



State of Ohio
Public Works Commission
Application for Financial Assistance

IMPORTANT: Please consult "Instructions for Financial Assistance for Capital Infrastructure Projects" for guidance in completion of this form.

Applicant

Applicant: Henry County Subdivision Code: 069-00069

District Number: 5 County: Henry Date: 08/16/2018

Contact: Timothy J Schumm, PE, PS Phone: (419) 592-2976
(The individual who will be available during business hours and who can best answer or coordinate the response to questions)

Email: tschumm@henrycountyengineer.com FAX: (419) 592-5508

Project

Project Name: 2019 Widening Program Zip Code: 43545

Subdivision Type	Project Type	Funding Request Summary
<small>(Select one)</small>	<small>(Select single largest component by \$)</small>	<small>(Automatically populates from page 2)</small>
<input checked="" type="checkbox"/> 1. County	<input checked="" type="checkbox"/> 1. Road	Total Project Cost: <u>650,000.00</u>
<input type="checkbox"/> 2. City	<input type="checkbox"/> 2. Bridge/Culvert	1. Grant: <u>325,000.00</u>
<input type="checkbox"/> 3. Township	<input type="checkbox"/> 3. Water Supply	2. Loan: <u>0.00</u>
<input type="checkbox"/> 4. Village	<input type="checkbox"/> 4. Wastewater	3. Loan Assistance/ Credit Enhancement: <u>0.00</u>
<input type="checkbox"/> 5. Water (6119 Water District)	<input type="checkbox"/> 5. Solid Waste	Funding Requested: <u>325,000.00</u>
	<input type="checkbox"/> 6. Stormwater	

District Recommendation (To be completed by the District Committee)

Funding Type Requested	SCIP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<small>(Select one)</small>		
<input type="checkbox"/> State Capital Improvement Program	RLP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<input type="checkbox"/> Local Transportation Improvement Program	Grant:	Amount: _____ .00
<input type="checkbox"/> Revolving Loan Program	LTIP:	Amount: _____ .00
<input type="checkbox"/> Small Government Program	Loan Assistance / Credit Enhancement:	Amount: _____ .00
District SG Priority: _____		

For OPWC Use Only

STATUS	Grant Amount: _____ .00	Loan Type: <input type="checkbox"/> SCIP <input type="checkbox"/> RLP
Project Number: _____	Loan Amount: _____ .00	Date Construction End: _____
_____	Total Funding: _____ .00	Date Maturity: _____
Release Date: _____	Local Participation: _____ %	Rate: _____ %
OPWC Approval: _____	OPWC Participation: _____ %	Term: _____ Yrs

1.0 Project Financial Information (All Costs Rounded to Nearest Dollar)

1.1 Project Estimated Costs

Engineering Services

Preliminary Design:	_____	.00	
Final Design:	_____	.00	
Construction Administration:	_____	.00	
Total Engineering Services:	a.) _____	0 .00	_____ 0 %
Right of Way:	b.) _____	.00	
Construction:	c.) _____	650,000 .00	
Materials Purchased Directly:	d.) _____	.00	
Permits, Advertising, Legal:	e.) _____	.00	
Construction Contingencies:	f.) _____	.00	_____ 0 %
Total Estimated Costs:	g.) _____	650,000 .00	

1.2 Project Financial Resources

Local Resources

Local In-Kind or Force Account:	a.) _____	.00	
Local Revenues:	b.) _____	325,000 .00	
Other Public Revenues:	c.) _____	.00	
ODOT / FHWA PID: _____	d.) _____	.00	
USDA Rural Development:	e.) _____	.00	
OEPA / OWDA:	f.) _____	.00	
CDBG:	g.) _____	.00	
<input type="checkbox"/> County Entitlement or Community Dev. "Formula"			
<input type="checkbox"/> Department of Development			
Other: _____	h.) _____	.00	
Subtotal Local Resources:	i.) _____	325,000 .00	_____ 50 %

OPWC Funds (Check all requested and enter Amount)

Grant: <u>100</u> % of OPWC Funds	j.) _____	325,000 .00	
Loan: <u>0</u> % of OPWC Funds	k.) _____	.00	
Loan Assistance / Credit Enhancement:	l.) _____	0 .00	
Subtotal OPWC Funds:	m.) _____	325,000 .00	_____ 50 %
Total Financial Resources:	n.) _____	650,000 .00	_____ 100 %

1.3 Availability of Local Funds

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local resources required for the project will be available on or before the earliest date listed in the Project Schedule section. The OPWC Agreement will not be released until the local resources are certified. Failure to meet local share may result in termination of the project. Applicant needs to provide written confirmation for funds coming from other funding sources.

2.0 Repair / Replacement or New / Expansion

2.1 Total Portion of Project Repair / Replacement:	<u>650,000</u> .00	<u>100</u> %
2.2 Total Portion of Project New / Expansion:	<u>0</u> .00	<u>0</u> %
2.3 Total Project:	<u>650,000</u> .00	<u>100</u> %

A Farmland Preservation letter is required for any impact to farmland

3.0 Project Schedule

3.1 Engineering / Design / Right of Way	Begin Date: <u>07/01/2018</u>	End Date: <u>05/01/2019</u>
3.2 Bid Advertisement and Award	Begin Date: <u>06/01/2019</u>	End Date: <u>07/15/2019</u>
3.3 Construction	Begin Date: <u>07/15/2019</u>	End Date: <u>10/31/2019</u>

Construction cannot begin prior to release of executed Project Agreement and issuance of Notice to Proceed.

Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by project official of record and approved by the Commission once the Project Agreement has been executed.

4.0 Project Information

If the project is multi-jurisdictional, information must be consolidated in this section.

4.1 Useful Life / Cost Estimate / Age of Infrastructure

Project Useful Life: 15 Years Age: 2005 (Year built or year of last major improvement)

Attach Registered Professional Engineer's statement, with seal or stamp and signature confirming the project's useful life indicated above and detailed cost estimate.

4.2 User Information

Road or Bridge: Current ADT 1,394 Year 2018 Projected ADT 1,674 Year 2038

Water / Wastewater: Based on monthly usage of 4,500 gallons per household; attach current ordinances.

