OHIO PUBLIC WORKS FOR YOU

State of Ohio

Public Works Commission

Application for Financial Assistance

Original

IMPORTANT: Please consult "Instructions for Financial Assistance for Capital Infrastructure Projects" for guidance in completion of this form.

Applicant

Applicant: Village of Swanton Subdivision Code: 051-75896

District Number: 5 County: Fulton Date: 09/11/2020

Contact: Rosanna Hoelzle Phone: (419) 826-0701
(The individual who will be available during business hours and who can best answer or coordinate the response to questions)

Email: rhoelzle@villageofswantonohio.us FAX:

Project

Project Name: Project 8 and 9 - Combined Sewer Separation Zip Code: 43558

Subdivision Type
(Select one)

- [ ] 1. County
- [ ] 2. City
- [ ] 3. Township
- [x] 4. Village
- [ ] 5. Water (6119 Water District)

Project Type
(Select single largest component by $)

- [ ] 1. Road
- [ ] 2. Bridge/Culvert
- [ ] 3. Water Supply
- [x] 4. Wastewater
- [ ] 5. Solid Waste
- [ ] 6. Stormwater

Funding Request Summary
(Automatically populates from page 2)

Total Project Cost:	1,679,137 .00
1. Grant:	175,000 .00
2. Loan:	87,500 .00
3. Loan Assistance/ Credit Enhancement:	0 .00
Funding Requested:	262,500 .00

District Recommendation (To be completed by the District Committee)

Funding Type Requested
(Select one)

- [ ] State Capital Improvement Program
- [ ] Local Transportation Improvement Program
- [ ] Revolving Loan Program
- [ ] Small Government Program

District SG Priority: _____

SCIP Loan - Rate: ____ % Term: ____ Yrs	Amount:	.00
RLP Loan - Rate: ____ % Term: ____ Yrs	Amount:	.00
Grant:	Amount:	.00
LTIP:	Amount:	.00
Loan Assistance / Credit Enhancement:	Amount:	.00

For OPWC Use Only

STATUS

Project Number: _____

Release Date: _____

OPWC Approval: _____

Grant Amount: _____.00

Loan Amount: _____.00

Total Funding: _____.00

Local Participation: _____ %

OPWC Participation: _____ %

Loan Type: ☐ SCIP ☐ RLP

Date Construction End: _____

Date Maturity: _____

Rate: _____ %

Term: _____ Yrs

1.0 Project Financial Information (All Costs Rounded to Nearest Dollar)

1.1 Project Estimated Costs

Engineering Services

Preliminary Design: _____ .00			
Final Design: _____ .00			
Construction Administration: _____ .00			
Total Engineering Services:	a.)	0 .00	0 %
Right of Way:	b.)	_____ .00	
Construction:	c.)	1,679,137 .00	
Materials Purchased Directly:	d.)	_____ .00	
Permits, Advertising, Legal:	e.)	_____ .00	
Construction Contingencies:	f.)	_____ .00	0 %
Total Estimated Costs:	g.)	1,679,137 .00	

1.2 Project Financial Resources

Local Resources

Local In-Kind or Force Account:	a.)	_____ .00	
Local Revenues:	b.)	_____ .00	
Other Public Revenues:	c.)	_____ .00	
ODOT / FHWA PID: _____	d.)	_____ .00	
USDA Rural Development:	e.)	_____ .00	
OEPA / OWDA:	f.)	1,416,637 .00	
CDBG: ☐ County Entitlement or Community Dev. "Formula" ☐ Department of Development	g.)	_____ .00	
Other: _____	h.)	_____ .00	
Subtotal Local Resources:	i.)	1,416,637 .00	84 %

OPWC Funds (Check all requested and enter Amount)

Grant: 67 % of OPWC Funds	j.)	175,000 .00	
Loan: 33 % of OPWC Funds	k.)	87,500 .00	
Loan Assistance / Credit Enhancement:	l.)	0 .00	
Subtotal OPWC Funds:	m.)	262,500 .00	16 %
Total Financial Resources:	n.)	1,679,137 .00	100 %

1.3 Availability of Local Funds

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local resources required for the project will be available on or before the earliest date listed in the Project Schedule section. The OPWC Agreement will not be released until the local resources are certified. Failure to meet local share may result in termination of the project. Applicant needs to provide written confirmation for funds coming from other funding sources.

2.0 Repair / Replacement or New / Expansion

2.1 Total Portion of Project Repair / Replacement: 1,679,137 .00 100 %

2.2 Total Portion of Project New / Expansion: 0 .00 0 %

A Farmland Preservation letter is required for any impact to farmland

2.3 Total Project: 1,679,137 .00 100 %

3.0 Project Schedule

3.1 Engineering / Design / Right of Way Begin Date: 08/01/2020 End Date: 03/01/2021

3.2 Bid Advertisement and Award Begin Date: 05/01/2021 End Date: 08/01/2021

3.3 Construction Begin Date: 08/01/2021 End Date: 08/01/2022

Construction cannot begin prior to release of executed Project Agreement and issuance of Notice to Proceed.

Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by project official of record and approved by the Commission once the Project Agreement has been executed.

4.0 Project Information

If the project is multi-jurisdictional, information must be consolidated in this section.

4.1 Useful Life / Cost Estimate / Age of Infrastructure

Project Useful Life: 34 Years Age: 1950 (Year built or year of last major improvement)

Attach Registered Professional Engineer's statement, with seal or stamp and signature confirming the project's useful life indicated above and detailed cost estimate.

4.2 User Information

Road or Bridge: Current ADT _____ Year _____ Projected ADT _____ Year _____

Water / Wastewater: Based on monthly usage of 4,500 gallons per household; attach current ordinances.

Residential Water Rate Current $ 43.66 Proposed $ 45.44

Number of households served: 1,345

Residential Wastewater Rate Current $ 51.96 Proposed $ 53.96

Number of households served: 1,345

Stormwater: Number of households served: 1,345

4.3 Project Description

A: SPECIFIC LOCATION (Supply a written location description that includes the project termini; a map does not replace this requirement.) 500 character limit.

The project will involve the separation of combined sewers on Mettabrook Drive, Browning Road, Garfield and Sanderson Avenues, W. St. Clair, Allen and West Streets within the Village of Swanton.

B: PROJECT COMPONENTS (Describe the specific work to be completed; the engineer's estimate does not replace this requirement) 1,000 character limit.

The existing combined sewers are inadequate to handle the combined sanitary and storm water flows resulting in combined sewer overflows to Ai Creek. The Village is under a compliance schedule in its NPDES permit to separate the combined sewers and eliminate the combined sewer overflows. Project 8 and 9 is required by the compliance schedule to be operational by 4/1/2023.

C: PHYSICAL DIMENSIONS (Describe the physical dimensions of the existing facility and the proposed facility. Include length, width, quantity and sizes, mgd capacity, etc in detail.) 500 character limit.

The project involves the construction of approximately 2,730 lineal feet 8-inch sanitary sewers, 2,325 lineal feet of 12-inch storm sewers, 2,652 lineal feet of 18-inch storm sewers, and 4,200 lineal feet of 8-inch water mains, along with associated manholes, catch basins, water valves, fire hydrants and pavement replacement.

5.0 Project Officials

Changes in Project Officials must be submitted in writing from an officer of record.

5.1 Chief Executive Officer

(Person authorized in legislation to sign project agreements)

Name: Rosanna Hoelzle

Title: Village Administrator

Address: Village of Swanton

219 Chestnut Street

City: Swanton State: OH Zip: 43588

Phone: (419) 826-9515

FAX:

E-Mail: rhoelzle@villageofswantonohio.us

5.2 Chief Financial Officer

(Can not also serve as CEO)

Name: Jennifer Harkey

Title: Finance Director

Address: Village of Swanton

219 Chestnut Street

City: Swanton State: OH Zip: 43588

Phone: (419) 826-9515

FAX:

E-Mail: fiscal@villageofswantonohio.us

5.3 Project Manager

Name: Rosanna Hoelzle

Title: Village Administrator

Address: Village of Swanton

219 Chestnut Street

City: Swanton State: OH Zip: 43588

Phone: (419) 826-9515

FAX:

E-Mail: rhoelzle@villageofswantonohio.us

6.0 Attachments / Completeness review

Confirm in the boxes below that each item listed is attached (Check each box)

☑ A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.

☑ A certification signed by the applicant's chief financial officer stating the amount of all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.

☑ A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's seal or stamp and signature.

☐ A cooperative agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.

☐ Farmland Preservation Review - The Governor's Executive Order 98-IV, "Ohio Farmland Protection Policy" requires the Commission to establish guidelines on how it will take protection of productive agricultural and grazing land into account in its funding decision making process. Please include a Farm Land Preservation statement for projects that have an impact on farmland.

☐ Capital Improvements Report. CIR Required by O.R.C. Chapter 164.06 on standard form.

☑ Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your local District Public Works Integrating Committee.

7.0 Applicant Certification

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.

Rosanna Hoelzle, Village Administrator

Certifying Representative (Printed form, Type or Print Name and Title)

Original Signature / Date Signed

Resolution 2020-19

AUTHORIZING THE VILLAGE ADMINISTRATOR TO SUBMIT AN APPLICATION TO PARTICIPATE IN THE OHIO PUBLIC WORKS COMMISSION (OPWC) STATE CAPITAL IMPROVEMENT AND/OR LOCAL TRANSPORTATION IMPROVEMENT PROGRAM(S) AND TO ENTER INTO ANY AGREEMENTS AS REQUIRED FOR PROJECT 8 & 9 SEWER SEPARATION AND DECLARE AN EMERGENCY

WHEREAS, the State Capital Improvement Program and the Local Transportation Improvement Program both provide financial assistance to political subdivisions for capital improvements to public infrastructure, and;

WHEREAS, the Village of Swanton is planning to construct improvements to the Village wastewater treatment and roadway systems known as Project 8 & 9- Combined Sewer Separation, which includes Mettabrook, Allen, West, Sanderson, as well as portions of W. Garfield and Browning, and;

WHEREAS, the infrastructure improvement herein above described is considered to be a priority need for the community and is a qualified project under the OPWC programs.

