

# VILLAGE OF SHERWOOD

## HARRISON ST IMPROVEMENT PROJECT

### OHIO PUBLIC WORKS COMMISSION FUNDING ROUND 35



Prepared For:

**Village of Sherwood**

Prepared By:

**Access Engineering Solutions  
1200 Irmscher Boulevard, Suite B  
Celina, Ohio 45822**

**August 2020**



State of Ohio  
**Public Works Commission**  
*Application for Financial Assistance*

**IMPORTANT:** Please consult "Instructions for Financial Assistance for Capital Infrastructure Projects" for guidance in completion of this form.

Applicant	Applicant: <u>Village of Sherwood</u> Subdivision Code: <u>039-72256</u>
	District Number: <u>5</u> County: <u>Defiance</u> Date: <u>08/10/2020</u>
	Contact: <u>Sherri Ramey</u> Phone: <u>(419) 899-2145</u> <small>(The individual who will be available during business hours and who can best answer or coordinate the response to questions)</small>
	Email: <u>sherwoodadm@defnet.com</u> FAX: <u>(419) 899-2148</u>

Project	Project Name: <u>North Harrison Street Improvements</u> Zip Code: <u>43556</u>	
	Subdivision Type	Project Type
	<small>(Select one)</small>	<small>(Select single largest component by \$)</small>
	<input type="checkbox"/> 1. County <input type="checkbox"/> 2. City <input type="checkbox"/> 3. Township <input checked="" type="checkbox"/> 4. Village <input type="checkbox"/> 5. Water (6119 Water District)	<input checked="" type="checkbox"/> 1. Road <input type="checkbox"/> 2. Bridge/Culvert <input type="checkbox"/> 3. Water Supply <input type="checkbox"/> 4. Wastewater <input type="checkbox"/> 5. Solid Waste <input type="checkbox"/> 6. Stormwater

Funding Request Summary	<small>(Automatically populates from page 2)</small>
Total Project Cost:	<u>1,260,000 .00</u>
1. Grant:	<u>500,000 .00</u>
2. Loan:	<u>500,000 .00</u>
3. Loan Assistance/ Credit Enhancement:	<u>0 .00</u>
Funding Requested:	<u>1,000,000 .00</u>

**District Recommendation** (To be completed by the District Committee)

<u>Funding Type Requested</u> <small>(Select one)</small>	SCIP Loan - Rate: _____ % Term: _____ Yrs Amount: _____ .00	
<input type="checkbox"/> State Capital Improvement Program	RLP Loan - Rate: _____ % Term: _____ Yrs Amount: _____ .00	
<input type="checkbox"/> Local Transportation Improvement Program	Grant: Amount: _____ .00	
<input type="checkbox"/> Revolving Loan Program	LTIP: Amount: _____ .00	
<input type="checkbox"/> Small Government Program	Loan Assistance / Credit Enhancement: Amount: _____ .00	
District SG Priority: _____		

**For OPWC Use Only**

<u>STATUS</u>	Grant Amount: _____ .00	Loan Type: <input type="checkbox"/> SCIP <input type="checkbox"/> RLP
Project Number: _____	Loan Amount: _____ .00	Date Construction End: _____
_____	Total Funding: _____ .00	Date Maturity: _____
Release Date: _____	Local Participation: _____ %	Rate: _____ %
OPWC Approval: _____	OPWC Participation: _____ %	Term: _____ Yrs

1.0 Project Financial Information (All Costs Rounded to Nearest Dollar)

1.1 Project Estimated Costs

Engineering Services

Preliminary Design:	<u>30,000</u> .00	
Final Design:	<u>52,000</u> .00	
Construction Administration:	<u>27,000</u> .00	
Total Engineering Services:	a.) <u>109,000</u> .00	<u>10</u> %
Right of Way:	b.) _____ .00	
Construction:	c.) <u>1,060,000</u> .00	
Materials Purchased Directly:	d.) _____ .00	
Permits, Advertising, Legal:	e.) _____ .00	
Construction Contingencies:	f.) <u>91,000</u> .00	<u>9</u> %
Total Estimated Costs:	g.) <u>1,260,000</u> .00	

1.2 Project Financial Resources

Local Resources

Local In-Kind or Force Account:	a.) _____ .00	
Local Revenues:	b.) <u>260,000</u> .00	
Other Public Revenues:	c.) _____ .00	
ODOT / FHWA PID: _____	d.) _____ .00	
USDA Rural Development:	e.) _____ .00	
OEPA / OWDA:	f.) _____ .00	
CDBG:	g.) _____ .00	
<input type="checkbox"/> County Entitlement or Community Dev. "Formula"		
<input type="checkbox"/> Department of Development		
Other: _____	h.) _____ .00	
Subtotal Local Resources:	i.) <u>260,000</u> .00	<u>21</u> %

OPWC Funds (Check all requested and enter Amount)

Grant: <u>50</u> % of OPWC Funds	j.) <u>500,000</u> .00	
Loan: <u>50</u> % of OPWC Funds	k.) <u>500,000</u> .00	
Loan Assistance / Credit Enhancement:	l.) <u>0</u> .00	
Subtotal OPWC Funds:	m.) <u>1,000,000</u> .00	<u>79</u> %
Total Financial Resources:	n.) <u>1,260,000</u> .00	<u>100</u> %

### 1.3 Availability of Local Funds

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local resources required for the project will be available on or before the earliest date listed in the Project Schedule section. The OPWC Agreement will not be released until the local resources are certified. Failure to meet local share may result in termination of the project. Applicant needs to provide written confirmation for funds coming from other funding sources.

