Local and all non-OPWC funding sources as a percentage of total funding. (Maximum 10 points)

	Repair/Replacement	New/Expansion
0	10 or less	50 or less
1	11-15	51-55
2	16-20	56-60
3	21-25	61-65
4	26-30	66-70
5	31-35	71-75
6	36-40	76-80
7	41-45	81-85
8	46-50	86-90
9	51-55	91-95
10	56 or more	96 or more

5. Population Benefit – Number of those to benefit directly from the improvement as a percentage of applicant's total population. (Maximum 5 points)

- 0 10% or less
- 1 25% - 11%
- 2 35% - 26%
- 3 45% - 36%
- 4 55% - 46%
- 5 56% or more

6. District Priority Ranking as provided by District (Maximum 10 points)

- 6 5<sup>th</sup> ranked district project
- 7 4<sup>th</sup> ranked district project
- 8 3<sup>rd</sup> ranked district project
- 9 2<sup>nd</sup> ranked district project
- 10 1<sup>st</sup> ranked district project

7. Amount of OPWC grant and loan funds requested (Maximum 10 points)

- 0 \$500,000 or more
- 2 \$400,000 - \$499,999
- 4 \$300,000 - \$399,999
- 6 \$200,000 - \$299,999
- 8 \$100,000 - \$199,999
- 10 \$ 99,999 or less

8. Loan request – Amount of loan funds as a percentage of total OPWC assistance. (Maximum 10 points)

- 1 15 - 29% of OPWC assistance
- 5 30 - 49% of OPWC assistance
- 10 50 - 100% of OPWC assistance

9. Useful Life of Project – Taken from engineer's useful life statement. (Maximum 5 points)

- 1 7 - 9 years
- 2 10 - 14 years
- 3 15 - 19 years
- 4 20 - 24 years
- 5 25 years or more

10. Median Household Income – Applicant's MHI as a percentage of the statewide MHI. Information derived from the most recent 5-year American Community Survey as published by the Ohio Development Services Agency. (Maximum 10 points)

- 2 110% or more
- 4 100% - 109%
- 6 90% - 99%
- 8 80% - 89%
- 10 79% or less



11. Readiness to proceed. This is a two-part criterion. (Maximum 10 points)

Part I – Status of Plans – This uses the Small Government Commission’s Engineer’s Plan Status Certification. (Maximum 5 points)

- 0 Plans not yet begun
- 2 Surveying through Preliminary Design Completed (Items A-C)
- 5 Surveying through final construction plans, and secured permits and right-of-way as appropriate (Items A-H)

Part II – Status of Funding Sources – This uses source documentation including CFO certifications and loan letters. (Maximum 5 points)

- 0 All funds not yet committed
- 3 Applications submitted to funding entities
- 5 All funding committed

Small Government Commission  
 Engineer's Plan Status Certification  
 Required for Criterion No. 11, Part I

Applicant: Village of Antwerp

District No.: Five (5)

Project Name: Canal Road and Main Street Watermain Replacements

Item	Necessary for project?	Status	Completion Date	
Met Completion dates for Items A - C (2 points)				
A	Surveying	Y <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	Will commence 1/19	2/28/19
B	R/W Acquisition Identified	Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		
C	Preliminary Design	Y <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	Preliminary Measurements and Quantities complete	7/19/18
Met Completion dates for Items A - H (5 points)				
D	Final Construction Plans	Y <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	Will commence after completion of Preliminary Design	4/30/19
E	Permit to Install Issued	Y <input type="checkbox"/> N/A <input type="checkbox"/>	Anticipate prior to bidding date	
F	NPDES Issued	Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		
G	Other Permits Issued	Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		
H	Executed Right of Way Option or Agreement	Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		

I hereby certify that the information above is true and correct to the best of my knowledge and belief.

