



State of Ohio
Public Works Commission
Application for Financial Assistance

IMPORTANT: Please consult "Instructions for Financial Assistance for Capital Infrastructure Projects" for guidance in completion of this form.

Applicant

Applicant: Village of Castalia Subdivision Code: 043-12476
 District Number: 5 County: Erie Date: 08/26/2019
 Contact: Randy Whyde, Mayor Phone: (419) 684-5161
(The individual who will be available during business hours and who can best answer or coordinate the response to questions)
 Email: rwhyde@buckeye-express.com FAX: (419) 684-5161

Project

Project Name: Adams and Lester Street Improvements Zip Code: 44824

Subdivision Type	Project Type	Funding Request Summary
<small>(Select one)</small>	<small>(Select single largest component by \$)</small>	<small>(Automatically populates from page 2)</small>
<input type="checkbox"/> 1. County	<input checked="" type="checkbox"/> 1. Road	Total Project Cost: <u>285,000 .00</u>
<input type="checkbox"/> 2. City	<input type="checkbox"/> 2. Bridge/Culvert	1. Grant: <u>142,500 .00</u>
<input type="checkbox"/> 3. Township	<input type="checkbox"/> 3. Water Supply	2. Loan: <u>119,700 .00</u>
<input checked="" type="checkbox"/> 4. Village	<input type="checkbox"/> 4. Wastewater	3. Loan Assistance/ Credit Enhancement: <u>0 .00</u>
<input type="checkbox"/> 5. Water (6119 Water District)	<input type="checkbox"/> 5. Solid Waste	Funding Requested: <u>262,200 .00</u>
	<input type="checkbox"/> 6. Stormwater	

District Recommendation (To be completed by the District Committee)

Funding Type Requested	SCIP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<small>(Select one)</small>		
<input type="checkbox"/> State Capital Improvement Program	RLP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<input type="checkbox"/> Local Transportation Improvement Program	Grant:	Amount: _____ .00
<input type="checkbox"/> Revolving Loan Program	LTIP:	Amount: _____ .00
<input type="checkbox"/> Small Government Program	Loan Assistance / Credit Enhancement:	Amount: _____ .00
District SG Priority: _____		

For OPWC Use Only

STATUS	Grant Amount: _____ .00	Loan Type: <input type="checkbox"/> SCIP <input type="checkbox"/> RLP
Project Number: _____	Loan Amount: _____ .00	Date Construction End: _____
	Total Funding: _____ .00	Date Maturity: _____
Release Date: _____	Local Participation: _____ %	Rate: _____ %
OPWC Approval: _____	OPWC Participation: _____ %	Term: _____ Yrs

1.0 Project Financial Information (All Costs Rounded to Nearest Dollar)

1.1 Project Estimated Costs

Engineering Services

Preliminary Design:	<u>3,600</u>	.00	
Final Design:	<u>12,500</u>	.00	
Construction Administration:	<u>20,400</u>	.00	
Total Engineering Services:	a.) <u>36,500</u>	.00	<u>16</u> %
Right of Way:	b.) _____	.00	
Construction:	c.) <u>225,000</u>	.00	
Materials Purchased Directly:	d.) _____	.00	
Permits, Advertising, Legal:	e.) <u>1,000</u>	.00	
Construction Contingencies:	f.) <u>22,500</u>	.00	<u>10</u> %
Total Estimated Costs:	g.) <u>285,000</u>	.00	

1.2 Project Financial Resources

Local Resources

Local In-Kind or Force Account:	a.) _____	.00	
Local Revenues:	b.) <u>22,800</u>	.00	
Other Public Revenues:	c.) _____	.00	
ODOT / FHWA PID: _____	d.) _____	.00	
USDA Rural Development:	e.) _____	.00	
OEPA / OWDA:	f.) _____	.00	
CDBG:	g.) _____	.00	
<input type="checkbox"/> County Entitlement or Community Dev. "Formula"			
<input type="checkbox"/> Department of Development			
Other: _____	h.) _____	.00	
Subtotal Local Resources:	i.) <u>22,800</u>	.00	<u>8</u> %

OPWC Funds (Check all requested and enter Amount)

Grant: <u>54</u> % of OPWC Funds	j.) <u>142,500</u>	.00	
Loan: <u>46</u> % of OPWC Funds	k.) <u>119,700</u>	.00	
Loan Assistance / Credit Enhancement:	l.) <u>0</u>	.00	
Subtotal OPWC Funds:	m.) <u>262,200</u>	.00	<u>92</u> %
Total Financial Resources:	n.) <u>285,000</u>	.00	<u>100</u> %

1.3 Availability of Local Funds

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local resources required for the project will be available on or before the earliest date listed in the Project Schedule section. The OPWC Agreement will not be released until the local resources are certified. Failure to meet local share may result in termination of the project. Applicant needs to provide written confirmation for funds coming from other funding sources.

2.0 Repair / Replacement or New / Expansion

2.1 Total Portion of Project Repair / Replacement:	_____ 285,000 .00	_____ 100 %
2.2 Total Portion of Project New / Expansion:	_____ 0 .00	_____ 0 %
2.3 Total Project:	_____ 285,000 .00	_____ 100 %

A Farmland Preservation letter is required for any impact to farmland

3.0 Project Schedule

3.1 Engineering / Design / Right of Way	Begin Date: <u>07/01/2020</u>	End Date: <u>02/28/2021</u>
3.2 Bid Advertisement and Award	Begin Date: <u>03/01/2021</u>	End Date: <u>04/30/2021</u>
3.3 Construction	Begin Date: <u>05/01/2021</u>	End Date: <u>09/30/2021</u>

Construction cannot begin prior to release of executed Project Agreement and issuance of Notice to Proceed.

Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by project official of record and approved by the Commission once the Project Agreement has been executed.

4.0 Project Information

If the project is multi-jurisdictional, information must be consolidated in this section.

4.1 Useful Life / Cost Estimate / Age of Infrastructure

Project Useful Life: 15 Years Age: 2018 (Year built or year of last major improvement)

Attach Registered Professional Engineer's statement, with seal or stamp and signature confirming the project's useful life indicated above and detailed cost estimate.

4.2 User Information

Road or Bridge: Current ADT 360 Year 2019 Projected ADT _____ Year _____

Water / Wastewater: Based on monthly usage of 4,500 gallons per household; attach current ordinances.

Residential Water Rate Current \$ _____ Proposed \$ _____

Number of households served: _____

Residential Wastewater Rate Current \$ _____ Proposed \$ _____

Number of households served: _____

Stormwater: Number of households served: _____

4.3 Project Description

- A: SPECIFIC LOCATION (Supply a written location description that includes the project termini; a map does not replace this requirement.) 500 character limit.

The proposed project is located along Lester Street and Adams Avenue. Both streets are located west of North Washington Street in the Village of Castalia, Ohio.

- B: PROJECT COMPONENTS (Describe the specific work to be completed; the engineer's estimate does not replace this requirement) 1,000 character limit.

The proposed work will consist of pulverizing the existing streets and paving them with 3-1/2 inches of asphalt concrete. The depth of the pulverization will be determined from test cores. In order to account for the elevation differences, 18-inch wide shoulders will be constructed on either side of the existing streets. The pulverized material will be graded into the trenches for these shoulders prior to paving operations. The end product will be a uniform pavement section, properly graded, with the required edge support and twice the useful life of a resurfacing project.

- C: PHYSICAL DIMENSIONS (Describe the physical dimensions of the existing facility and the proposed facility. Include length, width, quantity and sizes, mgd capacity, etc in detail.) 500 character limit.

60 SY Concrete Pavement Removed
230 CY Excavation
7500 SY Pulverization of Pavement
330 CY Placement of Pulverized Material
1 LS Seeding and Restoration
400 Gal Non-Tracking Tack Coat
310 CY Asphalt Concrete Surface Course, Type 1 (1 1/2")
420 CY Asphalt Concrete Intermediate Course, Type 2 (2")

5.0 Project Officials

Changes in Project Officials must be submitted in writing from an officer of record.