### 2.0 Repair / Replacement or New / Expansion

2.1 Total Portion of Project Repair / Replacement:	_____ 1,260,000 .00	_____ 100 %
2.2 Total Portion of Project New / Expansion:	_____ 0 .00	_____ 0 %
2.3 Total Project:	_____ 1,260,000 .00	_____ 100 %

A Farmland Preservation letter is required for any impact to farmland

### 3.0 Project Schedule

3.1 Engineering / Design / Right of Way	Begin Date: <u>08/01/2020</u>	End Date: <u>02/01/2021</u>
3.2 Bid Advertisement and Award	Begin Date: <u>11/01/2021</u>	End Date: <u>12/21/2021</u>
3.3 Construction	Begin Date: <u>03/01/2022</u>	End Date: <u>09/01/2022</u>

Construction cannot begin prior to release of executed Project Agreement and issuance of Notice to Proceed.

Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by project official of record and approved by the Commission once the Project Agreement has been executed.

### 4.0 Project Information

If the project is multi-jurisdictional, information must be consolidated in this section.

#### 4.1 Useful Life / Cost Estimate / Age of Infrastructure

Project Useful Life: 29 Years      Age: 2005 (Year built or year of last major improvement)

*Attach Registered Professional Engineer's statement, with seal or stamp and signature confirming the project's useful life indicated above and detailed cost estimate.*

#### 4.2 User Information

Road or Bridge:      Current ADT 2,410      Year 2012      Projected ADT \_\_\_\_\_ Year \_\_\_\_\_

Water / Wastewater: Based on monthly usage of 4,500 gallons per household; attach current ordinances.

Residential Water Rate      Current \$ \_\_\_\_\_      Proposed \$ \_\_\_\_\_

Number of households served: \_\_\_\_\_

Residential Wastewater Rate      Current \$ \_\_\_\_\_      Proposed \$ \_\_\_\_\_

Number of households served: \_\_\_\_\_

Stormwater: Number of households served: \_\_\_\_\_

## 4.3 Project Description

- A: SPECIFIC LOCATION (Supply a written location description that includes the project termini; a map does not replace this requirement.) 500 character limit.

The project location is the Village of Sherwood, which is located in the southern portion of Defiance County. The project is the complete reconstruction of a portion N. Harrison Street. The north emini of the Harrison St. reconstruction will be the intersection of Defiance Ave., the south ermini will be the intersection of Elm St.

- B: PROJECT COMPONENTS (Describe the specific work to be completed; the engineer's estimate does not replace this requirement) 1,000 character limit.

The project location is the Village of Sherwood, which is located in the southern portion of Defiance County. The project is the complete reconstruction of a portion N. Harrison Street. The north termini of the Harrison St. reconstruction will be the intersection of Defiance Ave., the south termini will be the intersection of Elm St.

The project entails the reconstruction of 3000' of roadway on Harrison street, with new asphalt, storm drainage, curb and gutter and sidewalk.

- C: PHYSICAL DIMENSIONS (Describe the physical dimensions of the existing facility and the proposed facility. Include length, width, quantity and sizes, mgd capacity, etc in detail.) 500 character limit.

The project entails the reconstruction of 3000' of roadway on Harrison street, with new asphalt, storm drainage, curb and gutter and sidewalk. 5700 ft of curb, 3500 ft of storm sewer, 22,500 s.f. of sidewalk, and 1237 cy of asphalt.

## 5.0 Project Officials

Changes in Project Officials must be submitted in writing from an officer of record.

### 5.1 Chief Executive Officer (Person authorized in legislation to sign project agreements)

Name: Jack Stantz  
Title: Mayor  
Address: 200 N. Harrison Street  
  
City: Sherwood State: OH Zip: 43556  
Phone: (419) 899-2145  
FAX: (419) 899-2148  
E-Mail: sherwoodmayor@defnet.com

### 5.2 Chief Financial Officer (Can not also serve as CEO)

Name: Dawn Buskirk  
Title: Fiscal Officer  
Address: 200 N. Harrison Street  
  
City: Sherwood State: OH Zip: 43556  
Phone: (419) 899-2145  
FAX: (419) 899-2148  
E-Mail:

### 5.3 Project Manager

Name: Craig Mescher  
Title: Project Engineer  
Address: 1200 Irmischer Blvd, Suite B  
  
City: Celina State: OH Zip: 45822  
Phone: (419) 586-1430  
FAX: (419) 586-4833  
E-Mail: cwm@accessengllc.com

## 6.0 Attachments / Completeness review

Confirm in the boxes below that each item listed is attached (Check each box)

- A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- A certification signed by the applicant's chief financial officer stating the amount of all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's seal or stamp and signature.
- A cooperative agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- Farmland Preservation Review - The Governor's Executive Order 98-IV, "Ohio Farmland Protection Policy" requires the Commission to establish guidelines on how it will take protection of productive agricultural and grazing land into account in its funding decision making process. Please include a Farm Land Preservation statement for projects that have an impact on farmland.
- Capital Improvements Report. CIR Required by O.R.C. Chapter 164.06 on standard form.
- Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your local District Public Works Integrating Committee.