Residential Water Rate Current \$ _____ Proposed \$ _____

Number of households served: _____

Residential Wastewater Rate Current \$ _____ Proposed \$ _____

Number of households served: _____

Stormwater: Number of households served: _____

4.3 Project Description

A: SPECIFIC LOCATION (Supply a written location description that includes the project termini; a map does not replace this requirement.) 500 character limit.

Road S From Road 16 to US Route 6
Road P1 From Road 16 to Road 17
Road R From Road 14B to Road 15
Road C From State Route 65 to Road 3

B: PROJECT COMPONENTS (Describe the specific work to be completed; the engineer's estimate does not replace this requirement) 1,000 character limit.

Road S is to be widened from 16.25' to 18.25' and resurfaced with 1.5" of 823 Surface Course
Road P1 is to be widened from 16.25' to 18.25' and resurfaced with 1.5" of 823 Surface Course
Road R is to be widened from 16.25' to 18.25' and resurfaced with 1.5" of 823 Surface Course
Road C is to be widened from 15.5' to 17.5' and resurfaced with 1.5" of 823 Surface Course

C: PHYSICAL DIMENSIONS (Describe the physical dimensions of the existing facility and the proposed facility. Include length, width, quantity and sizes, mgd capacity, etc in detail.) 500 character limit.

Road S is 16.25' wide and will be widened to 18.25' and is 8,500' long
Road P1 is 16.25' and will be widened to 18.25' wide and is 5,290' long
Road R is 16.25' and will be widened to 18.25' wide and is 2,625' long
Road C is 15.5' and will be widened to 17.5' wide and is 5,300' long

5.0 Project Officials

Changes in Project Officials must be submitted in writing from an officer of record.

5.1 Chief Executive Officer (Person authorized in legislation to sign project agreements)

Name: Glenn Miller
Title: President, Henry County Commissioners
Address: 1853 Oakwood Avenue

City: Napoleon State: Oh Zip: 43545
Phone: (419) 592-4876
FAX: (419) 592-4016
E-Mail: commissioners@henrycountyohio.com

5.2 Chief Financial Officer (Can not also serve as CEO)

Name: Kevin Garringer
Title: County Auditor
Address: 660 North Perry Street

City: Napoleon State: OH Zip: 43545
Phone: (419) 592-1956
FAX: (419) 592-4024
E-Mail: auditor@henrycountyohio.com

5.3 Project Manager

Name: Timothy J Schumm, PE,PS
Title: County Engineer
Address: 1823 Oakwood Avenue

City: Napoleon State: OH Zip: 43545
Phone: (419) 592-2976
FAX: (419) 592-5508
E-Mail: tschumm@henrycountyengineer.com

6.0 Attachments / Completeness review

Confirm in the boxes below that each item listed is attached (Check each box)

- A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- A certification signed by the applicant's chief financial officer stating the amount of all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's seal or stamp and signature.
- A cooperative agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- Farmland Preservation Review - The Governor's Executive Order 98-IV, "Ohio Farmland Protection Policy" requires the Commission to establish guidelines on how it will take protection of productive agricultural and grazing land into account in its funding decision making process. Please include a Farm Land Preservation statement for projects that have an impact on farmland.
- Capital Improvements Report. CIR Required by O.R.C. Chapter 164.06 on standard form.
- Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your local District Public Works Integrating Committee.

7.0 Applicant Certification

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.

Glenn Miller, Commissioner

Certifying Representative (Printed form, Type or Print Name and Title)

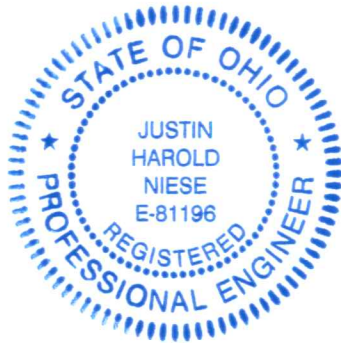

Original Signature / Date Signed

ENGINEER'S CERTIFICATION
FOR
INFRASTRUCTURE PROJECT APPLICATION

To: Michael Miller
Ohio Public Works Commission
Columbus, OH

Please accept this certification that I, Justin H. Niese, PE, PS, have examined the project application listed in the attached document, and in my opinion, the estimated total cost of \$650,000.00 is reasonable and appropriate. I further certify the project to have a minimum life of 15 years.

- (1) Title of Project: Roads 2019 Widening Program
- (2) Political Subdivision Submitting this Project: Henry County
- (3) Type of Project: Road Widening and Overlay
- (4) Amount of Funds Requested: \$325,000
- (5) Local number assigned to this project: N/A



Respectfully Submitted,

 08-16-18
Justin H. Niese, P.E., P.S.
Henry County Deputy Engineer

2019 Widening Program

The undersigned, Justin H. Niese, PE, PS, Henry County Deputy Engineer does hereby certify the attached project estimate to be reasonable and appropriate based on previously bid unit prices.



Justin H. Niese 08-16-18
Justin H. Niese, P.E., P.S.
Henry County Deputy Engineer
Ohio P.E. #81196

