



September 7, 2018

Erie Regional Planning Commission
2900 Columbus Avenue
Sandusky, Ohio 44870

Re: Barden Street & Brailey Street Improvements
OPWC Application
Castalia, Ohio
PDG Opportunity No.: 128500-00009

To Whom It May Concern:

Enclosed is one original and one copy of the above-referenced OPWC application, submitted on behalf of the Village of Castalia. The Village would like this application to be considered for OPWC funding.

If there are any questions, please do not hesitate to contact this office.

Sincerely,

POGGEMEYER DESIGN GROUP, INC.

Michelle Hister
Project Administration Assistant

Cc: Randy Whyde, Mayor

State of Ohio
Public Works Commission
Application for Financial Assistance

IMPORTANT: Please consult "Instructions for Financial Assistance for Capital Infrastructure Projects" for guidance in completion of this form.

Applicant

Applicant: Village of Castalia Subdivision Code: 043-12476
 District Number: 5 County: Erie Date: 09/06/2018
 Contact: Randy Whyde, Mayor Phone: (419) 684-5161
(The individual who will be available during business hours and who can best answer or coordinate the response to questions)
 Email: rwhyde@buckeye-express.com FAX: (419) 684-5161

Project

Project Name: Barden Street & Brailey Street Improvements Zip Code: 44824

Subdivision Type	Project Type	Funding Request Summary	
<small>(Select one)</small>	<small>(Select single largest component by \$)</small>	<small>(Automatically populates from page 2)</small>	
<input type="checkbox"/> 1. County	<input checked="" type="checkbox"/> 1. Road	Total Project Cost:	<u>124,000.00</u>
<input type="checkbox"/> 2. City	<input type="checkbox"/> 2. Bridge/Culvert	1. Grant:	<u>62,000.00</u>
<input type="checkbox"/> 3. Township	<input type="checkbox"/> 3. Water Supply	2. Loan:	<u>52,000.00</u>
<input checked="" type="checkbox"/> 4. Village	<input type="checkbox"/> 4. Wastewater	3. Loan Assistance/ Credit Enhancement:	<u>0.00</u>
<input type="checkbox"/> 5. Water (6119 Water District)	<input type="checkbox"/> 5. Solid Waste	Funding Requested:	<u>114,000.00</u>
	<input type="checkbox"/> 6. Stormwater		

District Recommendation (To be completed by the District Committee)

Funding Type Requested	SCIP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<small>(Select one)</small>		
<input type="checkbox"/> State Capital Improvement Program	RLP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<input type="checkbox"/> Local Transportation Improvement Program	Grant:	Amount: _____ .00
<input type="checkbox"/> Revolving Loan Program	LTIP:	Amount: _____ .00
<input type="checkbox"/> Small Government Program	Loan Assistance / Credit Enhancement:	Amount: _____ .00
District SG Priority: _____		

For OPWC Use Only

STATUS: _____	Grant Amount: _____ .00	Loan Type: <input type="checkbox"/> SCIP <input type="checkbox"/> RLP
Project Number: _____	Loan Amount: _____ .00	Date Construction End: _____
	Total Funding: _____ .00	Date Maturity: _____
Release Date: _____	Local Participation: _____ %	Rate: _____ %
OPWC Approval: _____	OPWC Participation: _____ %	Term: _____ Yrs

1.0 Project Financial Information (All Costs Rounded to Nearest Dollar)

1.1 Project Estimated Costs

Engineering Services

Preliminary Design:	<u>1,900</u> .00		
Final Design:	<u>6,050</u> .00		
Construction Administration:	<u>10,550</u> .00		
Total Engineering Services:	a.) <u>18,500</u> .00	<u>19</u> %	
Right of Way:	b.) _____ .00		
Construction:	c.) <u>95,000</u> .00		
Materials Purchased Directly:	d.) _____ .00		
Permits, Advertising, Legal:	e.) <u>1,000</u> .00		
Construction Contingencies:	f.) <u>9,500</u> .00	<u>10</u> %	
Total Estimated Costs:	g.) <u>124,000</u> .00		

1.2 Project Financial Resources

Local Resources

Local In-Kind or Force Account:	a.) _____ .00		
Local Revenues:	b.) <u>10,000</u> .00		
Other Public Revenues:	c.) _____ .00		
ODOT / FHWA PID: _____	d.) _____ .00		
USDA Rural Development:	e.) _____ .00		
OEPA / OWDA:	f.) _____ .00		
CDBG:	g.) _____ .00		
<input type="checkbox"/> County Entitlement or Community Dev. "Formula"			
<input type="checkbox"/> Department of Development			
Other: _____	h.) _____ .00		
Subtotal Local Resources:	i.) <u>10,000</u> .00	<u>8</u> %	

OPWC Funds (Check all requested and enter Amount)

Grant: <u>54</u> % of OPWC Funds	j.) <u>62,000</u> .00		
Loan: <u>46</u> % of OPWC Funds	k.) <u>52,000</u> .00		
Loan Assistance / Credit Enhancement:	l.) <u>0</u> .00		
Subtotal OPWC Funds:	m.) <u>114,000</u> .00	<u>92</u> %	
Total Financial Resources:	n.) <u>124,000</u> .00	<u>100</u> %	

1.3 Availability of Local Funds

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local resources required for the project will be available on or before the earliest date listed in the Project Schedule section. The OPWC Agreement will not be released until the local resources are certified. Failure to meet local share may result in termination of the project. Applicant needs to provide written confirmation for funds coming from other funding sources.

2.0 Repair / Replacement or New / Expansion

2.1 Total Portion of Project Repair / Replacement:	_____ 124,000 .00	_____ 100 %	A Farmland Preservation letter is required for any impact to farmland
2.2 Total Portion of Project New / Expansion:	_____ 0 .00	_____ 0 %	
2.3 Total Project:	_____ 124,000 .00	_____ 100 %	

3.0 Project Schedule

3.1 Engineering / Design / Right of Way	Begin Date: _____ 07/01/2019	End Date: _____ 12/31/2019
3.2 Bid Advertisement and Award	Begin Date: _____ 01/01/2020	End Date: _____ 02/29/2020
3.3 Construction	Begin Date: _____ 03/01/2020	End Date: _____ 08/31/2020

Construction cannot begin prior to release of executed Project Agreement and issuance of Notice to Proceed. Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by project official of record and approved by the Commission once the Project Agreement has been executed.

4.0 Project Information

If the project is multi-jurisdictional, information must be consolidated in this section.

4.1 Useful Life / Cost Estimate / Age of Infrastructure

Project Useful Life: _____ 16 _____ Years Age: _____ (Year built or year of last major improvement)

Attach Registered Professional Engineer's statement, with seal or stamp and signature confirming the project's useful life indicated above and detailed cost estimate.

4.2 User Information

Road or Bridge: Current ADT _____ 354 _____ Year _____ 2018 _____ Projected ADT _____ Year _____

Water / Wastewater: Based on monthly usage of 4,500 gallons per household; attach current ordinances.

Residential Water Rate Current \$ _____ Proposed \$ _____

Number of households served: _____

Residential Wastewater Rate Current \$ _____ Proposed \$ _____

Number of households served: _____

Stormwater: Number of households served: _____

4.3 Project Description

- A: **SPECIFIC LOCATION** (Supply a written location description that includes the project termini; a map does not replace this requirement.) 500 character limit.

The Village of Castalia is proposing the partial reconstruction of Barden Street and Brailey Street.

- B: **PROJECT COMPONENTS** (Describe the specific work to be completed; the engineer's estimate does not replace this requirement) 1,000 character limit.

The proposed improvements consist of the partial reconstruction of nearly 1,275 feet of Barden Street and 300 feet of Brailey Street. The partial reconstruction will include the removal of all existing asphalt, recompaction of the existing base and two courses of new asphalt pavement. The project also allows for the replacement of at least one substandard catch basin within the pavement limits and the removal and replacement of any bad subbase.

- C: **PHYSICAL DIMENSIONS** (Describe the physical dimensions of the existing facility and the proposed facility. Include length, width, quantity and sizes, mgd capacity, etc in detail.) 500 character limit.

225 CY Excavation of Subgrade	225 CY Granular Material
1 EA Catch Basin Removed	3300 SY Pavement Planing, Asphalt Concrete
180 Gal Non-Tracking Tack Coat	
230 CY 2-1/2" Asphalt Concrete Intermediate Course, Type 2	
135 CY 1-1/2" Asphalt Concrete Surface Course, Type 1	
1 LS Maintaining Traffic	1 LS Mobilization
1 LS Preconstruction Video	1 LS Pavement Markings

5.0 Project Officials

Changes in Project Officials must be submitted in writing from an officer of record.

5.1 Chief Executive Officer (Person authorized in legislation to sign project agreements)

Name: Randy Whyde
Title: Mayor
Address: 126 Main Street

City: Castalia State: OH Zip: 44824
Phone: (419) 684-5161
FAX: (419) 684-5161
E-Mail: rwhyde@buckeye-express.com

5.2 Chief Financial Officer (Can not also serve as CEO)

Name: Robert Day
Title: Fiscal Officer
Address: 126 Main Street

City: Castalia State: OH Zip: 44824
Phone: (419) 684-5161
FAX: (419) 684-5161
E-Mail: bobday@daysltd.com

5.3 Project Manager

Name: Randy Whyde
Title: Mayor
Address: 126 Main Street

City: Castalia State: OH Zip: 44824
Phone: (419) 684-5161
FAX: (419) 684-5161
E-Mail: rwhyde@buckeye-express.com

6.0 Attachments / Completeness review

Confirm in the boxes below that each item listed is attached (Check each box)

- A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- A certification signed by the applicant's chief financial officer stating the amount of all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's seal or stamp and signature.
- A cooperative agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- Farmland Preservation Review - The Governor's Executive Order 98-IV, "Ohio Farmland Protection Policy" requires the Commission to establish guidelines on how it will take protection of productive agricultural and grazing land into account in its funding decision making process. Please include a Farm Land Preservation statement for projects that have an impact on farmland.
- Capital Improvements Report. CIR Required by O.R.C. Chapter 164.06 on standard form.
- Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your local District Public Works Integrating Committee.