5.1 Chief Executive Officer (Person authorized in legislation to sign project agreements)

Name: Randy Whyde
Title: Mayor
Address: 128 Main Street

City: Castalia State: OH Zip: 44824
Phone: (419) 684-5161
FAX: (419) 684-5161
E-Mail: rwhyde@buckeye-express.com

5.2 Chief Financial Officer (Can not also serve as CEO)

Name: Robert Day
Title: Fiscal Officer
Address: 128 Main Street

City: Castalia State: OH Zip: 44824
Phone: (419) 684-5161
FAX: (419) 684-5161
E-Mail:

5.3 Project Manager

Name: Randy Whyde
Title: Mayor
Address: 126 Main Street

City: Castalia State: OH Zip: 44824
Phone: (419) 684-5161
FAX: (419) 684-5161
E-Mail: rwhyde@buckeye-express.com

6.0 Attachments / Completeness review

Confirm in the boxes below that each item listed is attached (Check each box)

- A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- A certification signed by the applicant's chief financial officer stating the amount of all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's seal or stamp and signature.
- A cooperative agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- Farmland Preservation Review - The Governor's Executive Order 98-IV, "Ohio Farmland Protection Policy" requires the Commission to establish guidelines on how it will take protection of productive agricultural and grazing land into account in its funding decision making process. Please include a Farm Land Preservation statement for projects that have an impact on farmland.
- Capital Improvements Report. CIR Required by O.R.C. Chapter 164.06 on standard form.
- Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your local District Public Works Integrating Committee.

7.0 Applicant Certification

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.

Randy Whyde, Mayor

Certifying Representative (Printed form, Type or Print Name and Title)

Original Signature / Date Signed

Randy Whyde Mayor 8/31/2019

RESOLUTION 2019 - 05

A RESOLUTION AUTHORIZING RANDY WHYDE, MAYOR OF THE VILLAGE OF CASTALIA, TO PREPARE AND SUBMIT AN APPLICATION TO PARTICIPATE IN THE OHIO PUBLIC WORKS COMMISSION STATE CAPITAL IMPROVEMENT AND/OR LOCAL TRANSPORTATION IMPROVEMENT PROGRAM(S) AND TO EXECUTE CONTRACTS AS REQUIRED.

WHEREAS, the State Capital Improvement Program and the Local Transportation Improvement Program both provide financial assistance to political subdivisions for capital improvements to public infrastructure, and

WHEREAS, the **Village of Castalia** is planning to make capital improvements to **Adams/Lester Streets Repair/Resurfacing Project**, and

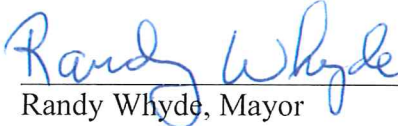
WHEREAS, the infrastructure improvement herein above described is considered to be a priority need for the community and is a qualified project under the OPWC programs.

NOW THEREFORE, BE IT RESOLVED by the **Village of Castalia**:

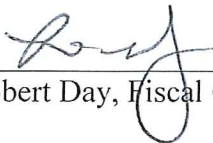
Section 1: The Mayor of the Village of Castalia (Randy Whyde) is hereby authorize to apply to the OPWC for funds as described above.

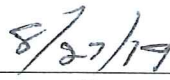
Section 2: The Mayor of the Village of Castalia (Randy Whyde) is further authorized to enter into any agreements as may be necessary and appropriate for obtaining this financial assistance.

Passed: August 27, 2019


Randy Whyde, Mayor


Date


Robert Day, Fiscal Officer


Date

CERTIFICATION

I, Robert D. Day, Fiscal Officer of the Village of Castalia, Ohio, do hereby certify that the foregoing is a true and accurate copy of Resolution No. 2019-05 adopted by the Council of the Village of Castalia, Erie County, Ohio, at a regular meeting duly called and held on the 27th day of August, 2019.



Robert D. Day Fiscal Officer
Village of Castalia, Ohio
Erie County

**CHIEF FINANCIAL OFFICER'S
CERTIFICATE OF LOCAL FUNDS/LOAN
REPAYMENT LETTER**

August 27, 2019

I, Robert Day, Fiscal Officer of the Village of Castalia, hereby certify that the Village of Castalia has the amount of \$22,800.00 in the General Revenue Fund and that this amount will be used to pay the local share for the Adams/Lester Street Repair/Resurfacing Project when it is required.




Robert Day, Fiscal Officer
Village of Castalia

**CHIEF FINANCIAL OFFICER'S
CERTIFICATE OF LOCAL FUNDS/LOAN
REPAYMENT LETTER**

August 27, 2019

I, Robert Day, Fiscal Officer of the Village of Castalia, hereby certify that the Village of Castalia will collect the amount of \$119,700.00 in the General Revenue Fund and that this amount will be used to repay the Ohio Public Works Commission SCIP or RPL loan requested for the Adams/Lester Street Repair/Resurfacing Project over a 15-year term.



Robert Day, Fiscal Officer
Village of Castalia



Engineer's Opinion of Probable Project Costs

Adams Avenue and Lester Street Improvements
Village of Castalia, Ohio
PDG Opp. No.: 128500-00009

Date: 7/17/2019
Calculated by: HAC
Checked by: TJB

Description: Pulverization and paving of Adams Avenue and Lester Street with 3-1/2 inches of asphalt concrete. Shoulders consisting of the pulverized material (18 inches wide) will be provided on both sides of the street, except where there is existing sidewalk. Concrete drive aprons will be saw cut to facilitate the construction of the shoulders.

Item No.	Item	Total Quantity	Units	Unit Price	Total Price	
Roadway		\$85,300				
202	concrete pavement removed	60	sq yd	\$20.00	\$1,200.00	
203	excavation	230	cu yd	\$80.00	\$18,400.00	
203	pulverization of pavement	7,500	sq yd	\$7.00	\$52,500.00	
203	placement of pulverized material	330	cu yd	\$40.00	\$13,200.00	
Erosion Control		\$2,000				
659	seeding and restoration	lump	sum	\$2,000.00	\$2,000.00	
Pavement		\$120,800				
407	non-tracking tack coat	400	gal	\$5.00	\$2,000.00	
441	asphalt concrete surface course, type 1 (1 1/2")	310	cu yd	\$180.00	\$55,800.00	
441	asphalt concrete intermediate course, type 2 (2")	420	cu yd	\$150.00	\$63,000.00	
General		\$16,900				
614	maintaining traffic	lump	sum	\$5,000.00	\$5,000.00	
623	construction layout stakes	lump	sum	\$3,000.00	\$3,000.00	
624	mobilization	lump	sum	\$7,500.00	\$7,500.00	
special	preconstruction video	lump	sum	\$1,400.00	\$1,400.00	
					construction subtotal	\$225,000
					10% contingencies	\$22,500
					construction total	\$247,500
preliminary design					topographic survey	\$2,000
					preliminary engineering	\$1,600
basic engineering services					final engineering	\$9,500
					bidding	\$3,000
construction phase					construction observation	\$9,700
					engineering during construction	\$3,200
additional project costs					advertising	\$1,000
					testing services	\$7,500
					project total	\$285,000

The estimated useful life of the Adams Avenue and Lester Street Improvement Project is 15 years.

Timothy J. Bock, P.E.



Engineer's Opinion of Probable Project Costs

Adams Avenue and Lester Street Improvements
Village of Castalia, Ohio
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Timothy J. Bock, P.E.

Poggemeyer Design Group
 1168 North Main Street
 Bowling Green, Ohio 43402
 419-352-7537

Adams west of N. Washington
 Castalia, Ohio
 Station ID: 8
 Site Code: 8
 Date Start: 27-Aug-19
 Date End: 29-Aug-19

Start Time	27-Aug-19 Tue	E to W		Hour Totals		W to E		Hour Totals		Combined Totals	
		Morning	Afternoon	Morning	Afternoon	Morning	Afternoon	Morning	Afternoon	Morning	Afternoon
12:00		*	2			*	1				
12:15		*	2			*	0				
12:30		*	1			*	1				
12:45		*	2	0	7	*	1	0	3	0	10
01:00		*	2			*	2				
01:15		*	1			*	1				
01:30		*	2			*	4				
01:45		*	1	0	6	*	2	0	9	0	15
02:00		*	3			*	2				
02:15		*	6			*	1				
02:30		*	4			*	4				
02:45		*	7	0	20	*	3	0	10	0	30
03:00		*	2			*	6				
03:15		*	5			*	2				
03:30		*	5			*	6				
03:45		*	0	0	12	*	4	0	18	0	30
04:00		*	2			*	3				
04:15		*	5			*	3				
04:30		*	1			*	3				
04:45		*	8	0	16	*	3	0	12	0	28
05:00		*	3			*	8				
05:15		*	6			*	2				
05:30		*	9			*	6				
05:45		*	2	0	20	*	3	0	19	0	39
06:00		*	6			*	3				
06:15		*	1			*	3				
06:30		*	1			*	2				
06:45		*	2	0	10	*	1	0	9	0	19
07:00		*	1			*	0				
07:15		*	0			*	2				
07:30		*	1			*	0				
07:45		*	4	0	6	*	1	0	3	0	9
08:00		*	2			*	2				
08:15		*	3			*	1				
08:30		*	2			*	1				
08:45		*	4	0	11	*	1	0	5	0	16
09:00		*	3			*	2				
09:15		*	2			*	2				
09:30		2	2			3	1				
09:45		0	2	2	9	1	1	4	6	6	15
10:00		1	0			1	1				
10:15		0	0			1	0				
10:30		3	0			3	0				
10:45		1	0	5	0	1	0	6	1	11	1
11:00		1	1			1	2				
11:15		2	1			3	0				
11:30		2	1			4	1				
11:45		1	1	6	4	3	0	11	3	17	7
Total		13	121			21	98			34	219
Percent		9.7%	90.3%			17.6%	82.4%			13.4%	86.6%