Ref. No.	Item No.	Quant.	Units	Item	ITEMIZED PROPOSAL	
					Total Unit	Total Price
(Freedom Township) Township Road S From Township Road 16 to US Route 6 Length: 8500 feet or 1.61 miles Width: 18.25 Feet (Approx. 17285 S.Y. including radius and driveway work) Type: Resurfacing with ODOT Supplement Spec. 823 (Type 1) Surface Course With Spec 301 Widening W/617 Berm @ 2 Feet Wide Each Side						
1	202	3	EA	Remove & Relocate Mailbox/Paperbox	\$ 250.00	\$ 750.00
2	203	262	C.Y.	Excavation (2' Widening North Side, Depth of 5", 100% of material layered over as additional embankment and Mailbox Turnout)	\$ 30.00	\$ 7,860.00
3	203	2,000	C.Y.	Excavation, as per plans	\$ 25.00	\$ 50,000.00
4	203	700	C.Y.	Embankment, as per plans (can use excavated material from Ref. #2 & Ref. #3). Excavated roadside material can not be used as embankment in home yards.	\$ 25.00	\$ 17,500.00
5	204	1,890	S.Y.	Subgrade Compaction	\$ 1.50	\$ 2,835.00
6	301	262	C.Y.	Bituminous Aggregate Base, (5" average compacted depth, includes 407 tack coat on vertical face)	\$ 150.00	\$ 39,300.00
7	407	865	Gal	Bituminous Tack Coat applied at 0.05 gallons per square yard	\$ 2.50	\$ 2,162.50
8	823	750	C.Y.	Asphalt concrete surface course PG 64-22 (Light Traffic), Type 1 applied, spread, and compacted at the average depth of 1.5 inches	\$ 158.00	\$ 118,500.00
9	617	525	C.Y.	Stabilized crushed aggregate berm in place and compacted at the average depth of 4 inches	\$ 60.00	\$ 31,500.00
10	Spec.	5	EA	Formed Construction Joint (250 L.F.)	\$ 900.00	\$ 4,500.00
11	614	1	L.S.	Maintaining Traffic	\$ 2,000.00	\$ 2,000.00
12	624	1	L.S.	Mobilization	\$ 2,000.00	\$ 2,000.00
13	659	10,250	S.Y.	Seeding and Mulching	\$ 2.00	\$ 20,500.00
14	659	100.00	M. Gal	Water	\$ 10.00	\$ 1,000.00
15	659	0.92	Ton	Commercial Fertilizer	\$ 600.00	\$ 552.00
16	103.05	1	L.S.	Contract Performance & Payment Bond	\$ 1,040.50	\$ 1,040.50
Freedom Township Road S Total					\$	\$ 302,000.00

Ref. No.	Item No.	Quant.	Units	Item	ITEMIZED PROPOSAL	
					Total Unit	Total Price
(Napoleon Township) Road P1 from Road 16 to Road 17						
Length: 5290 Feet or 1 Mile(s)						
Width: 18.25 Feet (Approx. 10810 S.Y. including radius and driveway work)						
Type: Resurfacing with ODOT Supplement Spec: 823 (Type 1) Surface Course						
With Spec 301 Widening W/617 Berm @ 1.5 Feet Wide Each Side						
17	202	9	EA	Remove & Relocate Mailbox/Paperbox	\$ 250.00	\$ 2,250.00
18	202	10	S.Y.	Concrete Drive Removed for Widening	\$ 60.00	\$ 600.00
19	203	163	C.Y.	Excavation (2' Widening North Side, Depth of 5", 100% of material layed over as additional embankment and Mailbox Turnout)	\$ 43.00	\$ 7,009.00
20	204	1,175	S.Y.	Subgrade Compaction	\$ 1.00	\$ 1,175.00
21	254	485	S.Y.	Pavement Planning (Approx. 2" Deep), Price includes removal of cuttings	\$ 2.00	\$ 970.00
22	301	163	C.Y.	Bituminous Aggregate Base, (5" average compacted depth, includes 407 tack coat on vertical face)	\$ 150.00	\$ 24,450.00
23	407	565	Gal	Bituminous Tack Coat applied at 0.05 gallons per square yard	\$ 2.60	\$ 1,469.00
24	823	27	C.Y.	Asphalt concrete intermediate course PG 64-22 (Light Traffic), Type 1 applied, spread, and compacted at the average depth of 2 inches	\$ 158.00	\$ 4,266.00
25	823	450	C.Y.	Asphalt concrete surface course PG 64-22 (Light Traffic), Type 1 applied, spread, and compacted at the average depth of 1.5 inches	\$ 158.00	\$ 71,100.00
26	617	147	C.Y.	Stabilized crushed aggregate berm in place and compacted at the average depth of 3 inches	\$ 60.00	\$ 8,820.00
27	Spec.	2	EA	Formed Construction Joint (115 L.F.)	\$ 900.00	\$ 1,800.00
28	614	1	L.S.	Maintaining Traffic	\$ 2,000.00	\$ 2,000.00
29	624	1	L.S.	Mobilization	\$ 2,000.00	\$ 2,000.00
30	103.05	1	L.S.	Contract Performance & Payment Bond	\$ 1,091.00	\$ 1,091.00
Napoleon Township Road P1 Total					\$	129,000.00

Ref. No.	Item No.	Quant.	Units	Item	ITEMIZED PROPOSAL	
					Total Unit	Total Price

(Napoleon Township) Township Road R From County Road 14B to County Road 15

Length: 2625 feet or 0.5 miles

Width: 18.25 Feet (Approx. 5450 S.Y. including radius and driveway work)

Type: Resurfacing with ODOT Supplement Spec. 823 (Type 1) Surface Course

With Spec 301 Widening W/617 Berm @ 2 Feet Wide Each Side

31	202	2	EA	Remove & Relocate Mailbox/Paperbox	\$	250.00	\$	500.00
32	202	10	S.Y.	Concrete Drive Removed for Widening	\$	60.00	\$	600.00
33	203	81	C.Y.	Excavation (2' Widening North Side, Depth of 5", 100% of material layed over as additional embankment and Mailbox Turnout)	\$	30.00	\$	2,430.00
34	203	810	C.Y.	Embankment, as per plans (can use excavated material from Ref. #33). Excavated roadside material can not be used as embankment in home yards.	\$	25.00	\$	20,250.00
35	204	585	S.Y.	Subgrade Compaction	\$	1.50	\$	877.50
36	301	81	C.Y.	Bituminous Aggregate Base, (5" average compacted depth, includes 407 tack coat on vertical face)	\$	150.00	\$	12,150.00
37	407	275	Gal	Bituminous Tack Coat applied at 0.05 gallons per square yard	\$	2.50	\$	687.50
38	823	258	C.Y.	Asphalt concrete surface course PG 64-22 (Light Traffic), Type 1 applied, spread, and compacted at the average depth of 1.5 inches	\$	158.00	\$	40,764.00
39	617	130	C.Y.	Stabilized crushed aggregate berm in place and compacted at the average depth of 4 inches	\$	60.00	\$	7,800.00
40	Spec.	2	EA	Formed Construction Joint (180 L.F.)	\$	900.00	\$	1,800.00
41	614	1	L.S.	Maintaining Traffic	\$	2,000.00	\$	2,000.00
42	624	1	L.S.	Mobilization	\$	2,000.00	\$	2,000.00
43	659	2,450	S.Y.	Seeding and Mulching	\$	2.00	\$	4,900.00
44	659	200.00	M. Gal	Water	\$	10.00	\$	2,000.00
45	659	0.25	Ton	Commercial Fertilizer	\$	600.00	\$	150.00
46	103.05	1	L.S.	Contract Performance & Payment Bond	\$	1,091.00	\$	1,091.00
Napoleon Township Road R Total					\$	100,000.00	\$	100,000.00