7.0 Applicant Certification

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.

Randy Whyde, Mayor

Certifying Representative (Printed Form, Type or Print Name and Title)

Randy Whyde 9/6/2018
Original Signature / Date Signed

RESOLUTION 2018 - 05

A RESOLUTION AUTHORIZING RANDY WHYDE, MAYOR OF THE VILLAGE OF CASTALIA, TO PREPARE AND SUBMIT AN APPLICATION TO PARTICIPATE IN THE OHIO PUBLIC WORKS COMMISSION STATE CAPITAL IMPROVEMENT AND/OR LOCAL TRANSPORTATION IMPROVEMENT PROGRAM(S) AND TO EXECUTE CONTRACTS AS REQUIRED.

WHEREAS, the State Capital Improvement Program and the Local Transportation Improvement Program both provide financial assistance to political subdivisions for capital improvements to public infrastructure, and

WHEREAS, The **Village of Castalia** is planning to make capital improvements to **Street Repair/Resurfacing Project**, and

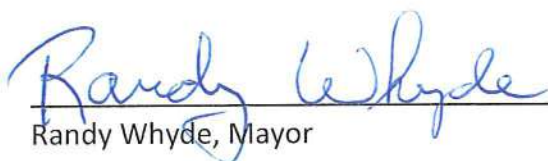
WHEREAS, the infrastructure improvement herein above described is considered to be a priority need for the community and is a qualified project under the OPWC programs.

NOW THEREFORE, BE IT RESOLVED by the **Village of Castalia**:

Section 1: The Mayor of the Village of Castalia (Randy Whyde) is hereby authorized to apply to the OPWC for funds as described above.

Section 2: The Mayor of the Village of Castalia (Randy Whyde) is further authorized to enter into any agreements as may be necessary and appropriate for obtaining this financial assistance.

Passed: August 28, 2018



Randy Whyde, Mayor



Robert Day, Fiscal Officer

Village of Castalia

From the office of the Mayor : Randy Whyde

Village of Castalia certification for age of infrastructure improvements

Please accept this letter as certification that no improvements have taken place on Barden Street and Brailey Street since my term as the

Mayor which started , January 01, 2018 .

Name: Randy Whyde

Title : MAYOR

Signature : Randy Whyde

Date : September 6, 2018

Barden St. and Brailey St. Improvements
 Probable Project Cost
 Village of Castalia, Ohio

Poggemeyer Design Group, Inc.
 architects+engineers+planners
 Calc. by: TJB: September 2018

item	description	quantity	unit	unit price	total price
Barden Street					
204	excavation of subgrade	150	cu yd	\$ 15.00	\$ 2,250.00
204	granular material	150	cu yd	\$ 40.00	\$ 6,000.00
254	pavement planing, asphalt concrete	2750	sq yd	\$ 4.00	\$ 11,000.00
407	non-tracking tack coat	150	gal	\$ 5.00	\$ 750.00
441	2-1/2" asphalt concrete intermediate course, type 2	190	cu yd	\$ 150.00	\$ 28,500.00
441	1-1/2" asphalt concrete surface course, type 1	115	cu yd	\$ 180.00	\$ 20,700.00
614	maintaining traffic	lump	sum	\$ 1,500.00	\$ 1,500.00
624	mobilization	lump	sum	\$ 2,500.00	\$ 2,500.00
642	pavement markings	lump	sum	\$ 800.00	\$ 800.00
special	preconstruction video	lump	sum	\$ 1,000.00	\$ 1,000.00
<i>subtotal (Barden Street)</i>					\$ 75,000.00

Brailey Street					
202	catch basin removed	1	each	\$ 500.00	\$ 500.00
204	excavation of subgrade	75	cu yd	\$ 15.00	\$ 1,125.00
204	granular material	75	cu yd	\$ 40.00	\$ 3,000.00
254	pavement planing, asphalt concrete	550	sq yd	\$ 4.00	\$ 2,200.00
407	non-tracking tack coat	30	gal	\$ 5.00	\$ 150.00
441	2-1/2" asphalt concrete intermediate course, type 2	40	cu yd	\$ 150.00	\$ 6,000.00
441	1-1/2" asphalt concrete surface course, type 1	20	cu yd	\$ 180.00	\$ 3,600.00
611	catch basin with concrete collar	1	each	\$ 2,000.00	\$ 2,000.00
614	maintaining traffic	lump	sum	\$ 450.00	\$ 450.00
624	mobilization	lump	sum	\$ 725.00	\$ 725.00
special	preconstruction video	lump	sum	\$ 250.00	\$ 250.00
<i>subtotal (Brailey Street)</i>					\$ 20,000.00
<i>construction subtotal</i>					\$ 95,000.00
<i>10% contingencies</i>					\$ 9,500.00
<i>construction total</i>					\$ 104,500.00



preliminary design	<i>topographic survey</i>	\$ 1,000.00
	<i>preliminary engineering</i>	\$ 900.00
basic engineering services	<i>final engineering</i>	\$ 4,250.00
	<i>bidding</i>	\$ 1,800.00
construction phase	<i>construction observation (60 hours)</i>	\$ 4,500.00
	<i>engineering during construction</i>	\$ 1,800.00
additional project costs	<i>testing services</i>	\$ 4,250.00
	<i>advertising</i>	\$ 1,000.00
<i>project total</i>		\$ 124,000.00

The estimated useful life of Barden St. and Brailey St. Improvements Project is 15 years for the partial reconstruction and 50 years for the storm sewer work.

Timothy J. Bock, P.E.

Barden St. and Brailey St. Improvements
Weighted Useful Life
Village of Castalia, Ohio

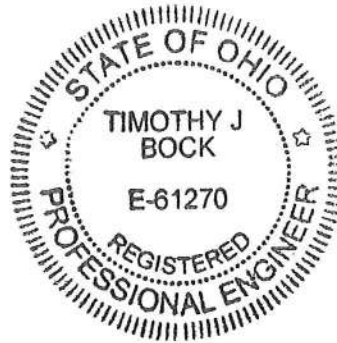
Poggemeyer Design Group, Inc.
architects+engineers+planners
Calc. by: TJB: September 2018

component	useful life	estimated cost	weighted useful life
partial reconstruction	15	\$ 92,500	\$ 1,387,500
storm sewer	50	<u>\$ 2,500</u>	<u>\$ 125,000</u>
		\$ 95,000	\$ 1,512,500

weighted useful life of project = $\$1,512,500 / \$95,000 = 15.9$ years



Timothy J. Bock, P.E.



Poggemeyer Design Group
 1168 North Main Street
 Bowling Green, Ohio 43402
 419-352-7537

Barden Street at Main Street
 Castalia, Ohio
 Station ID: 7
 Site Code: 7
 Date Start: 29-Aug-18
 Date End: 30-Aug-18

Start Time	29-Aug-18 Wed	S to N		Hour Totals		N to S		Hour Totals		Combined Totals	
		Morning	Afternoon	Morning	Afternoon	Morning	Afternoon	Morning	Afternoon	Morning	Afternoon
12:00		*	0			*	1				
12:15		*	2			*	1				
12:30		*	0			*	2				
12:45		*	3	0	5	*	0	0	4	0	9
01:00		*	1			*	2				
01:15		*	3			*	0				
01:30		*	3			*	2				
01:45		*	2	0	9	*	4	0	8	0	17
02:00		*	4			*	8				
02:15		*	1			*	3				
02:30		*	8			*	11				
02:45		*	4	0	17	*	2	0	24	0	41
03:00		*	5			*	3				
03:15		*	4			*	3				
03:30		*	7			*	4				
03:45		*	4	0	20	*	5	0	15	0	35
04:00		*	6			*	2				
04:15		*	1			*	6				
04:30		*	2			*	1				
04:45		*	3	0	12	*	1	0	10	0	22
05:00		*	3			*	1				
05:15		*	2			*	7				
05:30		*	4			*	1				
05:45		*	9	0	18	*	4	0	13	0	31
06:00		*	4			*	1				
06:15		*	4			*	3				
06:30		*	4			*	3				
06:45		*	2	0	14	*	5	0	12	0	26
07:00		*	2			*	3				
07:15		*	3			*	2				
07:30		*	2			*	2				
07:45		*	0	0	7	*	2	0	9	0	16
08:00		*	2			*	2				
08:15		*	2			*	0				
08:30		*	2			*	1				
08:45		*	2	0	8	*	1	0	4	0	12
09:00		*	2			*	1				
09:15		*	2			*	0				
09:30		*	2			*	1				
09:45		*	0	0	6	*	0	0	2	0	8
10:00		*	1			*	0				
10:15		*	0			*	0				
10:30		*	0			*	2				
10:45		0	0	0	1	2	1	2	3	2	4
11:00		5	1			1	0				
11:15		3	0			2	0				
11:30		2	0			0	0				
11:45		3	0	13	1	1	0	4	0	17	1
Total		13	118			6	104			19	222
Percent		9.9%	90.1%			5.5%	94.5%			7.9%	92.1%