Poggemeyer Design Group
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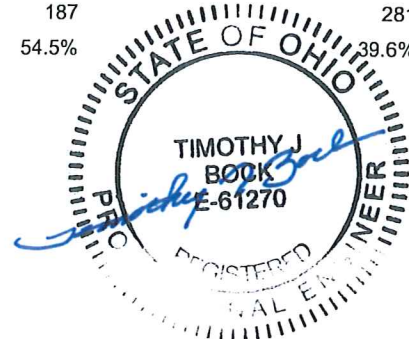
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12:00		8	3			0	4				
12:15		4	4			0	3				
12:30		2	2			0	1				
12:45		1	0	15	9	0	2	0	10	15	19
01:00		5	3			0	2				
01:15		4	3			1	3				
01:30		0	0			0	2				
01:45		0	0	9	6	0	1	1	8	10	14
02:00		0	4			0	1				
02:15		0	4			0	3				
02:30		0	5			0	3				
02:45		5	4	5	17	0	1	0	8	5	25
03:00		0	3			0	3				
03:15		0	5			0	3				
03:30		0	4			1	2				
03:45		0	3	0	15	0	1	1	9	1	24
04:00		0	3			0	4				
04:15		0	2			1	1				
04:30		0	2			1	3				
04:45		0	6	0	13	2	1	4	9	4	22
05:00		1	6			0	3				
05:15		1	5			2	4				
05:30		0	4			5	7				
05:45		0	2	2	17	1	3	8	17	10	34
06:00		0	5			0	1				
06:15		0	5			5	4				
06:30		0	4			1	3				
06:45		0	1	0	15	1	1	7	9	7	24
07:00		3	4			3	2				
07:15		4	4			5	1				
07:30		0	7			5	1				
07:45		2	1	9	16	2	2	15	6	24	22
08:00		0	1			2	0				
08:15		0	1			2	3				
08:30		2	1			3	1				
08:45		1	0	3	3	1	0	8	4	11	7
09:00		3	3			3	2				
09:15		5	0			5	2				
09:30		1	2			2	1				
09:45		4	2	13	7	4	1	14	6	27	13
10:00		7	1			5	1				
10:15		8	0			9	0				
10:30		1	0			2	1				
10:45		5	0	21	1	2	0	18	2	39	3
11:00		5	0			2	1				
11:15		1	0			2	0				
11:30		1	0			1	0				
11:45		5	1	12	1	4	0	9	1	21	2
Total		89	120			85	89			174	209
Percent		42.6%	57.4%			48.9%	51.1%			45.4%	54.6%

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		Morning	Afternoon	Morning	Afternoon	Morning	Afternoon	Morning	Afternoon	Morning	Afternoon
12:00		1	*			0	*				
12:15		0	*			0	*				
12:30		1	*			0	*				
12:45		2	*	4	0	1	*	1	0	5	0
01:00		3	*			3	*				
01:15		2	*			4	*				
01:30		0	*			0	*				
01:45		0	*	5	0	0	*	7	0	12	0
02:00		1	*			0	*				
02:15		0	*			0	*				
02:30		0	*			0	*				
02:45		0	*	1	0	0	*	0	0	1	0
03:00		0	*			0	*				
03:15		0	*			1	*				
03:30		0	*			0	*				
03:45		0	*	0	0	0	*	1	0	1	0
04:00		0	*			0	*				
04:15		0	*			0	*				
04:30		0	*			0	*				
04:45		0	*	0	0	3	*	3	0	3	0
05:00		1	*			0	*				
05:15		0	*			4	*				
05:30		1	*			2	*				
05:45		0	*	2	0	0	*	6	0	8	0
06:00		0	*			2	*				
06:15		1	*			5	*				
06:30		1	*			2	*				
06:45		0	*	2	0	3	*	12	0	14	0
07:00		2	*			2	*				
07:15		3	*			3	*				
07:30		1	*			3	*				
07:45		3	*	9	0	0	*	8	0	17	0
08:00		0	*			3	*				
08:15		0	*			3	*				
08:30		0	*			6	*				
08:45		*	*	0	0	*	*	12	0	12	0
09:00		*	*	*	*	*	*	*	*	*	*
09:15		*	*	*	*	*	*	*	*	*	*
09:30		*	*	*	*	*	*	*	*	*	*
09:45		*	*	*	*	*	*	*	*	*	*
10:00		*	*	*	*	*	*	*	*	*	*
10:15		*	*	*	*	*	*	*	*	*	*
10:30		*	*	*	*	*	*	*	*	*	*
10:45		*	*	*	*	*	*	*	*	*	*
11:00		*	*	*	*	*	*	*	*	*	*
11:15		*	*	*	*	*	*	*	*	*	*
11:30		*	*	*	*	*	*	*	*	*	*
11:45		*	*	*	*	*	*	*	*	*	*
Total		23	0			50	0			73	0
Percent		100.0%	0.0%			100.0%	0.0%			100.0%	0.0%
Grand Total		125	241			156	187			281	428
Percent		34.2%	65.8%			45.5%	54.5%			39.6%	60.4%
ADT		ADT 360				AADT 360					





Village of Castalia – Adams Avenue and Lester Street Improvements

Location Map

1.



2.



3.



4.



5.



6.



7.



1.



2.



3.



4.



5.



6.



7.



8.



9.



VILLAGE OF CASTALIA
ADAMS AVENUE AND LESTER STREET IMPROVEMENTS
PROJECT NARRATIVE

The Village of Castalia is proposing improvements to Adams Avenue and Lester Street. Both streets are in very poor condition and in need of immediate repair. Village personnel are regularly patching and repairing these streets and the Village can no longer afford the continual maintenance. Many of the residents have also voiced their concern about the condition and safety of the streets. In order to address these concerns, the Village is proposing to pulverize and compact the existing pavement and then install two courses of new asphalt concrete on the pulverized mat. This will provide a new, stronger pavement section and alleviate the inconsistencies of the existing pavement.

Both Adams Avenue and Lester Street are mainly residential streets. The only heavy vehicles that typically utilize them are school buses, trash trucks and snow plows. In spite of this, the roads have reached their useful life and are in need of improvements. Adams Avenue is approximately 18 feet wide and 1,900 feet long. The eastern end of Lester Street is 24.5 feet wide and approximately 600 feet long while the remainder of the street is approximately 18 feet wide and 1,100 feet long. Neither street will be significantly widened, however, new 18-inch wide shoulders will be provided where possible utilizing the pulverized material.

The proposed work will consist of pulverizing the existing streets and paving them with 3-1/2 inches of asphalt concrete. The depth of the pulverization will be determined from test cores. In order to account for the elevation differences, 18-inch wide shoulders will be constructed on either side of the existing streets. The pulverized material will be graded into the trenches for these shoulders prior to paving operations. The end product will be a uniform pavement section, properly graded, with the required edge support and twice the useful life of a resurfacing project.

The total estimated cost for these improvements is \$285,000. The Village is committing \$22,800 in local funds and is requesting 50% of the project cost in OPWC grant assistance and the remaining \$119,700 in OPWC loan assistance. The Village of Castalia has not received OPWC assistance since 2011 (Round 25) for the Depot and Water Street Resurfacing Project. Without financial assistance, the Village will be unable to make infrastructure improvements such as those proposed on Adams Avenue and Lester Street and the pavement will continue to deteriorate and fail.