## 7.0 Applicant Certification

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

**Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.**

Sherri Ramey

\_\_\_\_\_  
Certifying Representative (Printed form, Type or Print Name and Title)

\_\_\_\_\_  
Original Signature / Date Signed

**CHIEF FINANCIAL OFFICER'S CERTIFICATION OF LOCAL FUNDS**

September 9, 2020

I, Dawn Buskirk, Fiscal Officer of the Village of Sherwood, hereby certify that the Village of Sherwood has or will have by July 1<sup>st</sup>, 2021 the amount of \$ 260,000.00 in the Water Fund, General Fund , CDBG Funds, and OEPA Funding and that this amount will be used to pay the local share when requested for the Harrison Street Improvement Project.

Dawn Buskirk  
Dawn Buskirk, Fiscal Officer



**CHIEF FINANCIAL OFFICER'S CERTIFICATION OF LOAN REPAYMENT**

September 9, 2020

I, Dawn Buskirk, Fiscal Officer of the Village of Sherwood, hereby certify that the Village of Sherwood will have the amount of \$ 500,000 in the Street Fund or General Fund and that this amount will be used to pay the SCIP or RLP loan when requested for the Harrison Street Improvements Project over a 30 year term.

*Dawn Buskirk*  
Dawn Buskirk, Fiscal Officer

**HARRISON STREET RECONSTRUCTION**  
**Village of Sherwood**  
**Preliminary Engineer's Estimate**

ITEM	DESCRIPTION	UNIT OF MEASURE	APPROX. QTY.	UNIT COSTS	TOTAL COSTS
1	202 REMOVE EXISTING SIDEWALK/DRIVE APRONS	S.F.	15,000	\$1.65	\$24,750
2	202 REMOVE EXISTING CURB	L.F.	6,000	\$6.00	\$36,000
3	202 REMOVE EXISTING CB/MH & STORM SEWER	L.S.	1	\$2,629.00	\$2,629
4	203 EXCAVATION INCLUDING ROADWAY	C.Y.	1,676	\$15.00	\$25,133
5	204 SUBGRADE COMPACTION	S.Y.	2,417	\$2.00	\$4,833
6	207 TEMPORARY SEDIMENT AND EROSION CONTROLS	L.S.	1	\$4,200.00	\$4,200
7	301 9" ASPHALT CONCRETE BASE (PG. 64-22) & Surface	C.Y.	851	\$225.00	\$191,400
8	444 1.5" ASPHALT CONCRETE Surface	C.Y.	387	\$225.00	\$87,000
8	304 9" AGGREGATE BASE	C.Y.	604	\$30.00	\$18,125
9	407 TACK COAT (0.10 GAL/SY)	GAL	242	\$2.00	\$483
10	301 REJUVENATOR	SY	9,667	\$1.00	\$9,667
11	451 6" REINFORCED CONCRETE APRONS	S.Y.	1,500	\$44.00	\$66,000
12	603 HDPE STORM SEWER	L.F.	3,500	\$55.00	\$192,500
13	604 INSERTA-TEE (as needed)	EA.	60	\$110.00	\$6,600
14	604 TYPE 1 CATCH BASIN	EA.	20	\$900.00	\$18,000
15	604 TYPE 3 STORM MANHOLE	EA.	3	\$2,600.00	\$7,800
16	604 CORE EXISTING MANHOLE	EA.	1	\$1,200.00	\$1,200
17	604 MISC. CONNECTIONS TO EX. STORM SEWERS	L.S.	1	\$1,500.00	\$1,500
18	608 CONCRETE WALK	S.F.	22,500	\$5.00	\$112,500
19	608 CURB RAMP, WITH TRUNCATED DOMES	EA.	12	\$225.00	\$2,700
20	609 TYPE 2 COMBINATION CURB AND GUTTER	L.F.	5,700	\$28.00	\$159,600
21	614 MAINTAINING TRAFFIC	L.S.	1	\$35,379.00	\$35,379
22	623 CONSTRUCTION STAKING	L.S.	1	\$15,000.00	\$15,000
23	624 MOBILIZATION	L.S.	1	\$25,000.00	\$25,000
24	659 SEEDING AND MULCHING	L.S.	1	\$12,000.00	\$12,000
25	SPL CONTIGENCY	L.S.	1	\$91,000.00	\$91,000
26	SPL ENGINEERING	L.S.	1	\$109,000.00	\$109,000
<b>TOTAL ESTIMATED COST</b>				<b>\$1,260,000</b>	

Notes:

The Useful life of this project is 30 years.

Craig W. Mescher P.E.



9/9/20  
Date

**ESTIMATE OF USEFUL LIFE  
Harrison Street Reconstruction  
VILLAGE OF SHERWOOD**

<u>ITEM</u>	<u>LIFE (YRS.)</u>	<u>COST</u>	<u>PRODUCT</u>
RECONSTRUCTION OF CURBS, SIDEWALKS, ETC.	50	\$ 334,600	\$ 16,730,000
DRAINAGE	60	\$ 227,600	\$ 13,656,000
WATERLINE	50		\$ -
SEWER	40		\$ -
PAVEMENT & INCIDENTALS	10	\$ 697,800	\$ 6,978,000
		\$ 1,260,000	\$ 37,364,000