Poggemeyer Design Group
 1168 North Main Street
 Bowling Green, Ohio 43402
 419-352-7537

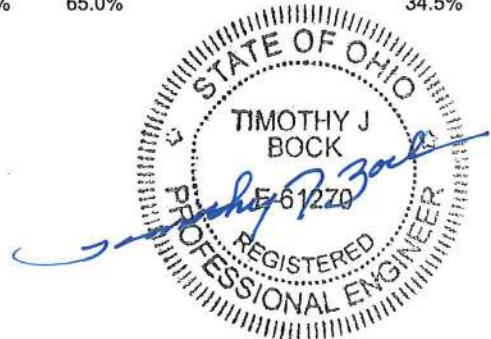
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Start Time	30-Aug-18 Thu	S to N		Hour Totals		N to S		Hour Totals		Combined Totals	
		Morning	Afternoon	Morning	Afternoon	Morning	Afternoon	Morning	Afternoon	Morning	Afternoon
12:00		0	*			0	*				
12:15		0	*			0	*				
12:30		0	*			0	*				
12:45		0	*	0	0	0	*	0	0	0	0
01:00		0	*			0	*				
01:15		0	*			0	*				
01:30		0	*			0	*				
01:45		0	*	0	0	0	*	0	0	0	0
02:00		2	*			0	*				
02:15		0	*			0	*				
02:30		0	*			0	*				
02:45		0	*	2	0	0	*	0	0	2	0
03:00		0	*			0	*				
03:15		0	*			0	*				
03:30		0	*			0	*				
03:45		0	*	0	0	0	*	0	0	0	0
04:00		0	*			0	*				
04:15		1	*			0	*				
04:30		0	*			0	*				
04:45		1	*	2	0	0	*	0	0	2	0
05:00		1	*			0	*				
05:15		0	*			1	*				
05:30		0	*			1	*				
05:45		0	*	1	0	0	*	2	0	3	0
06:00		0	*			0	*				
06:15		2	*			0	*				
06:30		2	*			0	*				
06:45		1	*	5	0	2	*	2	0	7	0
07:00		4	*			0	*				
07:15		3	*			5	*				
07:30		6	*			15	*				
07:45		12	*	25	0	11	*	31	0	56	0
08:00		1	*			0	*				
08:15		2	*			3	*				
08:30		2	*			2	*				
08:45		0	*	5	0	1	*	6	0	11	0
09:00		2	*			2	*				
09:15		5	*			5	*				
09:30		1	*			2	*				
09:45		*	*	8	0	*	*	9	0	17	0
10:00		*	*	*	*	*	*	*	*	*	*
10:15		*	*	*	*	*	*	*	*	*	*
10:30		*	*	*	*	*	*	*	*	*	*
10:45		*	*	*	*	*	*	*	*	*	*
11:00		*	*	*	*	*	*	*	*	*	*
11:15		*	*	*	*	*	*	*	*	*	*
11:30		*	*	*	*	*	*	*	*	*	*
11:45		*	*	*	*	*	*	*	*	*	*
Total		48	0			50	0			98	0
Percent		100.0%	0.0%			100.0%	0.0%			100.0%	0.0%
Grand Total		61	118			56	104			117	222
Percent		34.1%	65.9%			35.0%	65.0%			34.5%	65.5%

ADT

ADT 354

AADT 354



**VILLAGE OF CASTALIA
BARDEN AND BRAILEY STREET IMPROVEMENTS
PROJECT NARRATIVE**

The Village of Castalia is proposing the partial reconstruction of Barden Street and Brailey Street. The existing pavement on both streets is deteriorated and nearing the point of failing. Barden Street runs behind Margaretta Schools and connects Bogart Road to Main Street (State Route 101). Although mainly a residential street, this connector road supports traffic travelling across town and those accessing the athletic practice fields. The average daily traffic (ADT) for Barden Street is 354 vehicles per day. Brailey Street is a dead end street on the north end of the Village and was chosen because it is in similar condition as Barden Street. If the Village does not address both of these streets soon, they will require complete reconstruction which would be much more costly and not feasible at this time due to the Village's current finances.

The proposed improvements consist of the partial reconstruction of nearly 1,275 feet of Barden Street and 300 feet of Brailey Street. The partial reconstruction will include the removal of all existing asphalt, recompaction of the existing base and two courses of new asphalt pavement. The project also allows for the replacement of at least one substandard catch basin within the pavement limits and the removal and replacement of any bad subbase.

The total estimated cost for these improvements is \$124,000, \$10,000 of which the Village has allocated from local funds. The Village is requesting \$62,000 in OPWC grant assistance and \$52,000 in OPWC loan funds. Without financial assistance, the Village would be unable to make infrastructure improvements such as those proposed on Barden and Brailey Streets. The Village has not received OPWC assistance since the Depot and Water Street Resurfacing project in 2011.

**CHIEF FINANCIAL OFFICER'S
CERTIFICATE OF LOCAL FUNDS/LOAN
REPAYMENT LETTER**

September 6, 2018

I, Robert Day, Fiscal Officer of the Village of Castalia, hereby certify that the Village of Castalia will collect the amount of \$52,000.00 in the General Revenue Fund and that this amount will be used to repay the Ohio Public Works Commission SCIP or RPL loan requested for the Barden/Brailey Street Reconstruction Project over a 15-year term.

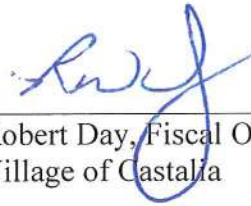


Robert Day, Fiscal Officer
Village of Castalia

**CHIEF FINANCIAL OFFICER'S
CERTIFICATE OF LOCAL FUNDS/LOAN
REPAYMENT LETTER**

September 6, 2018

I, Robert Day, Fiscal Officer of the Village of Castalia, hereby certify that the Village of Castalia has the amount of \$10,000.00 in the General Revenue Fund and that this amount will be used to pay the local share for the Barden/Brailey Street Reconstruction Project when it is required.



Robert Day, Fiscal Officer
Village of Castalia

District 5
 Capital Improvement Project
 Priority Rating Sheet, Round 33

Revised 04/17/18
 PROJECT NUMBER

COUNTY: Erie																					
PROJECT: Barden St. & Bralley St.																					
EST. COST: \$124,000																					
No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS						"A"x"B"	Priority Factors						No.					
			0	2	4	6	8	10		0	2	4	6	8	10						
1	1	(Repair or Replace) vs. (New or Expansion)	0	2	4	6	8	10	10	0% + Repair or Replacement	20% + Repair or Replacement	40% + Repair or Replacement	60% Repair or Replacement	80% + Repair or Replacement	100% + Repair or Replacement	1					
2	1.5	Existing Physical Condition: Must submit substantiating documentation and CIR (100% New or Expansion = 0 Points)	0	2	4	6	8	10	12	Excellent	Good	Fair	Poor	Critical	Closed or Not Operating	2					
3	2	Public Health and/or Public Safety Concerns Submittals without supporting documentation will receive 0 points for this question	0	2	4	6	8	10	12	No Impact	Minimal	Moderate	Major	Critical	Extremely Critical	3					
4	2	Percentage of Local Share (Local funds are funds derived from the applicant budget or a loan to be paid back through the applicant budget assessments, rates or tax revenues)*	0	2	4	6	8	10	20	0%+	10%+	20%+	30%+	40%+	50%+	4					
5	1	OTHER FUNDING SOURCES (Excluding Issue II Funds) (Grants and other revenues not contributed or collected through taxes by the applicant, including Gifts, Contributions, etc. - must submit copy of award or status letter)	0	2	4	6	8	10		0%+	10%+	20%+	30%+	40%+	50%+	5					
No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS						"A"x"B"	Priority Factors						No.					
			0	2	4	6	8	10		0	2	4	6	8	10						
									-9	-8	0	8	9	10							
									Grant or Loan Only												
6	2	OPWC Grant and Loan Funding Requested; Please refer to Item 6 on Questionnaire for Clarification	-9	-8	0	8	9	10		\$500,001 or more	\$400,001 to \$500,000	\$325,001 to \$400,000	\$275,001 to \$325,000	\$175,001 to \$275,000	\$175,000 or less	6					
	2		-9	-8	0	8	9	10	20	Grant/Loan Combination \$750,000 or more	\$600,001 to \$750,000	\$487,501 to \$600,000	\$412,501 to \$487,500	\$262,501 to \$412,500	\$262,500 or less						
When scoring a project that is only grant or only loan. Please use the chart labeled "Grant or Loan Only". When scoring a grant/loan combination, score the project for the grant in the first chart, then use the second chart labeled "Grant/Loan Combination" to score the total (grant and loan combined). Use the lower of the two as the score.																					
No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS						"A"x"B"	Priority Factors						No.					
			0	2	4	6	8	10		0	2	4	6	8	10						
7	1	Will the Proposed Project Create Permanent jobs or retain jobs that would otherwise be permanently lost (Written Documentation Required)	0	2	4	6	8	10		0+ jobs	7+ jobs	15+ jobs	25+ jobs	50+ jobs	100+ jobs						
8	1	Benefits to Existing User such as households, (Equivalent dwelling units), traffic Counts, etc.	0	2	4	6	8	10	4	0+	100+	350+	500+	750+	1000+	8					
9		SUBTOTAL RANKING POINTS (MAX. = 115)							78	Other Info: Does this project have a significant impact on productive farmland? YES X NO Attach impact statement if yes. Is the Applicant ready to proceed to bids after State Approval within 6 months? X YES NO						9					
10		COUNTY PRIORITY POINTS (25-20 -15)																			
11		DISCRETIONARY POINTS (BY DISTRICT ONLY) (MAX=12)																			
12		GRAND TOTAL RANKING POINTS																			

* Applicants must certify local share contribution. Specify, all funding sources to be utilized as local share at the time of application submittal.