Revised: April 23, 2019

Supplemental Application Instructions

Prerequisites for Project Consideration

Manner of submittal items:

- 1) Must be one-sided, 8.5" x 11".
- 2) No dividers or cover sheets (a summary sheet may be submitted with "other documentation").
- 3) No Binding. A binder clip, folder, punch-less binder (has a clamp that holds papers together) are OK. No staples.

Format of application:

- 1) All must be in whole dollars (no cents).
- 2) Cannot use all caps.
Page 4 of application must contain relevant information about project and not "see attached". If it will not fit in space provided, list what will fit and attach one supplement document to complete the information.
- 3) Page 3 must designate households or ADT ONLY for the direct area of the infrastructure. (Cannot count downstream or system users). Majority infrastructure type determines how project is scored when there are multiple components.

Order and completeness of items:

- 1) OPWC six page application
- 2) Authorizing Legislation authorizing CEO to enter into agreements with OPWC.
- 3) Certification of funds/Loan Repayment following sample provided.
- 4) A registered professional engineer's detailed cost estimate and useful life statement with seal or stamp and signature
- 5) Co-operative Agreement (if applicable)
- 6) Farmland Preservation Review (or statement that there is no impact to farmland such as that on questionnaire).
- 7) Findings and Orders, Traffic Count, Job Creation or Retention and any other items to support scoring.
- 8) Other items
 - a. Maps
 - b. Pictures
 - c. Summary Sheet
 - d. Letters supporting project
 - e. Any other items deemed relevant to the project.
- 9) Completed District 5 Capital Improvements Project questionnaire and completed priority rating sheet.

Project Cost Overruns/Changes in Scope Procedure

- 1) The applicant will prepare an amended application including a revised budget, revised engineering estimate, and a detailed explanation of the change(s) requested.
- 2) The amendment is due to the District 5 Liaison thirty days in advance of the date of the scheduled District 5 Executive Committee Meeting.

Revolving Loan Prioritization

- 1) RLP funds are funds repaid from previous loans. The money can only be used for loans. No grants may be made with the funds.
- 2) The interest rate for RLP Loans is established by the Executive committee at zero percent per year for the useful life of the improvement.

- 3) RLP Loans will be offered to projects based on the ranking of projects on the SCIP Slate. Consideration will be given to projects in order until the RLP funds are expended.

Evaluation Questionnaire and Priority Rating Sheet

- 1) Each application to District 5 shall be rated using the District 5 Capital Improvements Project Questionnaire and Priority Rating Sheet as adopted by the District 5 Executive Committee.
- 2) For Villages and Township with populations less than 5,000 special attention is called to the potential eligibility for Small Government Funding consideration. The scoring for the Small Government Program is established and implemented by the Ohio Public Works Commission. This program has an additional set of Evaluation Methodology. Each applicant should familiarize themselves with this methodology when planning your project funding request. If your project is not selected for District Funding each applicant under 5,000 in population will be considered for selection as a potential Small Government Project.

District 5
Capital Improvement Project
Priority Rating Sheet, Round 34

Revised 04/23/19
PROJECT NUMBER

COUNTY: Erie																Revised 04/23/19	
PROJECT: Castalia Adams and Lester																PROJECT NUMBER	
EST. COST: \$285,000																	
No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS						"A"x"B"	Priority Factors						No.	
			0	2	4	6	8	10		0	2	4	6	8	10		
1	1	(Repair or Replace) vs. (New or Expansion)	0	2	4	6	8	10	10	0% + Repair or Replacement	20% + Repair or Replacement	40% + Repair or Replacement	60% Repair or Replacement	80% + Repair or Replacement	100% + Repair or Replacement	1	
2	1.5	Existing Physical Condition: Must submit substantiating documentation and CIR (100% New or Expansion = 0 Points)	0	2	4	6	8	10	12	Excellent	Good	Fair	Poor	Critical	Closed or Not Operating	2	
3	2	Public Health and/or Public Safety Concerns Submittals without supporting documentation will receive 0 points for this question	0	2	4	6	8	10	12	No Impact	Minimal	Moderate	Major	Critical	Extremely Critical	3	
4	2	Percentage of Local Share (Local funds are funds derived from the applicant budget or a loan to be paid back through the applicant budget assessments, rates or tax revenues)*	0	2	4	6	8	10	20	0%+	10%+	20%+	30%+	40%+	50%+	4	
5	1	OTHER FUNDING SOURCES (Excluding Issue II Funds) (Grants and other revenues not contributed or collected through taxes by the applicant, including Gifts, Contributions, etc. - must submit copy of award or status letter)	0	2	4	6	8	10		0%+	10%+	20%+	30%+	40%+	50%+	5	
No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS						"A"x"B"	Priority Factors						No.	
			-9	-8	0	8	9	10		Grant or Loan Only							
6	2	OPWC Grant and Loan Funding Requested; Please refer to Item 6 on Questionnaire for Clarification	-9	-8	0	8	9	10	20	\$500,001 or more	\$400,001 to \$500,000	\$325,001 to \$400,000	\$275,001 to \$325,000	\$175,001 to \$275,000	\$175,000 or less	6	
	2		-9	-8	0	8	9	10	20	Grant/Loan Combination							
										\$750,000 or more	\$600,001 to \$750,000	\$487,501 to \$600,000	\$412,501 to \$487,500	\$262,501 to \$412,500	\$262,500 or less		
When scoring a project that is only grant or only loan. Please use the chart labeled "Grant or Loan Only". When scoring a grant/loan combination, score the project for the grant in the first chart, then use the second chart labeled "Grant/Loan Combination" to score the total (grant and loan combined). Use the lower of the two as the score.																	
No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS						"A"x"B"	Priority Factors						No.	
			0	2	4	6	8	10		0	2	4	6	8	10		
7	1	Will the Proposed Project Create Permanent jobs or retain jobs that would otherwise be permanently lost (Written Documentation Required)	0	2	4	6	8	10		0+ jobs	7+ jobs	15+ jobs	25+ jobs	50+ jobs	100+ jobs	8	
8	1	Benefits to Existing User such as households, (Equivalent dwelling units), traffic Counts, etc.	0	2	4	6	8	10	4	0+	100+	350+	500+	750+	1000+	9	
9		SUBTOTAL RANKING POINTS (MAX. = 115)							78	Other Info: Does this project have a significant impact on productive farmland? YES NO X Attach impact statement if yes. Is the Applicant ready to proceed to bids after State Approval within 6 months? YES NO X							
10		COUNTY PRIORITY POINTS (25-20 -15)															
11		DISCRETIONARY POINTS (BY DISTRICT ONLY) (MAX=12)															
12		GRAND TOTAL RANKING POINTS															

* Applicants must certify local share contribution. Specify, all funding sources to be utilized as local share at the time of application submittal.

**DISTRICT 5
CAPITAL IMPROVEMENT PROJECTS
QUESTIONNAIRE
ROUND 34**

Name of Applicant: Village of Castalia
Project Title: Adams Avenue and Lester Street Improvements

The following questions are to be answered for each application submitted for State Issue II SCIP, LTIP and Loan Projects. Please provide specific information using the best documentation available to you. Justification of your responses to these questions will be required if your project is selected for funding, so please provide correct and accurate responses. **Communities and Townships under 5,000 in population should also complete Small Government Criteria.**

1. What percentage of the project in repair A= 100 %, replacement B= __%, expansion C= __%, and new D= __%? (Use dollar amounts of project to figure percentages and make sure the total equals one hundred (100) percent) A+B= 100 % C+D= __%

Repair/Replacement = Repair or Replacement of public facilities owned by the government (any subdivision of the state).

New/Expansion = Replacement of privately owned wells, septic systems, private water or wastewater systems, etc.

2. Give the physical condition rating : Critical

Closed or Not Operating: The condition is unusable, dangerous and unsafe. The primary components have failed. The infrastructure is not functioning at all.

Critical: The condition is causing or contributing to a serious non-compliance situation and is threatening the intended design level of service. The infrastructure is functioning at seriously diminished capacity. Imminent failure is anticipated within 18 months. Repair and/or replacement is required to eliminate the critical condition and meet current design standards. **(For Road Projects structural repair items would represent a minimum of 25% of the total Project Cost).**

Poor: The condition is substandard and requires repair/replacement in order to return to the intended level of service and comply with current design standards. Infrastructure contains a major deficiency and is functioning at a diminished capacity.