USEFUL LIFE =  $\frac{\$ 37,364,000}{\$ 1,260,000} = 29.65$

OR 29 YEARS

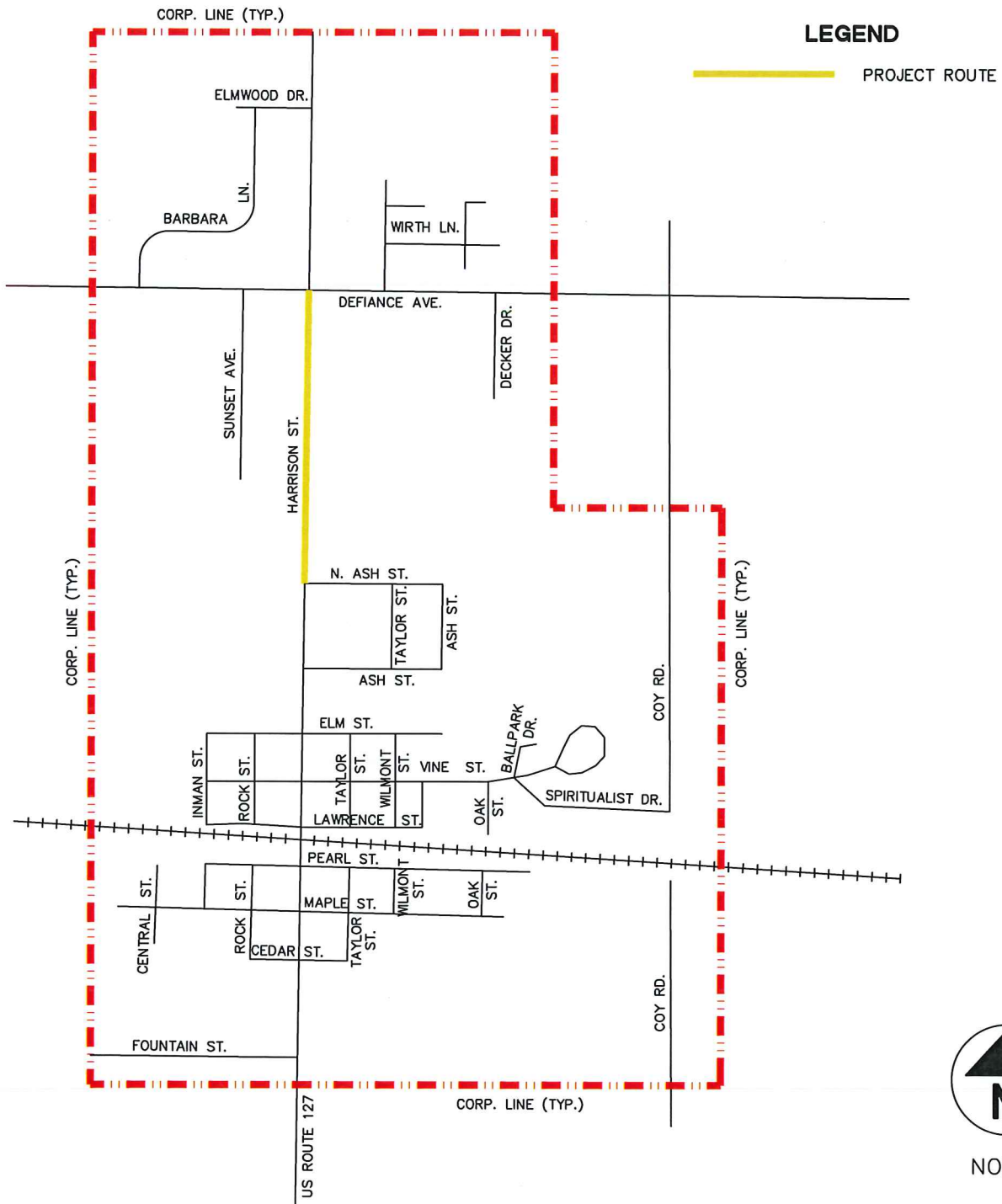
  
\_\_\_\_\_  
Craig W. Mescher P.E.

