



September 8, 2021

Erie Regional Planning Commission
2900 Columbus Avenue #32
Sandusky, Ohio 44870

Re: Adams and Lester Street Improvements
OPWC Application
Castalia, Ohio
PDG Opportunity No.: 128500-00009

To Whom It May Concern:

Enclosed is one original and one copy of the above-referenced OPWC application, submitted on behalf of the Village of Castalia. The Village would like this application to be considered for OPWC funding.

If there are any questions, please do not hesitate to contact this office.

Sincerely,

POGGEMEYER DESIGN GROUP, INC.

Michelle Hister

Michelle Hister
Project Administration Assistant

Cc: Randy Whyde, Mayor

ERIE COUNTY COMMISSIONERS
21 SEP -9 AM 10:48



State of Ohio
Public Works Commission
Application for Financial Assistance

IMPORTANT: Please consult "Instructions for Financial Assistance for Capital Infrastructure Projects" for guidance in completion of this form.

Applicant

Applicant: Village of Castalia Subdivision Code: 043-12476
 District Number: 5 County: Erie Date: 09/01/2021
 Contact: Randy Whyde, Mayor Phone: (419) 684-5161
(The individual who will be available during business hours and who can best answer or coordinate the response to questions)
 Email: rwhyde@buckeye-express.com FAX: (419) 684-5161

Project

Project Name: Adams and Lester Street Improvements Zip Code: 44824

Subdivision Type	Project Type	Funding Request Summary
<small>(Select one)</small>	<small>(Select single largest component by \$)</small>	<small>(Automatically populates from page 2)</small>
<input type="checkbox"/> 1. County	<input checked="" type="checkbox"/> 1. Road	Total Project Cost: <u>285,000 .00</u>
<input type="checkbox"/> 2. City	<input type="checkbox"/> 2. Bridge/Culvert	1. Grant: <u>142,500 .00</u>
<input type="checkbox"/> 3. Township	<input type="checkbox"/> 3. Water Supply	2. Loan: <u>97,500 .00</u>
<input checked="" type="checkbox"/> 4. Village	<input type="checkbox"/> 4. Wastewater	3. Loan Assistance/ Credit Enhancement: <u>0 .00</u>
<input type="checkbox"/> 5. Water (6119 Water District)	<input type="checkbox"/> 5. Solid Waste	Funding Requested: <u>240,000 .00</u>
	<input type="checkbox"/> 6. Stormwater	

District Recommendation (To be completed by the District Committee)

Funding Type Requested	SCIP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<small>(Select one)</small>		
<input type="checkbox"/> State Capital Improvement Program	RLP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<input type="checkbox"/> Local Transportation Improvement Program	Grant:	Amount: _____ .00
<input type="checkbox"/> Revolving Loan Program	LTIP:	Amount: _____ .00
<input type="checkbox"/> Small Government Program	Loan Assistance / Credit Enhancement:	Amount: _____ .00
District SG Priority: _____		

For OPWC Use Only

STATUS: _____	Grant Amount: _____ .00	Loan Type: <input type="checkbox"/> SCIP <input type="checkbox"/> RLP
Project Number: _____	Loan Amount: _____ .00	Date Construction End: _____
	Total Funding: _____ .00	Date Maturity: _____
Release Date: _____	Local Participation: _____ %	Rate: _____ %
OPWC Approval: _____	OPWC Participation: _____ %	Term: _____ Yrs

1.0 Project Financial Information (All Costs Rounded to Nearest Dollar)

1.1 Project Estimated Costs

Engineering Services

Preliminary Design:	_____ 3,600 .00		
Final Design:	_____ 12,500 .00		
Construction Administration:	_____ 20,400 .00		
Total Engineering Services:	a.) _____ 36,500 .00		_____ 16 %
Right of Way:	b.) _____ .00		
Construction:	c.) _____ 225,000 .00		
Materials Purchased Directly:	d.) _____ .00		
Permits, Advertising, Legal:	e.) _____ 1,000 .00		
Construction Contingencies:	f.) _____ 22,500 .00		_____ 10 %
Total Estimated Costs:	g.) _____ 285,000 .00		

1.2 Project Financial Resources

Local Resources

Local In-Kind or Force Account:	a.) _____ .00		
Local Revenues:	b.) _____ 45,000 .00		
Other Public Revenues:	c.) _____ .00		
ODOT / FHWA PID: _____	d.) _____ .00		
USDA Rural Development:	e.) _____ .00		
OEPA / OWDA:	f.) _____ .00		
CDBG:	g.) _____ .00		
<input type="checkbox"/> County Entitlement or Community Dev. "Formula"			
<input type="checkbox"/> Department of Development			
Other: _____	h.) _____ .00		
Subtotal Local Resources:	i.) _____ 45,000 .00		_____ 16 %

OPWC Funds (Check all requested and enter Amount)

Grant: _____ 59 % of OPWC Funds	j.) _____ 142,500 .00		
Loan: _____ 41 % of OPWC Funds	k.) _____ 97,500 .00		
Loan Assistance / Credit Enhancement:	l.) _____ 0 .00		
Subtotal OPWC Funds:	m.) _____ 240,000 .00		_____ 84 %
Total Financial Resources:	n.) _____ 285,000 .00		_____ 100 %

1.3 Availability of Local Funds

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local resources required for the project will be available on or before the earliest date listed in the Project Schedule section. The OPWC Agreement will not be released until the local resources are certified. Failure to meet local share may result in termination of the project. Applicant needs to provide written confirmation for funds coming from other funding sources.

2.0 Repair / Replacement or New / Expansion

2.1 Total Portion of Project Repair / Replacement:	<u>285,000</u> .00	<u>100</u> %
2.2 Total Portion of Project New / Expansion:	<u>0</u> .00	<u>0</u> %
2.3 Total Project:	<u>285,000</u> .00	<u>100</u> %

A Farmland Preservation letter is required for any impact to farmland

3.0 Project Schedule

3.1 Engineering / Design / Right of Way	Begin Date: <u>07/01/2022</u>	End Date: <u>02/28/2023</u>
3.2 Bid Advertisement and Award	Begin Date: <u>03/01/2023</u>	End Date: <u>04/30/2023</u>
3.3 Construction	Begin Date: <u>05/01/2023</u>	End Date: <u>09/30/2023</u>

Construction cannot begin prior to release of executed Project Agreement and issuance of Notice to Proceed.

Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by project official of record and approved by the Commission once the Project Agreement has been executed.

4.0 Project Information

If the project is multi-jurisdictional, information must be consolidated in this section.

4.1 Useful Life / Cost Estimate / Age of Infrastructure

Project Useful Life: 15 Years Age: 1990 (Year built or year of last major improvement)

Attach Registered Professional Engineer's statement, with seal or stamp and signature confirming the project's useful life indicated above and detailed cost estimate.

4.2 User Information

Road or Bridge: Current ADT 360 Year 2019 Projected ADT _____ Year _____

Water / Wastewater: Based on monthly usage of 4,500 gallons per household; attach current ordinances.

Residential Water Rate Current \$ _____ Proposed \$ _____

Number of households served: _____

Residential Wastewater Rate Current \$ _____ Proposed \$ _____

Number of households served: _____

Stormwater: Number of households served: _____

4.3 Project Description

- A: SPECIFIC LOCATION (Supply a written location description that includes the project termini; a map does not replace this requirement.) 500 character limit.

The proposed project is located along Lester Street and Adams Avenue. Both streets are located west of North Washington Street in the Village of Castalia, Ohio.

- B: PROJECT COMPONENTS (Describe the specific work to be completed; the engineer's estimate does not replace this requirement) 1,000 character limit.

The proposed work will consist of pulverizing the existing streets and paving them with 3-1/2 inches of asphalt concrete. The depth of the pulverization will be determined from test cores. In order to account for the elevation differences, 18-inch wide shoulders will be constructed on either side of the existing streets. The pulverized material will be graded into the trenches for these shoulders prior to paving operations. The end product will be a uniform pavement section, properly graded, with the required edge support and twice the useful life of a resurfacing project.

- C: PHYSICAL DIMENSIONS (Describe the physical dimensions of the existing facility and the proposed facility. Include length, width, quantity and sizes, mgd capacity, etc in detail.) 500 character limit.

60 SY Concrete Pavement Removed
230 CY Excavation
7500 SY Pulverization of Pavement
330 CY Placement of Pulverized Material
1 LS Seeding and Restoration
400 Gal Non-Tracking Tack Coat
310 CY Asphalt Concrete Surface Course, Type 1 (1 1/2")
420 CY Asphalt Concrete Intermediate Course, Type 2 (2")

5.0 Project Officials

Changes in Project Officials must be submitted in writing from an officer of record.

5.1 Chief Executive Officer (Person authorized in legislation to sign project agreements)

Name: Randy Whyde
Title: Mayor
Address: 128 Main Street

City: Castalia State: OH Zip: 44824
Phone: (419) 684-5161
FAX: (419) 684-5161
E-Mail: rwhyde@buckeye-express.com

5.2 Chief Financial Officer (Can not also serve as CEO)

Name: Cathy Myers
Title: Fiscal Officer
Address: 128 Main Street

City: Castalia State: OH Zip: 44824
Phone: (419) 684-5161
FAX: (419) 684-5161
E-Mail: cmyers.castalia@gmail.com

5.3 Project Manager

Name: Randy Whyde
Title: Mayor
Address: 128 Main Street

City: Castalia State: OH Zip: 44824
Phone: (419) 684-5161
FAX: (419) 684-5161
E-Mail: rwhyde@buckeye-express.com

6.0 Attachments / Completeness review

Confirm in the boxes below that each item listed is attached (Check each box)

- A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- A certification signed by the applicant's chief financial officer stating the amount of all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's seal or stamp and signature.
- A cooperative agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- Farmland Preservation Review - The Governor's Executive Order 98-IV, "Ohio Farmland Protection Policy" requires the Commission to establish guidelines on how it will take protection of productive agricultural and grazing land into account in its funding decision making process. Please include a Farm Land Preservation statement for projects that have an impact on farmland.
- Capital Improvements Report. CIR Required by O.R.C. Chapter 164.06 on standard form.
- Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your local District Public Works Integrating Committee.

7.0 Applicant Certification

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.

Randy Whyde, Mayor

Certifying Representative (Printed form, Type or Print Name and Title)

Randy Whyde 8/24/2021

Original Signature / Date Signed

RESOLUTION 2021 - 04

A RESOLUTION AUTHORIZING RANDY WHYDE, MAYOR OF THE VILLAGE OF CASTALIA, TO PREPARE AND SUBMIT AN APPLICATION TO PARTICIPATE IN THE OHIO PUBLIC WORKS COMMISSION STATE CAPITAL IMPROVEMENT AND/OR LOCAL TRANSPORTATION IMPROVEMENT PROGRAM(S) AND TO EXECUTE CONTRACTS AS REQUIRED.

WHEREAS, the State Capital Improvement Program and the Local Transportation Improvement Program both provide financial assistance to political subdivisions for capital improvements to public infrastructure, and

WHEREAS, the **Village of Castalia** is planning to make capital improvements to **Adams/Lester Streets Repair/Resurfacing Project**, and

WHEREAS, the infrastructure improvement herein above described is considered to be a priority need for the community and is a qualified project under the OPWC programs.

NOW THEREFORE, BE IT RESOLVED by the **Village of Castalia**:

Section 1: The Mayor of the Village of Castalia (Randy Whyde) is hereby authorize to apply to the OPWC for funds as described above.

Section 2: The Mayor of the Village of Castalia (Randy Whyde) is further authorized to enter into any agreements as may be necessary and appropriate for obtaining this financial assistance.

Passed: August 24, 2021


Randy Whyde, Mayor 8/24/2021
Date


Cathy Myers, Fiscal Officer 08/24/21
Date

Engineer's Opinion of Probable Project Costs

Adams Avenue and Lester Street Improvements
Village of Castalia, Ohio
PDG Opp. No.: 128500-00009

Date: 7/17/2021
Calculated by: HAC
Checked by: TJB

Description: Pulverization and paving of Adams Avenue and Lester Street with 3-1/2 inches of asphalt concrete. Shoulders consisting of the pulverized material (18 inches wide) will be provided on both sides of the street, except where there is existing sidewalk. Concrete drive aprons will be saw cut to facilitate the construction of the shoulders.