Lee P. Rausch  
 Engineer's Printed Name

Lee P. Rausch  
 Engineer's Signature

9/6/18  
 Date



Engineer's Stamp/Seal

**Small Government Self-Score**  
*(Input Score in box for each criterion; will total automatically)*

Applicant: Village of Antwerp

		SCORE
<b>1 Ability &amp; Effort</b>	<b>(Use A or B according to project type)</b>	
A.	<i>Roads, Bridges/Culverts, Storm Water, Solid Waste Projects ONLY</i> 0 2 4 6 8 10	<input type="text"/>
B.	<i>Water &amp; Wastewater Projects ONLY</i> Cannot be self-scored; uses blind factor based on all project applications	<input type="text"/>
<b>2 Health &amp; Safety</b>	<b>(Use A or B according to project type)</b>	
A.	<i>Road, Bridge, Culvert</i> 0 2 4 6 8 10	<input type="text"/>
B.	<i>Water, Wastewater, Storm Water, Solid Waste</i> 0 2 4 6 <b>8</b> 10	<input type="text" value="8"/>
<b>3 Age &amp; Condition</b>		
I.	<i>Age</i> 0 1 2 3 4 <b>5</b>	<input type="text" value="5"/>
II.	<i>Condition</i> 1 <b>3</b> 5	<input type="text" value="3"/>
<b>4 Leveraging Ratio</b>	<b>0</b> 1 2 3 4 5 6 7 8 9 10	<input type="text" value="0"/>
<b>5 Population Benefit</b>	<b>0</b> 1 2 3 4 5	<input type="text" value="0"/>
<b>6 District Priority Ranking</b>	- Completed by Administrator	<input type="text"/>
<b>7 OPWC Funds Requested</b>	0 2 <b>4</b> 6 8 10	<input type="text" value="4"/>
<b>8 Loan Request</b>	(Default 0 points if no loan requested) 1 5 <b>10</b>	<input type="text" value="10"/>
<b>9 Useful Life</b>	1 2 3 4 <b>5</b>	<input type="text" value="5"/>
<b>10 Median Household Income</b>	2 4 6 8 <b>10</b>	<input type="text" value="10"/>
<b>11 Readiness to Proceed</b>		
I.	<i>Status of Plans</i> 0 2 <b>5</b>	<input type="text" value="5"/>
II.	<i>Status of Funding</i> 0 3 <b>5</b>	<input type="text" value="5"/>
<b>TOTAL</b>		<input type="text" value="55"/>



**UTILITY RATE ORDINANCES**

# 2018

<u>READ Meters</u>	<u>Mail Bills</u>	<u>WATER &amp; SEWER</u>	<u>STORM &amp; TRASH</u>	<u>All Utilities Due</u>	<u>10 Business days</u>	<u>Shut Off date</u>
December	January 2	Sept, Oct, Nov.	Jan, Feb, March	Feb 15	March 2	March 5
March	April 2	Dec, Jan, Feb.	April, May, June	May 15	May 30	May 31
June	July 2	March, Apr, May	July, Aug, Sept	August 15	August 29	August 30
September	October 1	June, July, August	Oct, Nov, Dec	November 15	December 3	December 4

## In Town

Water: 5.31 per thousand gallons plus 30.00 surcharge (monthly 10.00, 10.00, 10.00)  
 Sewer: 1.90 per thousand after 6000 gallons plus 65.00 surcharge (monthly breakdown 21.67, 21.67, 21.66) *w/o 53.00*  
 Storm: 5.00 per month; \$15 quarterly  
 Trash: 8.85 per month; \$26.55 quarterly

## In Town - Homestead

Water: 3.14 per thousand gallons plus 20.00 surcharge (monthly breakdown 6.67, 6.67, 6.66)  
 Sewer: 1.90 per thousand after 6000 gallons plus 65.00 surcharge (monthly breakdown 21.67, 21.67, 21.66) *w/o 53.00*  
 Storm: 15.00 quarterly; \$5 per month  
 Trash: 26.55 quarterly; \$8.85 per month; \$2.05 per week