9/9/20  
Date



# OPWC HARRISON STREET IMPROVEMENTS

## VILLAGE OF SHERWOOD DEFIANCE COUNTY, OHIO



**ACCESS**  
Engineering Solutions  
www.accessengllc.com | 419-586-1430

DRAWN BY  
THK

DATE  
SEPT. 2020

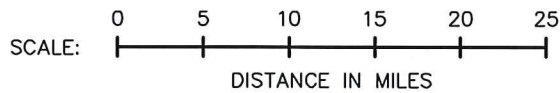
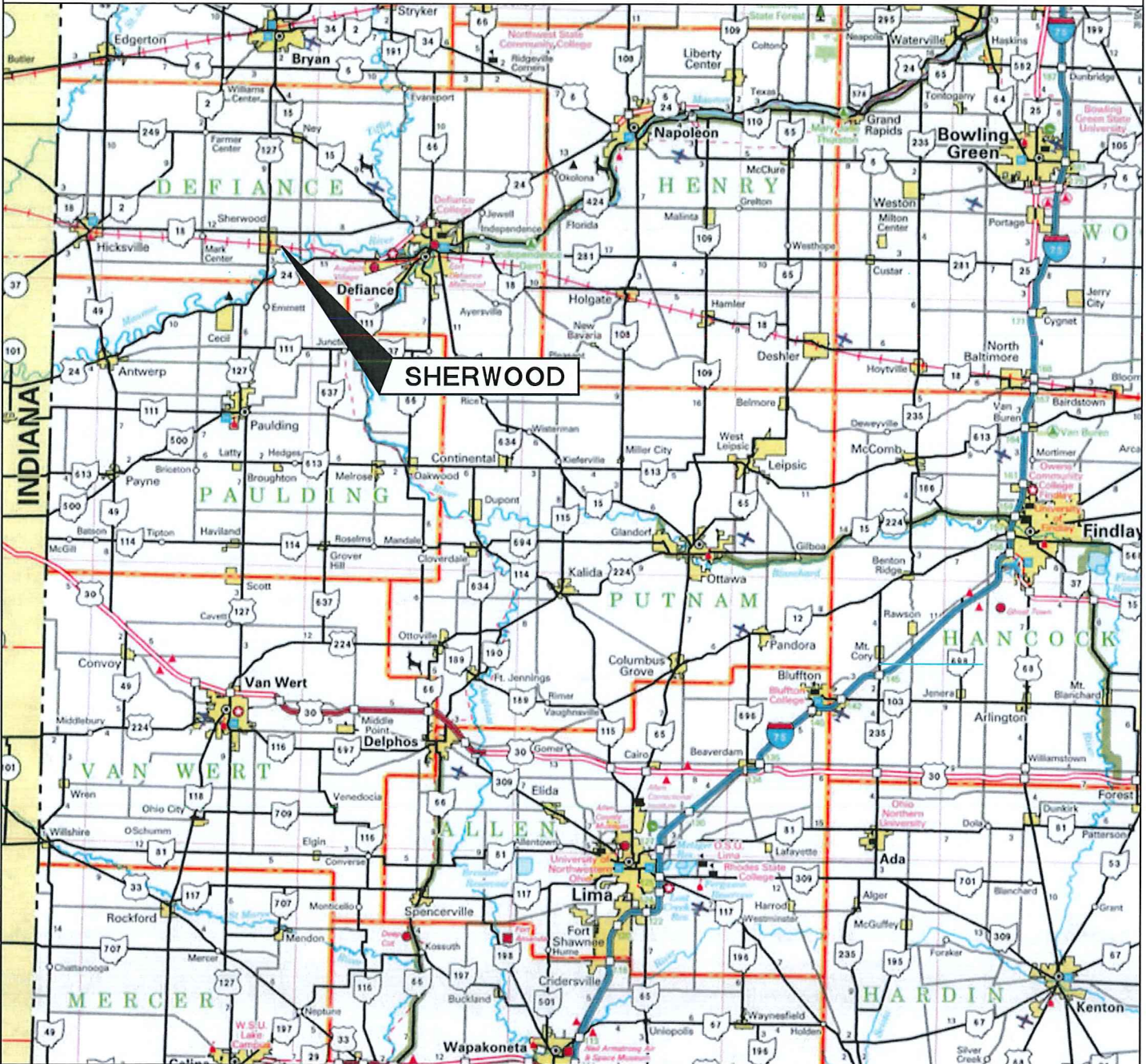
JOB NO.

PROJECT