Ref. No.	Item No.	Quant.	Units	Item	ITEMIZED PROPOSAL	
					Total Unit	Total Price
(Barlow Township) Township Road C from State Route 65 to Township Road 3						
Length: 5300 Feet or 1 Mile(s)						
Width: 17.5 Feet (Approx. 10465 S.Y. including radius and driveway work)						
Type: Resurfacing with ODOT Supplement Spec. 823 (Type 1) Surface Course						
With Spec 301 Widening W/617 Berm @ 1.5 Feet Wide Each Side						
47	202	4	EA	Remove & Relocate Mailbox/Paperbox	\$ 250.00	\$ 1,000.00
48	203	164	C.Y.	Excavation (2' Widening North Side, Depth of 5", 100% of material layed over as additional embankment and Mailbox Turnout)	\$ 35.00	\$ 5,740.00
49	204	1,180	S.Y.	Subgrade Compaction	\$ 1.50	\$ 1,770.00
50	301	164	C.Y.	Bituminous Aggregate Base, (5" average compacted depth, includes 407 tack coat on vertical face)	\$ 150.00	\$ 24,600.00
51	407	525	Gal	Bituminous Tack Coat applied at 0.05 gallons per square yard	\$ 2.50	\$ 1,312.50
52	823	436	C.Y.	Asphalt concrete surface course PG 64-22 (Light Traffic), Type 1 applied, spread, and compacted at the average depth of 1.5 inches	\$ 158.00	\$ 68,888.00
53	617	148	C.Y.	Stabilized crushed aggregate berm in place and compacted at the average depth of 3 inches	\$ 60.00	\$ 8,880.00
54	Spec.	2	EA	Formed Construction Joint (115 L.F.)	\$ 900.00	\$ 1,800.00
55	614	1	L.S.	Maintaining Traffic	\$ 2,000.00	\$ 2,000.00
56	624	1	L.S.	Mobilization	\$ 2,000.00	\$ 2,000.00
57	103.05	1	L.S.	Contract Performance & Payment Bond	\$ 1,009.50	\$ 1,009.50
Barlow Township Road C Total					\$	\$ 119,000.00

TOTAL OF PROJECT	\$	650,000.00
-------------------------	-----------	-------------------



Justin Harold Niese
08-16-18

Revised: April 17, 2018

**DISTRICT 5
CAPITAL IMPROVEMENT PROJECTS
QUESTIONNAIRE
ROUND 33**

Name of Applicant: Henry County

Project Title: 2019 Widening Program

The following questions are to be answered for each application submitted for State Issue II SCIP, LTIP and Loan Projects. Please provide specific information using the best documentation available to you. Justification of your responses to these questions will be required if your project is selected for funding, so please provide correct and accurate responses. **Communities and Townships under 5,000 in population should also complete the Small Government Criteria.**

1. What percentage of the project in repair A= 100 %, replacement B= __%, expansion C= __%, and new D= ____%? (Use dollar amounts of project to figure percentages and make sure the total equals one hundred(100) percent) A+B= 100 % C+D= __%

Repair/Replacement = Repair or Replacement of public facilities owned by the government (any subdivision of the state).

New/Expansion = Replacement of privately owned wells, septic systems, private water or wastewater systems, etc.

2. Give the physical condition rating:

Closed or Not Operating: The condition is unusable, dangerous and unsafe. The primary components have failed. The infrastructure is not functioning at all.

Critical: The condition is causing or contributing to a serious non-compliance situation and is threatening the intended design level of service. The infrastructure is functioning at seriously diminished capacity. Imminent failure is anticipated within 18 months. Repair and/or replacement is required to eliminate the critical condition and meet current design standards. **(For Road Projects structural repair items would represent a minimum of 25% of the total Project Cost).**

Poor: The condition is substandard and requires repair/replacement in order to return to the intended level of service and comply with current design standards. Infrastructure contains a major deficiency and is functioning at a diminished capacity.

Fair: The condition is average, not good or poor. The infrastructure is still functioning as originally intended. Minor deficiencies exist requiring repair to continue to function as originally intended and/or to meet current design standards.

- Good: The condition is safe and suitable to purpose. Infrastructure is functioning as originally intended, but requires minor repairs and/or upgrades to meet current design standards.
- Excellent: The condition is new, or requires no repair. Or, no supporting documentation has been submitted.

* **In order to receive points provide supporting documentation (e.g. photos, a narrative, maintenance history, or third party findings) to justifying the rating.**

3. If the proposed project is not approved what category would best represent the impact on the general health and/or public safety?

ROADS

- Extremely Critical:** Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Major Access Road.*
- Critical: Resurfacing, Restoration and Rehabilitation (3R) of a Major Access Road.*
- Major: Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Minor Access Road.*
- Moderate: Resurfacing, Restoration and Rehabilitation (3R) of a Minor Access Road.*
- Minimal: Preventative Maintenance of a Major Access Road.
- No Impact: Preventative Maintenance of a Minor Access Road.

Projects that have a variety of work will be scored in the LOWEST category of work contained in the Construction Estimate.

Road/Street Classifications:

- Major Access Road:* Roads or streets that have a dual function of providing access to adjacent properties and providing through or connecting service between other roads.
- Minor Access Road:* Roads or streets that primarily provide access to adjacent properties without through continuity, such as cul-de-sacs or loop roads or streets.
- Preventative Maintenance:* Non Structural Pavement work such as chip sealing, cape sealing, microsurfacing, crack sealing, etc.

*(3R) Resurfacing, Restoration and Rehabilitation - Improvements to existing roadways, which have as their main purpose, the restoration of the physical features (pavement, curb, guardrail, etc.) without altering the original design elements.