Item No.	Item	Total Quantity	Units	Unit Price	Total Price
Roadway		\$85,300			
202	concrete pavement removed	60	sq yd	\$20.00	\$1,200.00
203	excavation	230	cu yd	\$80.00	\$18,400.00
203	pulverization of pavement	7,500	sq yd	\$7.00	\$52,500.00
203	placement of pulverized material	330	cu yd	\$40.00	\$13,200.00
Erosion Control		\$2,000			
659	seeding and restoration	lump	sum	\$2,000.00	\$2,000.00
Pavement		\$120,800			
407	non-tracking tack coat	400	gal	\$5.00	\$2,000.00
441	asphalt concrete surface course, type 1 (1 1/2")	310	cu yd	\$180.00	\$55,800.00
441	asphalt concrete intermediate course, type 2 (2")	420	cu yd	\$150.00	\$63,000.00
General		\$16,900			
614	maintaining traffic	lump	sum	\$5,000.00	\$5,000.00
623	construction layout stakes	lump	sum	\$3,000.00	\$3,000.00
624	mobilization	lump	sum	\$7,500.00	\$7,500.00
special	preconstruction video	lump	sum	\$1,400.00	\$1,400.00

construction subtotal \$225,000
10% contingencies \$22,500
construction total \$247,500



preliminary design	topographic survey	\$2,000
	preliminary engineering	\$1,600
basic engineering services	final engineering	\$9,500
	bidding	\$3,000
construction phase	construction observation	\$9,700
	engineering during construction	\$3,200
additional project costs	advertising	\$1,000
	testing services	\$7,500
	project total	\$285,000

The estimated useful life of the Adams Avenue and Lester Street Improvement Project is 15 years.

Timothy J. Bock, P.E.

Poggemeyer Design Group
 1168 North Main Street
 Bowling Green, Ohio 43402
 419-352-7537

Adams west of N. Washington
 Castalia, Ohio
 Station ID: 8
 Site Code: 8
 Date Start: 27-Aug-19
 Date End: 29-Aug-19

Start Time	27-Aug-19 Tue	E to W		Hour Totals		W to E		Hour Totals		Combined Totals	
		Morning	Afternoon	Morning	Afternoon	Morning	Afternoon	Morning	Afternoon	Morning	Afternoon
12:00		*	2			*	1				
12:15		*	2			*	0				
12:30		*	1			*	1				
12:45		*	2	0	7	*	1	0	3	0	10
01:00		*	2			*	2				
01:15		*	1			*	1				
01:30		*	2			*	4				
01:45		*	1	0	6	*	2	0	9	0	15
02:00		*	3			*	2				
02:15		*	6			*	1				
02:30		*	4			*	4				
02:45		*	7	0	20	*	3	0	10	0	30
03:00		*	2			*	6				
03:15		*	5			*	2				
03:30		*	5			*	6				
03:45		*	0	0	12	*	4	0	18	0	30
04:00		*	2			*	3				
04:15		*	5			*	3				
04:30		*	1			*	3				
04:45		*	8	0	16	*	3	0	12	0	28
05:00		*	3			*	8				
05:15		*	6			*	2				
05:30		*	9			*	6				
05:45		*	2	0	20	*	3	0	19	0	39
06:00		*	6			*	3				
06:15		*	1			*	3				
06:30		*	1			*	2				
06:45		*	2	0	10	*	1	0	9	0	19
07:00		*	1			*	0				
07:15		*	0			*	2				
07:30		*	1			*	0				
07:45		*	4	0	6	*	1	0	3	0	9
08:00		*	2			*	2				
08:15		*	3			*	1				
08:30		*	2			*	1				
08:45		*	4	0	11	*	1	0	5	0	16
09:00		*	3			*	2				
09:15		*	2			*	2				
09:30		2	2			3	1				
09:45		0	2	2	9	1	1	4	6	6	15
10:00		1	0			1	1				
10:15		0	0			1	0				
10:30		3	0			3	0				
10:45		1	0	5	0	1	0	6	1	11	1
11:00		1	1			1	2				
11:15		2	1			3	0				
11:30		2	1			4	1				
11:45		1	1	6	4	3	0	11	3	17	7
Total		13	121			21	98			34	219
Percent		9.7%	90.3%			17.6%	82.4%			13.4%	86.6%

Poggemeyer Design Group
 1168 North Main Street
 Bowling Green, Ohio 43402
 419-352-7537

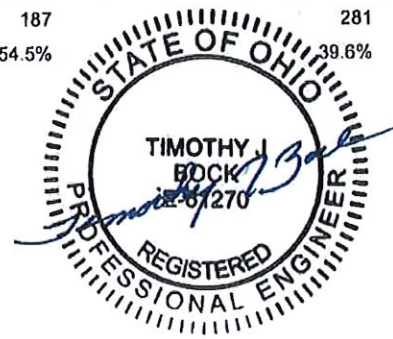
Adams west of N. Washington
 Castalia, Ohio
 Station ID: 8
 Site Code: 8
 Date Start: 27-Aug-19
 Date End: 29-Aug-19

Start Time	28-Aug-19 Wed	E to W		Hour Totals		W to E		Hour Totals		Combined Totals	
		Morning	Afternoon	Morning	Afternoon	Morning	Afternoon	Morning	Afternoon	Morning	Afternoon
12:00		8	3			0	4				
12:15		4	4			0	3				
12:30		2	2			0	1				
12:45		1	0	15	9	0	2	0	10	15	19
01:00		5	3			0	2				
01:15		4	3			1	3				
01:30		0	0			0	2				
01:45		0	0	9	6	0	1	1	8	10	14
02:00		0	4			0	1				
02:15		0	4			0	3				
02:30		0	5			0	3				
02:45		5	4	5	17	0	1	0	8	5	25
03:00		0	3			0	3				
03:15		0	5			0	3				
03:30		0	4			1	2				
03:45		0	3	0	15	0	1	1	9	1	24
04:00		0	3			0	4				
04:15		0	2			1	1				
04:30		0	2			1	3				
04:45		0	6	0	13	2	1	4	9	4	22
05:00		1	6			0	3				
05:15		1	5			2	4				
05:30		0	4			5	7				
05:45		0	2	2	17	1	3	8	17	10	34
06:00		0	5			0	1				
06:15		0	5			5	4				
06:30		0	4			1	3				
06:45		0	1	0	15	1	1	7	9	7	24
07:00		3	4			3	2				
07:15		4	4			5	1				
07:30		0	7			5	1				
07:45		2	1	9	16	2	2	15	6	24	22
08:00		0	1			2	0				
08:15		0	1			2	3				
08:30		2	1			3	1				
08:45		1	0	3	3	1	0	8	4	11	7
09:00		3	3			3	2				
09:15		5	0			5	2				
09:30		1	2			2	1				
09:45		4	2	13	7	4	1	14	6	27	13
10:00		7	1			5	1				
10:15		8	0			9	0				
10:30		1	0			2	1				
10:45		5	0	21	1	2	0	18	2	39	3
11:00		5	0			2	1				
11:15		1	0			2	0				
11:30		1	0			1	0				
11:45		5	1	12	1	4	0	9	1	21	2
Total		89	120			85	89			174	209
Percent		42.6%	57.4%			48.9%	51.1%			45.4%	54.6%

Poggemeyer Design Group
 1168 North Main Street
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Adams west of N. Washington
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 Station ID: 8
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Start Time	29-Aug-19 Thu	E to W		Hour Totals		W to E		Hour Totals		Combined Totals	
		Morning	Afternoon	Morning	Afternoon	Morning	Afternoon	Morning	Afternoon	Morning	Afternoon
12:00		1	.			0	.				
12:15		0	.			0	.				
12:30		1	.			0	.				
12:45		2	.	4	0	1	.	1	0	5	0
01:00		3	.			3	.				
01:15		2	.			4	.				
01:30		0	.			0	.				
01:45		0	.	5	0	0	.	7	0	12	0
02:00		1	.			0	.				
02:15		0	.			0	.				
02:30		0	.			0	.				
02:45		0	.	1	0	0	.	0	0	1	0
03:00		0	.			0	.				
03:15		0	.			1	.				
03:30		0	.			0	.				
03:45		0	.	0	0	0	.	1	0	1	0
04:00		0	.			0	.				
04:15		0	.			0	.				
04:30		0	.			0	.				
04:45		0	.	0	0	3	.	3	0	3	0
05:00		1	.			0	.				
05:15		0	.			4	.				
05:30		1	.			2	.				
05:45		0	.	2	0	0	.	6	0	8	0
06:00		0	.			2	.				
06:15		1	.			5	.				
06:30		1	.			2	.				
06:45		0	.	2	0	3	.	12	0	14	0
07:00		2	.			2	.				
07:15		3	.			3	.				
07:30		1	.			3	.				
07:45		3	.	9	0	0	.	8	0	17	0
08:00		0	.			3	.				
08:15		0	.			3	.				
08:30		0	.			6	.				
08:45		.	.	0	0	.	.	12	0	12	0
09:00	
09:15	
09:30	
09:45	
10:00	
10:15	
10:30	
10:45	
11:00	
11:15	
11:30	
11:45	
Total		23	0			50	0			73	0
Percent		100.0%	0.0%			100.0%	0.0%			100.0%	0.0%
Grand Total		125	241			156	187			281	428
Percent		34.2%	65.8%			45.5%	54.5%			39.6%	60.4%
ADT		ADT 360									





Office of the Mayor

August 23, 2021

Village of Castalia certification for age of infrastructure improvements. Please accept this letter as certification that improvements were done in 1990 and 1991 to Adams and Lester Street's for resurfacing, we made copies of when Adams and Lester Streets were done .

Sincerely,

A handwritten signature in blue ink that reads 'Randy Whyde'.

Randy Whyde
Mayor, Village of Castalia

VILLAGE OF CASTALIA

126 Main St.

Castalia, Ohio

PH. (419) 684-5161

Plans

Notice to Contractors

Proposal

Bid Proposal Bond

Performance Bond

Wage Rates

Information for Bidders

for

Resurfacing with Asphalt Concrete

Depot St., N. Water St., Adams St. & Oak St.

NOTICE TO CONTRACTORS

Sealed bids will be received by the Village of Castalia, Ohio until 12 noon, prevailing time, August 14, 1990, at its office at 126 Main Street, Castalia, Ohio 44824, for the furnishing of all labor, equipment, materials, etc. for the following described improvement:

RESURFACING ADAMS STREET, OAK STREET, DEPOT STREET AND NORTH WATER STREET IN THE VILLAGE OF CASTALIA.

Date of Completion: November 1, 1990

Bidders are required to file with their bids either a guarantee bond or provide a certified check in the amount of ten percent (10%) of the total bid.

Contractors shall conform to and pay wage rates as determined by the Department of Industrial Relations, State of Ohio relative to road construction in Erie County, Ohio.

All work shall be performed in accordance with plans and specifications therefor on file in the Office of the Village of Castalia, 126 Main Street, Castalia, Ohio 44824.

All work shall be performed in accordance with the current construction and material specifications of the State of Ohio, except as such may be modified by the plans or by Village of Castalia specifications.

Failure to complete the work within the time specified in the proposal will be cause for liquidated damages to be deducted by the Village of Castalia in accordance with the current schedule of the State of Ohio Department of Highways specifications.

Before a contract is awarded, the Village of Castalia may require that a financial statement and a statement of equipment owned by the contractor to be filed with it for consideration.

A contract based upon the sealed proposals will be entered into with the party or parties whose bid is responsive to the Notice of Contractors and "Information to Bidders" and whose bid is lowest and best in the opinion of the Castalia Village Council.

Seal and endorse your bid: ADAMS STREET, OAK STREET, DEPOT STREET, AND NORTH WATER STREET RESURFACING IN THE VILLAGE OF CASTALIA.

The successful bidder will be required to furnish a satisfactory performance bond in the amount of one hundred percent (100%) of the total amount bid with good and sufficient sureties and on the form prescribed therefor.