**VILLAGE OF SHERWOOD  
DEFIANCE COUNTY, OHIO**

# OPWC HARRISON STREET IMPROVEMENTS

## VILLAGE OF SHERWOOD DEFIANCE COUNTY, OHIO



NORTH

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www.accessengllc.com | 419-586-1430

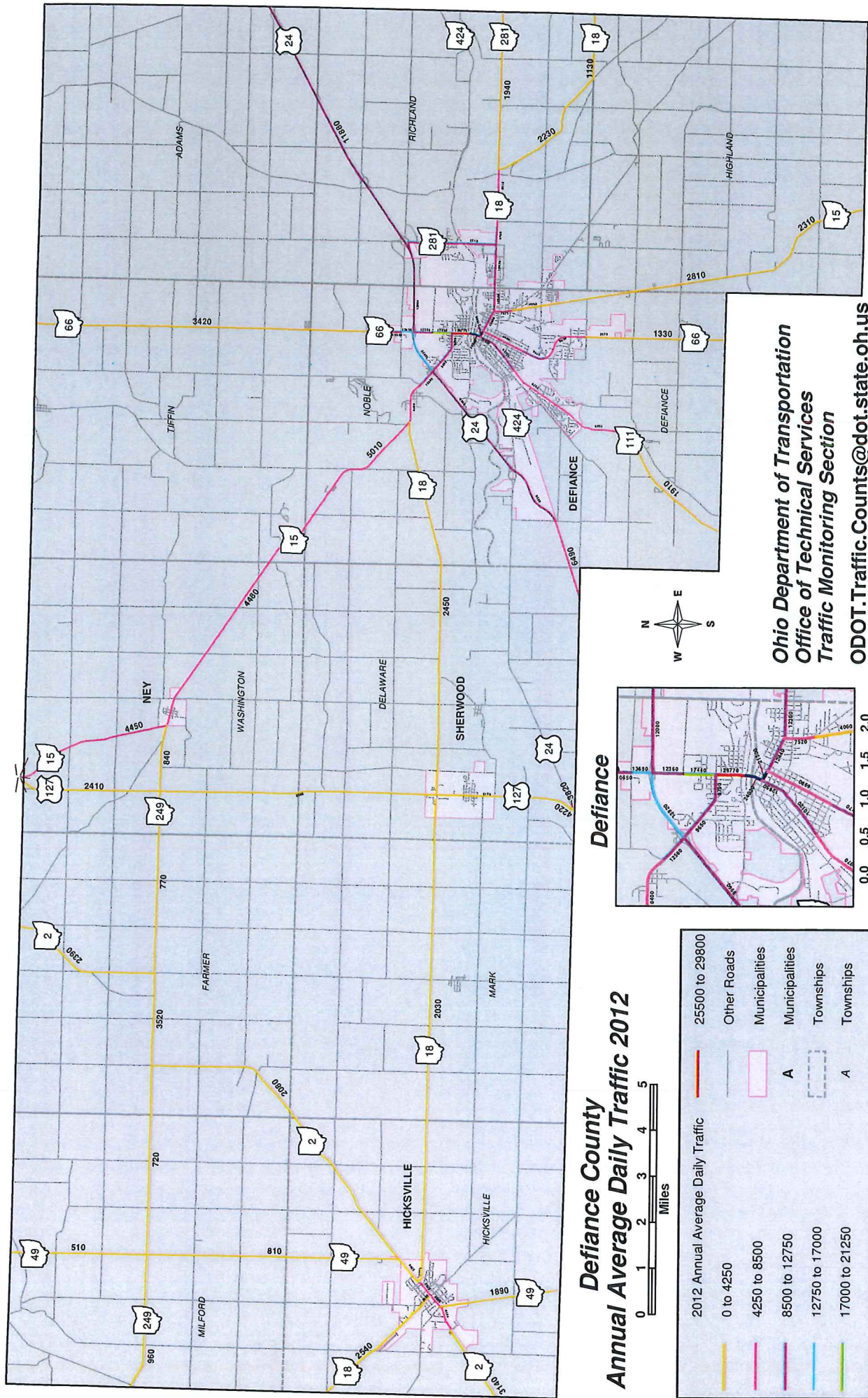
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SEPT. 2020