NOW THEREFORE BE IT RESOLVED, by the Council of the Village of Swanton, Fulton County, Ohio, three-fourths of the members elected thereto concurring and as follows:

Section One. The Village Administrator is hereby authorized to apply to the OPWC for funds as described above.

Section Two. The Village Administrator is further authorized to enter into any agreements as may be necessary and appropriate for obtaining this financial assistance.

Section Three. That it is found and determined that all formal actions of this Village Council concerning and relating to the adoption of this resolution were adopted in an open meeting of this Village Council, and that all deliberations of this Village Council and of any of its committees that resulted in such formal action, were in meetings open to the public in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section Four. That this resolution shall be declared an emergency measure necessary for the immediate preservation of public health, safety and welfare of the Village of Swanton; wherefore this resolution shall be in full force and effective immediately upon passage.

Motion to Suspend the Rules and Declare and Emergency

Moved: Dzyak Second: Westhoven YEAS: 6 NAYS: 0

Vote on Passage

Moved: Dzyak Second: Westhoven YEAS: 6 NAYS: 0

Date of Passage: August 10, 2020

Neil Toeppe, Mayor

Attest:

I, Jennifer Harkey, Fiscal Officer of the Village of Swanton, do hereby certify that this is a true and accurate copy of Resolution 2020-19, passed on August 10, 2020.

Jennifer Harkey, Fiscal Officer

THE VILLAGE OF
SWANTON

CHIEF FINANCIAL OFFICER'S CERTIFICATION OF LOAN REPAYMENT LETTER

219

419.826.9515

anton hio us

August 31, 2020

I, Fiscal Officer of the Village of Swanton, hereby certify that the Village of Swanton plans to obtain a loan in the amount of $1,416,637 through Ohio EPA's Water Pollution Control Loan Fund (WPCLF) and that this amount will be used to pay the local share for the Project 8 and 9 Combined Sewer Separation when it is required. The WPCLF loan will be repaid through local sewer user charges.

I, Fiscal Officer of the Village of Swanton, hereby certify that the Village of Swanton will collect the amount of $87,500 in the Debt Service-WRRF Fund (5742) and that amount will be used to repay the Ohio Public Works Commission SCIP or RLP loan requested for Project 8 & 9 Sewer Separation over a 20 year term.

Jennifer Harkey

Jennifer Harkey
Fiscal Officer
Village of Swanton

Jones & Henry
ENGINEERS, LTD.

Fluid thinking®
3103 Executive Parkway, Suite 300, Toledo, OH 43606
Phone: 419.473.9611 JHeng.com

August 28, 2020

Mrs. Rosanna Hoelzle
Village Administrator
219 Chestnut Street
Swanton, Ohio 43558

Subject: Village of Swanton, Ohio
Projects 8 and 9 Combined Sewer Separation
Engineer's Opinion of the Design Useful Life
479-7663.001

Dear Mrs. Hoelzle:

The following areas are included in the Projects 8 and 9 Combined Sewer Separation:

1. Mettabrook, between Munson and Browning.
2. West Garfield, between Munson and Browning.
3. Allen, North of West Garfield.
4. West, North of West Garfield.
5. Sanderson, West of Main Street.

Estimates of the Design Useful Life of the new facilities in the areas described are as follows:

Improvement	Estimated Quantity	Units	Estimated Cost	Design Useful Life	Weighted Value
Audio-Video Recording of Zone of Influence	7,200	LF	$1,800.00	5 Years	$9,000.00
6-Inch Sanitary and Storm Sewer	1,125	LF	$95,625.00	50 Years	$4,781,250.00
Sewer Locating Post-Construction	79	EA	$19,750.00	5 Years	$98,750.00
Cleanouts	47	EA	$23,500.00	50 Years	$1,175,000.00
8-Inch Sanitary Sewer, All Depths	2,650	LF	$212,000.00	50 Years	$10,600,000.00
12-Inch Storm Sewer, All Depths	1,950	LF	$136,500.00	50 Years	$6,825,000.00
18-Inch Storm Sewer, All Depths	2,650	LF	$318,000.00	50 Years	$6,360,000.00
Type I Manholes	166	VLF	$132,800.00	50 Years	$6,640,000.00
Type II Manholes	8	VLF	$9,600.00	50 Years	$480,000.00
Underdrain	2,200	LF	$8,800.00	20 Years	$176,000.00
Type IV Manholes	8	VLF	$12,000.00	50 Years	$600,000.00
Changing Casting and Adjusting Manhole to Grade	6	EA	$6,000.00	50 Years	$120,000.00

Improvement	Estimated Quantity	Units	Estimated Cost	Design Useful Life	Weighted Value
Catch Basins – Flat Grate	11	EA	$25,300.00	20 Years	$506,000.00
Catch Basins – Curb Grate	4	EA	$10,800.00	20 Years	$216,000.00
Water Service Relocation 2-Inch Diameter or Smaller	38	EA	$19,000.00	50 Years	$950,000.00
Water Main Relocation Greater Than 2-Inches Diameter	1	EA	$2,500.00	50 Years	$125,000.00
8-inch Watermain	2,475	LF	$148,500.00	50 Years	$7,425,000.00
8-inch Water Valve	3	EA	$4,500.00	50 Years	$225,000.00
Fire Hydrant	4	EA	$20,000.00	50 Years	$1,000,000.00
8x8 Tapping Saddle	2	EA	$10,000.00	50 Years	$500,000.00
6x8 Tapping Saddle	1	EA	$4,500.00	50 Years	$225,000.00
ODOT Item 203 Roadway Excavation	1,741	CY	$43,518.52	20 Years	$870,370.37
ODOT Item 204 Subgrade Compaction	5,222	SY	$5,222.22	20 Years	$104,444.44
Pavement Millings	4,778	SY	$14,333.33	20 Years	$286,666.67
ODOT Item 304 Aggregate Base	1,420	CY	$49,690.24	20 Years	$993,804.82
Asphalt Concrete Intermediate Course	462	CY	$103,993.06	20 Years	$2,079,861.11
Asphalt Concrete Surface Course	326	CY	$81,404.32	20 Years	$1,628,086.42
Concrete Sidewalks, 4-Inches Thick Including Pedestrian Ramps	8,800	SF	$44,000.00	20 Years	$880,000.00
Concrete Sidewalks and Drives, 6-Inches Thick	8,100	SF	$81,000.00	20 Years	$1,620,000.00
Concrete Curb Type 6	2,400	LF	$36,000.00	20 Years	$720,000.00
Pavement Markings	1	LS	$3,000.00	5 Years	$15,000.00
Audio-Video Recording of Sewers Post-Construction	7,250	LF	$7,250.00	5 Years	$36,250.00
Estimated Construction Cost			**$1,679,136.69**		$57,683,983.84

Using the Costs and Design Useful Life in the table above, the Average Design Useful Life is 34 years.

I, Gregg J. Simon, hereby certify that, to the best of my knowledge, the Engineer's Opinion of the Design Useful Life is true and accurate.

Gregg J. Simon, P.E.
Principal, Office Director

8/27/2020

Part I, C - Schedule of Compliance

1. Municipal CSO Schedule: Combined Sewer Overflow Long-Term Control Plan Implementation Schedule

The permittee shall implement its Long-Term Control Plan (LTCP) that was approved by the Director on February 4, 2011, as Plan Approval Number 799246, as expeditiously as possible, but not later than the dates developed in accordance with the following schedule:

The permittee's "Long-Term Control Plan" (dated July 21, 2009 and received on July 29, 2009 with final revisions received on November 22, 2010) includes an acceptable implementation schedule for the permittee to separate its combined sewers and eliminate all remaining combined sewer overflows. All projects must be performed as detailed in this permit regardless if the expiration date of this permit has been exceeded.

a. Every 12 months, beginning 6 Months after the effective date of this permit, the permittee shall submit two copies of a report summarizing its combined sewer overflow (CSO) discharges and CSO control activities during the previous year. One copy of the report shall be sent to the Ohio EPA, Division of Surface Water, Permitting and Compliance Section, P.O. Box 1049, Columbus, Ohio, 43216-1049; and one copy shall be sent to the Ohio EPA Northwest District Office.