Fair: The condition is average, not good or poor. The infrastructure is still functioning as originally intended. Minor deficiencies exist requiring repair to continue to function as originally intended and/or to meet current design standards.

Good: The condition is safe and suitable to purpose. Infrastructure is functioning as originally intended, but requires minor repairs and/or upgrades to meet current design standards.

Excellent: The condition is new, or requires no repair. Or, no supporting documentation has been submitted.

- **In order to receive points provide supporting documentation (e.g. photos, a narrative, maintenance history, or third party findings) to justify the rating.**

3. If the proposed project is not approved what category would best represent the impact on the general health and/or public safety?

ROADS

Extremely Critical: Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Major Access Road.*

Critical: Resurfacing, Restoration and Rehabilitation (3R) of a Major Access Road.*

Major: Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Minor Access Road.*

Moderate: Resurfacing, Restoration and Rehabilitation (3R) of a Minor Access Road.*

Minimal: Preventative Maintenance of a Major Access Road.

No Impact: Preventative Maintenance of a Minor Access Road.

Projects that have a variety of work will be scored in the LOWEST category of work contained in the Construction Estimate.

Road/Street Classifications:

Major Access Road: Roads or streets that have a dual function of providing access to adjacent properties and providing through or connecting service between other roads.

Minor Access Road: Roads or streets that primarily provide access to adjacent properties without through continuity, such as cul-de-sacs or loop roads or streets.

Preventative Maintenance: Non Structural Pavement work such as chip sealing, cape sealing, microsurfacing, crack sealing, etc.

* (3R) Resurfacing, Restoration and Rehabilitation - Improvements to existing roadways, which have as their main purpose, the restoration of the physical features (pavement, curb, guardrail, etc.) without altering the original design elements. (Surface and Intermediate layer Mill and Fills, overlays with less than or equal to 3" additional pavement, ect...)

* (4R) Resurfacing, Restoration, Rehabilitation and Reconstruction - Much like 3R, except that 4R allows for the complete reconstruction of the roadway and alteration of certain design elements (i.e., lane widths, shoulder width, SSD, overlays with greater than 3" additional pavement, etc.).

BRIDGES SUFFICIENCY RATING

Extremely Critical:	0-25, or a General Appraisal rating of 3 or less.
Critical:	27-50, or a General Appraisal rating of 4.
Major:	51-65 or a General Appraisal rating of 5 or 6.
Moderate:	66-80 or a General Appraisal rating of 7.
Minimal:	81-100 or a General Appraisal rating of more than 7.
No Impact:	Bridge on a new roadway.

WASTEWATER TREATMENT PLANTS

Extremely Critical:	Environmental Protection Agency (EPA) orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
Critical:	Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
Major:	Replace deficient appurtenances. Update existing processes due to EPA recommendations.
Moderate:	Increase capacity to meet current needs or update processes to improve effluent quality.
Minimal:	New/Expansion project to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

WATER TREATMENT PLANT

Extremely Critical:	EPA orders in the form of a consent decree, findings and orders or court order.
Critical:	Improvements to meet Environmental Protection Agency (EPA) Safe Drinking Water Regulations and/or NPDES Orders.
Major:	Replace deficient appurtenances. Update existing processes due to EPA recommendations.
Moderate:	Increase capacity to meet current needs or update processes to improve water quality.
Minimal:	New/Expansion project to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

COMBINED SEWER SEPARATIONS (May be construction of either new storm or sanitary sewer as long as the result is two separate sewer systems.)

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
- Critical: Separate, due to chronic backup or flooding in basements.
- Major: Separate, due to documented water quality impairment, or due to EPA recommendations.
- Moderate: Separate, due to specific development proposal within or upstream of the combined system area.
- Minimal: Separate, to conform to current design standards.
- No Impact: No positive health effect.

STORM SEWERS

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Chronic flooding (structure damage).
- Major: Inadequate capacity (land damage).
- Moderate: Inadequate capacity with no associated damage.
- Minimal: New/Expansion to meet current needs.
- No Impact: New/Expansion to meet future or project needs.

CULVERTS

- Extremely Critical: Structurally deficient or functionally obsolete. Deterioration has already caused a safety Critical: hazard to the public.
- Critical: Inadequate capacity with land damage and the existing or high probability of property damage.
- Major: Inadequate capacity (land damage).
- Moderate: Inadequate capacity with no associated damage.
- Minimal: New/Expansion to meet current needs.
- No Impact: New/Expansion to meet future or projected needs.

SANITARY SEWERS

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
- Critical: Replace, due to chronic pipe failure, chronic backup or flooding in basements. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: Replace, due to inadequate capacity or infiltration, or due to EPA recommendations.
- Moderate: Rehabilitate to increase capacity to meet current needs or to reduce inflow and infiltration.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

SANITARY LIFT STATIONS AND FORCE MAINS

- Extremely Critical: Structurally deficient. Deterioration has already caused a safety/health hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Inadequate capacity with actual or a high probability of property damage. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: EPA recommendations, or, reduces a probable health and/or safety problem.
- Moderate: Rehabilitate to increase capacity to meet current needs.
- Minimal: New/Expansion to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER PUMP STATIONS

- Extremely Critical: Structurally deficient. Deterioration has already caused a safety hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Inadequate capacity with the inability to maintain pressure required for fire flows.
- Major: Replace due to inadequate capacity or EPA recommendations.
- Moderate: Rehabilitate to increase capacity to meet current needs.
- Minimal: New/Expansion to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER LINES/WATER TOWERS

- Extremely Critical: Solve low water pressure or excessive incidents of main breaks in project area.
- Critical: Replace, due to deficiency such as excessive corrosion, etc.
- Major: Replace undersized water lines as upgrading process.
- Moderate: Increase capacity to meet current needs.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

OTHER

- Extremely Critical: There is a present health and/or safety threat.
- Critical: The project will provide immediate health and/or safety benefit.
- Major: The project will reduce a probable health and/or safety problem.
- Moderate: The project will delay a health and/or safety problem.
- Minimal: A possible future health and/or safety problem mitigation.
- No Impact: No health and/or safety effect.

NOTE: Combined projects that can be rated in more than one subset may be rated in the other category at the discretion of the District 5 Executive Committee. In general, the majority of the cost or scope of the project shall determine the category under which the project will be scored.

(Submittals without supporting documentation will receive 0 Points for this question.)

Extremely Critical ____, Critical ____, Major X, Moderate ____, Minimal ____, No Impact ____. Explain your answer. Minor Access Road with construction of 3-1/2-inches of asphalt concrete

(Additional narrative, charts and/or pictures should be attached to questionnaire)

4. Identify the amount of local funds that will be used on the project as a percentage of the total project cost.

A.) Amount of Local Funds = \$ 142,500

B.) Total Project Cost = \$ 285,500

RATIO OF LOCAL FUNDS DIVIDED by TOTAL PROJECT COSTS (A/B)= 50 %

Note: Local funds should be considered funds derived from the applicant budget or loans funds to be paid back through local budget, assessments, rates or tax revenues collected by the applicant.

5. Identify the amount of other funding sources to be used on the project, excluding State Issue II or LTIP Funds, as a percentage of the total project cost.

Grants ___% Gifts ___%, Contributions ___%

Other ___% (explain) _____ , Total ___%

Note: Grant funds and other revenues not contributed or collected through taxes by the applicant should be considered other funds. The Scope of Work for each Funding Source must be the same.

6. Total Amount of SCIP and Loan Funding Requested- An Applicant can request a grant per the categories below for points as indicated on the Priority Rating Sheet. If the Applicant is including a loan request equal to, but not exceeding 50% of the OPWC funding amounts listed below, there will be no point penalty. If loan funds requested are more than 50%, points as listed in the Priority Rating Sheet will apply.