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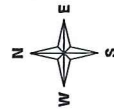
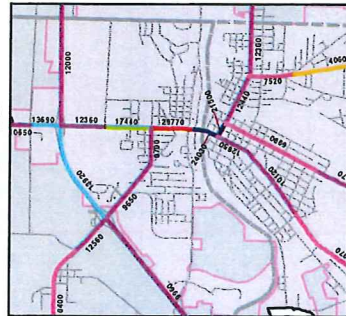
**VILLAGE OF SHERWOOD  
DEFIANCE COUNTY, OHIO**



## Defiance County Annual Average Daily Traffic 2012



2012 Annual Average Daily Traffic	25500 to 29800
0 to 4250	Other Roads
4250 to 8500	Municipalities
8500 to 12750	Municipalities
12750 to 17000	Townships
17000 to 21250	Townships
21250 to 25500	Townships



**Ohio Department of Transportation**  
**Office of Technical Services**  
**Traffic Monitoring Section**  
 ODOT.Traffic.Counts@dot.state.oh.us  
 614-466-3728

District 5  
Capital Improvement Project  
Priority Rating Sheet, Round 35

**DEFERALS**

**HARRISON ST IMP. Shared**

**1,000,000**

COUNTY: \_\_\_\_\_ PROJECT NUMBER: \_\_\_\_\_  
 PROJECT: \_\_\_\_\_  
 EST. COST: \_\_\_\_\_

No.	'A'	CRITERIA TO BE CONSIDERED	'B'					'A' x 'B'						No.		
			PRIORITY FACTORS					PRIORITY FACTORS								
WEIGHT FACTOR			0	2	4	6	8	10	0	2	4	6	8	10		
1	6	(REPAIR OR REPLACE) vs. (NEW OR EXPANSION)							0%+	20%+	40%+	60%+	80%+	100%+	1	
									Repair or Replacement	Repair or Replacement	Repair or Replacement	Repair or Replacement	Repair or Replacement	Repair or Replacement		
2A	4	EXISTING PHYSICAL CONDITION Please refer to Criteria #2 of the Round 35 Scoring Methodology. Must submit substantiating documentation. (100% New or Expansion = 0 Points)							0	2	4	6	8	10	2A	
									Excellent	Good	Fair	Fading	Poor	Falling		
2B	6	AGE							Type	0	1	2	3	4	5	2B
									Road	0-4 Yrs	5-8 Yrs	9-12 Yrs	13-16 Yrs	17-20 Yrs	20+ Yrs	
									Wastewater	0-6 Yrs	7-12 Yrs	13-18 Yrs	19-24 Yrs	25-30 Yrs	30+ Yrs	
									Bridge/Culvert,	0-10 Yrs	11-20 Yrs	21-30 Yrs	31-40 Yrs	41-50 Yrs	50+ Yrs	
									Sanitary Sewer, Water Supply, Storm Water, Solid Waste							
3	6	PUBLIC HEALTH AND/OR SAFETY CONCERNS Submittals without supporting documentation will receive 0 points for this question.							0	2	4	6	8	10	3	
									No Impact	Minimal	Moderate	Major	Critical	Extremely Critical		
4	4	LOCAL MATCHING FUNDS Percentage of Local Share (Local funds are funds derived from the applicant budget or a loan to be paid back through the applicant budget, assessments, rates or tax revenues) *							0	2	4	6	8	10	4	
									0%	10%	20%	30%	40%	50%		
5	0	OTHER FUNDING (Excluding Issue II Funds) (Grants and other revenues not contributed or collected through taxes by the applicant; including Gifts, Contributions, etc. - must submit copy of award or status letter.)							0	2	4	6	8	10	5	
									0%	10%	20%	30%	40%	50%		
6	-9	OPWC GRANT AND LOAN FUNDS REQUESTED Please refer to Criteria #6 of the Round 35 Methodology for clarification.													6	
	2	Grant or Loan Only	-9	-8	0	8	9	10	-9	-8	0	8	9	10	6	
									Grant or Loan Only	\$500,001 or more	\$400,001 to \$500,000	\$325,001 to \$400,000	\$275,001 to \$325,000	\$175,001 to \$275,000	\$175,000 or less	
	2	Grant/Loan Combination	-9	-8	0	8	9	10	Grant/Loan Combination	\$750,000 or more	\$600,001 to \$750,000	\$487,501 to \$600,000	\$412,501 to \$487,500	\$262,501 to \$412,500	\$262,500 or less	6
When scoring a project that is only grant or only loan. Please use the chart labeled "Grant or Loan Only". When scoring a grant/loan combination, score the project for the grant in the first chart, then use the second chart labeled "Grant/Loan Combination" to score the total (grant and loan combined). Use the lower of the two as the score.																
7	0	JOB CREATION/RETENTION Indicate full time equivalent jobs. Include supporting documentation in the form of a commitment letter from business or third party entity.							0	2	4	6			7	
									0-6 Jobs	7-14 Jobs	15-24 Jobs	25+ Jobs				
8	10	BENEFIT TO EXISTING USERS (households or traffic counts) (streets, crossings or bus connections. Traffic Counts within two years with certified documentation, etc.							0	2	4	6	8	10	8	
									0-99 Users	100 - 349 Users	350 - 499 Users	500 - 749 Users	750 - 1000 Users	1000+ Users		
9	2	ECONOMIC DISTRESS Local MHI as a percentage of the District Median MHI							0	1	2				9	
									100%+	80%-100%	Less Than 80%					
10	1	READINESS TO PROCEED							0	1	2				10	
									Plans Not Begun Yet	Preliminary Engineering Complete	Final Design Complete					
11	27	SUBTOTAL RANKING POINTS (MAX = 115)							Other Info: Does this project have a significant impact on productive farmland? YES NO Attach impact statement if yes. Is the Applicant ready to proceed to bids after State Approval within 6 months? YES NO							
12		COUNTY SUBCOMMITTEE PRIORITY POINTS (25-20-15)							District Discretionary Point may be awarded to projects that demonstrate significant Area-wide, County, or Community Impact. Include documentation to support the claim of significance.							
13A		DISCRETIONARY POINTS (BY DISTRICT ONLY) (MAX=1)							District Discretionary Point may be awarded to projects that demonstrate that the entity has maximized financial resources including assessments and utility rate structure.							
13B		DISCRETIONARY POINTS (BY DISTRICT ONLY) (MAX=1)														
14		GRAND TOTAL RANKING POINTS														

\* Applicants must certify local and other share contributions. Specify, all funding sources to be utilized as local share at the time of application submittal.



Ohio Public Works Commission

Small Government  
Capital Improvements Program

FY 22 / Round 35 Methodology - Rating Scales  
(July 1, 2021 Agreement Release)

Approved July 23, 2020

66  
HARRISON ST. Recon.

Ohio Public Works Commission  
65 East State Street, Suite 312  
Columbus, Ohio 43215  
<http://www.pwc.ohio.gov>

A small, handwritten mark or signature in the bottom right corner of the page, consisting of a few loops and a tail.



Complete and compliant support documentation must be provided for a criterion to be awarded points. See Applicant Manual for more information.

1. **Ability and Effort of the Applicant to Finance the Project (Maximum 10 points)**

A. Roads, Bridges/Culverts, Storm Water, Solid Waste Projects Only – “Auditor’s Certificate of Estimated Resources” showing fund detail, as provided in ORC sections 5705.35 and 5705.36 is used to determine potential financial resources available for the project. Score is based on the project’s total cost as a percentage of financial resources.

0 Total project cost represents 0 to 20% of subdivision's total combined funds legally eligible for infrastructure type

2 Total project cost represents 21 to 40% of subdivision's total combined funds legally eligible for infrastructure type

4 Total project cost represents 41 to 60% of subdivision's total combined funds legally eligible for infrastructure type

6 Total project cost represents 61 to 80% of subdivision's total combined funds legally eligible for infrastructure type

8 Total project cost represents 81 to 100% of subdivision's total combined funds legally eligible for infrastructure type

10 Total project cost exceeds 100% of subdivision's total combined funds legally eligible for infrastructure type, or subdivision is in fiscal emergency

B. Water and Wastewater Projects Only – Determined by SG Administrator according to the Water & Wastewater Ability & Effort calculation described in Applicants Manual. Information is obtained from both water and wastewater rate ordinances, Small Government Water & Wastewater Ability & Effort Supplemental, and data from the *U.S. Census Bureau*. Points are provided for the hours worked to pay for water and wastewater services according to the highest of two variances as a percentage above or below State Averages: weighted average of household income or percentage of households making less than \$25,000.