Revised: April 17, 2018

**DISTRICT 5
CAPITAL IMPROVEMENT PROJECTS
QUESTIONNAIRE
ROUND 33**

Name of Applicant: Village of Castalia

Project Title: Barden Street and Brailey Street Improvements

The following questions are to be answered for each application submitted for State Issue II SCIP, LTIP and Loan Projects. Please provide specific information using the best documentation available to you. Justification of your responses to these questions will be required if your project is selected for funding, so please provide correct and accurate responses. **Communities and Townships under 5,000 in population should also complete Small Government Criteria.**

1. What percentage of the project in repair A= __%, replacement B= __%, expansion C= __%, and new D= __%? (Use dollar amounts of project to figure percentages and make sure the total equals one hundred (100) percent) A+B= __% C+D= __%

Repair/Replacement = Repair or Replacement of public facilities owned by the government (any subdivision of the state).

New/Expansion = Replacement of privately owned wells, septic systems, private water or wastewater systems, etc.

2. Give the physical condition rating : Poor

Closed or Not Operating: The condition is unusable, dangerous and unsafe. The primary components have failed. The infrastructure is not functioning at all.

Critical: The condition is causing or contributing to a serious non-compliance situation and is threatening the intended design level of service. The infrastructure is functioning at seriously diminished capacity. Imminent failure is anticipated within 18 months. Repair and/or replacement is required to eliminate the critical condition and meet current design standards. **(For Road Projects structural repair items would represent a minimum of 25% of the total Project Cost).**

Poor: The condition is substandard and requires repair/replacement in order to return to the intended level of service and comply with current design standards. Infrastructure contains a major deficiency and is functioning at a diminished capacity.

Fair: The condition is average, not good or poor. The infrastructure is still functioning as originally intended. Minor deficiencies exist requiring repair to continue to function as originally intended and/or to meet current design standards.

Good: The condition is safe and suitable to purpose. Infrastructure is functioning as originally intended, but requires minor repairs and/or upgrades to meet current design standards.

Excellent: The condition is new, or requires no repair. Or, no supporting documentation has been submitted.

- **In order to receive points provide supporting documentation (e.g. photos, a narrative, maintenance history, or third party findings) to justify the rating.**

3. If the proposed project is not approved what category would best represent the impact on the general health and/or public safety?

ROADS

Extremely Critical: Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Major Access Road.*

Critical: Resurfacing, Restoration and Rehabilitation (3R) of a Major Access Road.*

Major: Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Minor Access Road.*

Moderate: Resurfacing, Restoration and Rehabilitation (3R) of a Minor Access Road.*

Minimal: Preventative Maintenance of a Major Access Road.

No Impact: Preventative Maintenance of a Minor Access Road.

Projects that have a variety of work will be scored in the LOWEST category of work contained in the Construction Estimate.

Road/Street Classifications:

Major Access Road: Roads or streets that have a dual function of providing access to adjacent properties and providing through or connecting service between other roads.

Minor Access Road: Roads or streets that primarily provide access to adjacent properties without through continuity, such as cul-de-sacs or loop roads or streets.

Preventative Maintenance: Non Structural Pavement work such as chip sealing, cape sealing, microsurfacing, crack sealing, etc.

*(3R) Resurfacing, Restoration and Rehabilitation - Improvements to existing roadways, which have as their main purpose, the restoration of the physical features (pavement, curb, guardrail, etc.) without altering the original design elements.

*(4R) Resurfacing, Restoration, Rehabilitation and Reconstruction - Much like 3R, except that 4R allows for the complete reconstruction of the roadway and alteration of certain design elements (i.e., lane widths, shoulder width, SSD, etc.).

BRIDGES SUFFICIENCY RATING

Extremely Critical:	0-25, or a General Appraisal rating of 3 or less.
Critical:	27-50, or a General Appraisal rating of 4.
Major:	51-65 or a General Appraisal rating of 5 or 6.
Moderate:	66-80 or a General Appraisal rating of 7.
Minimal:	81-100 or a General Appraisal rating of more than 7.
No Impact:	Bridge on a new roadway.

WASTEWATER TREATMENT PLANTS

Extremely Critical:	Environmental Protection Agency (EPA) orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
Critical:	Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
Major:	Replace deficient appurtenances. Update existing processes due to EPA recommendations.
Moderate:	Increase capacity to meet current needs or update processes to improve effluent quality.
Minimal:	New/Expansion project to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

WATER TREATMENT PLANT

Extremely Critical:	EPA orders in the form of a consent decree, findings and orders or court order.
Critical:	Improvements to meet Environmental Protection Agency (EPA) Safe Drinking Water Regulations and/or NPDES Orders.
Major:	Replace deficient appurtenances. Update existing processes due to EPA recommendations.
Moderate:	Increase capacity to meet current needs or update processes to improve water quality.
Minimal:	New/Expansion project to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

COMBINED SEWER SEPARATIONS (May be construction of either new storm or sanitary sewer as long as the result is two separate sewer systems.)

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
- Critical: Separate, due to chronic backup or flooding in basements.
- Major: Separate, due to documented water quality impairment, or due to EPA recommendations.
- Moderate: Separate, due to specific development proposal within or upstream of the combined system area.
- Minimal: Separate, to conform to current design standards.
- No Impact: No positive health effect.

STORM SEWERS

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Chronic flooding (structure damage).
- Major: Inadequate capacity (land damage).
- Moderate: Inadequate capacity with no associated damage.
- Minimal: New/Expansion to meet current needs.
- No Impact: New/Expansion to meet future or project needs.

CULVERTS

- Extremely Critical: Structurally deficient or functionally obsolete. Deterioration has already caused a safety Critical: hazard to the public.
- Critical: Inadequate capacity with land damage and the existing or high probability of property damage.
- Major: Inadequate capacity (land damage).
- Moderate: Inadequate capacity with no associated damage.
- Minimal: New/Expansion to meet current needs.
- No Impact: New/Expansion to meet future or projected needs.

SANITARY SEWERS

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
- Critical: Replace, due to chronic pipe failure, chronic backup or flooding in basements. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: Replace, due to inadequate capacity or infiltration, or due to EPA recommendations.
- Moderate: Rehabilitate to increase capacity to meet current needs or to reduce inflow and infiltration.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

SANITARY LIFT STATIONS AND FORCE MAINS

- Extremely Critical: Structurally deficient. Deterioration has already caused a safety/health hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Inadequate capacity with actual or a high probability of property damage. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: EPA recommendations, or, reduces a probable health and/or safety problem.
- Moderate: Rehabilitate to increase capacity to meet current needs.
- Minimal: New/Expansion to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER PUMP STATIONS

- Extremely Critical: Structurally deficient. Deterioration has already caused a safety hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Inadequate capacity with the inability to maintain pressure required for fire flows.
- Major: Replace due to inadequate capacity or EPA recommendations.
- Moderate: Rehabilitate to increase capacity to meet current needs.
- Minimal: New/Expansion to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER LINES/WATER TOWERS

- Extremely Critical: Solve low water pressure or excessive incidents of main breaks in project area.
- Critical: Replace, due to deficiency such as excessive corrosion, etc.
- Major: Replace undersized water lines as upgrading process.
- Moderate: Increase capacity to meet current needs.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

OTHER

- Extremely Critical: There is a present health and/or safety threat.
- Critical: The project will provide immediate health and/or safety benefit.
- Major: The project will reduce a probable health and/or safety problem.
- Moderate: The project will delay a health and/or safety problem.
- Minimal: A possible future health and/or safety problem mitigation.
- No Impact: No health and/or safety effect.

NOTE: Combined projects that can be rated in more than one subset may be rated in the other category at the discretion of the District 5 Executive Committee. In general, the majority of the cost or scope of the project shall determine the category under which the project will be scored.

(Submittals without supporting documentation will receive 0 Points for this question.)

Extremely Critical ____, Critical X , Major ____, Moderate ____, Minimal ____, No Impact ____. Explain your answer. _____

(Additional narrative, charts and/or pictures should be attached to questionnaire)

4. Identify the amount of local funds that will be used on the project as a percentage of the total project cost.

A.) Amount of Local Funds = \$ 62,000

B.) Total Project Cost = \$ 124,000

RATIO OF LOCAL FUNDS DIVIDED by TOTAL PROJECT COSTS (A/B)= 50 %

Note: Local funds should be considered funds derived from the applicant budget or loans funds to be paid back through local budget, assessments, rates or tax revenues collected by the applicant.

5. Identify the amount of other funding sources to be used on the project, excluding State Issue II or LTIP Funds, as a percentage of the total project cost.

Grants ___% Gifts ___%, Contributions ___%

Other ___% (explain) _____ , Total ___%

Note: Grant funds and other revenues not contributed or collected through taxes by the applicant should be considered other funds. The Scope of Work for each Funding Source must be the same.

6. Total Amount of SCIP and Loan Funding Requested- An Applicant can request a grant per the categories below for points as indicated on the Priority Rating Sheet. If the Applicant is including a loan request equal to, but not exceeding 50% of the OPWC funding amounts listed below, there will be no point penalty. If loan funds requested are more than 50%, points as listed in the Priority Rating Sheet will apply.