The report shall include:

i) An annual summary of the frequency, volume and duration of CSO discharges. Information shall be provided for each CSO station and WWTP bypass.

ii) A summary of actions taken to implement the nine minimum controls. This will include an evaluation of the need to modify the operation and maintenance program to reflect changes in the collection system, changes in operation and maintenance procedures, or other changes in activities resulting from Long-Term Control Plan implementation.

iii) A summary of actions taken to implement the permittee's Long-Term Control Plan.

b. Project 7

i) Submit an application for permit to install, if required, including detailed plans to the Ohio EPA Northwest District Office no later than 3 Months from the effective date of this permit for Project 7 separation of sewers. (Event Code 53799)

ii) Attain operational level of sewerage work as soon as possible, but by no later than 18 Months from the effective date of this permit.

iii) Notify Ohio EPA Northwest District Office within seven days of completing Item d.ii.(5599)

c. Project 10

i) Submit an application for permit to install, if required, including detailed plans to the Ohio EPA Northwest District Office no later than 27 Months from the effective date of this permit for Project 10 separation of sewers.(53799)

ii) Attain operational level of sewerage work as soon as possible, but by no later than 36 Months from the effective date of this permit.

iii) Notify Ohio EPA Northwest District Office within seven days of completing Item e.ii.(5599)

d. Projects 3 and 11

i) Submit an application for permit to install, if required, including detailed plans to the Ohio EPA Northwest District Office no later than 53 Months from the effective date of the permit for Projects 3 and 11 separation of sewers.(53799)

ii) Attain operational level of sewerage work as soon as possible, but by no later than 68 Months from the effective date of the permit.

iii) Notify Ohio EPA Northwest District Office within seven days of completing Item f.ii.(5599)

e. Projects 8 and 9

i) Submit an application for permit to install, if required, including detailed plans to the Ohio EPA Northwest District Office no later than 75 Months from the effective date of the permit for Projects 8 and 9 separation of sewers.(53799)

ii) Attain operational level of sewerage work as soon as possible, but by no later than 92 Months from the effective date of the permit. (4/1/2023)

iii) Notify Ohio EPA Northwest District Office within seven days of completing Item g.ii.(5599)

f. Project 12

i) Submit an application for permit to install, if required, including detailed plans to the Ohio EPA Northwest District Office no later than 99 Months from the effective date of the permit for Project 12 separation of sewers.

(53799)

ii) Attain operational level of sewerage work as soon as possible, but by no later than 115 Months from the effective date of the permit.

iii) Notify Ohio EPA Northwest District Office within seven days of completing Item h.ii.(5599)

2. Municipal CSO Schedule: Post-Construction Compliance Monitoring

a. Following completion of construction for each Long-Term Control Plan Project listed under Schedule of Compliance Part I, C Item 3, the permittee shall monitor for a three year period to determine if all overflow events have been eliminated and all sources of sanitary flow are being conveyed to the wastewater treatment plant for full treatment. Summaries of these efforts shall be included in the annual reports required under Item 3.a above.

b. By no later than 139 months from the effective date of this permit, the permittee shall submit a Post-Construction Compliance Monitoring Report evaluating the collection and treatment system as a whole. At a minimum, the post-construction compliance monitoring report shall adequately characterize any remaining volumes and occurrences for CSOs and wastewater treatment plant bypasses, and shall include dates for when each overflow was permanently closed.

This permit may be modified or revoked and reissued, as provided pursuant to 40 CFR 122.62 and 124.5 and rule 3745-33-04 of the Ohio Administrative Code, for the following reasons:

- To include new or revised conditions developed to comply with any State or Federal law or regulation that addresses combined sewer overflows or sanitary sewer overflows that is adopted or promulgated after the effective date of this permit.

- To include new or revised conditions if new information, not available at the time of permit issuance, indicates that CSO controls imposed under the permit have failed to ensure the attainment of State water quality standards.

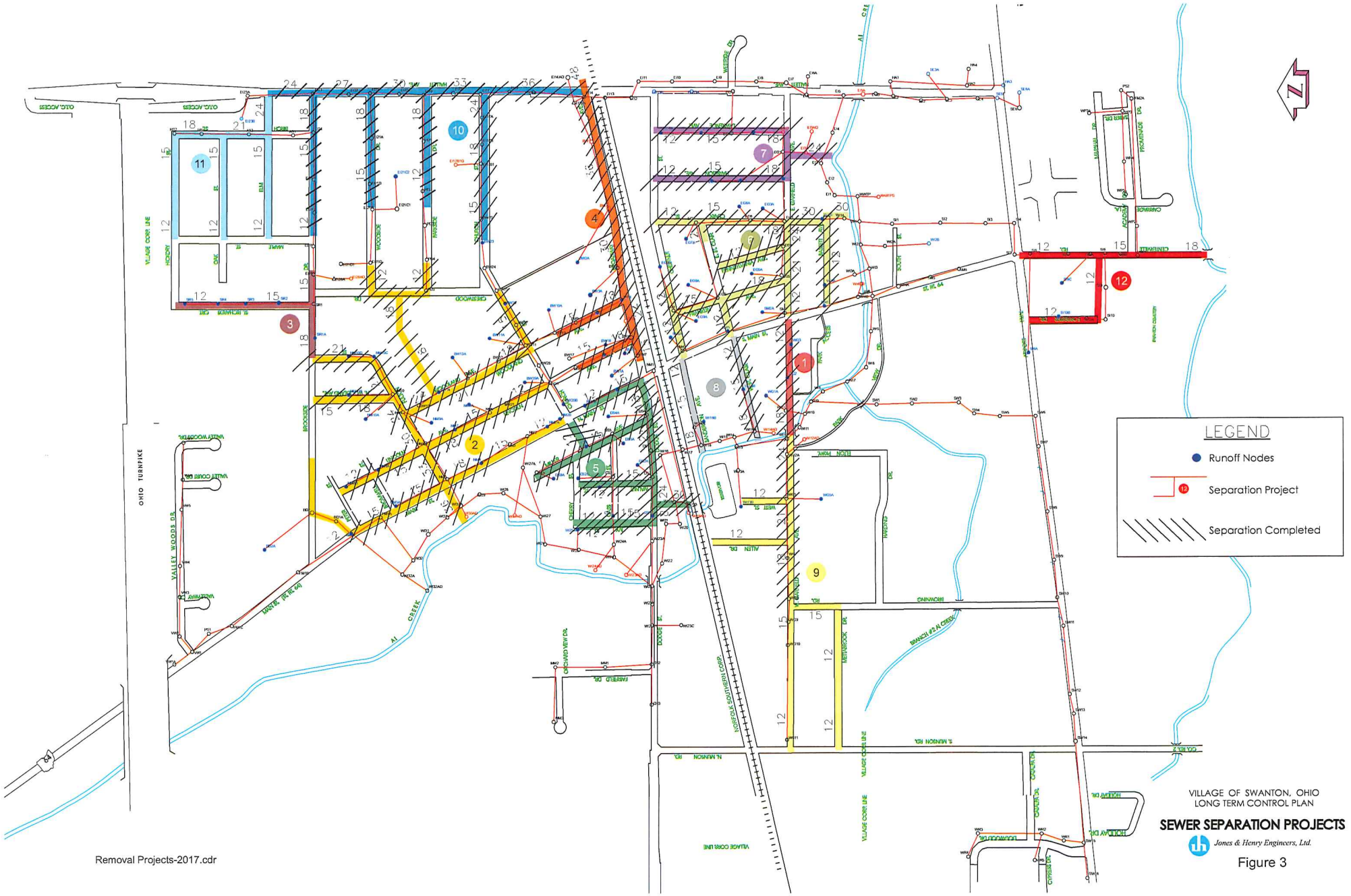
- To include new or revised conditions based on new information generated from development and implementation of the permittee's long-term control plan.

In addition, this permit may be modified or revoked and reissued for any reason specified in 40 CFR 122.62 and rule 3745-33-04 of the Ohio Administrative Code.

Collection system improvement projects will require submission of a Permit to Install/Plan Approval Application, accompanied by detail plans, as required by the Ohio Revised Code Chapter 6111.44 and the Ohio administrative Code Chapter 3745-31. Construction shall not be initiated until a permit to install, based upon the approval of detail plans, is obtained from Ohio EPA.

Including this implementation schedule in this NPDES permit shall in no way be construed as acceptance or approval of detail plans.

This NPDES permit renewal, Ohio EPA permit number 2PB00025*LD, expires on May 31, 2022. This Schedule of Compliance includes items that extend beyond the term of this permit. The requirements of the Schedule of Compliance, including the compliance dates, will be included in permit 2PB00025 when it is renewed.



VILLAGE OF SWANTON, OHIO
LONG TERM CONTROL PLAN

SEWER SEPARATION PROJECTS

Jones & Henry Engineers, Ltd.

Figure 3

Removal Projects-2017.cdr

SANITARY AND COMBINED SEWER SYSTEMS

DESCRIPTION

The sewer system for the Village of Swanton consists of a network of pipes carrying both sanitary wastewater (used water and sewage that goes down the drain in homes and businesses) and storm water (surface drainage such as rain or melting snow). In many parts of the Village, the mixed wastewater and storm water flow together in a single pipe to the wastewater treatment plant. These areas constitute the Combined Sewer System.

The Combined Sewer System was built during the early 1900s, as an economical way to handle wastewater and storm water. Currently, the combined system services approximately 275 acres within the Village limits. When the combined sewer system originally was designed, it was less expensive than building two entirely separate systems of pipes to carry wastewater and storm water. Before the interceptor sewers and wastewater treatment plant were built, all sanitary sewage and storm water were discharged into the nearest body of water.

The advantage of a combined sewer system is that, most of the time when flow is low to moderate, both wastewater and storm water go to the wastewater plant for treatment before being discharged. The disadvantage is that during heavy rains, the sewers may become overloaded, causing the mixture of storm water and sanitary sewage to back up into homes and businesses or to flood streets. When this occurs, a health risk may result from the exposure of flooded areas, particularly residential basements, to sanitary sewage. To reduce the risk of flooded basements, the hydraulic overloading in the sewer system is relieved by the release of excess water through combined sewer overflows (CSOs) to nearby streams. Unfortunately, the water discharged from the overflows also contains diluted, but otherwise untreated, sanitary sewage.

At the core of the Swanton sanitary sewer system is a set of interceptor sewers constructed in 1958. Before that time, the existing sewers carried the effluent from individual septic tanks directly to the nearest natural stream without further treatment (other than dilution by storm water, since they were combined sewers). The interceptor sewers diverted and transported the wastewater to the newly constructed treatment plant, with the existing stream discharge points remaining as CSOs. This wastewater collection and treatment system allowed new buildings to be constructed without septic tanks and permitted the abandonment and bypassing of existing septic tanks as they failed.