The successful bidder shall give proof of carriage of insurance known as Public Liability and Property Damage Insurance in the minimum amounts as set forth in the "Information to Bidders" and will be required to give proof of compliance with the Workmen's Compensation Act of the State of Ohio.

No bid may be withdrawn after the scheduled opening time for bids for a period of thirty (30) days.

Where there is reason to believe there is collusion or combination among bidders, the bids of those concerned will be rejected.

The Village of Castalia, hereby, reserves the right to reject any or all bids or all items contained in said bids and to waive informalities in bidding.

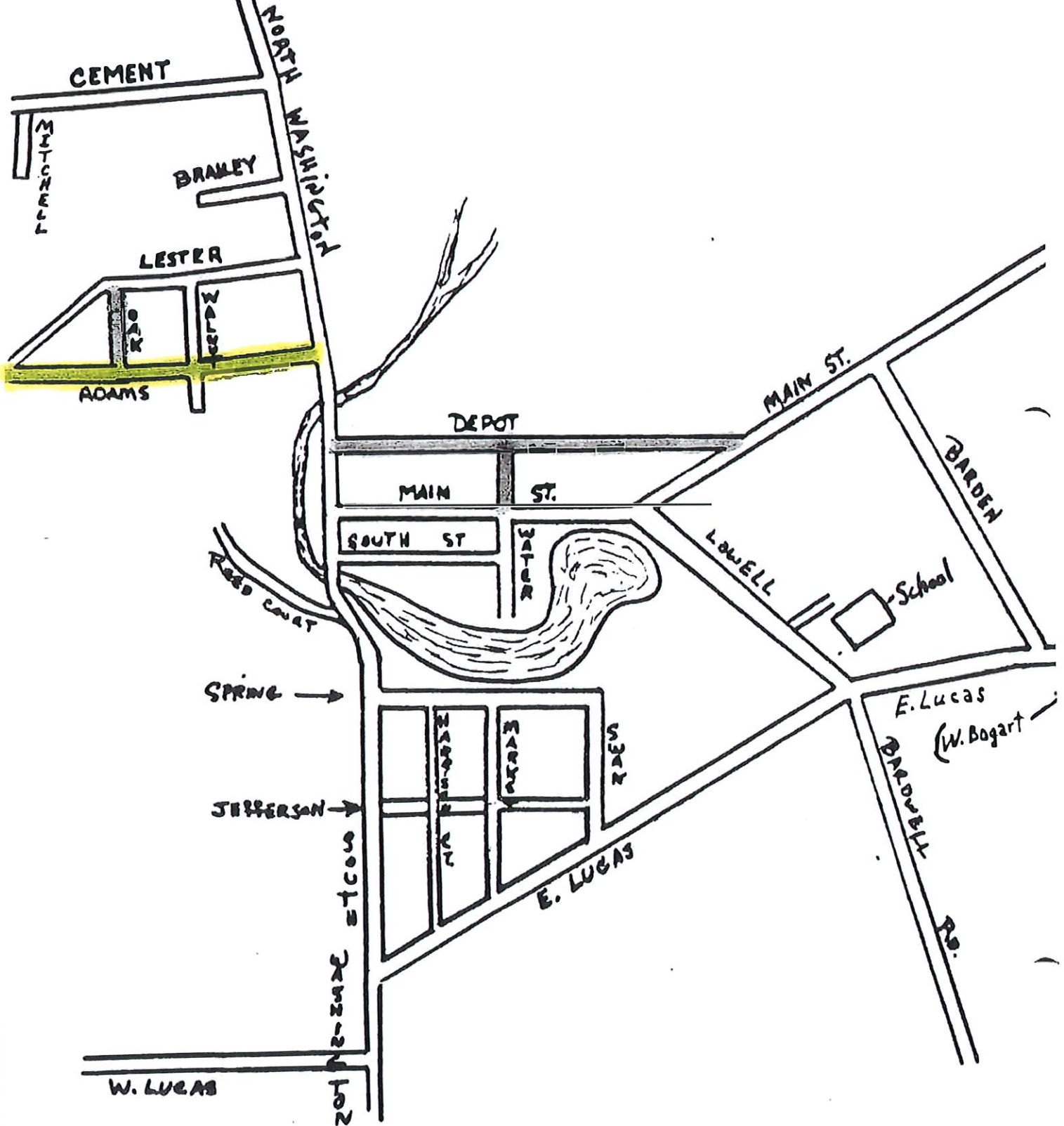
By order of the Council of the Village of Castalia, Ohio.

Kaye Krogh
Clerk of the Village of
Castalia, Ohio

Oxbo Rd.



CASTALIA



VILLAGE OF CASTALIA

126 Main St.

Castalia, Ohio

PH. (419) 684-5161

Plans

Notice to Contractors

Proposal

Bid Proposal Bond

Performance Bond

Wage Rates

Information for Bidders

for

Resurfacing with Asphalt Concrete

LESTER STREET	1½" of 402 Asphalt Concrete
	1" of 404 Asphalt Concrete
Total	<u>2½"</u>
Barden Street	1" of 403 Asphalt Concrete
	1" of 404 Asphalt Concrete
Total	<u>2"</u>

The contractor shall remove any excess dirt along the berm areas if required on Lester Street. The cost of the above work shall be included in the unit prices bid for the Asphalt Concrete Paving Items and will not be a separate item.

NOTICE TO CONTRACTORS

Sealed bids will be received by the Village of Castalia, Ohio until 12 noon, prevailing time, July 9th, 1991, at its office at 126 Main Street, Castalia, Ohio 44824, for the furnishing of all labor, equipment, materials, etc. for the following described improvement:

RESURFACING LESTER STREET AND BARDEN STREET
IN THE VILLAGE OF CASTALIA.

Date of Completion: September 1, 1991

Bidders are required to file with their bids either a guarantee bond or provide a certified check in the amount of ten percent (10%) of the total bid.

Contractors shall conform to and pay wage rates as determined by the Department of Industrial Relations, State of Ohio relative to road construction in Erie County, Ohio.

All work shall be performed in accordance with plans and specifications therefor on file in the Office of the Village of Castalia, 126 Main Street, Castalia, Ohio 44824.

All work shall be performed in accordance with the current construction and material specifications of the State of Ohio, except as such may be modified by the plans or by Village of Castalia specifications.

Failure to complete the work within the time specified in the proposal will be cause for liquidated damages to be deducted by the Village of Castalia in accordance with the current schedule of the State of Ohio Department of Highways specifications.

Before a contract is awarded, the Village of Castalia may require that a financial statement and a statement of equipment owned by the contractor to be filed with it for consideration.

A contract based upon the sealed proposals will be entered into with the party or parties whose bid is responsive to the Notice of Contractors and "Information to Bidders" and whose bid is lowest and best in the opinion of the Castalia Village Council.

Seal and endorse your bid: LESTER STREET AND BARDEN
STREET RESURFACING IN THE VILLAGE OF CASTALIA.

The successful bidder will be required to furnish a satisfactory performance bond in the amount of one hundred percent (100%) of the total amount bid with good and sufficient sureties and on the form prescribed therefor or on a form approved by the Village Solicitor.

The successful bidder shall give proof of carriage of insurance known as Public Liability and Property Damage Insurance in the minimum amounts as set forth in the "Information to Bidders" and will be required to give proof of compliance with the Workmen's Compensation Act of the State of Ohio.

No bid may be withdrawn after the scheduled opening time for bids for a period of thirty (30) days.

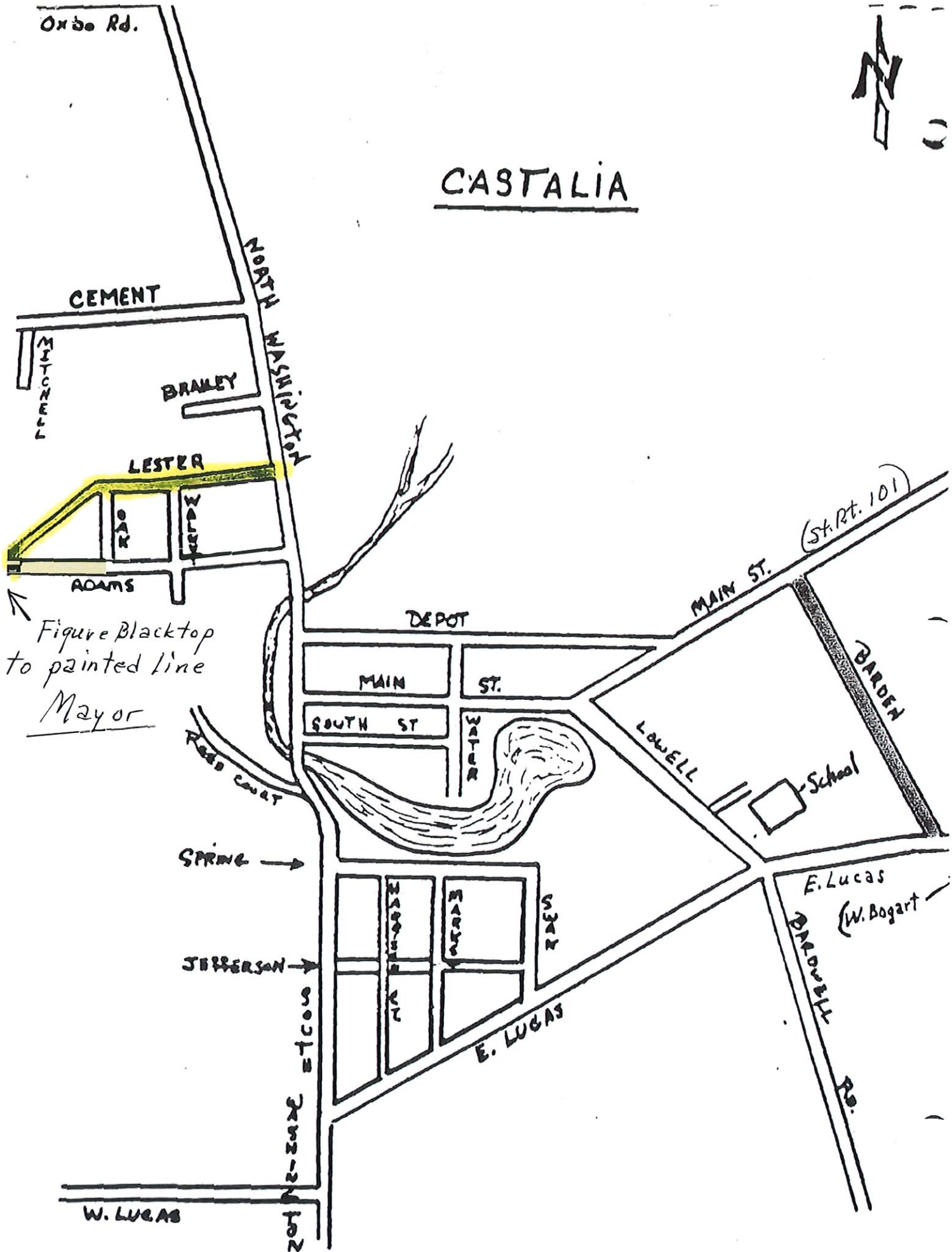
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The Village of Castalia, hereby, reserves the right to reject any or all bids or all items contained in said bids and to waive informalities in bidding.

By order of the Council of the Village of Castalia, Ohio.

Kaye Krogh
Clerk of the Village of
Castalia, Ohio

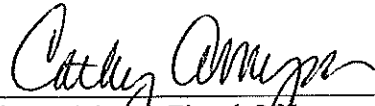
CASTALIA



**CHIEF FINANCIAL OFFICER'S
CERTIFICATE OF LOCAL FUNDS/LOAN
REPAYMENT LETTER**

August 24, 2021

I, Cathy A. Myers, Fiscal Officer of the Village of Castalia, hereby certify that the Village of Castalia has the amount of \$45,000.00 in the General Revenue Fund and that this amount will be used to pay the local share for the Adams/Lester Street Repair/Resurfacing Project when it is required.