- _____ \$500,001 or More
- _____ \$400,001-\$500,000
- _____ \$325,001-\$400,000
- _____ \$275,001-\$325,000
- _____ \$175,001-\$275,000
- X \$175,000 or Less

There are times when the District spends all of the grant money and has loan money remaining. When this happens, the district makes a loan offer in the amount of the requested grant to the communities that were not funded. The offers are made in the order of scoring. We need to know if you are not successful in obtaining grant dollars for your project if you would be interested in loan money:

YES X NO _____

(This will only be considered if you are not funded with grant money and there is remaining loan money.) **Please note: if you answer “no” you will not be contacted, only if you answer “yes” will an offer be made in the event that there is loan money remaining.**

7. If the proposed project is funded, will its completion directly result in the creation of permanent full-time equivalent (FTE) jobs (FTE jobs shall be defined as 35 hours/week) ? Yes ___ No X . If yes, how many jobs within eighteen months? ___ Will the completed project retain jobs that would otherwise be permanently lost? Yes ___ No X . If yes, how many jobs _____ **will be created/retrained** within 18

months following the completion of the improvements?

(Supporting documentation in the form of letter from affected industrial or commercial enterprises that specify full time equivalent jobs that will be retained or created directly by the installation or improvement of Public infrastructure. Additional items such as; 1) newspaper articles or other media news accounts, 2) public meeting minutes, and/or 3) a letter from the County Economic Development Director or State of Ohio Economic Development Professional that alludes to the requirement for the infrastructure improvement to support the business. Submittals without supporting documentation will receive 0 points for this question.)

8. What is the total number of existing users that will directly benefit from the proposed project if completed? 354 (Use households served, traffic counts, etc. and explain the basis by which you arrived at your number.)

9. Is subdivision's population less than 5,000 Yes X No

If yes, continue. You may want to design your project per Small Government Project Evaluation Criteria, released for the current OPWC Round to assist in evaluating your project for potential Small Government Funding. The Small Government Criteria is available on the OPWC website at <http://www.pwc.state.oh.us/Meth.SG.PDF> If No, skip to Question 11.

10. **OHIO PUBLIC WORKS COMMISSION SMALL GOVERNMENT PROGRAM GUIDELINES**

All projects that are sponsored by a subdivision with a population of 5,000 or less, and not earning enough points for District Funding from SCIP or LTIP Funds, are then rated using the Small Government Program Rating Criteria for the corresponding funding round. In order to be rated the entity must submit the Small Government Supplement and their required budgets with their application.

Only infrastructure that is village- or township- owned is eligible for assistance. The following policies have been adopted by the Small Government Commission:

- District Integrating Committees may submit up to seven (7) applications for consideration by the Commission. All 7 must be ranked, however, only the top five (5) will be scored. The remaining two (2) will be held as contingency projects should an application be withdrawn.

- Grants are limited to \$500,000. Any assistance above that amount must be in the form of a loan.

- Grants for new or expanded infrastructure cannot exceed 50% of the project estimate.

- The Commission may deny funding for water and sewer systems that are deemed to be more cost-effective if regionalized.

- If a water or sewer project is determined to be affordable, the project will be offered a loan rather than a grant. Pay special attention to the **Water & Wastewater Affordability Supplemental and the Small**

(DO NOT INCLUDE SCHOOL TAXES)

SPECIFIC PROJECT AREA INFORMATION.

Median household income \$66,154

Monthly utility rate: Water _____

Sewer _____

Other _____

List any special user fees or assessment (be specific)

POLITICAL SUBDIVISION= Village of Castalia

COUNTY= Erie

DISCRETIONARY POINTS (BY DISTRICT COMMITTEE ONLY)= _____

(25-20-15)

Date: 9/1/18
Signature: 
Title: Project Administration Assistant
Address: 1168 North Main Street, Bowling Green, Ohio 43402
Phone: 419-352-7537
FAX: 419-353-0187
Email: histerm@poggemeyer.com

Small Government Commission Application Checklist

Use of the following checklist with the Applicants Manual will help ensure that your application is scored at its best competitive advantage. It will also assist with the timely release of the Project Agreement should your project be funded. This form is for your use and not a required submission. Various templates and forms are in this manual, on the Small Government webpage, and on the OPWC Application webpage.

- [X] Compliant certified authorizing legislation by applicant's governing body (OPWC Application webpage)
- [N/A] Cooperative agreement if multi-jurisdictional (OPWC Application webpage)
- [X] Compliant Chief Financial Officer's Certification and Loan Letter (OPWC Application webpage)
- [X] Funding commitment letters and or documentation for all non-OPWC matching funds
- [X] Signed/stamped registered professional engineer's detailed cost estimate including in-kind costs (OPWC Application webpage)
- [X] Signed/stamped professional engineer's weighted useful life statement if not submitted with original application (cannot be modified)
- [X] Small Government Engineer's Plan Status Certification form (in this manual and on SG webpage)
- [X] Clear description of problem and scope of work with appropriate documentation
- [X] Source documentation for proof of age with year clearly visible or compliant letter from eligible public official {letter template in this manual}
- [X] Project site photos, if appropriate
- [X] Map showing project location/site
- [N/A] Farmland Preservation Review Letter if any impact to farmland (OPWC Application webpage)
- [X] ADT report for Road, Bridge & Culvert Projects
OR
Number of households/EDUs (with calculation) for Water, Wastewater, Storm Water Collection, Solid Waste Projects who directly benefit

Roads, Bridges/Culverts, Storm Water, Solid Waste Projects Only:

- [X] Auditor's Certificate of Estimated Resources with line item detail unless applicant in State of Fiscal Emergency; also if Storm Water or Solid Waste project, the fund(s) typically used are identified {examples in back of this manual}

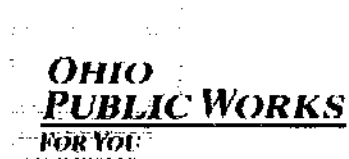
Water and Wastewater Projects Only:

- [N/A] "Current" water and wastewater rate ordinances/resolutions for all entities providing services unless applicant in State of Fiscal Emergency
- [N/A] Small Government Water & Wastewater Ability & Effort Supplemental form (in this manual and on SG webpage)

Small Government Self-Score
(Input Score in box for each criterion; will total automatically)

Applicant: Village of Castalla

		SCORE
1 Ability & Effort (Use A or B according to project type)		
A. <i>Roads, Bridges/Culverts, Storm Water, Solid Waste Projects ONLY</i>	0 2 4 6 8 10	<input type="text" value="4"/>
B. <i>Water & Wastewater Projects ONLY</i> Cannot be self-scored; uses blind factor based on all project applications		<input type="text" value="0"/>
2 Health & Safety (Use A or B according to project type)		
A. <i>Road, Bridge, Culvert</i>	0 2 4 6 8 10	<input type="text" value="4"/>
B. <i>Water, Wastewater, Storm Water, Solid Waste</i>	0 2 4 6 8 10	<input type="text" value="0"/>
3 Age & Condition		
I. <i>Age</i>	0 1 2 3 4 5	<input type="text" value="1"/>
II. <i>Condition</i>	1 3 5	<input type="text" value="3"/>
4 Leveraging Ratio	0 1 2 3 4 5 6 7 8 9 10	<input type="text" value="0"/>
5 Population Benefit	0 1 2 3 4 5	<input type="text" value="3"/>
6 District Priority Ranking - Completed by Administrator		<input type="text" value="0"/>
7 OPWC Funds Requested	0 2 4 6 8 10	<input type="text" value="8"/>
8 Loan Request (Default 0 points if no loan requested)	1 5 10	<input type="text" value="5"/>
9 Useful Life	1 2 3 4 5	<input type="text" value="3"/>
10 Median Household Income	2 4 6 8 10	<input type="text" value="2"/>
11 Readiness to Proceed		
I. <i>Status of Plans</i>	0 2 5	<input type="text" value="0"/>
II. <i>Status of Funding</i>	0 3 5	<input type="text" value="5"/>
TOTAL		<input type="text" value="38"/>



**OHIO PUBLIC WORKS COMMISSION
SMALL GOVERNMENT PROGRAM**

PY 33 METHODOLOGY

May 2018

EVALUATION CRITERIA

Complete and appropriate support documentation must be provided for a criterion in order to be awarded points. See Applicants Manual for guidance, forms and checklist.