The 1958 interceptor system has three branches, as follows:

- The West Interceptor reaches from the Wastewater Treatment Plant west and north along Ai Creek to the northwest corner of the Village, west of North Main Street and south of the Ohio Turnpike.

- The South Interceptor branches southward from the West Interceptor just west of the Wastewater Treatment Plant, and extends to a point along Centerville Road (the extension of South Main Street) south of Airport Highway near Academy Drive.

- The East Interceptor reaches from the Wastewater Treatment Plant east along Ai Creek to Hallett Avenue (Fulton-Lucas County Line Road), then north along Hallett Avenue to the northeast corner of the Village, just south of the Ohio Turnpike.

In addition to the three main interceptor sewers, two other branches are considered as major trunk sewers:

- The Southeast Trunk Sewer branches from the East Interceptor at Hallett Avenue and Ai Creek, and extends south along Hallett Avenue to Airport Highway. This sewer was originally constructed as a combined sewer in 1962, as part of an improvement project for Hallett Avenue by the Ohio Department of Transportation. It is still considered a combined sewer, even though a separate storm sewer has since been installed to carry storm drainage from Airport Highway to Ai Creek.

- The Southwest Trunk Sewer, built in 1988, branches from the West Interceptor in Swanton Memorial Park, reaching south to Airport Highway then west past Holiday Drive. This sewer was constructed as a sanitary sewer, serving an area of new growth and expansion with separate sanitary and storm sewer systems.

A large percentage of the Village is still served by combined sewers that were part of the original sewer system prior to construction of the interceptor sewers in 1958. Newer sections of the Village have separate storm and sanitary sewers, but most of these sanitary sewers discharge either to a combined sewer or to an interceptor sewer that also carries combined sewage. At least in these newer areas, the burden in the sanitary/combined sewers is alleviated by the removal of storm drainage through a separate system.

As previously mentioned, Swanton's CSOs are located at the original combined sewer discharge points. The CSO locations were left in place to act as safety reliefs when the pipes get too full to handle the high volume of water during heavy rains. A number of CSOs have been removed or closed, but the Village still has 13 active CSOs. Of these, ten are located along Ai Creek. The other three CSOs overflow into a storm sewer that was once an open channel known as the Mary Wonder Ditch. This drain runs diagonally across the northeast part of the Village, from near the Brookside Drive/ St. Richard's Court intersection to the Norfolk Southern railroad crossing on Hallett Avenue, discharging to the ditch flowing east along the south side of the railroad.

Figure 2 on the following page illustrates how a combined sewer receives and transports both sanitary sewage and storm water. The amounts of wastewater and storm water entering the system are computed as described in the Basis of Design section of this report. Water is discharged from the CSOs only when the flow exceeds the capacity of the interceptor sewers to the treatment plant. At Swanton's wastewater treatment plant, a Storm Basin is used to store excess wastewater from peak flows that occur during storms. The stored wastewater is then returned to the plant for treatment at a controlled rate after the incoming flow diminishes. Occasionally during extended periods of wet weather, the Storm Basin is filled but the incoming flow remains high, so a Storm Basin discharge will occur. This is not considered the same as a CSO, but it is still the result of the storm water carried by the combined sewers; so abatement of this type of discharge also must be addressed.

Ordinance 2017-23

AN ORDINANCE TO AMEND SECTIONS 51.161(A) AND 51.16(B) OF THE SWANTON VILLAGE CODIFIED ORDINANCES AND TO INCREASE WASTEWATER COLLECTION AND TREATMENT RATES FOR THE VILLAGE OF SWANTON

WHEREAS, the Council of the Village of Swanton desires to adjust the village wastewater treatment rates to ensure the funding for collection, treatment and disposal of wastewater for the Village of Swanton; and

WHEREAS, the Ohio and United States Environmental Protection Agencies continue to mandate rules and regulations governing the operation of public waste water collection and treatment systems which require the Village of Swanton to make improvements to its wastewater treatment plant and collection system in order to comply with these state and federal rules and regulations which increases the costs for the Village to treat sewage; and

WHEREAS, currently established wastewater collection and treatment rates will not provide the funding necessary for the wastewater treatment plant and treatment of waste.

NOW THEREFORE BE IT ORDAINED, by the Council of the Village of Swanton, two-thirds of the members elected thereto concurring and as follows:

Section One: That Section 51.161(A) of the Swanton Village Codified Ordinances is hereby amended and henceforth shall read as follows:

Section 51.161(A) Wastewater Rate Structure Inside the Village Corporation Limits

There is hereby established the following rate structure for wastewater collected from premises inside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility from October 1, 2017 to December 31, 2017:

Gallons	Rate
0-2,000	$23.44
2,001 and above	$9.06 per thousand gallons

Section 51.161(A)(1) Adjustment of Rates on or after January 1, 2018. The rates established in Section 51.161(A) shall automatically be adjusted to the following rate structure for waste water

collected from premises inside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility on or after January 1, 2018:

Gallons	Rate
0-2,000	$24.61
2,001 and above	$9.33 per thousand gallons

Section 51.161(A)(2) Adjustment of Rates on or after January 1, 2019. The rates established in Section 51.161(A)(1) shall automatically be adjusted to the following rate structure for waste water collected from premises inside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility on or after January 1, 2019:

Gallons	Rate
0-2,000	$25.84
2,001 and above	$9.64 per thousand gallons

Section 51.161(A)(3) Adjustment of Rates on or after January 1, 2020. The rates established in Section 51.161(A)(2) shall automatically be adjusted to the following rate structure for waste water collected from premises inside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility on or after January 1, 2020:

Gallons	Rate
0-2,000	$27.13
2,001 and above	$9.93 per thousand gallons

Section 51.161(A)(4) Adjustment of Rates on or after January 1, 2021. Unless otherwise modified, the rates established in Section 51.161(A)(3) shall automatically be adjusted for wastewater collected from premises inside the Village of Swanton and treated by the Swanton Village Water Resource Facility on or after January 1, 2021 on an annual basis at an additional amount as follows:

Monthly base rate: $1.25 increase per year effective January 1st of each subsequent year.

Usage rate per 1,000 gallons: $.30 increase per year effective January 1st of each subsequent year.

Section Two: That Section 51.161(B) of the Swanton Village Codified Ordinances is hereby amended and henceforth shall read as follows:

Section 51.161(B) Wastewater Rate Structure Outside the Village Corporation Limits

There is hereby established the following rate structure for waste water collected from premises outside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility from October 1, 2017 to December 31, 2017:

Gallons	Rate
0-2,000	$58.88
2,001 and above	$22.94 per thousand gallons

Section 51.161(B)(1) Adjustment of Rates on or after January 1, 2018. The rates established in Section 51.161(B) shall automatically be adjusted to the following rate structure for wastewater collected from premises outside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility on or after January 1, 2018:

Gallons	Rate
0-2,000	$60.05
2,001 and above	$23.21 per thousand gallons

Section 51.161(B)(2) Adjustment of Rates on or after January 1, 2019. The rates established in Section 51.161(B)(1) shall automatically be adjusted to the following rate structure for wastewater collected from premises outside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility on or after January 1, 2019:

Gallons	Rate
0-2,000	$61.28
2,001 and above	$23.52 per thousand gallons

Section 51.161(B)(3) Adjustment of Rates on or after January 1, 2020. The rates established in Section 51.161(B)(2) shall automatically be adjusted to the following rate structure for wastewater collected from premises outside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility on or after January 1, 2020:

Gallons	Rate
0-2,000	$62.57
2,001 and above	$23.81 per thousand gallons

Section 51.161(B)(4) Adjustment of Rates on or after January 1, 2021. The rates established in Section 51.161(B)(3) shall automatically be adjusted to the following rate structure for wastewater collected from the premises outside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility on or after January 1, 2021:

Monthly base rate: $1.25 increase per year effective January 1st of each subsequent year.

Usage rate per 1,000 gallons: $.30 increase per year effective January 1st of each subsequent year.

Section Three: That it is found and determined that all formal actions of this Village Council concerning and relating to the adoption of this ordinance were adopted in an open meeting of this Village Council, and that all deliberations of this Village Council and of any of its committees that resulted in such formal action, were in meetings open to the public in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section Four: That this ordinance shall be effective at the earliest time allowed by law.

First Reading: July 17, 2017
Second Reading: August 14, 2017
Third Reading: August 28, 2017

Vote on Passage

Moved: Dzyak Second: Westhoven YEAS: 5 NAYS: 0 ABSTAIN: 1

Date of Passage: August 28, 2017

Ann Roth

Ann Roth, Mayor

Attest:
I, Karla Sexton, Fiscal Officer of the Village of Swanton, do hereby certify that this is a true and accurate copy of Ordinance 2017-23, passed on August 28, 2017

Karla Sexton

Karla Sexton, Fiscal Officer

Ordinance 2017-24

AN ORDINANCE TO AMEND SECTIONS 52.01 AND 52.08(A) OF THE SWANTON VILLAGE CODIFIED ORDINANCES AND TO INCREASE THE WATER DISTRIBUTION RATES FOR THE VILLAGE OF SWANTON

WHEREAS, the Council of the Village of Swanton desires to improve the village water plant and distribution system to ensure a reliable and safe supply of water for the Village of Swanton and;

WHEREAS, the Ohio and United States Environmental Protection Agencies continue to mandate rules and regulations governing the operation of public water systems which require the Village of Swanton to make improvements to its water plant and distribution system in order to comply with these state and federal rules and regulations; and

WHEREAS, currently established water rates will not provide the funding necessary for the operation of the village water plant and distribution system.