## Outside Corporation

Water: 9.30 per thousand gallons (5.31 x 175%) plus 40.00 surcharge (monthly 13.34, 13.33, 13.33)  
 Sewer: 4.77 per thousand gallons (3.18 x 150%) after 6000 gallons plus 90.51 surcharge (60.35 x 150%)

## Outside Corporation - Homestead

Water: 5.50 per thousand gallons (3.14 x 175%) plus 30.00 surcharge (monthly 10.00, 10.00, 10.00)  
 Sewer: 4.77 per thousand gallons (3.18 x 150%) after 6000 gallons plus 90.51 surcharge (60.35 x 150%)

## Bulk Water

Farmer's Well: 5.44 per thousand  
 Treated Hydrant: 8.00 per thousand

## Water Tap Fee

750.00 (3/4" line)  
 950.00 (3/4" line) if road is cut  
 1,350.00 (3/4" line) if boring is required

## Sewer Tap Fee

150.00

ORDINANCE NO. 2017-12

**AN ORDINANCE AMENDING ORDINANCE NO. 2016-33, AMENDING SECTION 1 OF ORDINANCE NO. 2016-14 AUTHORIZING THE CHARGE OF SEWER RATES, TAP FEES AND RE-CONNECT FEES FOR THE VILLAGE OF ANTWERP, COUNTY OF PAULDING, OHIO, AND DECLARING THE SAME AN EMERGENCY**

WHEREAS, the Council of the Village of Antwerp, Ohio previously enacted Ordinance No. 2016-14 authorizing the charge of sewer rates, tap fees and re-connect fees for the Village of Antwerp; and

WHEREAS, the Council previously enacted Ordinance No. 2016-33 amending Section 1 of Ordinance No. 2016-14 to address additional costs required to provide sewer services to users/consumers by increasing the minimum charge; and

WHEREAS, in accordance with the language contained in Ordinance Nos. 2016-33 and 2016-14 to review the sewer rates annually to determine whether the rates established are sufficient, the sewer rates have been reviewed for future years and due to the need to address additional costs required to provide the sewer services to users/consumers, Ordinance No. 2016-33 amending Section 1 of Ordinance No. 2016-14 is hereby amended to reflect an increase in the minimum charges effective January 1, 2018, and January 1, 2019.

**NOW, THEREFORE, BE IT ORDAINED** by the Council of the Village of Antwerp, County of Paulding, State of Ohio:

Section 1. That Section 1 of Ordinance No. 2016-14 read as follows:

The quarterly sewer rates for users/consumers within the Village corporation limits are a minimum charge of \$45.03 (including first six thousand (6,000) gallons) plus \$1.90 for each one thousand (1,000) gallons over the first six thousand (6,000) gallons. These rates will be reviewed annually to determine whether the rates established herein are sufficient.

Section 2. That Section 1 of Ordinance No. 2016-14 was amended by Ordinance No. 2016-33 to read as follows:

Commencing on January 1, 2017, the quarterly sewer rates for users/consumers within the Village corporation limits are a minimum charge of \$53.00 (including first six thousand (6,000) gallons) plus \$1.90 for each one thousand (1,000) gallons over the first six thousand (6,000) gallons. These rates will be reviewed annually to determine whether the rates established herein are sufficient.

Section 3. That Ordinance No. 2016-33 amending Section 1 of Ordinance No. 2016-14 is hereby amended to read as follows:

(7106/097/00610570-2MLF)

Effective as of January 1, 2017, the quarterly sewer rates for users/consumers within the Village corporation limits are a minimum charge of \$53.00 (including first six thousand (6,000) gallons) plus \$1.90 for each one thousand (1,000) gallons over the first six thousand (6,000) gallons. Commencing January 1, 2018, the quarterly sewer rates for users/consumers within the Village corporation limits are a minimum charge of \$65.00 (including the first six thousand (6,000) gallons) plus \$1.90 for each one thousand (1,000) gallons over the first six thousand (6,000) gallons. Commencing January 1, 2019, the quarterly sewer rates for users/consumers within the Village corporation limits are a minimum charge of \$77.25 (including the first six thousand (6,000) gallons) plus \$1.90 for each one thousand (1,000) gallons over the first six thousand (6,000) gallons. These rates will be reviewed annually to determine whether the rates established herein are sufficient.