- _____ \$500,001 or More
- _____ \$400,001-\$500,000
- _____ \$325,001-\$400,000
- _____ \$275,001-\$325,000
- _____ \$175,001-\$275,000
- X \$175,000 or Less

There are times when the District spends all of the grant money and has loan money remaining. When this happens, the district makes a loan offer in the amount of the requested grant to the communities that were not funded. The offers are made in the order of scoring. We need to know if you are not successful in obtaining grant dollars for your project if you would be interested in loan money:

YES X NO _____

(This will only be considered if you are not funded with grant money and there is remaining loan money.) **Please note: if you answer “no” you will not be contacted, only if you answer “yes” will an offer be made in the event that there is loan money remaining.**

7. If the proposed project is funded, will its completion directly result in the creation of permanent full-time equivalent (FTE) jobs (FTE jobs shall be defined as 35 hours/week) ? Yes ___ No X . If yes, how many jobs within eighteen months? ___ Will the completed project retain jobs that would otherwise be permanently lost? Yes ___ No X . If yes, how many jobs _____ **will be created/retrained** within 18

months **following the completion of the improvements?**

(Supporting documentation in the form of letter from affected industrial or commercial enterprises that specify full time equivalent jobs that will be retained or created directly by the installation or improvement of Public infrastructure. Additional items such as; 1) newspaper articles or other media news accounts, 2) public meeting minutes, and/or 3) a letter from the County Economic Development Director or State of Ohio Economic Development Professional that alludes to the requirement for the infrastructure improvement to support the business. Submittals without supporting documentation will receive 0 points for this question.)

8. What is the total number of existing users that will directly benefit from the proposed project if completed? 360 (Use households served, traffic counts, etc. and explain the basis by which you arrived at your number.)

9. Is subdivision's population less than 5,000 Yes X No

If yes, continue. You may want to design your project per Small Government Project Evaluation Criteria, released for the current OPWC Round to assist in evaluating your project for potential Small Government Funding. The Small Government Criteria is available on the OPWC website at <http://www.pwc.state.oh.us/Meth.SG.PDF> If No, skip to Question 11.

10. **OHIO PUBLIC WORKS COMMISSION SMALL GOVERNMENT PROGRAM GUIDELINES**

All projects that are sponsored by a subdivision with a population of 5,000 or less, and not earning enough points for District Funding from SCIP or LTIP Funds, are then rated using the Small Government Program Rating Criteria for the corresponding funding round. In order to be rated the entity must submit the Small Government Supplement and their required budgets with their application.

Only infrastructure that is village- or township- owned is eligible for assistance. The following policies have been adopted by the Small Government Commission:

- District Integrating Committees may submit up to seven (7) applications for consideration by the Commission. All 7 must be ranked, however, only the top five (5) will be scored. The remaining two (2) will be held as contingency projects should an application be withdrawn.
- Grants are limited to \$500,000. Any assistance above that amount must be in the form of a loan.
 - Grants for new or expanded infrastructure cannot exceed 50% of the project estimate.
- The Commission may deny funding for water and sewer systems that are deemed to be more cost-effective if regionalized.
- If a water or sewer project is determined to be affordable, the project will be offered a loan rather than a grant. Pay special attention to the **Water & Wastewater Affordability Supplemental and the Small**

- Should there be more projects that meet the “annual score” than there is funding, the tie breaker is those projects which scored highest under Health & Safety, with the second tie breaker being Condition. If multiple projects have equivalent Health & Safety and Condition scores they are arranged according to the amount of assistance from low to high. Once the funded projects are announced, “contingency projects” may be funded from project under-runs by continuing down the approved project list.
- Supplemental assistance is not provided to projects previously funded by the Commission.
- Applicants have 30 days from receipt of application by OPWC without exception to provide additional documentation to make the application more competitive under the Small Government criteria. Applications will be scored after the 30-day period has expired. The applicants for each District's two (2) contingency projects will have the same 30-day period to submit supplemental information but these applications will not be scored unless necessary to do so. **It is each applicant’s responsibility for determining the need for supplemental material. The applicant will not be asked for or notified of missing information unless the Commission has changed the project type and it affects the documentation required. Important information may include, but is not limited to: age of infrastructure, traffic counts or utility users, median income information, user rates ordinances, and the Auditor’s Certificate of Estimated Revenues or documentation from the Auditor of State that subdivision is in a state of fiscal emergency.**

If you desire to have your Round 34 project considered for Small Government Funding please download the Small Government Evaluation Criteria applicable to Round 33 by accessing the OPWC Website at <http://www.pwc.state.oh.us/Meth.SG.PDF>. Please complete the Small Government Evaluation Criteria and attach all required supporting documentation and attach it to the District 5 Questionnaire for Round 34.

11. MANDATORY INFORMATION, DISTRICT 5, DISCRETIONARY RANKING POINTS

List all specific user fees: Amount or
ROAD & BRIDGE PROJECTS:(OHIO REVISED CODE) Percentage

Permissive license fee	4504.02 or 4504.06 _____
	4504.15 or 4504.17 _____
	4504.16 or 4504.171 _____
	4504.172 _____
	4504.18 _____
Special property taxes	5555.48 _____
	5555.49 _____
Municipal Income Tax _____	
County Sales Tax _____	
Others _____	

(DO NOT INCLUDE SCHOOL TAXES)

SPECIFIC PROJECT AREA INFORMATION.

Median household income \$66,146

Monthly utility rate: Water _____

Sewer _____

Other _____

List any special user fees or assessment (be specific)

POLITICAL SUBDIVISION= Village of Castalia

COUNTY= Erie

DISCRETIONARY POINTS (BY DISTRICT COMMITTEE ONLY)= _____

(25-20-15)

Date: 9/5/19
Signature: Nicholas Hister
Title: Project Administration Assistant
Address: 1168 North Main Street, Bowling Green, Ohio 43402
Phone: 419-352-7537
FAX: 419-353-0187
Email: histerm@poggemeyer.com

Small Government Commission Application Checklist

This checklist will help ensure that your application is scored at its best competitive advantage. It will also assist with the timely release of the Project Agreement should your project be funded. This form is for your use only. See various templates and forms in this manual, on the Small Government webpage, and on the Application webpage.

- [X] Compliant certified authorizing legislation by applicant's governing body (OPWC Application webpage)
- [N/A] Cooperative agreement if multi-jurisdictional (OPWC Application webpage). Road/bridge/culvert projects must include an engineer's statement certifying the percentages of each participating jurisdiction's share of the total project.
- [X] Compliant Chief Financial Officer's Certification and Loan Letter (OPWC Application webpage)
- [N/A] Funding commitment letters and or documentation for all non-OPWC matching funds
- [X] Signed/stamped registered professional engineer's detailed cost estimate including in-kind costs (OPWC Application webpage). If project is a mix of new/expansion and repair/replacement items, engineer must include a percentage break-down by category.
- [X] Signed/stamped professional engineer's weighted useful life statement if not submitted with original application (cannot be modified)
- [X] Small Government Engineer's Plan Status Certification form (in this manual and on SG webpage)
- [X] Clear description of problem and scope of work with appropriate documentation
- [X] Source documentation for proof of age with year clearly visible or compliant letter from eligible public official {letter template in this manual}
- [X] Project site photos, if appropriate
- [X] Map showing project location/site
- [N/A] Farmland Preservation Review Letter if any impact to farmland (OPWC Application webpage)
- [X] ADT report for Road, Bridge & Culvert Projects
OR
Number of households/EDUs (with calculation) for Water, Wastewater, Storm Water Collection, Solid Waste Projects who directly benefit. If waterline or sewer project with additional benefitted users beyond scope of construction, then also Engineer's study documenting these additional users.

Roads, Bridges/Culverts, Storm Water, Solid Waste Projects Only:

- [X] Auditor's Certificate of Estimated Resources with line item detail unless applicant in State of Fiscal Emergency; also if Storm Water or Solid Waste project, the fund(s) typically used must be identified {examples in back of this manual}.
- [N/A] Low volume road projects that include documentation using ODOT's TIMS System showing a positive Rate of Return is required to maximize points under population.