1. Ability and Effort of the Applicant to Finance the Project (Maximum 10 points)
 - A. Road, Bridges/Culverts, Storm Water, Solid Waste Projects Only – “Auditor’s Certificate of Estimated Resources” showing fund detail, as provided in ORC sections 5705.35 and 5705.36 is used to determine potential financial resources available for the project. Score is based on the project’s total cost as a percentage of financial resources.
 - 0 Total project cost represents 0 to 20% of subdivision's total combined funds legally eligible for infrastructure type
 - 2 Total project cost represents 21 to 40% of subdivision's total combined funds legally eligible for infrastructure type
 - 4 Total project cost represents 41 to 60% of subdivision's total combined funds legally eligible for infrastructure type
 - 6 Total project cost represents 61 to 80% of subdivision's total combined funds legally eligible for infrastructure type
 - 8 Total project cost represents 81 to 100% of subdivision's total combined funds legally eligible for infrastructure type
 - 10 Total project cost exceeds 100% of subdivision’s total combined funds legally eligible for infrastructure type, or subdivision is in fiscal emergency
 - B. Water and Wastewater Projects Only – Determined by SG Administrator according to the Water & Wastewater Ability & Effort Calculation described in Applicants Manual. Information is obtained from both water and wastewater rate ordinances and the Small Government Water & Wastewater Ability & Effort Supplemental.
 - 0 +2 or more standard deviations above Average Variance
 - 2 +1 to +2 standard deviations above Average Variance
 - 4 0 to +1 standard deviations above Average Variance
 - 6 0 to -1 standard deviations below Average Variance
 - 8 -1 to -2 standard deviations below Average Variance
 - 10 -2 or more standard deviations below Average Variance, or subdivision is in fiscal emergency

2. Importance of Project to Health and Safety of Citizens - Score is assigned according to the application project description and any pertinent supplemental documentation. (Maximum 10 points)

A. Road, Bridge, Culvert

- 0 New infrastructure to meet future or projected needs
- 2 New infrastructure to meet current needs; Roadway surface paving less than 2 inches; Bridges with General Appraisal of 6 or above or with a Sufficiency Rating of 81-100
- 4 Roadway resurfacing paving equal to or greater than 2 inches with/without milling; Replace or install signal where warranted; Bridges with a General Appraisal of 5 or Sufficiency Rating of 66-80; Culvert replacement with no associated damage
- 6 Road widening to add paved shoulders or for safe passage, and/or roadway paving with full-depth base repair equal to or greater than 5% of roadway surface area; Intersection improvement to add turn lanes or realignment; Bridges with a General Appraisal of 4 or Sufficiency Rating of 51-65; Culverts with inadequate flow capacity
- 8 Complete roadway full-depth reconstruction (includes removal/replacement of base) or reclamation with/without drainage; Widening to add travel lanes; Intersection improvements to address excessive accident rate and/or inadequate level of service with a Crash Reduction Factor ($0.0 < CRF < 0.2$); Bridges with a General Appraisal of 3 or Sufficiency Rating of 26-50, or posted load reduction; Culverts with inadequate flow capacity and property damage (i.e. flooding)
- 10 Complete roadway reconstruction or reclamation with/without drainage with widening to add travel lanes; Intersection improvement to address excessive accident rate and/or inadequate level of service with Crash Reduction Factor ($CRF \geq 0.2$); Bridges with General Appraisal of 2 or less, or Sufficiency Rating of less than 26; Culverts that are structurally deficient

B. Water, Wastewater, Storm Water, Solid Waste

- 0 Infrastructure to meet future or projected needs
- 2 Expanded infrastructure to meet specific development proposal
- 4 Infrastructure to meet current needs; Update processes to improve effluent or water quality; To remain in compliance with permit due to increased standards; Increase storm sewer capacity in which there is no associated land damage; Increase sanitary sewer capacity; Replace water meters as part of an upgrade
- 6 OEPA recommendations; District health board recommendations; Increase storm sewer capacity that has associated land damage; Replace undersized waterlines as part of upgrade; Install new meters or replace meters that have exceeded useful life
- 8 Replacement of storm or sanitary sewers due to chronic flooding, back-up, or property damage; Inflow and/or Infiltration; Inadequate capacity to maintain pressure required for fire flows; Replacement of waterlines or towers due to excessive corrosion
- 10 OEPA Findings & Orders, OEPA orders contained in permit, Consent Decree or Court Order; Structural separations (CSOs)

3. Age and Condition of System to be repaired or replaced. This is a two-part criterion. (Maximum 10 points)

Part I – Age: This uses provided documentation for existing infrastructure. Documentation pertains to source documentation or from a compliant letter written by an eligible local official who can vouch for the time period during his/her term in office. If no documentation the default score is 1 point. (Maximum 5 points)

Life	20	30	50
Project Type	Road	Wastewater	Bridge/Culvert, Sanitary Sewer, Water, Storm Water, Solid Waste
Points			
0	New/ Expansion	New/ Expansion	New/ Expansion
1	2013-2018	2010-2018	2004-2018
2	2008-2012	2003-2009	1992-2003
3	2003-2007	1995-2002	1980-1991
4	1998-2002	1988-1994	1968-1979
5	Before 1998 or closed	Before 1988 or out of service	Before 1968 or closed

Part II - Condition (Maximum 5 points)

- 1 New/Expansion: New or expansion project components represent at least 50% of improvements
- 3 Poor: Infrastructure requires repair to continue functioning as originally intended and/or upgrade to meet current design standards.
- 5 Failed: Not functioning

4. Leveraging Ratio – Local and all non-OPWC funding sources as a percentage of total funding. (Maximum 10 points)

		Repair/Replacement	New/Expansion
<input checked="" type="checkbox"/>	0	10 or less	50 or less
<input type="checkbox"/>	1	11-15	51-55
<input type="checkbox"/>	2	16-20	56-60
<input type="checkbox"/>	3	21-25	61-65
<input type="checkbox"/>	4	26-30	66-70
<input type="checkbox"/>	5	31-35	71-75
<input type="checkbox"/>	6	36-40	76-80
<input type="checkbox"/>	7	41-45	81-85
<input type="checkbox"/>	8	46-50	86-90
<input type="checkbox"/>	9	51-55	91-95
<input type="checkbox"/>	10	56 or more	96 or more

5. Population Benefit – Number of those to benefit directly from the improvement as a percentage of applicant's total population. (Maximum 5 points)

- 0 10% or less
- 1 25% - 11%
- 2 35% - 26%
- 3 45% - 36%
- 4 55% - 46%
- 5 56% or more

6. District Priority Ranking as provided by District (Maximum 10 points)

- 6 5th ranked district project
- 7 4th ranked district project
- 8 3rd ranked district project
- 9 2nd ranked district project
- 10 1st ranked district project

7. Amount of OPWC grant and loan funds requested (Maximum 10 points)

- 0 \$500,000 or more
- 2 \$400,000 - \$499,999
- 4 \$300,000 - \$399,999
- 6 \$200,000 - \$299,999
- 8 \$100,000 - \$199,999
- 10 \$99,999 or less

8. Loan request – Amount of loan funds as a percentage of OPWC assistance. (Maximum 10 points)

- 1 15 - 29% of OPWC assistance
- 5 30 - 49% of OPWC assistance
- 10 50 - 100% of OPWC assistance

9. Useful Life of Project – Taken from engineer's useful life statement. (Maximum 5 points)

- 1 7 - 9 years
- 2 10 - 14 years
- 3 15 - 19 years
- 4 20 - 24 years
- 5 25 years or more

10. Median Household Income – Applicant's MHI as a percentage of the statewide MHI. Information derived from the most recent 5-year American Community Survey as published by the Ohio Development Services Agency. (Maximum 10 points)

- 2 110% or more
- 4 100% - 109%
- 6 90% - 99%
- 8 80% - 89%
- 10 79% or less

11. Readiness to proceed. This is a two-part criterion. (Maximum 5 points)

Part I - Status of Plans – This uses the Small Government Commission’s Engineer’s Plan Status Certification. (Maximum 5 points)

- 0 Plans not yet begun
- 2 Surveying through Preliminary Design Completed (Items A-C)
- 5 Surveying through final construction plans, and secured permits and right-of-way as appropriate (Items A-H)

Part II - Status of Funding Sources – This uses source documentation including compliant CFO certifications and loan letters. (Maximum 5 points)

- 0 All funds not yet committed
- 3 Applications submitted to funding entities
- 5 All funding committed

**Small Government Commission
Engineer's Plan Status Certification
Required for Criterion No. 11, Part I**

Applicant: Village of Castalia

District No.: 5

Project Name: Barden Street and Brailey Street Improvements

Item	Necessary for project?	Status	Completion Date
Met Completion dates for Items A - C (2 points)			
A	Surveying Y <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	PENDING	09/2019
B	R/W Acquisition Identified Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		
C	Preliminary Design Y <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	PENDING	10/2019
Met Completion dates for Items A - H (5 points)			
D	Final Construction Plans Y <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	PENDING	1/2020
E	Permit to Install Issued Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		
F	NPDES Issued Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		
G	Other Permits Issued Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		
H	Executed Right of Way Option or Agreement Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		

I hereby certify that the information above is true and correct to the best of my knowledge and belief.

Timothy J. Bock, P.E.

Engineer's Printed Name

Timothy J Bock
Engineer's Signature

9/7/2018

Date



Engineer's Stamp/Seal

OFFICIAL CERTIFICATE OF ESTIMATED RESOURCES

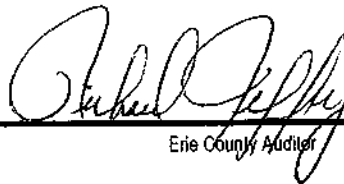
Ohio Revised Code Section 5705.36

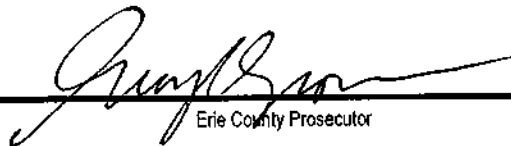
Office of the Budget Commission,
Sandusky, Erie County, Ohio, August 22, 2018

To the Taxing Authority of the **Castalia Village**:

The following is the official certificate of estimated resources for the fiscal year beginning January 1, 2019, as made by the Budget Commission of Erie County, which shall govern the total of appropriations made at any time during such fiscal year.