**Section 4.** Previous ordinances and/or any portions thereof, including Section 1 of Ordinance No. 2016-14 and Ordinance No. 2016-33, and rules of the Village of Antwerp that are not consistent with this Ordinance are hereby set aside, revoked and held for naught.

**Section 5.** It is found and determined that all formal actions of the Council concerning and relating to the passage of this Ordinance were adopted in open meetings of this Council, and that all deliberations of the Council and of any of its committees that resulted in such formal action, were in meetings open to the public, in compliance with all legal requirements including all lawful ordinances and any applicable provisions of Section 121.22 of the Ohio Revised Code.

**Section 6.** This Ordinance is hereby declared to be an emergency measure necessary for the immediate preservation of the public health, safety and welfare of the Village, necessary for the well-being of the residents, and to increase for future years the minimum charge for sewer rates sufficient to provide this service to users/consumers, and this Ordinance shall be in full force and effect immediately after its passage; otherwise, it shall take effect and be in force after the earliest period allowed by law.

PASSED THIS 10 day of April, 2017.



Ryan DeLong, Mayor  
Village of Antwerp, Ohio

Attest:



Aimee Lichty, Fiscal Officer



**ORDINANCE NO. 2016-27**

**AN ORDINANCE AMENDING ORDINANCE NO. 2014-21 AUTHORIZING  
THE CHANGE OF WATER RATES FOR THE VILLAGE OF ANTWERP,  
COUNTY OF PAULDING, OHIO**

WHEREAS, the Council of the Village of Antwerp, Ohio, passed Ordinance No. 2014-21 after three readings authorizing the change of water rates for the Village of Antwerp, County of Paulding, Ohio, setting the water rates for the years 2015, 2016, and 2017; and

WHEREAS, in Section 1 of Ordinance No. 2014-21, Council provided that rate increases would be reviewed annually to determine whether the increases established in this Ordinance for the years 2015, 2016, and 2017, would be sufficient; and

WHEREAS, the Council of the Village of Antwerp, Ohio, has determined the funds derived from the rates established for 2017 would not provide sufficient funds to support the operation of this utility;

WHEREAS, the Council has determined that Ordinance No. 2014-21 needs amended to increase water rates effective January 1, 2017, specifically to increase the minimum charge for each tap and to establish water rates for treated bulk hydrant water.

NOW, THEREFORE, BE IT ORDAINED by the Council of the Village of Antwerp, County of Paulding, State of Ohio:

Section 1. That Ordinance No. 2014-21 is amended to read as follows:

WHEREAS, it has come to the attention of the Council of the Village of Antwerp, Ohio that additional revenue is needed in the water fund in order to operate this utility adequately, expand facilities, and provide better and more efficient service in the future; and

WHEREAS, the Council of the Village of Antwerp, Ohio, has determined it to be in the best interest of the Village to increase water rates effective January 1, 2015, as well as water rates effective January 1, 2016, and January 1, 2017.

NOW, THEREFORE, BE IT ORDAINED by the Council of the Village of Antwerp, County of Paulding, State of Ohio:

Section 1. Water rates shall be increased 5% effective January 1, 2015. An increase of 5% shall also be implemented on January 1, 2016, and January 1, 2017, which rate increases will be reviewed annually to determine whether the increases established herein are sufficient. The minimum charge for each tap will be increased effective January 1, 2017, as well as the establishment of water rates for treated bulk hydrant water.