*(4R) Resurfacing, Restoration, Rehabilitation and Reconstruction - Much like 3R, except that 4R allows for the complete reconstruction of the roadway and alteration of certain design elements (i.e., lane widths, shoulder

width, SSD, etc.).

BRIDGES SUFFICIENCY RATING

- Extremely Critical: 0-25, or a General Appraisal rating of 3 or less.
- Critical: 27-50, or a General Appraisal rating of 4.
- Major: 51-65 or a General Appraisal rating of 5 or 6.
- Moderate: 66-80 or a General Appraisal rating of 7.
- Minimal: 81-100 or a General Appraisal rating of more than 7.
- No Impact: Bridge on a new roadway.

WASTEWATER TREATMENT PLANTS

- Extremely Critical: Environmental Protection Agency (EPA) orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
- Critical: Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: Replace deficient appurtenances. Update existing processes due to EPA recommendations.
- Moderate: Increase capacity to meet current needs or update processes to improve effluent quality.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER TREATMENT PLANT

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Improvements to meet Environmental Protection Agency (EPA) Safe Drinking Water Regulations and/or NPDES Orders.
- Major: Replace deficient appurtenances. Update existing processes due to EPA recommendations.
- Moderate: Increase capacity to meet current needs or update processes to improve water quality.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

COMBINED SEWER SEPARATIONS (May be construction of either new storm or sanitary sewer as long as the result is two separate sewer systems.)

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
- Critical: Separate, due to chronic backup or flooding in basements.
- Major: Separate, due to documented water quality impairment, or due to EPA recommendations.
- Moderate: Separate, due to specific development proposal within or upstream of the combined system area.
- Minimal: Separate, to conform to current design standards.
- No Impact: No positive health effect.

STORM SEWERS

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Chronic flooding (structure damage).
- Major: Inadequate capacity (land damage).
- Moderate: Inadequate capacity with no associated damage.
- Minimal: New/Expansion to meet current needs.
- No Impact: New/Expansion to meet future or project needs.

CULVERTS

- Extremely Critical: Structurally deficient or functionally obsolete. Deterioration has already caused a safety Critical: hazard to the public.
- Critical: Inadequate capacity with land damage and the existing or high probability of property damage.
- Major: Inadequate capacity (land damage).
- Moderate: Inadequate capacity with no associated damage.
- Minimal: New/Expansion to meet current needs.
- No Impact: New/Expansion to meet future or projected needs.

SANITARY SEWERS

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
- Critical: Replace, due to chronic pipe failure, chronic backup or flooding in basements. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: Replace, due to inadequate capacity or infiltration, or due to EPA recommendations.
- Moderate: Rehabilitate to increase capacity to meet current needs or to reduce inflow and infiltration.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

SANITARY LIFT STATIONS AND FORCE MAINS

- Extremely Critical: Structurally deficient. Deterioration has already caused a safety/health hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Inadequate capacity with actual or a high probability of property damage. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: EPA recommendations, or, reduces a probable health and/or safety problem.
- Moderate: Rehabilitate to increase capacity to meet current needs.
- Minimal: New/Expansion to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER PUMP STATIONS

- Extremely Critical: Structurally deficient. Deterioration has already caused a safety hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Inadequate capacity with the inability to maintain pressure required for fire flows.
- Major: Replace due to inadequate capacity or EPA recommendations.
- Moderate: Rehabilitate to increase capacity to meet current needs.

Minimal: New/Expansion to meet a specific development proposal.

No Impact: New/Expansion to meet future or projected needs.

WATER LINES/WATER TOWERS

Extremely Critical: Solve low water pressure or excessive incidents of main breaks in project area.

Critical: Replace, due to deficiency such as excessive corrosion, etc.

Major: Replace undersized water lines as upgrading process.

Moderate: Increase capacity to meet current needs.

Minimal: New/Expansion project to meet a specific development proposal.

No Impact: New/Expansion to meet future or projected needs.

OTHER

Extremely Critical: There is a present health and/or safety threat.

Critical: The project will provide immediate health and/or safety benefit.

Major: The project will reduce a probable health and/or safety problem.

Moderate: The project will delay a health and/or safety problem.

Minimal: A possible future health and/or safety problem mitigation.

No Impact: No health and/or safety effect.

NOTE: Combined projects that can be rated in more than one subset may be rated in the other category at the discretion of the District 5 Executive Committee. In general, the majority of the cost or scope of the project shall determine the category under which the project will be scored.

(Submittals without supporting documentation will receive 0 Points for this question.)

Extremely Critical X , Critical ___ , Major ___ , Moderate ___ , Minimal ___ , No Impact ___ . Explain your answer.

4R improvements on Major Access Roads

(Additional narrative, charts and/or pictures should be attached to questionnaire)

4. Identify the amount of local funds that will be used on the project as a percentage of the total project cost.

A.) Amount of Local Funds = \$ 325,000

B.) Total Project Cost = \$ 650,000

RATIO OF LOCAL FUNDS DIVIDED by TOTAL PROJECT COSTS (A÷B)= 50 %

Note: Local funds should be considered funds derived from the applicant budget or loans funds to be paid back through local budget, assessments, rates or tax revenues collected by the applicant.

5. Identify the amount of other funding sources to be used on the project, excluding State Issue II or LTIP Funds, as a percentage of the total project cost.

Grants 0 % Gifts 0 %, Contributions 0 %

Other 0 % (explain) _____ , Total 0 %

Note: Grant funds and other revenues not contributed or collected through taxes by the applicant should be considered other funds. The Scope of Work for each Funding Source must be the same.

6. Total Amount of SCIP and Loan Funding Requested- An Applicant can request a grant per the categories below for points as indicated on the Priority Rating Sheet. If the Applicant is including a loan request equal to, but not exceeding 50% of the OPWC funding amounts listed below, there will be no point penalty. If loan funds requested are more than 50%, points as listed in the Priority Rating Sheet will apply.