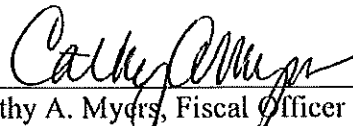


Cathy A. Myers, Fiscal Officer
Village of Castalia

**CHIEF FINANCIAL OFFICER'S
CERTIFICATE OF LOCAL FUNDS/LOAN
REPAYMENT LETTER**

August 24, 2021

I, Cathy A. Myers, Fiscal Officer of the Village of Castalia, hereby certify that the Village of Castalia will collect the amount of \$97,500.00 in the General Revenue Fund and that this amount will be used to repay the Ohio Public Works Commission SCIP or RPL loan requested for the Adams/Lester Street Repair/Resurfacing Project over a 15 year term.



Cathy A. Myers, Fiscal Officer
Village of Castalia

VILLAGE OF CASTALIA
ADAMS AVENUE AND LESTER STREET IMPROVEMENTS
PROJECT NARRATIVE

The Village of Castalia is proposing improvements to Adams Avenue and Lester Street. Both streets are in very bad condition and in need of immediate repair. Village personnel are regularly patching and repairing these streets and the Village can no longer afford the continual maintenance. Many of the residents have also voiced their concern about the condition and safety of the streets at Village Council Meetings. In order to address these concerns, the Village is proposing to pulverize and compact the existing pavement and then install two courses of new asphalt concrete on the pulverized mat. This will provide a new, stronger pavement section and alleviate the inconsistencies of the existing pavement.

Both Adams Avenue and Lester Street are mainly residential streets. The only heavy vehicles that typically utilize them are school buses, trash trucks and snow plows. Despite this, the roads are past their useful life and are in need of improvements. Adams Avenue is approximately 18 feet wide and 1,900 feet long and was last resurfaced in 1990. The eastern end of Lester Street is 24.5 feet wide and approximately 600 feet long while the remainder of the street is approximately 18 feet wide and 1,100 feet long. Lester Street was last resurfaced in 1991. Neither street will be significantly widened, however, new 18-inch wide shoulders will be provided where possible utilizing the pulverized material.

The proposed work will consist of pulverizing the existing streets and paving them with 3-1/2 inches of asphalt concrete. The depth of the pulverization will be determined from test cores. In order to account for the elevation differences, 18-inch wide shoulders will be constructed on either side of the existing streets. The pulverized material will be graded into the trenches for these shoulders prior to paving operations. The end product will be a uniform pavement section, properly graded, with the required edge support and twice the useful life of a resurfacing project.

The total estimated cost for these improvements is \$285,000. The Village is committing \$22,800 in local funds and is requesting 50% of the project cost in OPWC grant assistance and the remaining \$119,700 in OPWC loan assistance. The Village of Castalia has not received OPWC assistance since 2011 (Round 25) for the Depot and Water Street Resurfacing Project. This project was also submitted last year (Round 35) and the year before (Round 34) but has not received County priority points and was not funded. Without financial assistance, the Village will be unable to make infrastructure improvements such as those proposed on Adams Avenue and Lester Street and the pavement will continue to deteriorate and fail. The roadway is hazardous to the traveling public, and the Village has indicated that motorists are beginning to travel on the grass around the more deteriorated areas.

Meadowbrook Neighborhood Repaving

Barbara Weyer
334 Adams Street
Castalia, Ohio 44824

Castalia Village Council/Erie County

August 18, 2020

To Whom It May Concern;

The neighborhood known to many as "Meadowbrook" in the Village of Castalia, Ohio; has not had newly paved roads for 30 years. The last paving was done in 1990/91. During the last 30 years most residents in this neighborhood have voted for various tax levies for road maintenance in the village. We have watched every other road in our village receive new pavement while we are still waiting. Our roads have been in a deplorable state for over 15 years. With roads having a clay/marl base, hot patch and stone/chip can only go so far in repairing these roads for 30 years. In recent years, we as taxpayers have watched our village council try to obtain funding for resurfacing in our neighborhood only to fall short in meeting the "point system" established by our county. It seems this frustration continues for another year. From this frustration an apathy continues building within the neighborhood. Statements such as not voting 'yes' on future money requests since the only road benefit we receive is snow removal. More complaining to each other, but a refusal to participate and give voice to our local government. The perception is council doesn't care about our neighborhood. You get the picture.

Our suggestion to those individuals determining who gets funding. Come over to our neighborhood and drive our 4 roads every day, twice a day at the posted speed limit. Then tell us we don't merit resurfacing. The posted speed limit is 25 MPH. Warning: Good luck driving more than 15 MPH due to the rocky and bumpy ride over these roads. The mechanics who have maintained our vehicles for the last 40 years have even joked about it on several occasions. They ask where we drive our cars. There have been many repairs of ball joints and suspension systems. Chalk it up to our roads and driving a car more than 2-3 years as many of us do. We also have more frequent wheel alignments done than should be necessary. This is related to our roads and our need to drive these roads to get to and from work daily.

Something which should be of great importance to everyone is the pride of home ownership in our neighborhood. Roads can reflect negatively on the values of our home sales. Would you want to buy a \$200,000 home with roads of continuous unintentional speed bumps? These roads are an "eye sore." Subsequently, it's leading to some owners and renters letting their home and yard maintenance decline. This is noticeable to many of us. Thus, a neighborhood decline. Not all homes in this neighborhood have a \$150,000 - \$200,000 value. None the less, we shouldn't

be punished with lower home pricing because our neighborhood doesn't meet the county "points" system for funding. Our road paving need doesn't go away because we don't meet metric, it just worsens.

To better understand the importance of roads influencing our properties, it's important to give some details about our neighborhood. The "Meadowbrook" neighborhood or subdivision isn't just 10 or 15 homes. There are 4 streets; Adams, Lester, Oak and Walnut. There are over 60 homes that utilize one of these 4 roads as a main access to the driveways that enter the property. Adams Street – 27, Lester Street – 27, Oak Street – 7, Walnut Street – 6. It's possible there are a few more, but not less. We are the largest neighborhood/subdivision in Castalia. The largest group of taxpaying voters in the Village. We are a very diverse group of homes not only in property values, but in age range. The two oldest homes are on Adams Street both were built around 1895 to the newest home built nearly 100 hundred years later in 1988, also on Adams Street. There are homes built in nearly every decade during that 93 years. Some residents have lived in this neighborhood for over 50 years. A few over 60 years. There's one person who has lived here 72 years, since the day he was born! Over 20 families have lived here for over 40 years! There are houses which were built as small cottages back in the 1940s, two story homes, single story homes, ranch styles, a single story 4 apartment building, a few single story duplexes, stone homes, brick homes, wood sided, vinyl sided and even a pole barn and a small home business can be found in this neighborhood. It's important with this much diversity in housing that we have decent roads so the homes won't become as deplorable as the roads.

The original intent was to canvas our neighborhood for signatures on this letter. However, due to COVID19 and the high risk of spread at this time, as well as age and/or infirmity of some residents, going door to door for signatures is not only an impropriety; some would even say it's prohibited. It could lead to an unnecessary exposure which is too great a risk. Additionally, as a healthcare provider I know better and it would be hypocritical of everything I preach to my family and friends. As a self-proclaimed Meadowbrook neighborhood advocate and home owner, you will have to take my word that a majority of 60 plus homeowners feel there's a great need for road resurfacing. Better yet seeing and experiencing is believing. Come drive our roads at the posted speed limit and tell us what you think!



Barbara Weyer

Phone: 419-684-7100

Cell: 419-603-6412

Email: barbdweyer@aol.com or barbdweyer@gmail.com

8/31/2021

The photo in this petition header, taken from the Erie County Auditor's website; is Meadow Stream Allotment. Adams and Lester streets referenced in the grant application are the two main roads of this allotment.

This petition represents well over 50 % of property owners on Adams, Lester, Oak and Walnut Streets in Castalia, Ohio. My attempt was to get signatures from actual property owners. Several homes, duplexes and apartments are rented. There are also a few vacant homes and open lots. There were also some residents who would not answer the door who had "no soliciting" signs posted.

It should be noted since it was not included in the petition, while canvassing this area for signatures several residents expressed their displeasure at the expensive car suspension repair bills they have had to pay over the past few years. This year has been the worse for 3 of the 5 individuals who brought it to my attention when they signed the petition.

Submitted by



Barbara Weyer

334 Adams Street

Castalia, Ohio

Home Ph: 419-684-7100

**Petition to Resurface Roads in the Meadow Stream Allotment in Castalia, Ohio
Adams, Lester, Oak and Walnut Streets**



- Last paving was in 1990/91. Weeds and grass grow in the cracks and crevices in the roads.
- Safety Hazard: excessive pot holes, cracks and numerous indentations from sewer lines and other underground infrastructure repairs pose safety concerns for passing cars, delivery vehicles and school buses. Adults and children walk along our roads daily year around since there is only one small section of sidewalk on Lester Street.
- 3rd consecutive year Castalia Village has applied for an OPWC grant to repair/resurface our roads.
- Cost projections for Adams and Lester in 2020 was \$200,000. Castalia's total budget is under \$300,000 annually. With the cost of paving increasing every year it is out of reach for the Village to take on the total cost of this project.
- Having been declined the previous 2 years we feel it necessary to bring the importance of this need to your attention. Castalia Village has applied for OPWC funds over the years always coming up short on the scoring charts. We have maxed out points on the scoring process. Because we are a small village with lesser traveled roads than the other Erie County projects on a scorecard does NOT mean our need isn't as great if not greater.

We the undersigned residents of Adams, Lester, Oak and Walnut Streets are petitioning Erie County review committee and District 5 for priority points and additional consideration of OPWC Grant monies or 0% loan approval for the resurfacing of our neighborhood roads.

	PRINT NAME	SIGNATURE	STREET ADDRESS	DATE
1	Barbara Weyer	<i>Barbara Weyer</i>	334 Adams St	8/29/21
2	Kandy Walton	<i>Kandy Walton</i>	341 Lester St.	8/29/21
3	Louetta Sibert	<i>Louetta Sibert</i>	337 Lester St.	8/29/21
4	Keith Kaman	<i>Keith Kaman</i>	325 Adams St.	8/29/21
5	George Yingsling	<i>George Yingsling</i>	326 Adams St.	8-29-21
6	Gary G. Riley, Sr & Day D. Riley, Jr.	<i>Gary D. Riley Jr.</i>	322 " "	8/29/21
7	Dhana Hamilton	<i>Dhana Hamilton</i>	314 Adams St	8-29-21
8	Ken Wilburn	<i>Ken Wilburn</i>	112 Adams St	8-29-21
9		<i>[Signature]</i>	110 Adam St	8/29/21
10	Jenny Lee	<i>Jennifer Lowth</i>	103 Adams St	8/29/21

**Petition to Resurface Roads in the Meadow Stream Allotment in Castalia, Ohio
Adams, Lester, Oak and Walnut Streets**



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	PRINT NAME	SIGNATURE	STREET ADDRESS	DATE
1	Eugen & Windau	Eugen Windau	307 Adams St Castalia	8-29-21
2	Erin Eskey	Erin Eskey	300 Adams St	8-29-21
3	Dawn Kuhn	Dawn Kuhn	304 OAK ST	8-29-21
4	Kurt Zanel	Kurt Zanel	308 OAK ST.	8-29-21
5	Verma D. Chun	Verma D. Chun	309 OAK ST.	8-29-21
6	TIM SESSLER	Tim Sessler	103 LESTER ST.	8-29-21
7	DONNA SESSLER	Donna Sessler	306 WALNUT ST.	8-29-21
8	Cynthia Boggs	Cynthia Boggs	209 Adams St	8-29-21
9	Joseph Caldwell	Joseph Caldwell	205 Adams St	8-29-21
10	Rhoda J. Friend	Rhoda J. Friend	321 Adams St	8-29-21