Section 2. The quarterly water rates for users/consumers within the Village corporation limits effective January 1, 2015, January 1, 2016 and January 1, 2017, will be as follows:

January 1, 2015	\$19.16 minimum charge for each tap plus \$4.82 for each one thousand (1,000) gallons of all water used
January 1, 2016	\$19.16 minimum charge for each tap plus \$5.06 for each one thousand (1,000) gallons of all water used
January 1, 2017	\$30.00 minimum charge for each tap plus \$5.31 for each one thousand (1,000) gallons of all water used

Quarterly water rates effective January 1, 2015, January 1, 2016 and January 1, 2017, for those users/consumers within the Village corporation limits who qualify for the homestead tax exemption will be as follows:

January 1, 2015	\$15.24 minimum charge for each tap plus \$2.85 for each one thousand (1,000) gallons of all water used
January 1, 2016	\$15.24 minimum charge for each tap plus \$2.99 for each one thousand (1,000) gallons of all water used
January 1, 2017	\$20.00 minimum charge for each tap plus \$3.14 for each one thousand (1,000) gallons of all water used

The user/consumer claiming the homestead tax exemption must provide proof to the Village Administrator that the user/consumer qualifies for this tax exemption in order to receive the reduced water rates.

Section 3. The minimum water rate provided above will be charged for each occupied unit in a multiple unit dwelling. Multiple unit dwellings include apartment complexes, mobile home trailers, and any other living community containing more than one residential unit, which will be collectively referred to herein as "Multiple Unit Dwelling".

Section 4. Untreated bulk well water may be purchased at the waterworks facility located in the Village of Antwerp. The purchaser of said water shall sign their name and the number of gallons of water pumped on the clipboard provided for that purpose located at the waterworks facility. Each purchaser shall be billed once each year on the first day (1<sup>st</sup>) day of November, and the water bill for the purchase of bulk water is due and payable on or before the fifteenth (15<sup>th</sup>) day of November of that same year. The purchase price of untreated bulk well water at

the waterworks facility effective January 1, 2015, January 1, 2016, and January 1, 2017, will be as follows:

January 1, 2015	\$4.94 for each one thousand (1,000) gallons
January 1, 2016	\$5.19 for each one thousand (1,000) gallons
January 1, 2017	\$5.44 for each one thousand (1,000) gallons

Section 5. Treated bulk hydrant water may be purchased at the waterworks facility located in the Village of Antwerp. The purchase price of said water effective January 1, 2017, will be as follows: \$8.00 for each one thousand (1,000) gallons. The purchaser of said water shall contact the water department and provide their name and the number of gallons of water pumped. Each purchaser shall then be billed for the water purchased, and the water bill for the purchase of treated bulk hydrant water is due and payable fifteen (15) days from the date of the invoice.

Section 6. The quarterly water rates effective January 1, 2015, January 1, 2016, and January 1, 2017, for users/consumers of water outside of the Village corporation limits will be as follows:

January 1, 2015	\$33.52 minimum charge for each tap plus \$8.43 for each one thousand (1,000) gallons of all water used
January 1, 2016	\$33.52 minimum charge for each tap plus \$8.85 for each one thousand (1,000) gallons of all water used
January 1, 2017	\$40.00 minimum charge for each tap plus \$9.30 for each one thousand (1,000) gallons of all water used

Quarterly water rates effective January 1, 2015, January 1, 2016 and January 1, 2017, for those users/consumers outside of the Village corporation limits who qualify for the homestead tax exemption will be as follows:

January 1, 2015	\$26.67 minimum charge for each tap plus \$4.99 for each one thousand (1,000) gallons of all water used
January 1, 2016	\$26.67 minimum charge for each tap plus \$5.23 for each one thousand (1,000) gallons of all water used
January 1, 2017	\$30.00 minimum charge for each tap plus \$5.50 for each one thousand (1,000) gallons of all water used

The user/consumer claiming the homestead tax exemption must provide proof to the Village Administrator that the user/consumer qualifies for this tax exemption in order to receive the reduced water rates.