NOW THEREFORE BE IT ORDAINED, by the Council of the Village of Swanton, two-thirds of the members elected thereto concurring and as follows:

Section One: That Section 52.01 of the Swanton Village Codified Ordinances is hereby amended and henceforth shall read as follows:

Section 52.01(A) Water Rate Structure Inside the Village Corporation Limits

There is hereby established the following rate structure for water furnished to premises inside the Village of Swanton supplied by the Swanton Village Water Works from October 1, 2017 to December 31, 2017:

Gallons	Rate
0-2,000	$25.43
2,001 and above	$5.20 per thousand gallons

Section 52.01(A)(1) Adjustment of Rates on or after January 1, 2018. The rates established in Section 52.01(A) shall automatically be adjusted to the following rate structure for water furnished to premises inside the Village of Swanton supplied by the Swanton Village Water Works on or after January 1, 2018:

Gallons	Rate
0-2,000	$26.70
2,001 and above	$5.36 per thousand gallons

Section 52.01(A)(2) Adjustment of Rates on or after January 1, 2019. The rates established in Section 52.01(A)(1) shall automatically be adjusted to the following rate structure for water furnished to premises inside the Village of Swanton supplied by the Swanton Village Water Works on or after January 1, 2019:

Gallons	Rate
0-2,000	$28.04
2,001 and above	$5.52 per thousand gallons

Section 52.01(A)(3) Adjustment of Rates on or after January 1, 2020. The rates established in Section 52.01(A)(2) shall automatically be adjusted to the following rate structure for water furnished to premises inside the Village of Swanton supplied by the Swanton Village Water Works on or after January 1, 2020:

Gallons	Rate
0-2,000	$29.44
2,001 and above	$5.69 per thousand gallons

Section 52.01(A)(4) Adjustment of Rates on or after January 1, 2021. Unless otherwise modified the rates established in Section 52.01(A)(3) shall automatically be adjusted for water furnished to premises inside the Village of Swanton supplied by the Swanton Village Water Works on or after January 1, 2021 on an annual basis at an additional amount as follows:

Monthly base rate: $1.35 increase per year effective January 1st of each subsequent year.

Usage rate per 1,000 gallons: $0.17 increase per year effective January 1st of each subsequent year.

Section Two: That Section 52.08(A) of the Swanton Village Codified Ordinances is hereby amended and henceforth shall read as follows:

Section 52.08(A) Water Rate Structure Outside the Village Corporation Limits

There is hereby established the following rate structure for water furnished to premises outside the Village of Swanton supplied by the Swanton Village Water Works from October 1, 2017 to December 31, 2017:

Gallons	Rate
0-2,000	$63.93
2,001 and above	$7.04 per thousand gallons

Section 52.08(A)(1) Adjustment of Rates on or after January 1, 2018. The rates established in Section 52.08(A) shall automatically be adjusted to the following rate structure for water furnished to premises outside the Village of Swanton supplied by the Swanton Village Water Works on or after January 1, 2018:

Gallons	Rate
0-2,000	$65.20
2,001 and above	$7.20 per thousand gallons

Section 52.08(A)(2) Adjustment of Rates on or after January 1, 2019. The rates established in Section 52.08(A)(1) shall automatically be adjusted to the following rate structure for water furnished to premises outside the Village of Swanton supplied by the Swanton Village Water Works on or after January 1, 2019:

Gallons	Rate
0-2,000	$66.54
2,001 and above	$7.36 per thousand gallons

Section 52.08(A)(3) Adjustment of Rates on or after January 1, 2020. The rates established in Section 52.08(A)(2) shall automatically be adjusted to the following rate structure for water furnished to premises outside the Village of Swanton supplied by the Swanton Village Water Works on or after January 1, 2020:

Gallons	Rate
0-2,000	$67.94
2,001 and above	$7.53 per thousand gallons

Section 52.08(A)(4) Adjustment of Rates on or after January 1, 2021. The rates established in Section 52.08(A)(3) shall automatically be adjusted to the following rate structure for water furnished to premises outside the Village of Swanton supplied by the Swanton Village Water Works on or after January 1, 2021:

Monthly base rate: $1.35

Usage fore 1,000 gallons: $0.17

Section Three: That it is found and determined that all formal actions of this Village Council concerning and relating to the adoption of this ordinance were adopted in an open meeting of this Village Council, and that all deliberations of this Village Council and of any of its committees that resulted in such formal action, were in meetings open to the public in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section Four: That this ordinance shall be effective at the earliest time allowed by law.

First Reading: July 17, 2017
Second Reading: August 14, 2017
Third Reading: August 28, 2017

Vote on Passage

Moved: Westhoven Second: Rochelle YEAS: 5 NAYS: 0 ABSTAIN: 1

Date of Passage: August 28, 2017

Ann Roth

Ann Roth, Mayor

Attest:
I, Karla Sexton, Fiscal Officer of the Village of Swanton, do hereby certify that this is a true and accurate copy of Ordinance 2017-24, passed on August 28, 2017

Karla Sexton, Fiscal Officer

Revised: December 17, 2019

Supplemental Application Instructions

Prerequisites for Project Consideration

Manner of submittal items:

1) Must be one-sided, 8.5" x 11".
2) No dividers or cover sheets (a summary sheet may be submitted with "other documentation").
3) No Binding. A binder clip, folder, punch-less binder (has a clamp that holds papers together) are OK. No staples.

Format of application:

1) All must be in whole dollars (no cents).
2) Cannot use all caps.
   Page 4 of application must contain relevant information about project and not "see attached". If it will not fit in space provided, list what will fit and attach one supplement document to complete the information.
3) Page 3 must designate households or ADT ONLY for the direct area of the infrastructure. (Cannot count downstream or system users). Majority infrastructure type determines how project is scored when there are multiple components.

Order and completeness of items:

1) ___ OPWC six page application
2) ___ Authorizing Legislation authorizing CEO to enter into agreements with OPWC.
3) ___ Certification of funds/Loan Repayment following sample provided.
4) ___ A registered professional engineer's detailed cost estimate and useful life statement with seal or stamp and signature
5) ___ Co-operative Agreement (if applicable)
6) ____ Findings and Orders, Traffic Count, Job Creation or Retention and any other items to support scoring.
7) Other items
   a. Maps
   b. Pictures
   c. Summary Sheet
   d. Letters supporting project
   e. Any other items deemed relevant to the project.

Project Cost Overruns/Changes in Scope Procedure

1) The applicant will prepare an amended application including a revised budget, revised engineering estimate, and a detailed explanation of the change(s) requested.
2) The amendment is due to the District 5 Liaison thirty days in advance of the date of the scheduled District 5 Executive Committee Meeting.

Revolving Loan Prioritization

1) RLP funds are funds repaid from previous loans. The money can only be used for loans. No grants may be made with the funds.
2) The interest rate for RLP Loans is established by the Executive committee at zero percent per year for the useful life of the improvement.
3) RLP Loans will be offered to projects based on the ranking of projects on the SCIP Slate. Consideration will be given to projects in order of score based on initial grant or grant/loan request. until the RLP funds are expended.

Evaluation Questionnaire and Priority Rating Sheet

1) Each application to District 5 shall be rated using the District 5 Capital Improvements Project Questionnaire and Priority Rating Sheet as adopted by the District 5 Executive Committee.
2) For Villages and Township with populations less than 5,000 special attention is called to the potential eligibility for Small Government Funding consideration. The scoring for the Small Government Program is established and implemented by the Ohio Public Works Commission. This program has an additional set of Evaluation Methodology. Each applicant should familiarize themselves with this methodology when planning your project funding request. If your project is not selected for District Funding each applicant under 5,000 in population will be considered for selection as a potential Small Government Project.

DISTRICT 5
CAPITAL IMPROVEMENT PROJECTS
QUESTIONNAIRE
ROUND 35

Name of Applicant: Village of Swanton
Project Title: Project 8 and 9 - Combined Sewer Separation

The following questions are to be answered for each application submitted for State Issue II SCIP, LTIP and Loan Projects. Please provide specific information using the best documentation available to you. Justification of your responses to these questions will be required if your project is selected for funding, so please provide correct and accurate responses. **Communities and Townships under 5,000 in population should also complete the Small Government Criteria.**

1. What percentage of the project in repair A= __%, replacement B=100%, expansion C= __%, and new D= ___%? (Use dollar amounts of project to figure percentages and make sure the total equals one hundred(100) percent) A+B= 100 % C+D= ___% **ORC Reference(s):164.06(B)(1); 164.14(E)(10)**

Repair/Replacement =Repair or Replacement of public facilities owned by the government (any subdivision of the state).

New/Expansion = Replacement of privately owned wells, septic systems, private water or wastewater systems, etc.