(Continued on next page)

Water and Wastewater Projects Only:

[N/A] "Current" water and wastewater rate ordinances/resolutions for all entities providing services unless applicant in State of Fiscal Emergency

[N/A] Small Government Water & Wastewater Ability & Effort Supplemental form (in this manual and on SG webpage)

Small Government Self-Score

(Input Score in box for each criterion; will total automatically)

Applicant: Village of Castalia

	SCORE
1 Ability & Effort (Use A or B according to project type)	
A. <i>Roads, Bridges/Culverts, Storm Water, Solid Waste Projects ONLY</i> 0 2 4 6 8 10	<input type="text" value="4"/>
B. <i>Water & Wastewater Projects ONLY</i> Calculated by Administrator	<input type="text" value="0"/>
2 Health & Safety (Use A or B according to project type)	
A. <i>Road, Bridge, Culvert</i> 0 2 4 6 8 10	<input type="text" value="4"/>
B. <i>Water, Wastewater, Storm Water, Solid Waste</i> 0 2 4 6 8 10	<input type="text" value="0"/>
3 Age & Condition	
I. <i>Age</i> 0 1 2 3 4 5	<input type="text" value="1"/>
II. <i>Condition</i> 1 2 3 4 5	<input type="text" value="3"/>
4 Leveraging Ratio 0 1 2 3 4 5 6 7 8 9 10	<input type="text" value="0"/>
5 Population Benefit 0 1 2 3 4 5	<input type="text" value="3"/>
6 District Priority Ranking - Completed by Administrator	<input type="text" value="N/A"/>
7 OPWC Funds Requested 0 5 10	<input type="text" value="10"/>
8 Loan Request (Default 0 points if no loan requested) 1 5 10	<input type="text" value="5"/>
9 Useful Life 1 2 3 4 5	<input type="text" value="3"/>
10 Median Household Income 2 4 6 8 10	<input type="text" value="2"/>
11 Readiness to Proceed	
I. <i>Status of Plans</i> 0 2 5	<input type="text" value="0"/>
II. <i>Status of Funding</i> 0 3 5	<input type="text" value="5"/>
TOTAL	<input type="text" value="40"/>



Ohio Public Works Commission

Small Government
Capital Improvements Program

PY 34 Methodology – Rating Scales

Approved July 18, 2019

Ohio Public Works Commission
65 East State Street, Suite 312
Columbus, Ohio 43215
614.466.0880
<http://www.pwc.ohio.gov>

Complete and compliant support documentation must be provided for a criterion to be awarded points. See Applicant Manual for more information.

1. Ability and Effort of the Applicant to Finance the Project (Maximum 10 points)

A. Roads, Bridges/Culverts, Storm Water, Solid Waste Projects Only – “Auditor’s Certificate of Estimated Resources” showing fund detail, as provided in ORC sections 5705.35 and 5705.36 is used to determine potential financial resources available for the project. Score is based on the project’s total cost as a percentage of financial resources.

- 0 Total project cost represents 0 to 20% of subdivision's total combined funds legally eligible for infrastructure type
- 2 Total project cost represents 21 to 40% of subdivision's total combined funds legally eligible for infrastructure type
- 4 Total project cost represents 41 to 60% of subdivision's total combined funds legally eligible for infrastructure type
- 6 Total project cost represents 61 to 80% of subdivision's total combined funds legally eligible for infrastructure type
- 8 Total project cost represents 81 to 100% of subdivision's total combined funds legally eligible for infrastructure type
- 10 Total project cost exceeds 100% of subdivision's total combined funds legally eligible for infrastructure type, or subdivision is in fiscal emergency

B. Water and Wastewater Projects Only – Determined by SG Administrator according to the Water & Wastewater Ability & Effort calculation described in Applicants Manual. Information is obtained from both water and wastewater rate ordinances, Small Government Water & Wastewater Ability & Effort Supplemental, and data from the *U.S. Census Bureau's American Fact Finder* web application. Points are provided for the hours worked to pay for water and wastewater services according to the highest of two variances as a percentage above or below State Averages: weighted average of household income or percentage of households making less than \$25,000.

- 0 More than 50% above state average
- 2 25.1% - 50% above state average
- 4 0 - 25% above state average
- 6 0.1% - 25% below state average
- 8 25.1% to 50% below state average
- 10 More than 50% below state average

2. Importance of Project to Health and Safety of Citizens – Score is assigned according to the application project description and any pertinent supplemental documentation. (Maximum 10 points)

A. Road, Bridge, Culvert

- 0 New infrastructure to meet future or projected needs
- 2 New infrastructure to meet current needs; Roadway surface paving less than 2 inches; Bridges with General Appraisal of 6 or above or with a Sufficiency Rating of 81-100

- 4 Roadway surface paving equal to or greater than 2 inches with/without milling; Replace or install signal where warranted; Bridges with a General Appraisal of 5 or Sufficiency Rating of 66-80; Culvert replacement with no associated damage
- 6 Road widening to add paved shoulders or for safe passage, and/or roadway paving with full-depth base repair equal to or greater than 5% of roadway surface area; Intersection improvement to add turn lanes or realignment; Bridges with a General Appraisal of 4 or Sufficiency Rating of 51-65; Culverts with inadequate flow capacity
- 8 Complete roadway full-depth reconstruction (includes removal/replacement of base) or reclamation with/without drainage; Widening to add travel lanes; Intersection improvements to address excessive accident rate and/or inadequate level of service with Crash Reduction Factor ($0.0 < CRF < 0.2$); Bridges with a General Appraisal of 3 or Sufficiency Rating of 26-50; Culverts with inadequate flow capacity and property damage (i.e. flooding)
- 10 Complete roadway reconstruction or reclamation with/without drainage with widening to add travel lanes; Intersection improvement to address excessive accident rate and/or inadequate level of service with Crash Reduction Factor ($CRF \geq 0.2$); Bridges with General Appraisal of 2 or less, or Sufficiency Rating of less than 26; Culverts that are structurally deficient

B. Water, Wastewater, Storm Water, Solid Waste

- 0 Infrastructure to meet future or projected needs
- 2 Expanded infrastructure to meet specific development proposal
- 4 Infrastructure to meet current needs; Update processes to improve effluent or water quality; To remain in compliance with permit due to increased standards; Increase storm sewer capacity in which there is no associated land damage; Increase sanitary sewer capacity; Replace water meters as part of an upgrade
- 6 OEPA recommendations; District health board recommendations; Increase storm sewer capacity that has associated land damage; Replace undersized waterlines as part of upgrade; Install new meters or replace meters that have exceeded useful life
- 8 Replacement of storm or sanitary sewers due to chronic flooding, back-up, or property damage; Inflow and/or Infiltration; Inadequate capacity to maintain pressure required for fire flows; Replacement of waterlines or towers due to excessive corrosion
- 10 OEPA Findings & Orders, OEPA orders contained in permit, Consent Decree or Court Order; Structural separations (CSOs) Age and Condition of System to be repaired or replaced. This is a two-part criterion. (Maximum 10 points)

3. Age & Condition of System to be repaired or replaced

Part I – Age: This uses provided documentation for existing infrastructure. Documentation pertains to source documentation or from a compliant letter written by an eligible local official who can vouch for the time period during his/her term in office. If no documentation the default score is 1 point. (Maximum 5 points)

Life	20	30	50
Project Type	Road	Wastewater	Bridge/Culvert. Sanitary Sewer, Water, Storm Water, Solid Waste
Points			
0	New / Expansion	New / Expansion	New / Expansion
1	2014-2019	2011-2019	2004-2019
2	2009-2013	2004-2010	1993-2003
3	2004-2008	1996-2003	1981-1992
4	1999-2003	1989-1995	1969-1980
5	1998 or before	1988 or before	1968 or before

Part II – Condition (Maximum 5 points)

- 1 New/Expansion: New or expansion project components represent at least 50% of improvements
- 2 Expansion: New or expansion project components represent between 25% and 49% of improvements
- 3 Poor: Infrastructure requires repair to continue functioning as originally intended and/or upgrade to meet current design standards.
- 4 Critical: Infrastructure requires replacement to continue functioning as originally intended.
- 5 Failed: Not functioning

4. Leveraging Ratio – Local and all non-OPWC funding sources as a percentage of total funding. (Maximum 10 points)

	Repair/Replacement (Poor/Critical/Failed in Criterion 3)	New/Expansion (New/Expansion &/or Expansion in Criterion 3)
0	10 or less	50 or less
1	11-15	51-55
2	16-20	56-60
3	21-25	61-65
4	26-30	66-70
5	31-35	71-75
6	36-40	76-80
7	41-45	81-85
8	46-50	86-90
9	51-55	91-95
10	56 or more	96 or more

5. **Population Benefit** – Number of those to benefit directly from the improvement as a percentage of applicant’s total population. (Maximum 5 points)

0	10% or less
1	25% - 11%
2	35% - 26%
3	45% - 36%
4	55% - 46%
5	56% or more

6. **District Priority Ranking as provided by District** (Maximum 10 points)

6	5 th ranked district project
7	4 th ranked district project
8	3 rd ranked district project
9	2 nd ranked district project
10	1 st ranked district project

7. **Amount of OPWC funding requested** (Maximum 10 points)

0	\$500,000 or more
5	\$250,000 - \$499,999
10	249,999 or less

8. **Loan Request as a percentage of OPWC assistance** (Maximum 10 points)

1	15 - 29% of OPWC assistance
5	30 - 49% of OPWC assistance
10	50 - 100% of OPWC assistance