- _____ \$500,001 or More
- _____ \$400,001-\$500,000
- _____ \$325,001-\$400,000
- X \$275,001-\$325,000
- _____ \$175,001-\$275,000
- _____ \$175,000 or Less

There are times when the District spends all of the grant money and has loan money remaining. When this happens, the district makes a loan offer in the amount of the requested grant to the communities that were not funded. The offers are made in the order of scoring. We need to know if you are not successful in obtaining grant dollars for your project if you would be interested in loan money:

YES _____ NO X

(This will only be considered if you are not funded with grant money and there is remaining loan money.) **Please note: if you answer “no” you will not be contacted, only if you answer “yes” will an offer be made in the event that there is loan money remaining.**

7. If the proposed project is funded, will its completion directly result in the creation of permanent full-time equivalent (FTE) jobs (FTE jobs shall be defined as 35 hours/week) ? Yes ___ No X . If yes, how many jobs within eighteen months? ___ Will the completed project retain jobs that would otherwise be

permanently lost? Yes ___ No ___. If yes, how many jobs ___ **will be created/retrained** within 18 months **following the completion of the improvements**?

(Supporting documentation in the form of letter from affected industrial or commercial enterprises that specify full time equivalent jobs that will be retained or created directly by the installation or improvement of Public infrastructure. Additional items such as; 1) newspaper articles or other media news accounts, 2) public meeting minutes, and/or 3) a letter from the County Economic Development Director or State of Ohio Economic Development Professional that alludes to the requirement for the infrastructure improvement to support the business. Submittals without supporting documentation will receive 0 points for this question.)

8. What is the total number of existing users that will directly benefit from the proposed project if completed? 1394 (Use households served, traffic counts, etc. and explain the basis by which you arrived at your number.)

9. Is subdivision's population less than 5,000 Yes ___ No X

If yes, continue. You may want to design your project per Small Government Project Evaluation Criteria, released for the current OPWC Round to assist in evaluating your project for potential Small Government Funding. The Small Government Criteria is available on the OPWC website at <http://www.pwc.state.oh.us/Meth.SG.PDF> If No, skip to Question 11.

10. **OHIO PUBLIC WORKS COMMISSION SMALL GOVERNMENT PROGRAM GUIDELINES**

All projects that are sponsored by a subdivision with a population of 5,000 or less, and not earning enough points for District Funding from SCIP or LTIP Funds, are then rated using the Small Government Program Rating Criteria for the corresponding funding round. In order to be rated the entity must submit the Small Government Supplement and their required budgets with their application. **Only infrastructure that is village- or township- owned is eligible for assistance.** The following policies have been adopted by the Small Government Commission:

- District Integrating Committees may submit up to seven (7) applications for consideration by the Commission. All 7 must be ranked, however, only the top five (5) will be scored. The remaining two (2) will be held as contingency projects should an application be withdrawn.
- Grants are limited to \$500,000. Any assistance above that amount must be in the form of a loan.
 - Grants for new or expanded infrastructure cannot exceed 50% of the project estimate.
- The Commission may deny funding for water and sewer systems that are deemed to be more

cost-effective if regionalized.

•If a water or sewer project is determined to be affordable, the project will be offered a loan rather than a grant. Pay special attention to the **Water & Wastewater Affordability Supplemental and the Small Government Water & Wastewater Affordability Calculation Worksheet. Both are available on the Small Government Program Tab at <http://www.pwc.state.oh.us/SmallGovernment.html>**

•Should there be more projects that meet the “annual score” than there is funding, the tie breaker is those projects which scored highest under Health & Safety, with the second tie breaker being Condition. If multiple projects have equivalent Health & Safety and Condition scores they are arranged according to the amount of assistance from low to high. Once the funded projects are announced, “contingency projects” may be funded from project under-runs by continuing down the approved project list.

• Supplemental assistance is not provided to projects previously funded by the Commission.

•Applicants have 30 days from receipt of application by OPWC without exception to provide additional documentation to make the application more competitive under the Small Government criteria. Applications will be scored after the 30-day period has expired. The applicants for each District's two (2) contingency projects will have the same 30-day period to submit supplemental information but these applications will not be scored unless necessary to do so. **It is each applicant’s responsibility for determining the need for supplemental material. The applicant will not be asked for or notified of missing information unless the Commission has changed the project type and it affects the documentation required. Important information may include, but is not limited to: age of infrastructure, traffic counts or utility users, median income information, user rates ordinances, and the Auditor’s Certificate of Estimated Revenues or documentation from the Auditor of State that subdivision is in a state of fiscal emergency.**

If you desire to have your Round 33 project considered for Small Government Funding please download the Small Government Evaluation Criteria applicable to Round 33 by accessing the OPWC Website at <http://www.pwc.state.oh.us/Meth.SG.PDF>. Please complete the Small Government Evaluation Criteria and attach all required supporting documentation and attach it to the District 5 Questionnaire for Round 32.

11. MANDATORY INFORMATION, DISTRICT 5, DISCRETIONARY RANKING POINTS

List all specific user fees: Amount or
ROAD & BRIDGE PROJECTS:(OHIO REVISED CODE) Percentage

Permissive license fee	4504.02 or 4504.06	<u>100%</u>
	4504.15 or 4504.17	<u>100%</u>
	4504.16 or 4504.171	<u>100%</u>
	4504.172	_____
	4504.18	_____
Special property taxes	5555.48	_____
	5555.49	_____

Municipal Income Tax _____

County Sales Tax _____

Others _____

(DO NOT INCLUDE SCHOOL TAXES)

SPECIFIC PROJECT AREA INFORMATION.