2a. Existing Physical Condition of Infrastructure **ORC Reference(s):164.06(B)(2);164.14(E)(9);164.14(E)(2); 164.14(E)(8)**

Points	Category	Description	Examples
10	Failing	Infrastructure has reached a point where it requires replacement, reconstruction or reconfiguration to fulfill its purpose The combined sewers do not meet current design standards and are under an Ohio EPA compliance schedule (attached) to be separated.	-Intersection Reconfiguration due to accident problem- Structural paving of 3.5" or greater of additional pavement - Pavement Widening to meet ODOT L&D Standards - Complete Pavement Reconstruction -Water or Sewer Line Replacement - Water or Sewer Plant Replacement - Widening graded shoulder width to ODOT L&D Standard -Complete Bridge or Culvert replacement
8	Poor	The condition is substandard and requires repair or restoration in order to return to the intended level of service and comply with current design standards. Infrastructure contains deficiency and is functioning at a diminished capacity.	-Multiple course of paving - Structural Culvert Lining - Bridge Deck Replacement - Replacement of a significant part of a water or sewer plant - Single course of paving with 25% base repair-Widening graded shoulder width to less than ODOT L&D Standard

6	Fading	The condition requires reconditioning to continue to function as originally intended.	-Single course of paving -Sewer Lining Projects -Water tower painting -Replacement of pumps, hydrants, valves, filters, etc in existing water and sewer systems-Widening aggregate berm on existing graded shoulder width
4	Fair	The condition is average, not good or poor. The infrastructure is still functioning as originally intended. Minor deficiencies exist requiring repair to continue to function as originally intended and/or to meet current design standards	
2	Good	The condition is safe and suitable to purpose. Infrastructure is functioning as originally intended, but requires minor repairs and/or upgrades to meet current design standards	
0	Excellent	The condition is new or requires no repair. Or, no supporting documentation has been submitted	

2b. Age of Infrastructure **ORC Reference(s):164.06(B)(2)**

Life	20	30	50
Project Type	Road	Wastewater and Water Treatment	Bridge/Culvert, Sanitary Sewer, Water Supply, Storm Water, Solid Waste
Points			
0	0-4 Years	0-6 Years	0-10 Years
1	5-8 Years	7-12 Years	11-20 Years
2	9-12 Years	13-18 Years	21-30 Years
3	13-16 Years	19-24 Years	31-40 Years
4	17-20 Years	25-30 Years	41-50 Years
5	20+ Years	30+ Years	50+ Years

3. Health and Safety Rating: **ORC Reference(s):164.06(B)(4),164.14(E)(1); 164.14(E)(10)**

If the proposed project is not approved what category would best represent the impact on the general health and/or public safety?

ROADS

Extremely Critical: Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Major Access Road.*

Critical: Resurfacing, Restoration and Rehabilitation (3R) of a Major Access Road.*

Major:	Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Minor Access Road.*
Moderate:	Resurfacing, Restoration and Rehabilitation (3R) of a Minor Access Road.*
Minimal:	Preventative Maintenance of a Major Access Road.
No Impact:	Preventative Maintenance of a Minor Access Road.

Projects that have a variety of work will be scored in the LOWEST category of work contained in the Construction Estimate.

Road/Street Classifications:

Major Access Road:	*Roads or streets that have a dual function of providing access to adjacent properties and providing through or connecting service between other roads.*
Minor Access Road:	*Roads or streets that primarily provide access to adjacent properties without through continuity, such as cul-de-sacs or loop roads or streets.*
Preventative Maintenance:	*Non Structural Pavement work such as chip sealing, cape sealing, micro-surfacing, crack sealing, etc.*

*(3R) Resurfacing, Restoration and Rehabilitation - Improvements to existing roadways, which have as their main purpose, the restoration of the physical features (pavement, curb, guardrail, etc.) without altering the original design elements. **(Surface and Intermediate layer Mill and Fills, overlays with less than or equal to 3.5" of additional pavement, etc….)**

*(4R) Resurfacing, Restoration, Rehabilitation and Reconstruction - Much like 3R, except that 4R allows for the complete reconstruction of the roadway and alteration of certain design elements (i.e., lane widths, shoulder width, SSD, **overlays with greater than 3.5" of additional pavement**. etc.).

BRIDGES SUFFICIENCY RATING

Extremely Critical:	0-25, or a General Appraisal rating of 3 or less.
Critical:	27-50, or a General Appraisal rating of 4.
Major:	51-65 or a General Appraisal rating of 5 or 6.
Moderate:	66-80 or a General Appraisal rating of 7.
Minimal:	81-100 or a General Appraisal rating of more than 7.
No Impact:	Bridge on a new roadway.

WASTEWATER TREATMENT PLANTS

Extremely Critical:	Environmental Protection Agency (EPA) orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.

Critical:	Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
Major:	Replace deficient appurtenances. Update existing processes due to EPA recommendations.
Moderate:	Increase capacity to meet current needs or update processes to improve effluent quality.
Minimal:	New/Expansion project to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

WATER TREATMENT PLANT

Extremely Critical:	EPA orders in the form of a consent decree, findings and orders or court order.
Critical:	Improvements to meet Environmental Protection Agency (EPA) Safe Drinking Water Regulations and/or NPDES Orders.
Major:	Replace deficient appurtenances. Update existing processes due to EPA recommendations.
Moderate:	Increase capacity to meet current needs or update processes to improve water quality.
Minimal:	New/Expansion project to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

COMBINED SEWER SEPARATIONS (May be construction of either new storm or sanitary sewer as long as the result is two separate sewer systems.)

Extremely Critical:	EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
Critical:	Separate, due to chronic backup or flooding in basements.
Major:	Separate, due to documented water quality impairment, or due to EPA recommendations.
Moderate:	Separate, due to specific development proposal within or upstream of the combined system area.
Minimal:	Separate, to conform to current design standards.
No Impact:	No positive health effect.

STORM SEWERS

Extremely Critical:	EPA orders in the form of a consent decree, findings and orders or court order.
Critical:	Chronic flooding (structure damage).
Major:	Inadequate capacity (land damage).
Moderate:	Inadequate capacity with no associated damage.
Minimal:	New/Expansion to meet current needs.
No Impact:	New/Expansion to meet future or project needs.

CULVERTS

Extremely Critical:	Structurally deficient or functionally obsolete. Deterioration has already caused a safety Critical: hazard to the public.
Critical:	Inadequate capacity with land damage and the existing or high probability of property damage.
Major:	Inadequate capacity (land damage).
Moderate:	Inadequate capacity with no associated damage.
Minimal:	New/Expansion to meet current needs.
No Impact:	New/Expansion to meet future or projected needs.

SANITARY SEWERS

Extremely Critical:	EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
Critical:	Replace, due to chronic pipe failure, chronic backup or flooding in basements. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
Major:	Replace, due to inadequate capacity or infiltration, or due to EPA recommendations.
Moderate:	Rehabilitate to increase capacity to meet current needs or to reduce inflow and infiltration.
Minimal:	New/Expansion project to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

SANITARY LIFT STATIONS AND FORCE MAINS

Extremely Critical:	Structurally deficient. Deterioration has already caused a safety/health hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
Critical:	Inadequate capacity with actual or a high probability of property damage. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
Major:	EPA recommendations, or, reduces a probable health and/or safety problem.
Moderate:	Rehabilitate to increase capacity to meet current needs.
Minimal:	New/Expansion to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

WATER PUMP STATIONS

Extremely Critical:	Structurally deficient. Deterioration has already caused a safety hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
Critical:	Inadequate capacity with the inability to maintain pressure required for fire flows.
Major:	Replace due to inadequate capacity or EPA recommendations.
Moderate:	Rehabilitate to increase capacity to meet current needs.
Minimal:	New/Expansion to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

WATER LINES/WATER TOWERS

Extremely Critical:	Solve low water pressure or excessive incidents of main breaks in project area.
Critical:	Replace, due to deficiency such as excessive corrosion, etc.
Major:	Replace undersized water lines as upgrading process.
Moderate:	Increase capacity to meet current needs.
Minimal:	New/Expansion project to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

OTHER

Extremely Critical:	There is a present health and/or safety threat.

Critical: The project will provide immediate health and/or safety benefit.

Major: The project will reduce a probable health and/or safety problem.

Moderate: The project will delay a health and/or safety problem.

Minimal: A possible future health and/or safety problem mitigation.

No Impact: No health and/or safety effect.

NOTE: Combined projects that can be rated in more than one subset may be rated in the other category at the discretion of the District 5 Executive Committee. In general, the majority of the cost or scope of the project shall determine the category under which the project will be scored.

(Submittals without supporting documentation will receive 0 Points for this question.)

Extremely Critical X, Critical ___, Major ___, Moderate ___, Minimal ___, No Impact ___. Explain your answer. The Village is under an Ohio EPA Compliance Schedule in its NPDES Permit (attached) which requires the project to be operational by April 1, 2023. The project is required to eliminate combined sewer overflows.

(Additional narrative, charts and/or pictures should be attached to questionnaire)

4. Identify the amount of local funds that will be used on the project as a percentage of the total project cost. **ORC Reference164.06(B)(6); ORC164.06(B)(3)**

A.) Amount of Local Funds = $ 1,416,637

B.) Total Project Cost = $ 1,679,137

RATIO OF LOCAL FUNDS DIVIDED by TOTAL PROJECT COSTS (A□B)= 84%

Note: Local funds should be considered funds derived from the applicant budget or loans funds to be paid back through local budget, assessments, rates or tax revenues collected by the applicant.

5. Identify the amount of other funding sources to be used on the project, excluding SCIP or LTIP Funds, as a percentage of the total project cost. **ORC Reference(s):164.06(B)(7);164.14(E)(4)**

Grants ___% Gifts ___%, Contributions ___%

Other ___% (explain)_____ , Total 0%

Note: Grant funds and other revenues not contributed or collected through taxes by the applicant should be considered other funds. The Scope of Work for each Funding Source must be the same.