**Petition to Resurface Roads in the Meadow Stream Allotment in Castalia, Ohio
Adams, Lester, Oak and Walnut Streets**



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	PRINT NAME	SIGNATURE	STREET ADDRESS	DATE
1	KEVIN NEMITZ	<i>[Signature]</i>	301 N. WASHINGTON	8-24-21
2	Nancy Bath	<i>[Signature]</i>	302 Walnut St	8-24-21
3	Silas R. Nicholson	<i>[Signature]</i>	111 Lester St.	8-24-21
4	Jacob Smith	<i>[Signature]</i>	320 Adams St.	8-24-21
5	<i>[Signature]</i>	Brett Slaughter	307 Walnut St.	8-29-21
6	<i>[Signature]</i>	John Slaughter	309 B Walnut	8-29-21
7	Margene Lowe	<i>[Signature]</i>	307 Oak	8-29-21
8	Nicole Quirk	<i>[Signature]</i>	303 Oak St	8-29-21
9	Mawn Quirk	<i>[Signature]</i>	303 Oak St	8-29-21
10	Charles Corico	<i>[Signature]</i>	328 Adams St	8-28-21

**Petition to Resurface Roads in the Meadow Stream Allotment in Castalia, Ohio
Adams, Lester, Oak and Walnut Streets**



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	PRINT NAME	SIGNATURE	STREET ADDRESS	DATE
1	John Schurz Jr	<i>[Signature]</i>	309 Lester St	8/30/21
2	Sallie Matheney	<i>[Signature]</i>	314 Lester St	8/30/21
3	Penny Brumm	<i>[Signature]</i>	110 LESTER ST.	8/30/21
4	Mary Yost	<i>[Signature]</i>	107 ADAM ST.	8/30/21
5	Clara	<i>[Signature]</i>	312 Lester St	8/30/21
6	Michelle Riskey	<i>[Signature]</i>	319 Lester St	8/31/21
7				
8				
9				
10				

District 5
Capital Improvement Project
Priority Rating Sheet, Round 36

Revised 06/29/2021

COUNTY: Erie		PROJECT: Village of Castalia - Adams Avenue and Lester Street Improvement										PROJECT NUMBER								
EST. COST: \$285,000																				
No.	'A' WEIGHT FACTOR	'B' CRITERIA TO BE CONSIDERED	'B' PRIORITY FACTORS					'A' x 'B'	No.	PRIORITY FACTORS						No.				
			0	2	4	6	8			10	0	2	4	6	8		10			
1	1	(REPAIR OR REPLACE) vs. (NEW OR EXPANSION)										10		0% +	20% +	40% +	60% +	80% +	100% +	1
														Repair or Replacement	Repair or Replacement	Repair or Replacement	Repair or Replacement	Repair or Replacement	Repair or Replacement	
2A	1	EXISTING PHYSICAL CONDITION <small>Please refer to Criteria #2 of the Round 36 Scoring Methodology. Must submit substantiating documentation. (100% New or Expansion = 0 Points)</small>										10		0	2	4	6	8	10	2A
														Excellent	Good	Fair	Fading	Poor	Failing	
2B	1	AGE										5	Type	0	1	2	3	4	5	2B
													Road	0-4 Yrs	5-8 Yrs	9-12 Yrs	13-16 Yrs	17-20 Yrs	20+ Yrs	
													Wastewater	0-6 Yrs	7-12 Yrs	13-18 Yrs	19-24 Yrs	25-30 Yrs	30+ Yrs	
													Bridge/Culvert							
													Sanitary Sewer, Water Supply, Storm Water	0-10 Yrs	11-20 Yrs	21-30 Yrs	31-40 Yrs	41-50 Yrs	50+ Yrs	
													Solid Waste							
3	2	PUBLIC HEALTH AND/OR SAFETY CONCERNS <small>Submittals without supporting documentation will receive 0 points for this question</small>										12		0	2	4	6	8	10	3
														No Impact	Minimal	Moderate	Major	Critical	Extremely Critical	
4	2	LOCAL MATCHING FUNDS <small>Percentage of Local Share (Local funds are funds derived from the applicant budget or a loan to be paid back through the applicant budget, assessments, rates or tax increases)</small>										20		0	2	4	6	8	10	4
														0%	10%	20%	30%	40%	50%	
5	1	OTHER FUNDING (Excluding Issue II Funds) <small>(Grants and other revenues not contributed or collected through taxes by the applicant, including Gifts, Contributions, etc. - must submit copy of award or status letter)</small>										0		0	2	4	6	8	10	5
														0%	10%	20%	30%	40%	50%	
6		GRANT AND LOAN FUNDS REQUESTED <small>Please refer to Criteria #8 of the Round 36 Methodology for clarification.</small>										20								6
	2	Grant or Loan Only												-9	-8	0	8	9	10	6
														\$300,001 or more	\$400,001 to \$500,000	\$325,001 to \$400,000	\$275,001 to \$325,000	\$175,001 to \$275,000	\$175,000 or less	
	2	Grant/Loan Combination												\$750,000 or more	\$600,001 to \$750,000	\$487,501 to \$600,000	\$412,501 to \$487,500	\$282,501 to \$412,500	\$282,500 or less	6
When scoring a project that is only grant or only loan, please use the chart labeled "Grant or Loan Only". When scoring a grant/loan combination, score the project for the grant in the first chart, then use the second chart labeled "Grant/Loan Combination" to score the total (grant and loan combined). Use the lower of the two as the score.																				
7	1	JOB CREATION/RETENTION <small>Indicate full time equivalent jobs. Include supporting documentation in the form of a commitment letter from business or third party entity.</small>										0		0	2	4	6			7
														0-6 Jobs	7-14 Jobs	15-24 Jobs	25+ Jobs			
8	1	BENEFIT TO EXISTING USERS (households or traffic counts) <small>equipment owning unit direct connections. Traffic Counts within three years with certified documentation, etc.</small>										4		0	2	4	6	8	10	8
														0-99 Users	100-349 Users	350-499 Users	500-749 Users	750-1000 Users	1000+ Users	
9	1	ECONOMIC DISTRESS <small>Local MHI as a percentage of the District Median MHI</small>										0		0	1	2				9
														100%+	80%-100%	Less Than 80%				
10	1	READINESS TO PROCEED										1		0	1	2				10
														Plans Not Begun Yet	Preliminary Engineering Complete	Final Design Complete				
11		SUBTOTAL RANKING POINTS (MAX. = 115)										82		Other Info: Does this project have a significant impact on productive farmland? YES NO X Attach impact statement if yes. Is the Applicant ready to proceed to bids after State Approval within 6 months? YES X NO						
12		COUNTY SUBCOMMITTEE PRIORITY POINTS (25-20-15)												District Discretionary Point may be awarded to projects that demonstrate significant Area-wide, County, or Community Impact. Include documentation to support the claim of significance.						
13A		DISCRETIONARY POINTS (BY DISTRICT ONLY) (MAX.=1)												District Discretionary Point may be awarded to projects that demonstrate that the entity has maximized financial resources including assessments and utility rate structure.						
13B		DISCRETIONARY POINTS (BY DISTRICT ONLY) (MAX.=1)																		
14		GRAND TOTAL RANKING																		

* Applicants must certify local and other share contributions. Specify, all funding sources to be utilized as local share at the time of application submittal.

Revised: June 29, 2021

Application Instructions

Public WorksWise Training

(Right click on the [Blue fields](#) and Choose Open Hyperlink)

The Commission has been hard at work for the two years developing our Salesforce based internal cloud platform, Public WorksWise. WorksWise will allow our customers to apply online for OPWC grant and loan funds, process disbursement requests to vendors, submit relevant project documentation and schedule information, and navigate loan repayments all in one place!

We have been testing the system and the OPWC staff will be going live internally with the platform in the month of May. Our external users will begin using the platform after the Round 35 project agreements are released electronically on July 1st. On August 4th our portal will go live to the public and we will enable statewide use of WorksWise to manage and maintain your OPWC infrastructure and Clean Ohio project.

ONLINE TRAINING VIDEOS

[Clean Ohio Training for Applicants: HOW TO SUBMIT A CLEAN OHIO APPLICATION THROUGH WORKSWISE PORTAL](#)

[Infrastructure Training for Applicants: HOW TO SUBMIT AN INFRASTRUCTURE APPLICATION THROUGH THE WORKSWISE PORTAL](#)

Upcoming Customer Training: Processing and Submitting Project Disbursements

July 7th 9:30-11:30: Statewide Infrastructure Disbursement Training (training link will be made available a few days prior to the trainings)

August 11th 9:30-11:30: Statewide Infrastructure Follow Up training for popular questions that arise after launch (training link will be made available a few days prior to the trainings)

Requesting Access to WorksWise

OPWC allows each community/applicant one license to access WorksWise in order to apply for funding and request disbursements once funded. In order to onboard new and existing applicants, we require that the applicant designate an appointee and request access via the link below.

Important Items to Note: if you want access for another community other than the one with which you are employed (this may be true for County Engineers Offices or consulting firms), a Letter of Authorization will be required to be uploaded when you make the request to be set up for that community. Below is a link to the template that must be put on the subdivision's letter head, signed, and scanned in as a PDF.

[Letter of Authorization for WorksWise access to applicant's account](#)

Liaisons: Please do not request a username through this form, you are automatically setup to receive usernames.

However, if you are applying on behalf of a community, you will need to request access to do so with the Letter of Authorization above.

- 2)**Cities/Counties:** For larger communities that may need more than one login, there is a space on the form to explain why additional logins are necessary. We will review them on a case by case basis. Every community is afforded at least one login to the WorksWise Portal.
- 3)For WorksWise questions, please email workswise@pwc.ohio.gov and copy your Program Representative on the email. Someone will respond back to you as quickly as possible.
- 4)Please fill out the form below for each login requested. If you are representing multiple communities, please fill register for each community you plan to work with along with the Letter of Authorization. Please do NOT list more than one subdivision on the sign-up form or your entry will be deleted and we will ask that you resubmit your request(s).

[Click here to request a login to the Public WorksWise portal](#)

****Usernames and passwords for the system will not be granted until we go live in August.**

Supplemental Application Instructions

Prerequisites for Project Consideration

Manner of submittal items to the County Subcommittee:

Paper Application Submittal Instructions

- 1) Must be one-sided, 8.5" x 11".
- 2) No dividers or cover sheets (a summary sheet may be submitted with "other documentation").
- 3) No Binding. A binder clip, folder, punch-less binder (has a clamp that holds papers together) are OK. No staples.

Format of application:

- 1) All must be in whole dollars (no cents).
- 2) Cannot use all caps.
Page 4 of application must contain relevant information about project and not "see attached". If it will not fit in space provided, list what will fit and attach one supplement document to complete the information.
- 3) Page 3 must designate households or ADT ONLY for the direct area of the infrastructure. (Cannot count downstream or system users). Majority infrastructure type determines how project is scored when there are multiple components. ADT Traffic Counts are required within three years of application submittal with certified documentation.

Optional Electronic Application Submittal

Applicants may opt to submit applications in a pdf electronic file format on a CD, DVD or other electronic storage device.

OPWC Required Documents

- oOPWC Six Page Application
- oAuthorizing Legislation
- oCFO Certification of Local Funds and Loan Repayment Letter
- oEngineer's Estimate and Useful Life Statement
- oCooperative Agreement (Multiple Jurisdictions)
- oFarmland Preservation Review Letter

District 5 Required Documents

- oA Self-Score Capital Improvements Questionnaire
- oPriority Rating Sheet, Round 36
- oADT Traffic Counts conducted within three years of the application submittal
- oEPA Findings and Orders, EPA Safe Drinking Water Regulations Notice of Violation, EPA NPDES

Permit Violations, EPA Consent Decree or Court Orders

- o Documentation to support Functional Obsolescence Claim
- o Documentation of Waterline Breaks, I and I Analysis, excessive corrosion, etc.
- o Written Third Party Documentation supporting Job Creation/Retention Claims
- o Auditor's Certificate
- o Other items
 - a. Maps
 - b. Pictures
 - c. Summary Sheet
 - d. Letters supporting the project application.
 - e. Any other items deemed relevant to the project

Project Cost Overruns/Changes in Scope Procedure

- 1) The applicant will prepare an amended application including a revised budget, revised engineering estimate, and a detailed explanation of the change(s) requested.
- 2) The amendment is due to the District 5 Liaison thirty days in advance of the date of the scheduled District 5 Executive Committee Meeting.