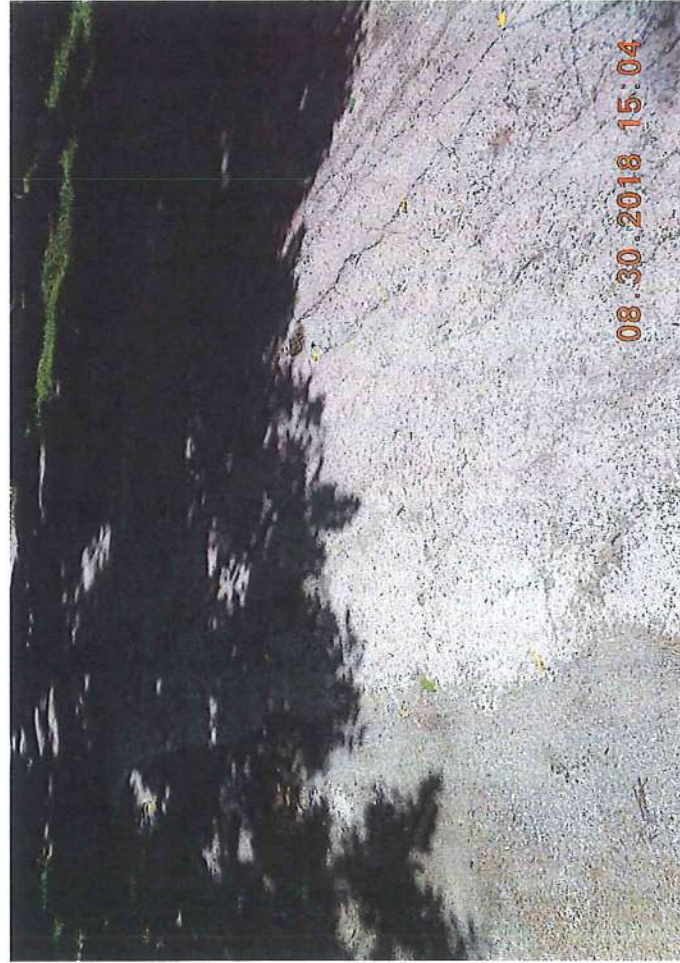
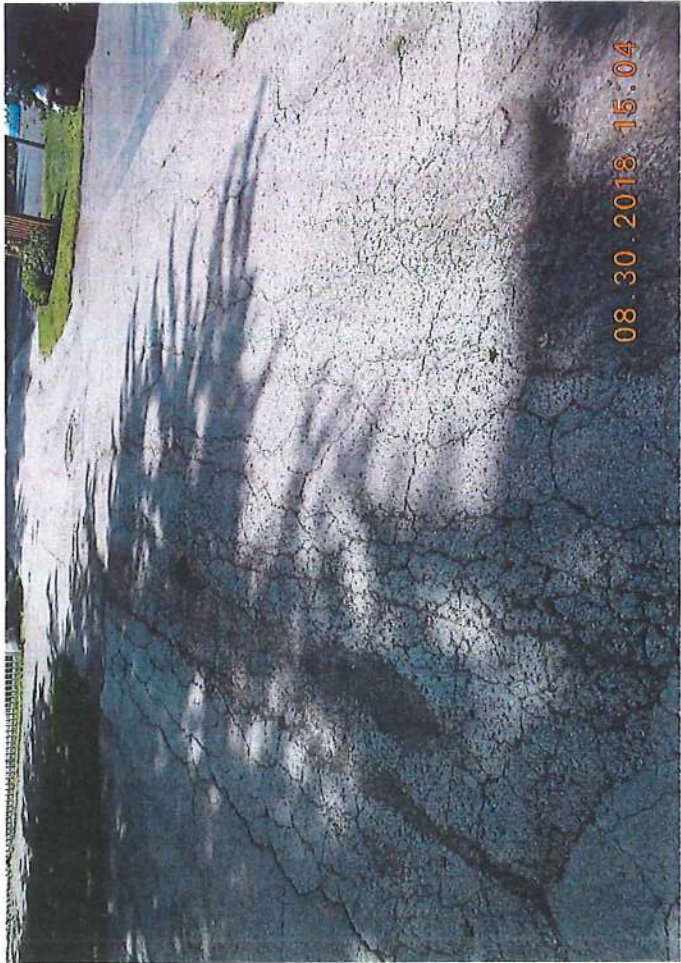
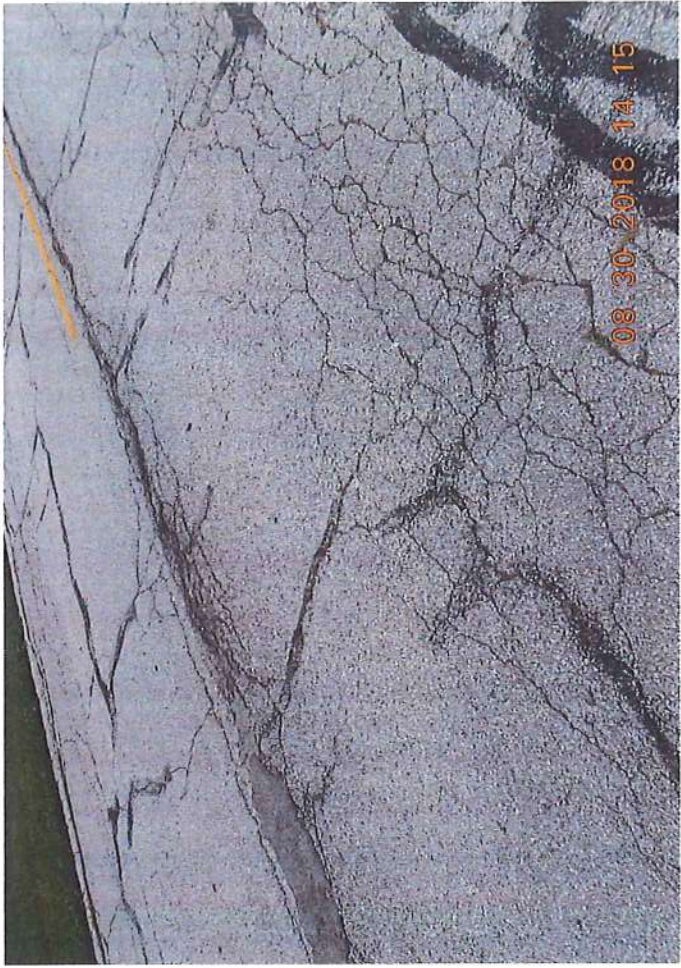
FUND	Unencumbered Balance January 1, 2019	Taxes	Other Sources	TOTAL
General Fund	40,000	117,586	68,472	226,058
Special Revenue Funds	67,779	-	65,540	133,319
Debt Service Funds	-	-	-	-
Capital Projects Funds	975	15,219	-	16,194
Special Assessment Funds	-	-	-	-
Enterprise Funds	-	-	-	-
Internal Service Funds	-	-	-	-
Fiduciary Funds	-	-	-	-
TOTAL	108,754	132,805	134,012	375,571