Section 7. In the event that water service is disconnected to any user/consumer, water service shall not be restored until all past due accounts, late charges, a \$35.00 service fee and any other applicable fees are paid to the Village for the water service to be reconnected. When a building is vacated and the owner/occupant of said building notifies the Village to discontinue water service to the building, the property owner must do either of the following: 1) have the water meter removed, the water service disconnected, and a fee of \$35.00 is hereby established to reinstall the meter and reconnect the water service; or 2) have the water disconnected at the water meter, leave the water meter in place, and pay the quarterly minimum charge for each tap.

Section 8. The tapping fee for a  $\frac{3}{4}$  inch waterline will be \$750.00 per tap. In the event a road cut is necessary or the tap must be pushed under a street, alley or highway, the tapping fee will be \$950.00 per tap. The tapping fee for a  $\frac{1}{2}$  inch waterline will be \$750.00 per tap, which includes a  $\frac{3}{4}$  inch meter and meter setter. If the waterline requirements are greater than  $\frac{3}{4}$  inch, any additional costs for the installation and/or connection of the same will be at the sole expense of the user/consumer. In the event a boring is required to connect to the Village's waterline, the tap fee will be \$1,350.00 per tap. The user/consumer will be solely responsible for any and all additional expenses related to the connection to the Village's waterline, including but not limited to tapping saddle, piping to connect to the Village's waterline, backfill, and road repairs caused by any digging and/or boring activities.

Section 9. Each occupied unit within a Multiple Unit Dwelling shall pay the minimum water charge provided herein. In the event that water usage is greater than the total of the minimum usage billed to each unit (to be determined by the meter at the Multiple Unit Dwelling), the overage shall be charged to the owner of said Multiple Unit Dwelling. The owner of each Multiple Unit Dwelling shall certify to the Village Administrator the number of occupied units within the Multiple Unit Dwelling and shall promptly notify the Village Administrator of any change in the number of occupied units within the Multiple Unit Dwelling. The Village Administrator may independently verify the number of occupied units within the Multiple Unit Dwelling as certified by the owner.

Section 10. The amounts to be charged and paid by industrial users/consumers of water utility services in the Village of Antwerp are established by the contracts in effect for each industrial user/consumer.

Section 11. Previous Ordinances and/or any provisions thereof and rules of the Village of Antwerp that are not consistent with this Ordinance are hereby set

aside, revoked, and held for naught.

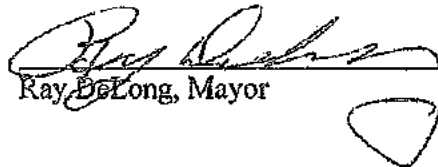
Section 12. It is found and determined that all formal actions of the Council concerning and relating to the passage of this Ordinance were adopted in open meetings of this Council, and that all deliberations of the Council and of any of its committees that resulted in such formal action, were in meetings open to the public, in compliance with all legal requirements including all lawful ordinances and any applicable provisions of Section 121.22 of the Ohio Revised Code.

Section 13. This Ordinance shall be in full force and effect from and after the earliest period allowed by law.


Section 2. It is found and determined that all formal actions of the Council concerning and relating to the passage of this Ordinance were adopted in open meetings of this Council, and that all deliberations of the Council and of any of its committees that resulted in such formal action, were in meetings open to the public, in compliance with all legal requirements including all lawful ordinances and any applicable provisions of Section 121.22 of the Ohio Revised Code.

Section 3. This Ordinance shall be in full force and effect from and after the earliest period allowed by law.

Enacted this 21 day of November, 2016.

  
Ray DeLong, Mayor

Attest:

  
Aimee Lichy, Fiscal Officer

First reading: Sept. 19, 2016

Second reading: Oct. 17, 2016

Third reading: Nov 21, 2016