Revolving Loan Prioritization

- 1) RLP funds are funds repaid from previous loans. The money can only be used for loans. No grants may be made with the funds.
- 2) The interest rate for RLP Loans is established by the Executive committee at zero percent per year for the useful life of the improvement.
- 3) RLP Loans will be offered to projects based on the ranking of projects on the SCIP Slate. Consideration will be given to projects in order of score based on initial grant or grant/loan request. until the RLP funds are expended.

Evaluation Questionnaire and Priority Rating Sheet

- 1) Each application to District 5 shall be rated using the District 5 Capital Improvements Project Questionnaire and Priority Rating Sheet as adopted by the District 5 Executive Committee.
- 2) For Villages and Township with populations less than 5,000 special attention is called to the potential eligibility for Small Government Funding consideration. The scoring for the Small Government Program is established and implemented by the Ohio Public Works Commission. This program has an additional set of Evaluation Methodology. Each applicant should familiarize themselves with this methodology when planning your project funding request. If your project is not selected for District Funding each applicant under 5,000 in population will be considered for selection as a potential Small Government Project.

**DISTRICT 5
CAPITAL IMPROVEMENT PROJECTS
QUESTIONNAIRE
ROUND 36**

Name of Applicant: Village of Castalia
Project Title: Adams and Lester Street Improvements

The following questions are to be answered for each application submitted for State Issue II SCIP, LTIP and Loan Projects. Please provide specific information using the best documentation available to you. Justification of your responses to these questions will be required if your project is selected for funding, so please provide correct and accurate responses. **Villages and Townships under 5,000 in population should also complete the Small Government Criteria.**

1. What percentage of the project in repair A= 100%, replacement B= %, expansion C= %, and new D= %? (Use dollar amounts of project to figure percentages and make sure the total equals one hundred(100) percent) A+B= 100 % C+D= % **ORC Reference(s):164.06(B)(1); 164.14(E)(10)**

Repair/Replacement = Repair or Replacement of public facilities owned by the government (any subdivision of the state).

New/Expansion = Replacement of privately owned wells, septic systems, private water or wastewater systems, etc.

- 2a. Existing Physical Condition of Infrastructure **ORC Reference(s):164.06(B)(2);164.14(E)(9);164.14(E)(2); 164.14(E)(8)**

Points	Category	Description	Examples
10	Failing	Infrastructure has reached a point where it requires replacement, reconstruction or reconfiguration to fulfill its purpose	-Intersection Reconfiguration due to accident problem- Structural paving of 3.5" or greater of additional pavement - Pavement Widening to meet ODOT L&D Standards - Complete Pavement Reconstruction - Water or Sewer Line Replacement - Water or Sewer Plant Replacement - Widening graded shoulder width to ODOT L&D Standard -Complete Bridge or Culvert replacement- Replacement of a major component of a water and/or sewer treatment plant which would result in a failure in meeting WQ Standards
8	Poor	The condition is substandard and requires repair or restoration in order to return to the intended level of service and comply with current design standards. Infrastructure contains deficiency and is functioning at a diminished capacity.	-Multiple course of paving - Structural Culvert Lining - Bridge Deck Replacement - Replacement of a component such as a control mechanism, pumps, hydrants, valves, filters,

			etc of a water or sewer plant - Single course of paving with 25% base repair-Widening graded shoulder width to less than ODOT L&D Standard
6	Fading	The condition requires reconditioning to continue to function as originally intended.	-Single course of paving -Sewer Lining Projects -Water tower painting -Repair of a tank to maintain structural integrity in existing water and sewer systems-Widening aggregate berm on existing graded shoulder width
4	Fair	The condition is average, not good or poor. The infrastructure is still functioning as originally intended. Minor deficiencies exist requiring repair to continue to function as originally intended and/or to meet current design standards	
2	Good	The condition is safe and suitable to purpose. Infrastructure is functioning as originally intended, but requires minor repairs and/or upgrades to meet current design standards	
0	Excellent	The condition is new or requires no repair. Or, no supporting documentation has been submitted	

2b. Age of Infrastructure **ORC Reference(s):164.06(B)(2)**

Life	20	30	50
Project Type	Road	Wastewater and Water Treatment	Bridge/Culvert, Sanitary Sewer, Water Supply, Storm Water, Solid Waste
Points			
0	0-4 Years	0-6 Years	0-10 Years
1	5-8 Years	7-12 Years	11-20 Years
2	9-12 Years	13-18 Years	21-30 Years
3	13-16 Years	19-24 Years	31-40 Years
4	17-20 Years	25-30 Years	41-50 Years
5	20+ Years	30+ Years	50+ Years

3. Health and Safety Rating: **ORC Reference(s):164.06(B)(4),164.14(E)(1); 164.14(E)(10)**

If the proposed project is not approved what category would best represent the impact on the general health and/or public safety?

ROADS

Extremely Critical:	Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Major Access Road.*
Critical:	Resurfacing, Restoration and Rehabilitation (3R) of a Major Access Road.*
Major:	Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Minor Access Road.*
Moderate:	Resurfacing, Restoration and Rehabilitation (3R) of a Minor Access Road.*
Minimal:	Preventative Maintenance of a Major Access Road.
No Impact:	Preventative Maintenance of a Minor Access Road.

Projects that have a variety of work will be scored in the **LOWEST** category of work contained in the Construction Estimate.

Road/Street Classifications:

Major Access Road:

Roads or streets that have a dual function of providing access to adjacent properties and providing through or connecting service between other roads.

Minor Access Road:

Roads or streets that primarily provide access to adjacent properties without through continuity, such as cul-de-sacs or loop roads or streets.

Preventative Maintenance:

Non Structural Pavement work such as chip sealing, cape sealing, micro-surfacing, crack sealing, etc.

*(3R) Resurfacing, Restoration and Rehabilitation - Improvements to existing roadways, which have as their main purpose, the restoration of the physical features (pavement, curb, guardrail, etc.) without altering the original design elements. (Surface and Intermediate layer Mill and Fills, overlays with less than or equal to 3.5" of additional pavement, etc....)

*(4R) Resurfacing, Restoration, Rehabilitation and Reconstruction - Much like 3R, except that 4R allows for the complete reconstruction of the roadway and alteration of certain design elements (i.e., lane widths, shoulder width, SSD, overlays with greater than 3.5" of additional pavement. etc.).

BRIDGES SUFFICIENCY RATING

Extremely Critical:	0-25, or a General Appraisal rating of 3 or less.
Critical:	27-50, or a General Appraisal rating of 4.
Major:	51-65 or a General Appraisal rating of 5 or 6.
Moderate:	66-80 or a General Appraisal rating of 7.
Minimal:	81-100 or a General Appraisal rating of more than 7.
No Impact:	Bridge on a new roadway.

WASTEWATER TREATMENT PLANTS

- Extremely Critical: Improvements required by the Environmental Protection Agency (EPA) in the form of a consent decree, finding and orders or court order, and Health Department Construction Ban.
- Critical: Improvements required by the Environmental Protection Agency (EPA) in the form of NPDES permit requirements or Notice of Violations.
- Major: Replace deficient appurtenances. Update existing processes due to EPA recommendations.
- Moderate: Increase capacity to meet current needs or update processes to improve effluent quality.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER TREATMENT PLANT

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Improvements to meet Environmental Protection Agency (EPA) Safe Drinking Water Regulations and/or Notice of Violations.
- Major: Replace deficient appurtenances. Update existing processes due to EPA recommendations.
- Moderate: Increase capacity to meet current needs or update processes to improve water quality.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

COMBINED SEWER SEPARATIONS (May be construction of either new storm or sanitary sewer as long as the result is two separate sewer systems.)

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
- Critical: Separate, due to chronic backup or flooding in basements.
- Major: Separate, due to documented water quality impairment, or due to EPA recommendations.
- Moderate: Separate, due to specific development proposal within or upstream of the combined system area.
- Minimal: Separate, to conform to current design standards.
- No Impact: No positive health effect.

STORM SEWERS

- Extremely Critical: Improvements ordered by the Environmental Protection Agency (EPA) in the form of a consent decree, findings and orders or court order.

Critical:	Chronic flooding (structure damage) or improvements required by the Environmental Protection Agency (EPA) in the form of NPDES permit requirements or Notice of Violations.
Major:	Inadequate capacity (land damage).
Moderate:	Inadequate capacity with no associated damage.
Minimal:	New/Expansion to meet current needs.
No Impact:	New/Expansion to meet future or project needs.

CULVERTS

Extremely Critical:	Structurally deficient or functionally obsolete. Deterioration has already caused a critical safety hazard to the public.
Critical:	Inadequate capacity with land damage and the existing or high probability of property damage.
Major:	Inadequate capacity (land damage).
Moderate:	Inadequate capacity with no associated damage.
Minimal:	New/Expansion to meet current needs.
No Impact:	New/Expansion to meet future or projected needs.

SANITARY SEWERS

Extremely Critical:	EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
Critical:	Replace, due to chronic pipe failure, chronic backup or flooding in basements, sewer system overflows, and/or improvements required by the Environmental Protection Agency (EPA) in the form of NPDES permit requirements or Notice of Violations.
Major:	Replace, due to inadequate capacity or infiltration, or due to EPA recommendations.
Moderate:	Rehabilitate to increase capacity to meet current needs or to reduce inflow and infiltration.
Minimal:	New/Expansion project to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

SANITARY LIFT STATIONS AND FORCE MAINS

Extremely Critical:	Structurally deficient. Deterioration has already caused a safety/health hazard to the public, or; EPA orders in the form of a consent decree, findings and orders or court order.
Critical:	Inadequate capacity with actual or a high probability of property damage; or improvements required by the Environmental Protection Agency (EPA) in the

form of NPDES permit requirements.

- Major: EPA recommendations, or; reduces a probable health and/or safety problem.
- Moderate: Rehabilitate to increase capacity to meet current needs.
- Minimal: New/Expansion to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER PUMP STATIONS

- Extremely Critical: Structurally deficient. Deterioration has already caused a safety hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Inadequate capacity with the inability to maintain pressure required for fire flows.
- Major: Replace due to inadequate capacity or EPA recommendations.
- Moderate: Rehabilitate to increase capacity to meet current needs.
- Minimal: New/Expansion to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER LINES/WATER TOWERS

- Extremely Critical: Replace to solve low potable water pressure or excessive incidents of main breaks in project area.
- Critical: Replacement/Rehabilitation due to structural deficiency such as excessive corrosion and/or safety upgrades, etc.
- Major: Replace undersized water mains as part of an overall upgrade process. Replace water meters that have exceeded their useful life.
- Moderate: Increase capacity to meet current needs. Spot repairs/recoating to restore moderate corrosion of water components.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

OTHER

- Extremely Critical: There is a present health and/or safety threat.
- Critical: The project will provide immediate health and/or safety benefit.
- Major: The project will reduce a probable health and/or safety problem.

Moderate: The project will delay a health and/or safety problem.

Minimal: A possible future health and/or safety problem mitigation.

No Impact: No health and/or safety effect.

NOTE: Combined projects that can be rated in more than one subset may be rated in the other category at the discretion of the District 5 Executive Committee. In general, the majority of the cost or scope of the project shall determine the category under which the project will be scored.

(Submittals without supporting documentation will receive 0 Points for this question.)

Extremely Critical ____, Critical ____, Major X, Moderate ____, Minimal ____, No Impact ____. Explain your answer.

(Additional narrative, charts and/or pictures should be attached to questionnaire)

4. Identify the amount of local funds that will be used on the project as a percentage of the total project cost. **ORC Reference 164.06(B)(6); ORC 164.06(B)(7); ORC 164.06(B)(3); ORC 164.14(E)(4)**

A.) Amount of Local Funds = \$ 142,500

B.) Total Project Cost = \$ 285,000

RATIO OF LOCAL FUNDS DIVIDED by TOTAL PROJECT COSTS (A ÷ B) = 50 %

Note: Local funds should be considered funds derived from the applicant budget or loans funds to be paid back through local budget, assessments, rates or tax revenues collected by the applicant.

5. Identify the amount of other funding sources to be used on the project, excluding SCIP or LTIP Funds, as a percentage of the total project cost. **ORC Reference(s): 164.06(B)(7); 164.14(E)(4)**

Grants ____% Gifts ____%, Contributions ____%

Other ____% (explain) _____, Total ____%

Note: Grant funds and other revenues not contributed or collected through taxes by the applicant should be considered other funds. The Scope of Work for each Funding Source must be the same.