6. Total Amount of SCIP and Loan Funding Requested- An Applicant can request a grant per the categories below for points as indicated on the Priority Rating Sheet. If the Applicant is including a loan request equal to, but not exceeding 50% of the OPWC funding amounts listed below, there will be no point penalty. If loan funds requested are more than 50%, points as listed in the Priority Rating Sheet will apply. **ORC Reference(s):164.14(E)(10);164.06(B)(5)**

_____	$500,001 or More
_____	$400,001-$500,000
_____	$325,001-$400,000
_____	$275,001-$325,000
_____	$175,001-$275,000
X	$175,000 or Less

There are times when the District spends all of the grant money and has loan money remaining. When this happens, the district makes a loan offer in the amount of the requested grant to the communities that were not funded. The offers are made in the order of scoring. We need to know if you are not successful in obtaining grant dollars for your project if you would be interested in loan money:

YES X NO_____
(This will only be considered if you are not funded with grant money and there is remaining loan money.) **Please note: if you answer "no" you will not be contacted, only if you answer "yes" will an offer be made in the event that there is loan money remaining.**

7. If the proposed project is funded, will its completion directly result in the creation of permanent full-time equivalent (FTE) jobs (FTE jobs shall be defined as 35 hours/week) ? Yes ___ No X. If yes, how many jobs within eighteen months? __ Will the completed project retain jobs that would otherwise be permanently lost? Yes ___ No ___. If yes, how many jobs ____ **will be created/retrained** within 18 months **following the completion of the improvements**?

ORC Reference(s): 164.14(E)(3);164.14(E)(10)

(Supporting documentation in the form of letter from affected industrial or commercial enterprises that specify full time equivlent jobs that will be retained or created directly by the installation or improvement of Public infrastructure. Additional items such as; 1) newspaper articles or other media news accounts, 2) public meeting minutes, and/or 3) a letter from the County Economic Development Director or State of Ohio Economic Development Professional that alludes to the requirement for the infrastructure improvement to support the business. Submittals without supporting documentation will receive 0 points for this question.)

8. What is the total number of existing users that will directly benefit from the proposed project if completed? 108 (Use households served, traffic counts, etc. and explain the basis by which you arrived at your number.) **ORC Reference 164.14(E)(7); 164.06(B)(10)**

9. Economic Distress Criteria **ORC Reference 164.06(B)(8)**

What is the Local Median Household Income as a percentage of the District Median Household Income? 102.78 %. Please utilize the Economic Distress Scoring Criteria based on ACS 2013-2017 Data provided in Exhibit A.

10. Readiness to Proceed Criteria **ORC Reference 164.06(B)(9); ORC 164.14(E)(5)**

Please categorize the status of planning and design elements for the project.

_____ Plans have not begun yet (0 Points)

X Preliminary Engineering Complete (1 Point)

_____ Final Design Complete (2 Points)

11. Base Score Total for Questions 1-10= _____

12. County Subcommittee Priority Points= _____

(25-20-15 Points for each of the SCIP and LTIP Project Categories)

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13. DISCRETIONARY POINTS (BY DISTRICT COMMITTEE ONLY)

13a. A **District Discretionary Point** may be awarded to projects that demonstrate significant Area-wide, County, or Community Impact. (Include documentation to support the claim of significance) (Maximum of 1 Point at the discretion of the District Executive Committee) _____ **ORC Reference 164.14(E)(7)**

13b. A **District Discretionary Point** may be awarded to projects that demonstrate that the entity has maximized local financial resources including assessments. Provide a Fund Status Report and/or the water and sanitary waste utility rate structures are at least 2.5% of area median household income for combined systems and 1.5% of the area median household income for water and sanitary only systems. Please provide rate ordinances for water and sanitary sewer to be considered for discretionary points. (Maximum of 1 Point at the discretion of the District 5 Executive Committee)_____ **ORC Reference 164.06(B)(3)**

14. **Grand Total of Points** _____

15. Is subdivision's population less than 5,000 Yes No ___ If yes, continue. You may want to design your project per Small Government Project Evaluation Criteria, released for the current OPWC Round to assist in evaluating your project for potential Small Government Funding. The Small Government Criteria is available on the OPWC website at https://www.pwc.ohio.gov/Portals/0/Data/SmallGovernment%20Round%2035%20Methodology.pdf?ver=2019-08-07-071749-143

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16. **OHIO PUBLIC WORKS COMMISSION SMALL GOVERNMENT PROGRAM GUIDELINES**

All projects that are sponsored by a subdivision with a population of 5,000 or less, and not earning enough points for District Funding from SCIP or LTIP Funds, are then rated using the Small

Government Program Rating Criteria for the corresponding funding round. In order to be rated the entity must submit the Small Government Suppliment and their required budgets with their application. **Only infrastructure that is village- or township- owned is eligible for assistance.** The following policies have been adopted by the Small Government Commission:

- District Integrating Committees may submit up to seven (7) applications for consideration by the Commission. All 7 must be ranked, however, only the top five (5) will be scored. The remaining two (2) will be held as contingency projects should an application be withdrawn.

- Grants are limited to $500,000. Any assistance above that amount must be in the form of a loan.

- Grants for new or expanded infrastructure cannot exceed 50% ofthe project estimate.

- The Commission may deny funding for water and sewer systems that are deemed to be more cost-effective if regionalized.

- If a water or sewer project is determined to be affordable, the project will be offered a loan rather than a grant. Pay special attention to the **Water & Wastewater Affordability Supplemental and the Small Government Water & Wastewater Affordability Calculation Worksheet. Both are available on the Small Government Program Tab at https://www.pwc.ohio.gov/Programs/Infrastructure-Programs/Small-Government**

- Should there be more projects that meet the "annual score" than there is funding, the tie breaker is those projects which scored highest under Health & Safety, with the second tie breaker being Condition. If multiple projects have equivalent Health & Safety and Condition scores they are arranged according to the amount of assistance from low to high. Once the funded projects are announced, "contingency protects" may be funded from project under-runs by continuing down the approved project list.

- Supplemental assistance is not provided to projects previously funded by the Commission.

- Applicants have 30 days from receipt of application by OPWC without exception to provide additional documentation to make the application more competitive under the Small Government criteria. Applications will be scored after the 30-day period has expired. The applicants for each District's two (2) contingency projects will have the same 30-day period to submit supplemental information but these applications will not be scored unless necessary to do so. **It is each applicant's responsibility for determining the need for supplemental material. The applicant will not be asked for or notified of missing information unless the Commission has changed the project type and it affects the documentation required. Important information may include, but is not limited to: age of infrastructure, traffic counts or utility users, median income information, user rates ordinances, and the Auditor's Certificate of Estimated Revenues or documentation from the Auditor of State that subdivision is in a state of fiscal emergency.**

If you desire to have your Round 35 project considered for Small Government Funding please download the Small Government Evaluation Criteria applicable to Round 35 by accessing the OPWC Website at

https://www.pwc.ohio.gov/Portals/0/Data/SmallGovernment%20Round%2035%20Methodology.pdf?ver=2019-08-07-071749-143

Please complete the Small Government Evaluation Criteria and attach all required supporting documentation and attach it to the District 5 Questionnaire for Round 35.

Date: 9/11/2020

Signature:

Title: Village Administrator

Address: 219 Chestnut Street, Swanton, OH 43558

Phone: 419-826-9515

FAX:

Email: rhoelzle@villageofswantonohio.us

District 5
Capital Improvement Project
Priority Rating Sheet, Round 35

COUNTY: Fulton | PROJECT NUMBER:
PROJECT: Swanton Project 8 & 9 Combined Sewer Separation
EST. COST: $1,679,137

No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS	"A" x "B"	PRIORITY FACTORS						No.
			0 2 4 6 8 10		0	2	4	6	8	10	
1	1	**(REPAIR OR REPLACE) vs. (NEW OR EXPANSION)**	x	10	0% + Repair or Replacement	20% + Repair or Replacement	40% + Repair or Replacement	60%+ Repair or Replacement	80%+ Repair or Replacemnt	100%+ X Repair or Replacement	1
			0 2 4 6 8 10		0	2	4	6	8	10	
2A	1	**EXISTING PHYSICAL CONDITION** Please refer to Criteria #2 of the Round 35 Scoring Methodology. Must submit substantiating documentation. (100% New or Expansion = 0 Points)	x	10	Excellent	Good	Fair	Fading	Poor	X Failing	2A
			0 1 2 3 4 5		Type	0	1	2	3	4	5	
2B	1	**AGE**	x	5	Road	0-4 Yrs	5-8 Yrs	9-12 Yrs	13-16 Yrs	17-20 Yrs	20+ Yrs	2B
					Wastewater	0-6 Yrs	7-12 Yrs	13-18 Yrs	19-24 Yrs	25-30 Yrs	30+ Yrs	
					Bridge/Culvert, Sanitary Sewer, Water Supply, Storm Water, Solid Waste	0-10 Yrs	11-20 Yrs	21-30 Yrs	31-40 Yrs	41-50 Yrs	X 50+ Yrs	
			0 2 4 6 8 10		0	2	4	6	8	10	
3	2	**PUBLIC HEALTH AND/OR SAFETY CONCERNS** Submittals without supporting documentation will receive 0 points for this question.	x	20	No Impact	Minimal	Moderate	Major	Critical	X Extremely Critical	3
			0 2 4 6 8 10		0	2	4	6	8	10	
4	2	**LOCAL MATCHING FUNDS** Percentage of Local Share (Local funds are funds derived from the applicant budget or a loan to be paid back through the applicant budget, assessments, rates or tax revenues) *	x	20	0%	10%	20%	30%	40%	X 50%	4
			0 2 4 6 8 10		0	2	4	6	8	10	
5	1	**OTHER FUNDING** (Excluding Issue II Funds) (Grants and other revenues not contributed or collected through taxes by the applicant; including Gifts, Contributions, etc. – must submit copy of award or status letter.)	X	0	X 0%	10%	20%	30%	40%	50%	5
6		**OPWC GRANT AND LOAN FUNDS REQUESTED** Please refer to Criteria #6 of the Round 35 Methodology for clarification.	x								6
	2	**Grant or Loan Only**	-9 -8 0 8 9 10		-9	-8	0	8	9	10	6
					Grant or Loan Only						
					$500,001 or more	$400,001 to $500,000	$325,001 to $400,000	$275,001 to $325,000	$175,001 to $275,000	$175,000 or less	
	2	**Grant /Loan Combination**	-9 -8 0 8 9 10	20	**Grant/Loan Combination**					X	6
					$750,000 or more	$600,001 to $750,000	$487,501 to $600,000	$412,501 to $487,500	$262,501 to $412,500	$262,500 or less	
		When scoring a project that is only grant or only loan. Please use the chart labeled "Grant or Loan Only". When scoring a grant/loan combination, score the project for the grant in the first chart, then use the second chart labeled "Grant/Loan Combination" to score the total (grant and loan combined). Use the lower of the two as the score.									
			0 2 4 6		0	2	4	6			
7	1	**JOB CREATION/RETENTION** Indicate full time equivalent jobs, include supporting documentaion in the form of a commiment letter from business or third party entity.	x	0	X 0-6 Jobs	7-14 Jobs	15-24 Jobs	25+ Jobs			7
			0 2 4 6 8 10		0	2	4	6	8	10	
8	1	**BENEFIT TO EXISTING USERS** (households or traffic counts) Equivalent dwelling unit, metered connections. Traffic Counts within two years with certified documentation, etc.	x	2	0 -99 Users	100 - 349 Users X	350 - 499 Users	500 - 749 Users	750 - 1000 Users	1000+ Users	8
			0 1 2		0	1	2				
9	1	**ECONOMIC DISTRESS** Local MHI as a percentage of the District Median MHI	x	0	X 100%+	80%-100%	Less Than 80%				9
			0 1 2		0	1	2				
10	1	**READINESS TO PROCEED**	x	1	Plans Not Begun Yet	X Preliminary Engineering Complete	Final Design Complete				10
11		**SUBTOTAL RANKING POINTS (MAX. = 115)**		88							
12		**COUNTY SUBCOMMITTEE PRIORITY POINTS (25-20-15)**									
13A		**DISCRETIONARY POINTS (BY DISTRICT ONLY) (MAX.=1)**									
13B		**DISCRETIONARY POINTS (BY DISTRICT ONLY) (MAX.=1)**									
14		GRAND TOTAL RANKING POINTS									