Median household income \$52,526 (2014 dollars) _____

Monthly utility rate: Water _____

Sewer _____

Other _____

List any special user fees or assessment (be specific)

POLITICAL SUBDIVISION= Henry _____

COUNTY= Henry _____

DISCRETIONARY POINTS (BY DISTRICT COMMITTEE ONLY)= _____

(25-20-15)

Date: August 16, 2018 _____

Signature:  _____

Title: Henry County Deputy Engineer _____

Address: 1823 Oakwood Avenue, Napoleon OH 43545 _____

Phone: 419-592-2976 _____

FAX: 419-592-5508 _____

Email: jniese@henrycountyengineer.com _____

HENRY COUNTY ENGINEER'S OFFICE

TRAFFIC COUNT FOR: Road 5

BETWEEN ROADS: Road 16 - U.S. Route 6

BY: Justin Niese

DATE: 07-16-18 - 07-17-18

TIME: 11:15Am / 11:15Am

WEEKDAY: Monday - Tuesday

UNIT COUNT: <u>842</u>	DIVIDE BY: <u>2</u>
------------------------	---------------------

TOTAL VEHICLES: 421

1.0 24 HR. FACTOR
ODOT (F.C. 09)

24 HR. ADT: 421

1.047 SEASONAL FACTOR
ODOT (F.C. 09)

24 HR. ADJ. ADT: 441

1.2 20 YR. ADJ. FACTOR
ODOT (F.C. 09)

20 YR. ADT: 530

HENRY COUNTY ENGINEER'S OFFICE

TRAFFIC COUNT FOR: Road P1

BETWEEN ROADS: Road 16 - Road 17

BY: Justin Niese

DATE: 7-24-17 - 7-25-17

TIME: 9:00 AM / 9:00 AM

WEEKDAY: Monday - Tuesday

UNIT COUNT: <u>486</u>	DIVIDE BY: <u>2</u>
------------------------	---------------------

TOTAL VEHICLES: 243

1.0 24 HR. FACTOR
ODOT (F.C. 09)

24 HR. ADT: 243

1.047 SEASONAL FACTOR
ODOT (F.C. 09)

24 HR. ADJ. ADT: 254

1.2 20 YR. ADJ. FACTOR
ODOT (F.C. 09)

20 YR. ADT: 305

Revised 4/08

C:\Documents and Settings\user\My Documents\Roads

HENRY COUNTY ENGINEER'S OFFICE

TRAFFIC COUNT FOR: Road 2

BETWEEN ROADS: Road 14B - Road 15

BY: Justin Niese

DATE: 07-16-18 - 07-17-18

TIME: 11:30 Am / 11:30 Am

WEEKDAY: Monday - Tuesday

UNIT COUNT: <u>1090</u>	DIVIDE BY: <u>2</u>
-------------------------	---------------------

TOTAL VEHICLES: 545

1.0 24 HR. FACTOR
ODOT (F.C. 09)

24 HR. ADT: 545

0.989 SEASONAL FACTOR
ODOT (F.C. 09)

24 HR. ADJ. ADT: 539

1.2 20 YR. ADJ. FACTOR
ODOT (F.C. 09)

20 YR. ADT: 647

HENRY COUNTY ENGINEER'S OFFICE

TRAFFIC COUNT FOR: Road C

BETWEEN ROADS: Road 3 - State Route 65

BY: Justin Niese

DATE: 7-25-17 - 7-26-17

TIME: 11:15 Am / 11:15 Am

WEEKDAY: Tuesday - Wednesday

UNIT COUNT: 338	DIVIDE BY: 2
-----------------	--------------

TOTAL VEHICLES: 169

1.0 24 HR. FACTOR
ODOT (F.C. 09)

24 HR. ADT: 169

0.945 SEASONAL FACTOR
ODOT (F.C. 09)

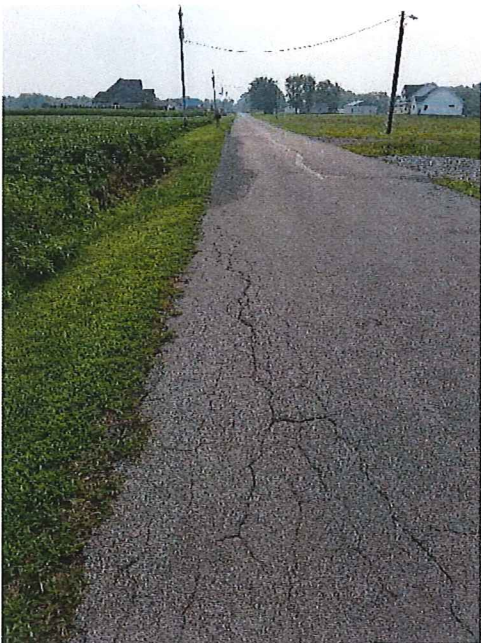
24 HR. ADJ. ADT: 160

1.2 20 YR. ADJ. FACTOR
ODOT (F.C. 09)

20 YR. ADT: 192



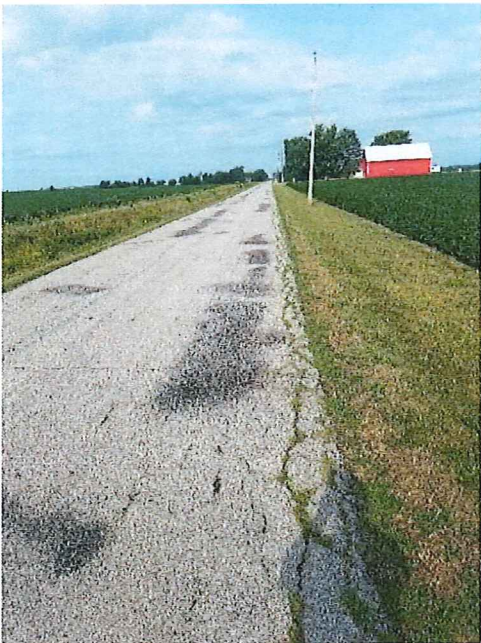
Road S – Various locations of cracks, patches, and close approximately of the ditch along road



Road P1 - Various locations of cracks and patches



Road R – Various locations of cracks, patches, and close approximately of the ditch along road



Road C - Various locations of cracks and patches

AUTHORIZING LEGISLATION

A RESOLUTION AUTHORIZING Alan L. Damman (Chairman) TO PREPARE AND SUBMIT AN APPLICATION TO PARTICIPATE IN THE OHIO PUBLIC WORKS COMMISSION STATE CAPITAL IMPROVEMENT AND / OR LOCAL TRANSPORTATION IMPROVEMENT PROGRAM(S) AND TO EXECUTE CONTRACTS AS REQUIRED

WHEREAS, the State Capital Improvement Program and the Local Transportation Improvement Program both provide financial assistance to political subdivisions for capital improvements to public infrastructure, and

WHEREAS, Freedom Township is planning to make capital improvements to Road S and,

WHEREAS, the infrastructure improvement herein above described is considered to be a priority need for the community and is a qualified project under the OPWC programs,

NOW THEREFORE, BE IT RESOLVED by Freedom Township:

Section 1: Alan L. Damman (Chairman) is hereby authorized to apply to the OPWC for funds as described above.