6. Total Amount of SCIP and Loan Funding Requested- An Applicant can request a grant per the categories below for points as indicated on the Priority Rating Sheet. If the Applicant is including a loan request equal to, but not exceeding 50% of the OPWC funding amounts listed below, there will be no point penalty. If loan funds requested are more than 50%, points as listed in the Priority Rating Sheet will apply. **ORC Reference(s): 164.14(E)(10); 164.06(B)(5)**

_____ \$500,001 or More
_____ \$400,001-\$500,000
_____ \$325,001-\$400,000
_____ \$275,001-\$325,000

X \$175,001-\$275,000
_____ \$175,000 or Less

There are times when the District spends all of the grant money and has loan money remaining. When this happens, the district makes a loan offer in the amount of the requested grant to the communities that were not funded. The offers are made in the order of scoring. We need to know if you are not successful in obtaining grant dollars for your project if you would be interested in loan money:

YES X NO _____

(This will only be considered if you are not funded with grant money and there is remaining loan money.) **Please note: if you answer "no" you will not be contacted, only if you answer "yes" will an offer be made in the event that there is loan money remaining.**

7. If the proposed project is funded, will its completion directly result in the creation of permanent full-time equivalent (FTE) jobs (FTE jobs shall be defined as 36 hours/week) ? Yes ___ No X. If yes, how many jobs within eighteen months? ___ Will the completed project retain jobs that would otherwise be permanently lost? Yes ___ No X. If yes, how many jobs _____ **will be created/retrained** within 18 months **following the completion of the improvements?**

ORC Reference(s): 164.14(E)(3);164.14(E)(10)

(Supporting documentation in the form of letter from affected industrial or commercial enterprises that specify full time equivalent jobs that will be retained or created directly by the installation or improvement of Public infrastructure. Additional items such as; 1) newspaper articles or other media news accounts, 2) public meeting minutes, and/or 3) a letter from the County Economic Development Director or State of Ohio Economic Development Professional that alludes to the requirement for the infrastructure improvement to support the business. Submittals without supporting documentation will receive 0 points for this question.)

8. What is the total number of existing users that will directly benefit from the proposed project if completed? 360 (Use households served, traffic counts, etc. and explain the basis by which you arrived at your number.) **ORC Reference 164.14(E)(7); 164.06(B)(10)**

9. Economic Distress Criteria **ORC Reference 164.06(B)(8)**

What is the Local Median Household Income as a percentage of the District Median Household Income? 118.34 %. Please utilize the Economic Distress Scoring Criteria based on ACS 2013-2017 Data provided in Exhibit A.

10. Readiness to Proceed Criteria **ORC Reference 164.06(B)(9); ORC 164.14(E)(5)**

Please categorize the status of planning and design elements for the project.

_____ Plans have not begun yet (0 Points)

- X Preliminary Engineering Complete (1 Point)
 Final Design Complete (2 Points)

11. Base Score Total for Questions 1-10= 82
12. County Subcommittee Priority Points=
(25-20-15 Points for each of the SCIP and LTIP Project Categories)

13. DISCRETIONARY POINTS (BY DISTRICT COMMITTEE ONLY)

- 13a. A **District Discretionary Point** may be awarded to projects that demonstrate significant Area-wide, County, or Community Impact. (Include documentation to support the claim of significance) (Maximum of 1 Point at the discretion of the District Executive Committee)
ORC Reference 164.14(E)(7)
- 13b. A **District Discretionary Point** may be awarded to projects that demonstrate that the entity has maximized local financial resources including assessments. Provide a Fund Status Report and/or the water and sanitary waste utility rate structures are at least 2.5% of area median household income for combined systems and 1.5% of the area median household income for water and sanitary only systems. Please provide rate ordinances for water and sanitary sewer to be considered for discretionary points. (Maximum of 1 Point at the discretion of the District 5 Executive Committee) **ORC Reference 164.06(B)(3)**

14. **Grand Total of Points**

15. Is subdivision's population less than 5,000 Yes No If yes, continue. You may want to design your project per Small Government Project Evaluation Criteria, released for the current OPWC Round to assist in evaluating your project for potential Small Government Funding. The Small Government Criteria is available on the OPWC website at

<https://www.pwc.ohio.gov/Portals/0/Data/SmallGovernment%20Round%2036%20Methodology.pdf?ver=2019-08-07-071749-143>

16. **OHIO PUBLIC WORKS COMMISSION SMALL GOVERNMENT PROGRAM GUIDELINES**

All projects that are sponsored by a subdivision with a population of 5,000 or less, and not earning enough points for District Funding from SCIP or LTIP Funds, are then rated using the Small Government Program Rating Criteria for the corresponding funding round. In order to be rated the entity must submit the Small Government Supplement and their required budgets with their application.

Only infrastructure that is village- or township- owned is eligible for assistance. The following policies have been adopted by the Small Government Commission:

- District Integrating Committees may submit up to seven (7) applications for consideration by the

Commission. All 7 must be ranked, however, only the top five (5) will be scored. The remaining two (2) will be held as contingency projects should an application be withdrawn.

- Grants are limited to \$500,000. Any assistance above that amount must be in the form of a loan.
- Grants for new or expanded infrastructure cannot exceed 50% of the project estimate.
- The Commission may deny funding for water and sewer systems that are deemed to be more cost-effective if regionalized.
- If a water or sewer project is determined to be affordable, the project will be offered a loan rather than a grant. Pay special attention to the **Water & Wastewater Affordability Supplemental and the Small Government Water & Wastewater Affordability Calculation Worksheet. Both are available on the Small Government Program Tab at <https://www.pwc.ohio.gov/Programs/Infrastructure-Programs/Small-Government>**
- Should there be more projects that meet the “annual score” than there is funding, the tie breaker is those projects which scored highest under Health & Safety, with the second tie breaker being Condition. If multiple projects have equivalent Health & Safety and Condition scores they are arranged according to the amount of assistance from low to high. Once the funded projects are announced, “contingency projects” may be funded from project under-runs by continuing down the approved project list.
- Supplemental assistance is not provided to projects previously funded by the Commission.
- Applicants have 30 days from receipt of application by OPWC without exception to provide additional documentation to make the application more competitive under the Small Government criteria. Applications will be scored after the 30-day period has expired. The applicants for each District's two (2) contingency projects will have the same 30-day period to submit supplemental information but these applications will not be scored unless necessary to do so. **It is each applicant's responsibility for determining the need for supplemental material. The applicant will not be asked for or notified of missing information unless the Commission has changed the project type and it affects the documentation required. Important information may include, but is not limited to: age of infrastructure, traffic counts or utility users, median income information, user rates ordinances, and the Auditor's Certificate of Estimated Revenues or documentation from the Auditor of State that subdivision is in a state of fiscal emergency.**

If you desire to have your Round 36 project considered for Small Government Funding please download the Small Government Evaluation Criteria applicable to Round 36 by accessing the OPWC Website at

<https://www.pwc.ohio.gov/Portals/0/Data/SmallGovernment%20Round%2036%20Methodology.pdf?ver=2019-08-07-071749-143>. Please follow the Small Government Evaluation Criteria and include supporting documentation to receive points. Specifically, include the Auditor's Certification of funds for your entity and documentation supporting the age of the infrastructure.

Please complete the Small Government Evaluation Criteria and attach all required supporting documentation and attach it to the District 5 Questionnaire for Round 36.

Date: 09/01/2021

Signature: Michelle Hister

Title: Project Administration Assistant

Address: 1168 North Main Street, Bowling Green, Ohio 43402

Phone: 567-331-2691

FAX: _____

Email: mhister@kleinfelder.com

Small Government Commission Application Checklist

This checklist will help ensure that your application is scored at its best competitive advantage. It will also assist with the timely release of the Project Agreement should your project be funded. This form is for your use only. See various templates and forms in this manual, on the Small Government webpage, and on the Application webpage.

- [X] Compliant certified authorizing legislation by applicant's governing body (OPWC Application webpage)
- [N/A] Cooperative agreement if multi-jurisdictional (OPWC Application webpage). Road/bridge/culvert projects must include an engineer's statement certifying the percentages of each participating jurisdiction's share of the total project.
- [X] Compliant Chief Financial Officer's Certification and Loan Letter (OPWC Application webpage)
- [X] Funding commitment letters and or documentation for all non-OPWC matching funds
- [X] Signed/stamped registered professional engineer's detailed cost estimate including in-kind costs (OPWC Application webpage). If project is a mix of new/expansion and repair/replacement items, engineer must include a percentage break-down by category.
- [X] Signed/stamped professional engineer's weighted useful life statement if not submitted with original application (cannot be modified)
- [X] Small Government Engineer's Plan Status Certification form (in this manual and on SG webpage)
- [X] Clear description of problem and scope of work with appropriate documentation
- [X] Source documentation for proof of age with year clearly visible or compliant letter from eligible public official {letter template in this manual}
- [X] Project site photos, if appropriate
- [X] Map showing project location/site
- [N/A] Farmland Preservation Review Letter if any impact to farmland (OPWC Application webpage)
- [X] ADT report for Road, Bridge & Culvert Projects
OR
Number of households/EDUs (with calculation) for Water, Wastewater, Storm Water Collection, Solid Waste Projects who directly benefit. If waterline or sewer project with additional benefitted users beyond scope of construction, then also Engineer's study documenting these additional users.

Roads, Bridges/Culverts, Storm Water, Solid Waste Projects Only:

- [X] Auditor's Certificate of Estimated Resources with line item detail unless applicant in State of Fiscal Emergency; also if Storm Water or Solid Waste project, the fund(s) typically used must be identified {examples in back of this manual}.
- [N/A] Low volume road projects that include documentation using ODOT's TIMS System showing a positive Rate of Return is required to maximize points under population.

(Continued on next page)

Water and Wastewater Projects Only:

[N/A] "Current" water and wastewater rate ordinances/resolutions for all entities providing services unless applicant in State of Fiscal Emergency

[N/A] Small Government Water & Wastewater Ability & Effort Supplemental form (in this manual and on SG webpage)

Small Government Self-Score
(Input Score in box for each criterion; will total automatically)

Applicant: Village of Castalia - Adams Avenue and Lester Street Improvements

	SCORE
1 Ability & Effort (Use A or B according to project type)	
A. <i>Roads, Bridges/Culverts, Storm Water, Solid Waste Projects ONLY</i>	
0 2 4 6 8 10	<input type="text" value="4"/>
B. <i>Water & Wastewater Projects ONLY</i>	
Calculated by Administrator	<input type="text" value="N/A"/>
2 Health & Safety (Use A or B according to project type)	
A. <i>Road, Bridge, Culvert</i>	
0 2 4 6 8 10	<input type="text" value="6"/>
B. <i>Water, Wastewater, Storm Water, Solid Waste</i>	
0 2 4 6 8 10	<input type="text" value="0"/>
3 Age & Condition	
i. <i>Age</i>	
0 1 2 3 4 5	<input type="text" value="5"/>
ii. <i>Condition</i>	
1 2 3 4 5	<input type="text" value="4"/>
4 Leveraging Ratio	
0 1 2 3 4 5 6 7 8 9 10	<input type="text" value="2"/>
5 Population Benefit	
0 1 2 3 4 5	<input type="text" value="3"/>
6 District Priority Ranking - Completed by Administrator	<input type="text" value="N/A"/>
7 OPWC Funds Requested	
0 5 10	<input type="text" value="10"/>
8 Loan Request (Default 0 points if no loan requested)	
1 5 10	<input type="text" value="5"/>
9 Useful Life	
1 2 3 4 5	<input type="text" value="3"/>
10 Median Household Income	
2 4 6 8 10	<input type="text" value="2"/>
11 Readiness to Proceed	
i. <i>Status of Plans</i>	
0 2 5	<input type="text" value="0"/>
ii. <i>Status of Funding</i>	
0 3 5	<input type="text" value="5"/>
TOTAL	<input type="text" value="49"/>

Complete and compliant support documentation must be provided for a criterion to be awarded points. See Applicant Manual for more information.