Other Info:

Does this project have a significant impact on productive farmland?

YES NO No, the project is the separation of existing combined sewers and does not impact farmland.

Attach impact statement if yes.

Is the Applicant ready to proceed to bids after State Approval within 6 months?

YES NO Yes, design will be completed by 3/31/2021 and the construction contract will be awarded as soon as OPWC funding is released on 7/1/21.

District Discretionary Point may be awarded to projects that demonstarte significant Area-wide, County, or Community Impact. Include documentaion to support the claim of significance.

District Discretionary Point may be awarded to projects that demonstarte that the entity has maximized financial resources including assessments and utility rate structure.

*** Applicants must certify local and other share contributions. Specify, all funding sources to be utilized as local share at the time of application submittal.**

Small Government Commission
Application Checklist

This checklist will help ensure that your application is scored at its best competitive advantage. It will also assist with the timely release of the Project Agreement should your project be funded. This form is for your use only. See various templates and forms in this manual, on the Small Government webpage, and on the Application webpage.

X Compliant certified authorizing legislation by applicant's governing body (OPWC Application webpage)

N/A Cooperative agreement if multi-jurisdictional (OPWC Application webpage). Road/bridge/culvert projects must include an engineer's statement certifying the percentages of each participating jurisdiction's share of the total project.

X Compliant Chief Financial Officer's Certification and Loan Letter (OPWC Application webpage)

X Funding commitment letters and or documentation for all non-OPWC matching funds

X Signed/stamped registered professional engineer's detailed cost estimate including in-kind costs (OPWC Application webpage). If project is a mix of new/expansion and repair/replacement items, engineer must include a percentage break-down by category.

X Signed/stamped professional engineer's weighted useful life statement if not submitted with original application (cannot be modified)

X Small Government Engineer's Plan Status Certification form (in this manual and on SG webpage)

X Clear description of problem and scope of work with appropriate documentation

X Source documentation for proof of age with year clearly visible or compliant letter from eligible public official {letter template in this manual}

N/A Project site photos, if appropriate

X Map showing project location/site

N/A Farmland Preservation Review Letter if any impact to farmland (OPWC Application webpage)

X ADT report for Road, Bridge & Culvert Projects
OR
Number of households/EDUs (with calculation) for Water, Wastewater, Storm Water Collection, Solid Waste Projects who directly benefit. If waterline or sewer project with additional benefitted users beyond scope of construction, then also Engineer's study documenting these additional users.

Roads, Bridges/Culverts, Storm Water, Solid Waste Projects Only:

[ ] Auditor's Certificate of Estimated Resources with line item detail unless applicant in State of Fiscal Emergency; also if Storm Water or Solid Waste project, the fund(s) typically used must be identified {examples in back of this manual}.

[ ] Low volume road projects that include documentation using ODOT's TIMS System showing a positive Rate of Return is required to maximize points under population.

(Continued on next page)

Water and Wastewater Projects Only:

X "Current" water and wastewater rate ordinances/resolutions for all entities providing services unless applicant in State of Fiscal Emergency

X Small Government Water & Wastewater Ability & Effort Supplemental form (in this manual and on SG webpage)

Small Government Commission
Water & Wastewater Ability & Effort Supplemental

(This form must be completed and submitted for all Water and Wastewater applications)

Applicant: **Village of Swanton**

System Users – The Small Government Commission will use households from the most recent decennial Census of Population and Housing unless a system-generated user report for inside users is provided or Equivalent Dwelling Units (provide calculation if using EDUs).

1409

Usage – The Small Government Commission will assume 4,500 gallons per month unless a system-generated usage report is provided proving higher consumption.

Rates – Provide both water and wastewater rates, and any surcharges. Attach all relevant ordinances/resolutions showing the effective dates and rate tables. If service is supplied by a different entity the applicant must provide the same information as if it were supplying the service. Calculation of rates must be clear as supported by ordinance or resolution. Calculation must be for rates in effect and in active billing by December 2020; approved rates for a future date will not be accepted (see exception for new systems in Applicants Manual).

WATER

Billing Period:	Monthly	X	Quarterly		Other	
Unit of Measurement:	Gallons	X	Cubic Feet		Flat Rate	

Base Charge	$ 29.44	0 to X gallons or 0 to X cubic feet
Second Increment	$ 14.22	$ per unit from X to Y
Additional Increments	$	$ per unit from Y to Z
Additional Increments	$	
Surcharges	$	
TOTAL	$ 43.66	

WASTEWATER

Billing Period:	Monthly		Quarterly		Other	
Unit of Measurement:	Gallons		Cubic Feet		Flat Rate	

Base Charge	$ 27.13	0 to X gallons or 0 to X cubic feet
Second Increment	$ 24.83	$ per unit from X to Y
Additional Increments	$	$ per unit from Y to Z
Additional Increments	$	
Surcharges	$	
TOTAL	$ 51.96	

SMALL GOVERNMENT COMMMISION USE ONLY

Water

Wastewater

Determination

Small Government Commission
Engineer's Plan Status Certification
Required for Criterion No. 11, Part I

Applicant: Village of Swanton

District No.: 5

Project Name: Project 8 & 9 Combined Sewer Separation

Item		Necessary for project?	Status	Completion Date
Met Completion dates for Items A – C (2 points)				
A	Surveying	Y ☑ N/A ☐		
B	R/W Acquisition Identified	Y ☐ N/A ☑		
C	Preliminary Design	Y ☑ N/A		
Met Completion dates for Items A – H (5 points)				
D	Final Construction Plans	Y ☑ N/A		
E	Permit to Install Issued	Y ☑ N/A ☐		
F	NPDES Issued	Y ☑ N/A		
G	Other Permits Issued	Y ☐ N/A ☑		
H	Executed Right of Way Option or Agreement	Y ☐ N/A ☑		

I hereby certify that the information above is true and correct to the best of my knowledge and belief.

Gregg J. Simon, P.E.
Engineer's Printed Name

Engineer's Signature

Date

Engineer's Stamp/Seal

Small Government Self-Score

(Input Score in box for each criterion; will total automatically)

Applicant:

		SCORE
1	**Ability & Effort (Use A or B according to project type)**	
	A. Roads, Bridges/Culverts, Storm Water, Solid Waste Projects ONLY	
	0 2 4 6 8 10	
	B. Water & Wastewater Projects ONLY	
	Calculated by Administrator	N/A
2	**Health & Safety (Use A or B according to project type)**	
	A. Road, Bridge, Culvert	
	0 2 4 6 8 10	
	B. Water, Wastewater, Storm Water, Solid Waste	
	0 2 4 6 8 10	10
3	**Age & Condition**	
	I. Age	
	0 1 2 3 4 5	5
	II. Condition	
	1 2 3 4 5	3
4	**Leveraging Ratio**	
	0 1 2 3 4 5 6 7 8 9 10	10
5	**Population Benefit**	
	0 1 2 3 4 5	0
6	**District Priority Ranking** - Completed by Administrator	N/A
7	**OPWC Funds Requested**	
	0 5 10	10
8	**Loan Request** (Default 0 points if no loan requsted)	
	1 5 10	10
9	**Useful Life**	
	1 2 3 4 5	5
10	**Median Household Income**	
	2 4 6 8 10	4
11	**Readiness to Proceed**	
	I. Status of Plans	
	0 2 5	5
	II. Status of Funding	
	0 3 5	3
	TOTAL	**65**