Section 2: Alan L. Damman (Chairman) is authorized to enter into any agreements as may be necessary and appropriate for obtaining this financial assistance.

Passed: 22, Sept., 2018

Alan Damman
Chairman

Dennis J. Bockelman
Fiscal Officer

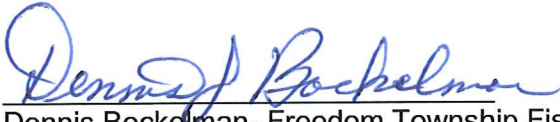
Gregory J. Bockelman
Trustee

Scott V. Vally
Trustee

**CHIEF FINANCIAL OFFICER'S CERTIFICATION OF LOCAL FUNDS /
LOAN REPAYMENT LETTER**

22, Sept., 2018

I, Dennis Bockelman of Freedom Township, hereby certify that the Township has the amount of \$151,000 in the 2021/2031 (account/ fund) and that this amount will be used to pay the local share for the Henry County 2019 Widening Project when it is required.



Dennis Bockelman- Freedom Township Fiscal Officer

COOPERATION AGREEMENT

Henry County Engineer (lead) Resolution Number: _____ Date: _____

Freedom Township Resolution Number: 092218 Date: 22, Sept. 2018

Napoleon Township Resolution Number: _____ Date: _____

Bartlow Township Resolution Number: _____ Date: _____

Henry County (lead), Freedom, Napoleon and Bartlow Township enter into a cooperative agreement to submit an application to the Ohio Public Works Commission for the Roads S, P1, R, and C.

Henry County will only be the lead applicant and collect funds.

Freedom Township will collect and provide funds totaling \$151,000.00 of the cost of the above referenced project. Such funds will come from the 2021/2031 fund.

Napoleon Township will collect and provide funds totaling \$114,500.00 of the cost of the above referenced project. Such funds will come from the _____ fund.

Bartlow Township will collect and provide funds totaling \$59,500.00 of the cost of the above referenced project. Such funds will come from the _____ fund.

Freedom, Napoleon, and Bartlow Township authorizes the Henry County to be the lead applicant and to sign all necessary documents.

Henry County (lead), Freedom, Napoleon, and Bartlow Township agree to pay their respected costs of the completed project as determined by the Henry County Engineer which will vary from the estimated quantities and/or costs found in the Engineers estimates and above. All parties agree to pay invoices that are due/at the end of the project/as otherwise agreed upon.

Signature Page for COOP Agreement

Henry County

Alan Damma

Freedom Township Trustee

Napoleon Township Trustee

Bartlow Township Trustee

COOPERATION AGREEMENT

Henry County Engineer (lead) Resolution Number: _____ Date: _____

Freedom Township Resolution Number: _____ Date: _____

Napoleon Township Resolution Number: 18-09-01 Date: 9.18.18

Bartlow Township Resolution Number: _____ Date: _____

Henry County (lead), Freedom, Napoleon and Bartlow Township enter into a cooperative agreement to submit an application to the Ohio Public Works Commission for the Roads S, P1, R, and C.

Henry County will only be the lead applicant and collect funds.

Freedom Township will collect and provide funds totaling \$151,000.00 of the cost of the above referenced project. Such funds will come from the _____ fund.

Napoleon Township will collect and provide funds totaling \$114,500.00 of the cost of the above referenced project. Such funds will come from the _____ fund.

Bartlow Township will collect and provide funds totaling \$59,500.00 of the cost of the above referenced project. Such funds will come from the _____ fund.

Freedom, Napoleon, and Bartlow Township authorizes the Henry County to be the lead applicant and to sign all necessary documents.

Henry County (lead), Freedom, Napoleon, and Bartlow Township agree to pay their respected costs of the completed project as determined by the Henry County Engineer which will vary from the estimated quantities and/or costs found in the Engineers estimates and above. All parties agree to pay invoices that are due/at the end of the project/as otherwise agreed upon.

Signature Page for COOP Agreement

Henry County

Kevin E. Schuenberg
Napoleon Township Trustee

Freedom Township Trustee

Bartlow Township Trustee

CHIEF FINANCIAL OFFICER'S CERTIFICATION OF LOCAL FUNDS /
LOAN REPAYMENT LETTER

September 18, 2018

I, Susan Witt of Napoleon Township, hereby certify that the Township has the amount of \$114,500 in the _____ (account/ fund) and that this amount will be used to pay the local share for the Henry County 2019 Widening Project when it is required.



Susan Witt- Napoleon Township Fiscal Officer

AUTHORIZING LEGISLATION

A RESOLUTION AUTHORIZING Kevin Sonnenberg (Chairman) TO PREPARE AND SUBMIT AN APPLICATION TO PARTICIPATE IN THE OHIO PUBLIC WORKS COMMISSION STATE CAPITAL IMPROVEMENT AND / OR LOCAL TRANSPORTATION IMPROVEMENT PROGRAM(S) AND TO EXECUTE CONTRACTS AS REQUIRED

WHEREAS, the State Capital Improvement Program and the Local Transportation Improvement Program both provide financial assistance to political subdivisions for capital improvements to public infrastructure, and

WHEREAS, Napoleon Township is planning to make capital improvements to Road P1 and Road R and,

WHEREAS, the infrastructure improvement herein above described is considered to be a priority need for the community and is a qualified project under the OPWC programs,

NOW THEREFORE, BE IT RESOLVED by Napoleon Township:

Section 1: Kevin Sonnenberg (Chairman) is hereby authorized to apply to the OPWC for funds as described above.

Section 2: Kevin Sonnenberg (Chairman) is authorized to enter into any agreements as may be necessary and appropriate for obtaining this financial assistance.

Passed: 3-0, 2018

Kevin E. Sonnenberg
Chairman

Chris Walt
Fiscal Officer

[Signature]
Trustee

Kevin Secker
Trustee