1. Ability and Effort of the Applicant to Finance the Project (Maximum 10 points)

A. Roads, Bridges/Culverts, Storm Water, Solid Waste Projects Only – “Auditor’s Certificate of Estimated Resources” showing fund detail, as provided in ORC sections 5705.35 and 5705.36 is used to determine potential financial resources available for the project. Score is based on the project’s total cost as a percentage of financial resources.

- 0 Total project cost represents 0 to 20% of subdivision's total combined funds legally eligible for infrastructure type
- 2 Total project cost represents 21 to 40% of subdivision's total combined funds legally eligible for infrastructure type
- 4 Total project cost represents 41 to 60% of subdivision's total combined funds legally eligible for infrastructure type
- 6 Total project cost represents 61 to 80% of subdivision's total combined funds legally eligible for infrastructure type
- 8 Total project cost represents 81 to 100% of subdivision's total combined funds legally eligible for infrastructure type
- 10 Total project cost exceeds 100% of subdivision's total combined funds legally eligible for infrastructure type, or subdivision is in fiscal emergency

B. Water and Wastewater Projects Only – Determined by SG Administrator according to the Water & Wastewater Ability & Effort calculation described in Applicants Manual. Information is obtained from both water and wastewater rate ordinances, Small Government Water & Wastewater Ability & Effort Supplemental, and data from the *U.S. Census Bureau*. Points are provided for the hours worked to pay for water and wastewater services according to the highest of two variances as a percentage above or below State Averages: weighted average of household income or percentage of households making less than \$25,000.

- 0 More than 50% above state average
- 2 25.1% - 50% above state average
- 4 0 - 25% above state average
- 6 0.1% - 25% below state average
- 8 25.1% to 50% below state average
- 10 More than 50% below state average

2. Importance of Project to Health and Safety of Citizens – Score is assigned according to the application project description and any pertinent supplemental documentation. (Maximum 10 points)

A. Road, Bridge, Culvert

- 0 New infrastructure to meet future or projected needs
- 2 New infrastructure to meet current needs; Roadway surface paving less than 2 inches; Bridges with General Appraisal of 6 or above or with a Sufficiency Rating of 81-100

- 4 Roadway surface paving equal to or greater than 2 inches with/without milling; Replace or install signal where warranted; Bridges with a General Appraisal of 5 or Sufficiency Rating of 66-80; Culvert replacement with no associated damage
- 6 Road widening to add paved shoulders or for safe passage, and/or roadway paving with full-depth base repair equal to or greater than 5% of roadway surface area; Intersection improvement to add turn lanes or realignment; Bridges with a General Appraisal of 4 or Sufficiency Rating of 51-65; Culverts with inadequate flow capacity
- 8 Complete roadway full-depth reconstruction (includes removal/replacement of base) or reclamation with/without drainage; Widening to add travel lanes; Intersection improvements to address excessive accident rate and/or inadequate level of service with Crash Reduction Factor ($0.0 < CRF < 0.2$); Bridges with a General Appraisal of 3 or Sufficiency Rating of 26-50; Culverts with inadequate flow capacity and property damage (i.e. flooding)
- 10 Complete roadway reconstruction or reclamation with/without drainage with widening to add travel lanes; Intersection improvement to address excessive accident rate and/or inadequate level of service with Crash Reduction Factor ($CRF \geq 0.2$); Bridges with General Appraisal of 2 or less, or Sufficiency Rating of less than 26; Culverts that are structurally deficient

B. Water, Wastewater, Storm Water, Solid Waste

- 0 Infrastructure to meet future or projected needs
- 2 Expanded infrastructure to meet specific development proposal
- 4 Infrastructure to meet current needs; Update processes to improve effluent or water quality; To remain in compliance with permit due to increased standards; Increase storm sewer capacity in which there is no associated land damage; Increase sanitary sewer capacity; Replace water meters as part of an upgrade
- 6 OEPA recommendations; District health board recommendations; Increase storm sewer capacity that has associated land damage; Replace undersized waterlines as part of upgrade; Install new meters or replace meters that have exceeded useful life
- 8 Replacement of storm or sanitary sewers due to chronic flooding, back-up, or property damage; Inflow and/or Infiltration; Inadequate capacity to maintain pressure required for fire flows; Replacement of waterlines or towers due to excessive corrosion
- 10 OEPA Findings & Orders, OEPA orders contained in permit, Consent Decree or Court Order; Structural separations (CSOs) Age and Condition of System to be repaired or replaced. This is a two-part criterion. (Maximum 10 points)

3. Age & Condition of System to be repaired or replaced

Part I – Age: This uses provided documentation for existing infrastructure. Documentation pertains to source documentation or from a compliant letter written by an eligible local official who can vouch for the time period during his/her term in office. If no documentation the default score is 1 point. (Maximum 5 points)

Life	20	30	50
Project Type	Road	Wastewater	Bridge/Culvert. Sanitary Sewer, Water, Storm Water, Solid Waste
Points			
0	New / Expansion	New / Expansion	New / Expansion
1	2015-2020	2012-2020	2005-2020
2	2010-2014	2005-2011	1994-2004
3	2005-2009	1997-2004	1982-1993
4	2000-2004	1990-1996	1970-1981
5	1999 or before	1989 or before	1969 or before

Part II – Condition (Maximum 5 points)

- 1 New/Expansion: New or expansion project components represent at least 50% of improvements
- 2 Expansion: New or expansion project components represent between 25% and 49% of improvements
- 3 Poor: Infrastructure requires repair to continue functioning as originally intended and/or upgrade to meet current design standards.
- 4 **Critical: Infrastructure requires replacement to continue functioning as originally intended.**
- 5 Failed: Not functioning

4. Leveraging Ratio – Local and all non-OPWC funding sources as a percentage of total funding. (Maximum 10 points)

	Repair/Replacement (Poor/Critical/Failed in Criterion 3)	New/Expansion (New/Expansion &/or Expansion in Criterion 3)
0	10 or less	50 or less
1	11-15	51-55
2	16-20	56-60
3	21-25	61-65
4	26-30	66-70
5	31-35	71-75
6	36-40	76-80
7	41-45	81-85
8	46-50	86-90
9	51-55	91-95
10	56 or more	96 or more

5. **Population Benefit** – Number of those to benefit directly from the improvement as a percentage of applicant’s total population. (Maximum 5 points)

0	10% or less
1	25% - 11%
2	35% - 26%
3	45% - 36%
4	55% - 46%
5	56% or more

6. **District Priority Ranking as provided by District** (Maximum 10 points)

6	5 th ranked district project
7	4 th ranked district project
8	3 rd ranked district project
9	2 nd ranked district project
10	1 st ranked district project

7. **Amount of OPWC funding requested** (Maximum 10 points)

0	\$500,000 or more
5	\$250,000 - \$499,999
10	249,999 or less

8. **Loan Request as a percentage of OPWC assistance** (Maximum 10 points)

1	15 - 29% of OPWC assistance
5	30 - 49% of OPWC assistance
10	50 - 100% of OPWC assistance

9. **Useful Life of Project** – Taken from engineer’s useful life statement. (Maximum 5 points)

1	7 - 9 years
2	10 - 14 years
3	15 - 19 years
4	20 - 24 years
5	25 years or more

10. **Median Household Income** – Applicant’s MHI as a percentage of the statewide MHI. Information derived from the most recent 5-year American Community Survey as published by the Ohio Development Services Agency. (Maximum 10 points)

2	110% or more
4	100% - 109%
6	90% - 99%
8	80% – 89%
10	79% or less

11. **Readiness to Proceed** (Maximum 10 points)

Part I – Status of Plans – This uses the Small Government Commission’s Engineer’s Plan Status Certification. (Maximum 5 points)

- 0 Plans not yet begun
- 2 Surveying through Preliminary Design Completed (Items A-C)
- 5 Surveying through final construction plans, and secured permits and right-of-way as appropriate (Items A-H)

Part II – Status of Funding Sources – This uses source documentation including CFO certifications and loan letters. (Maximum 5 points)

- 0 All funds not yet committed
- 3 Applications submitted to funding entities
- 5 All funding committed

**Small Government Commission
Engineer's Plan Status Certification
Required for Criterion No. 11, Part I**

Applicant: Village of Castalia

District No.: 5

Project Name: Adams Avenue and Lester Street Improvements

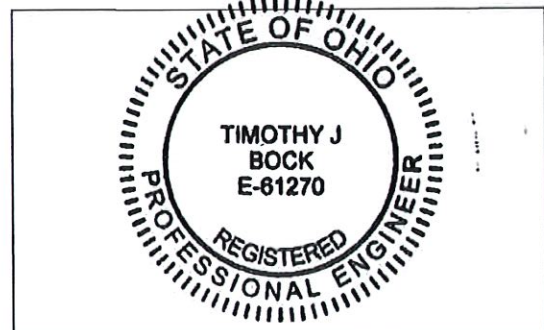
Item	Necessary for project?	Status	Completion Date
Met Completion dates for Items A - C (2 points)			
A	Surveying <input checked="" type="checkbox"/> Y <input type="checkbox"/> N/A	PENDING	09/2022
B	R/W Acquisition Identified <input type="checkbox"/> Y <input checked="" type="checkbox"/> N/A		
C	Preliminary Design <input checked="" type="checkbox"/> Y <input type="checkbox"/> N/A	PENDING	11/2022
Met Completion dates for Items A - H (5 points)			
D	Final Construction Plans <input checked="" type="checkbox"/> Y <input type="checkbox"/> N/A	PENDING	2/2023
E	Permit to Install Issued <input type="checkbox"/> Y <input checked="" type="checkbox"/> N/A		
F	NPDES Issued <input type="checkbox"/> Y <input checked="" type="checkbox"/> N/A		
G	Other Permits Issued <input type="checkbox"/> Y <input checked="" type="checkbox"/> N/A		
H	Executed Right of Way Option or Agreement <input type="checkbox"/> Y <input checked="" type="checkbox"/> N/A		

I hereby certify that the information above is true and correct to the best of my knowledge and belief.

Timothy J. Bock, P.E.
Engineer's Printed Name

Timothy J Bock
Engineer's Signature

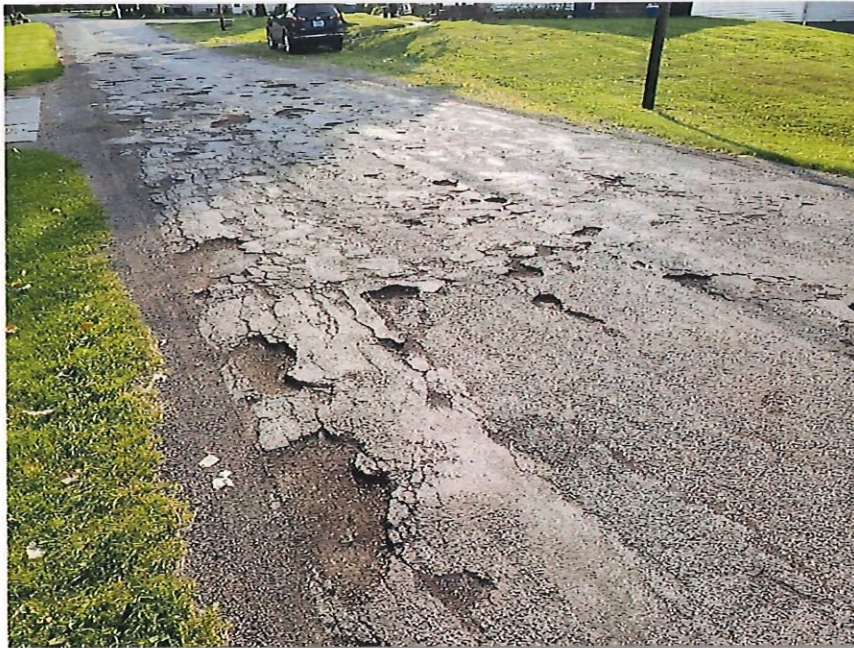
9/1/2021
Date



Engineer's Stamp/Seal

Updated Photos July 2021:





Photos August 2019:









1.



2.



3.



4.



5.



6.



7.




8.



9.





 - Project Limits