9. **Useful Life of Project** – Taken from engineer’s useful life statement. (Maximum 5 points)

1	7 - 9 years
2	10 - 14 years
3	15 - 19 years
4	20 - 24 years
5	25 years or more

10. **Median Household Income** – Applicant’s MHI as a percentage of the statewide MHI. Information derived from the most recent 5-year American Community Survey as published by the Ohio Development Services Agency. (Maximum 10 points)

2	110% or more
4	100% - 109%
6	90% - 99%
8	80% – 89%
10	79% or less

11. Readiness to Proceed (Maximum 10 points)

Part I – Status of Plans – This uses the Small Government Commission’s Engineer’s Plan Status Certification. (Maximum 5 points)

- 0 Plans not yet begun
- 2 Surveying through Preliminary Design Completed (Items A-C)
- 5 Surveying through final construction plans, and secured permits and right-of-way as appropriate (Items A-H)

Part II – Status of Funding Sources – This uses source documentation including CFO certifications and loan letters. (Maximum 5 points)

- 0 All funds not yet committed
- 3 Applications submitted to funding entities
- 5 All funding committed

**Small Government Commission
Engineer's Plan Status Certification
Required for Criterion No. 11, Part I**

Applicant: Village of Castalia

District No.: 5

Project Name: Adams Avenue and Lester Street Improvements

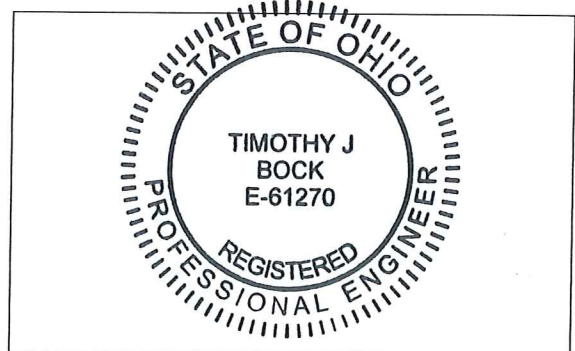
Item	Necessary for project?	Status	Completion Date
Met Completion dates for Items A - C (2 points)			
A	Surveying Y <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	PENDING	09/2020
B	R/W Acquisition Identified Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		
C	Preliminary Design Y <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	PENDING	11/2020
Met Completion dates for Items A - H (5 points)			
D	Final Construction Plans Y <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	PENDING	2/2021
E	Permit to Install Issued Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		
F	NPDES Issued Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		
G	Other Permits Issued Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		
H	Executed Right of Way Option or Agreement Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		

I hereby certify that the information above is true and correct to the best of my knowledge and belief.

Timothy J. Bock, P.E.
Engineer's Printed Name


Engineer's Signature

8/22/2019
Date



Engineer's Stamp/Seal

Village of Castalia

From the office of the Mayor : Randy Whyde

Village of Castalia certification for age of infrastructure improvements .

Please accept this letter as certification that no improvements
Have taken place on Adams Street and Lester Street since my
Term as the Mayor which started , January 01 , 2018 .

Name : Randy Whyde

Title : MAYOR

Signature : Randy Whyde

Date : 8/27/2019

RESOLUTION ACCEPTING THE AMOUNTS AND RATES AS DETERMINED BY THE BUDGET COMMISSION AND AUTHORIZING THE NECESSARY TAX LEVIES AND CERTIFYING THEM TO THE COUNTY AUDITOR

(Village Council)

Revised Code Secs. 5705.34, 5705.35

The Council of the Village of **Castalia**, Erie County, Ohio met in REGULAR session on the 9TH day of OCTOBER, 2018 at the office of CASTALIA VILLAGE with the following members present:

M RS. NANCY BATH

M RS. GEORGIA ATRIP

M R. KEVIN NEMITZ

M R. KENT MILLER

M R. SILAS NICHOLSON

M _____

M _____

M _____

MR. KENT MILLER moved the adoption of the following resolution.

RESOLVED, by the Council of the **Village of Castalia**, Erie County, Ohio, in accordance with the provisions of law has previously adopted a tax budget for the next succeeding fiscal year commencing on January 1st, 2019; and

WHEREAS, The Budget Commission of Erie County, Ohio has certified its action thereon to this Board together with an estimate by the County Auditor of the rate of each tax necessary to be levied by this Board, and what part thereof is without, and what part within the ten-mill tax limitation; therefore be it

RESOLVED, by the Council of the **Village of Castalia**, Erie County, Ohio that the amounts and rates as determined by the Budget Commission in its certification, be and the same are hereby accepted; and be it further

RESOLVED, That there be and is hereby levied on the tax duplicate of said village the rate of each tax necessary to be levied within and without the ten mill limitation as follows:

SCHEDULE A

SUMMARY OF AMOUNTS REQUIRED FROM GENERAL PROPERTY TAX APPROVED BY THE BUDGET COMMISSION, AND THE COUNTY AUDITOR'S ESTIMATED TAX RATES

FUND	Amount to be derived from levies outside 10 mill limit	Approved by Budget Commission inside 10 mill limit	County Auditor's Estimate of Tax rate to be levied	
			Inside 10 mill limit	Outside 10 mill limit
	Column II	Column IV	V	VI
General Fund	71,429	15,501	1.00	4.66
Road and Bridge Fund	15,219			1.00
Police and EMS	30,656			2.00
Fund				
Fund				
Fund				
Fund				
Fund				
TOTAL	117,304	15,501	1.00	7.66

SCHEDULE B

LEVIES OUTSIDE 10 MILL LIMITATION, EXCLUSIVE OF DEBT LEVIES

FUND	Maximum Rate	County Auditor's Estimate of Yield of Levy (Carry to schedule A, Column I)
GENERAL FUND:		
Current expense levy authorized by voters on May 6, 2014 for not to exceed <u>5</u> years.	4.66	71,429
Current expense levy authorized by voters on _____ 19 for not to exceed _____ years.		
Current expense levy authorized by voters on _____ 19 for not to exceed _____ years.		
Current expense levy authorized by voters on _____ 19 for not to exceed _____ years.		
Current expense levy authorized by voters on _____ 19 for not to exceed _____ years.		
Total General Fund outside 10 mill limitation:	4.66	71,429

SCHEDULE B (continued)

LEVIES OUTSIDE 10 MILL LIMITATION, EXCLUSIVE OF DEBT LEVIES

FUND	Maximum Rate	County Auditor's Estimate of Yield of Levy (Carry to schedule A; Column II)
Road and Bridge levy authorized by voters on November 3, 2015 for not to exceed five years.	1.00	15,219
Police and EMS levy authorized by voters on May 7, 2013 for not to exceed five years.	2.00	30,656
Current expense levy authorized by voters on _____ 19 for not to exceed _____ years.		
Current expense levy authorized by voters on _____ 19 for not to exceed _____ years.		
Current expense levy authorized by voters on _____ 19 for not to exceed _____ years.		

and be it further

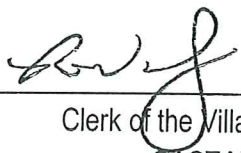
RESOLVED, That the Clerk of this Board be, and is hereby directed to certify a copy of this resolution to the County Auditor of said County.

MRS. GEORGIA ARTAIP seconded the Resolution and the roll being called upon its adoption of the vote resulted as follows:

- MRS. NANCY BATH , YES
- MRS. GEORGIA ARTAIP , YES
- MRS. KEVIN NEMITZ , YES
- MRS. KENT MILLER , YES
- MRS. SILAS NICHOLSON , YES
- M _____ , _____
- M _____ , _____
- M _____ , _____

Adopted this 9TH day of OCTOBER 2018

ATTEST:



Clerk of the Village Council
CASTALIA
Erie County, OHIO

CERTIFICATE TO COPY

ORIGINAL ON FILE

The State of Ohio, Erie County, ss

I, ROBERT DAY, Clerk of the Village Council of **Castalia**, in said County, and in whose custody the files and records of said Board are required by the laws of the State of Ohio to be kept, do hereby certify that the foregoing is taken and copied from the original _____

now on file, that the foregoing has been compared by me with said original document, and that the same is a true and correct copy thereof.

Witness my signature, this 9TH day of OCTOBER, 2018.



Clerk of the Village Council of
CASTALIA VILLAGE
Erie County, Ohio

A copy of this resolution must be certified to the County Auditor before the first day of October in each year,
or at such later date as may be approved by the Board of Tax Appeals.