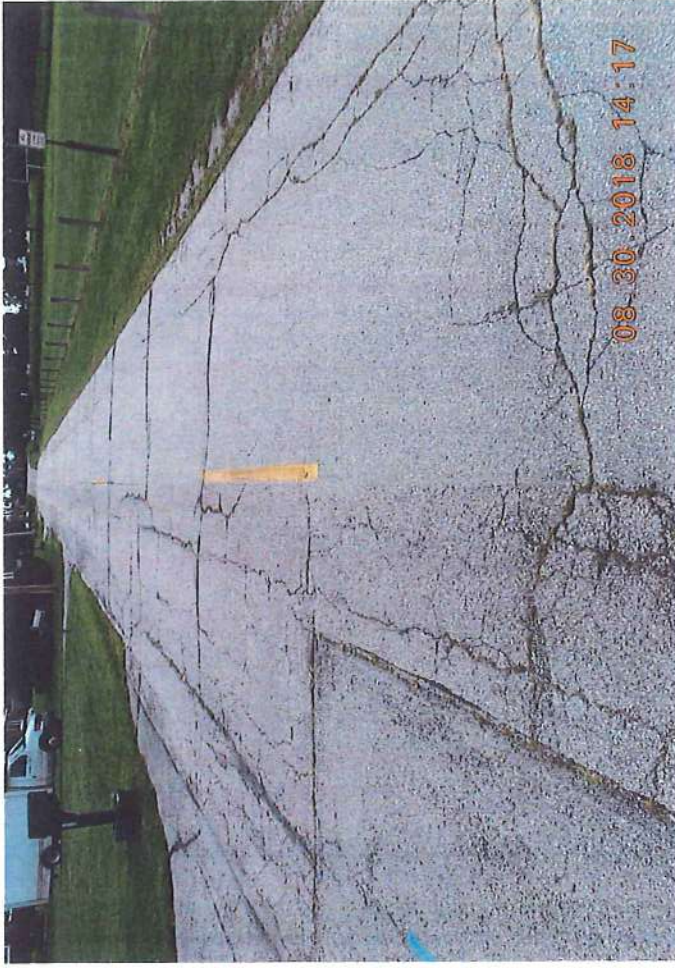

Erie County Auditor

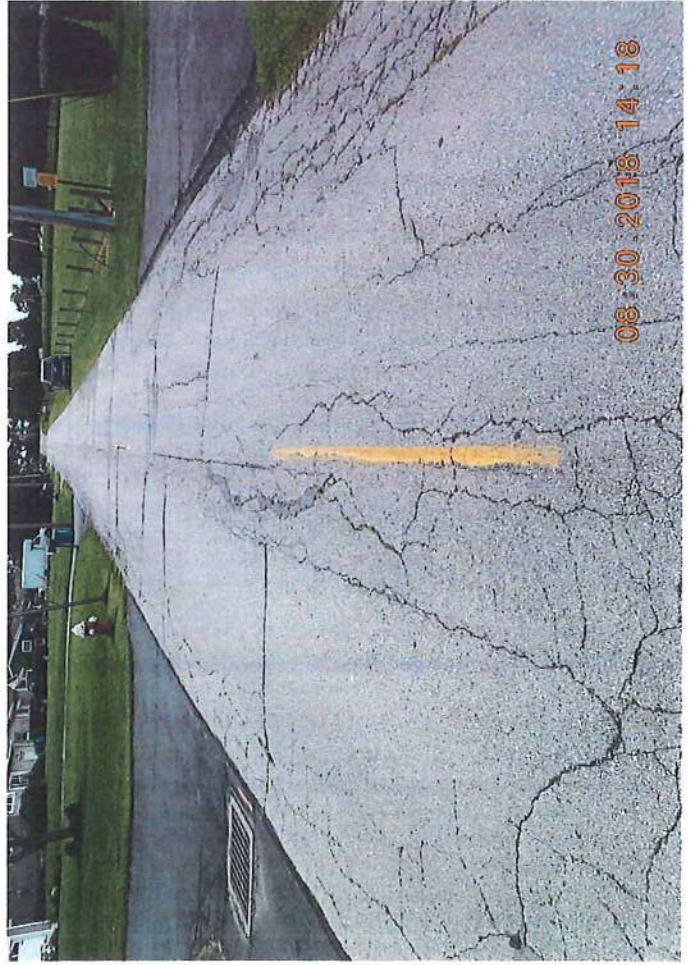
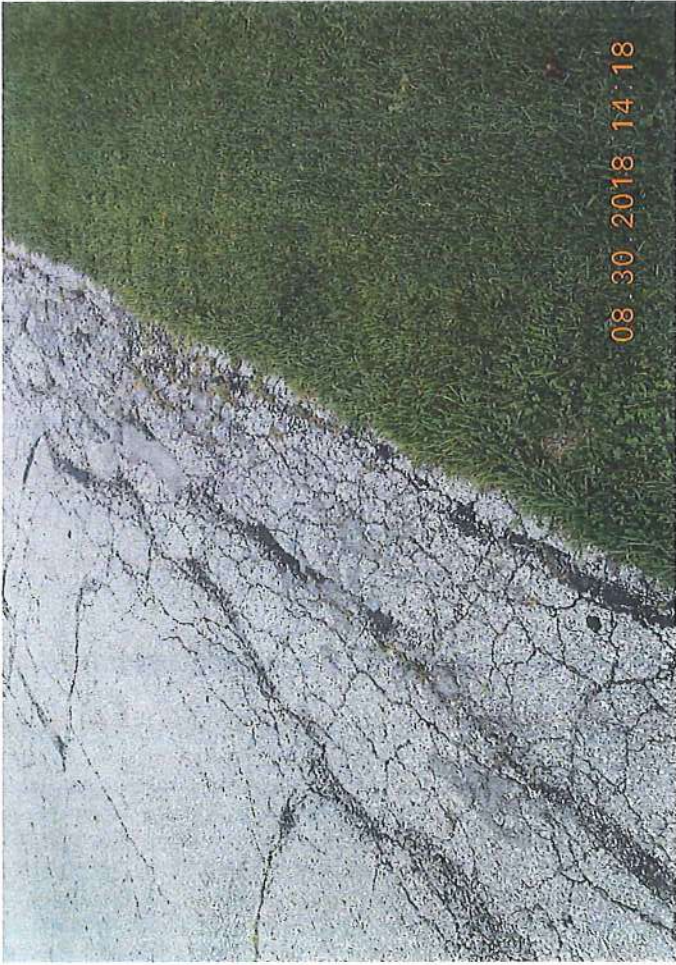

Erie County Prosecutor

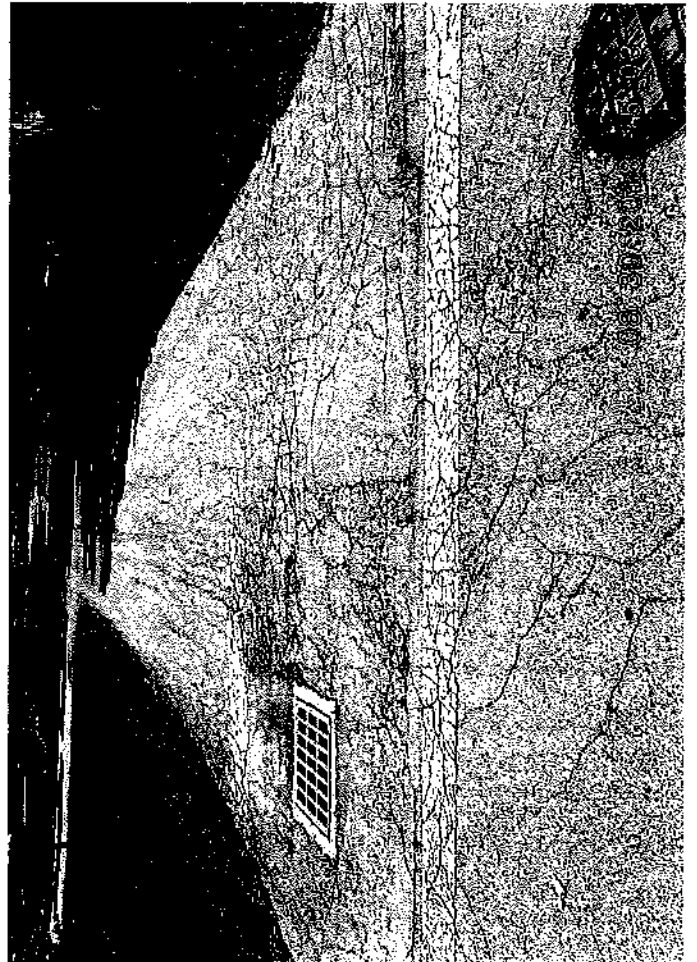

Erie County Treasurer

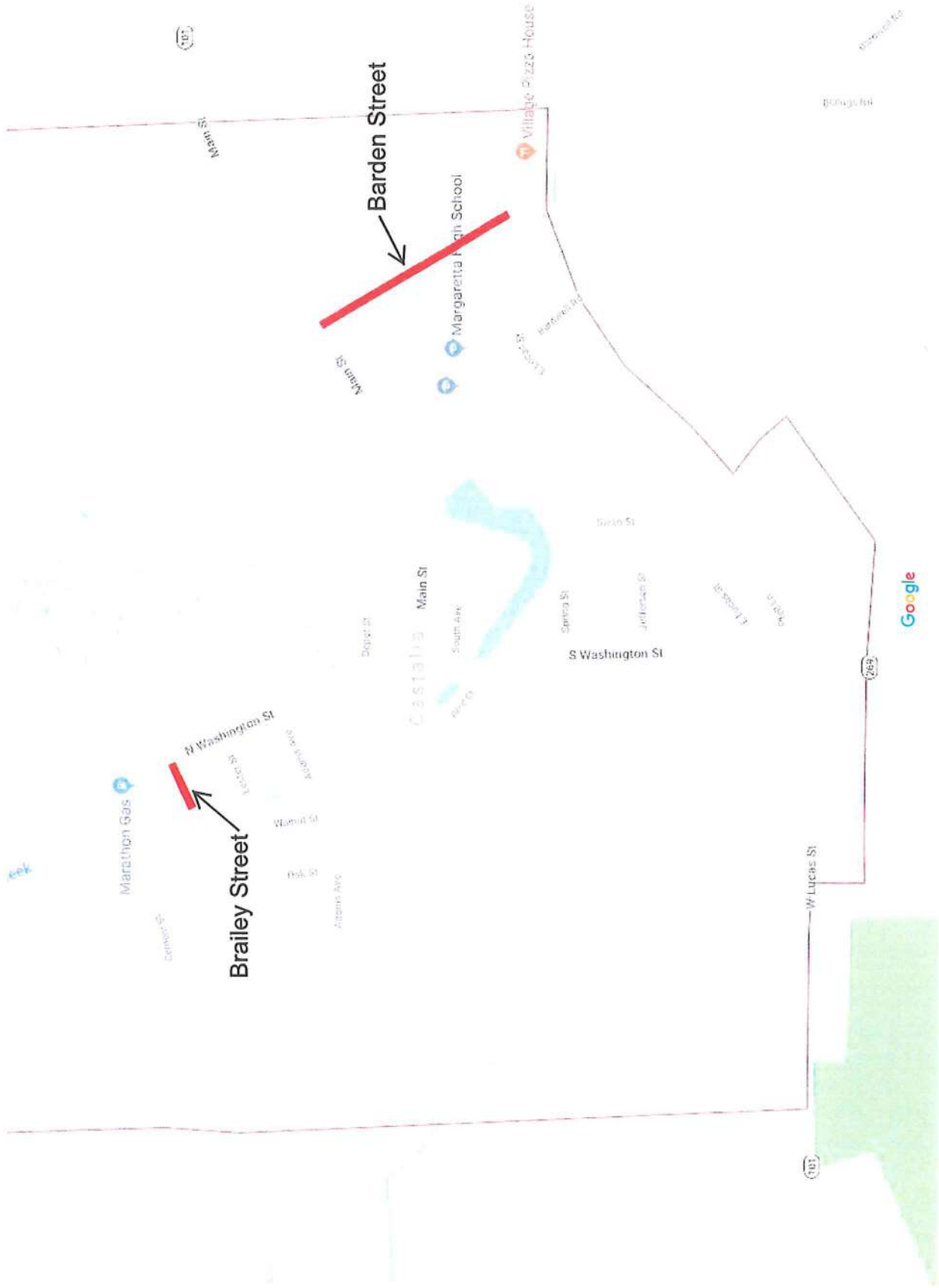
Erie County Budget Commission











Barden and Brailey Street Improvements Village of Castalia, Ohio