0 More than 50% above state average

2 25.1% - 50% above state average

4 0 - 25% above state average

6 0.1% - 25% below state average

8 25.1% to 50% below state average

10 More than 50% below state average

2. **Importance of Project to Health and Safety of Citizens** – Score is assigned according to the application project description and any pertinent supplemental documentation. (Maximum 10 points)

A. Road, Bridge, Culvert

0 New infrastructure to meet future or projected needs

2 New infrastructure to meet current needs; Roadway surface paving less than 2 inches; Bridges with General Appraisal of 6 or above or with a Sufficiency Rating of 81-100

- 4 Roadway surface paving equal to or greater than 2 inches with/without milling; Replace or install signal where warranted; Bridges with a General Appraisal of 5 or Sufficiency Rating of 66-80; Culvert replacement with no associated damage
- 6 Road widening to add paved shoulders or for safe passage, and/or roadway paving with full-depth base repair equal to or greater than 5% of roadway surface area; Intersection improvement to add turn lanes or realignment; Bridges with a General Appraisal of 4 or Sufficiency Rating of 51-65; Culverts with inadequate flow capacity
- 8 Complete roadway full-depth reconstruction (includes removal/replacement of base) or reclamation with/without drainage; Widening to add travel lanes; Intersection improvements to address excessive accident rate and/or inadequate level of service with Crash Reduction Factor ( $0.0 < CRF < 0.2$ ); Bridges with a General Appraisal of 3 or Sufficiency Rating of 26-50; Culverts with inadequate flow capacity and property damage (i.e. flooding)
- 10 Complete roadway reconstruction or reclamation with/without drainage with widening to add travel lanes; Intersection improvement to address excessive accident rate and/or inadequate level of service with Crash Reduction Factor ( $CRF \geq 0.2$ ); Bridges with General Appraisal of 2 or less, or Sufficiency Rating of less than 26; Culverts that are structurally deficient

B. Water, Wastewater, Storm Water, Solid Waste

- 0 Infrastructure to meet future or projected needs
- 2 Expanded infrastructure to meet specific development proposal
- 4 Infrastructure to meet current needs; Update processes to improve effluent or water quality; To remain in compliance with permit due to increased standards; Increase storm sewer capacity in which there is no associated land damage; Increase sanitary sewer capacity; Replace water meters as part of an upgrade
- 6 OEPA recommendations; District health board recommendations; Increase storm sewer capacity that has associated land damage; Replace undersized waterlines as part of upgrade; Install new meters or replace meters that have exceeded useful life
- 8 Replacement of storm or sanitary sewers due to chronic flooding, back-up, or property damage; Inflow and/or Infiltration; Inadequate capacity to maintain pressure required for fire flows; Replacement of waterlines or towers due to excessive corrosion
- 10 OEPA Findings & Orders, OEPA orders contained in permit, Consent Decree or Court Order; Structural separations (CSOs) Age and Condition of System to be repaired or replaced. This is a two-part criterion. (Maximum 10 points)

3. **Age & Condition of System to be repaired or replaced**

Part I – Age: This uses provided documentation for existing infrastructure. Documentation pertains to source documentation or from a compliant letter written by an eligible local official who can vouch for the time period during his/her term in office. If no documentation the default score is 1 point. (Maximum 5 points)

Life	20	30	50
Project Type	Road	Wastewater	Bridge/Culvert. Sanitary Sewer, Water, Storm Water, Solid Waste
Points			
0	New / Expansion	New / Expansion	New / Expansion
1	2015-2020	2012-2020	2005-2020
2	2010-2014	2005-2011	1994-2004
3	2005-2009	1997-2004	1982-1993
4	2000-2004	1990-1996	1970-1981
5	1999 or before	1989 or before	1969 or before

Part II – Condition (Maximum 5 points)

- 1 New/Expansion: New or expansion project components represent at least 50% of improvements
- 2 Expansion: New or expansion project components represent between 25% and 49% of improvements
- 3 Poor: Infrastructure requires repair to continue functioning as originally intended and/or upgrade to meet current design standards.
- 4 Critical: Infrastructure requires replacement to continue functioning as originally intended.
- 5 Failed: Not functioning

4. **Leveraging Ratio** – Local and all non-OPWC funding sources as a percentage of total funding. (Maximum 10 points)

	Repair/Replacement (Poor/Critical/Failed in Criterion 3)	New/Expansion (New/Expansion &/or Expansion in Criterion 3)
0	10 or less	50 or less
1	11-15	51-55
2	16-20	56-60
3	21-25	61-65
4	26-30	66-70
5	31-35	71-75
6	36-40	76-80
7	41-45	81-85
8	46-50	86-90
9	51-55	91-95
10	56 or more	96 or more

5. **Population Benefit** – Number of those to benefit directly from the improvement as a percentage of applicant's total population. (Maximum 5 points)

- 0 10% or less
- 1 25% - 11%
- 2 35% - 26%
- 3 45% - 36%
- 4 55% - 46%
- 5 56% or more

6. **District Priority Ranking as provided by District** (Maximum 10 points)

- 6 5<sup>th</sup> ranked district project
- 7 4<sup>th</sup> ranked district project
- 8 3<sup>rd</sup> ranked district project
- 9 2<sup>nd</sup> ranked district project
- 10 1<sup>st</sup> ranked district project

7. **Amount of OPWC funding requested** (Maximum 10 points)

- 0 \$500,000 or more
- 5 \$250,000 - \$499,999
- 10 249,999 or less

8. **Loan Request as a percentage of OPWC assistance** (Maximum 10 points)

- 1 15 - 29% of OPWC assistance
- 5 30 - 49% of OPWC assistance
- 10 50 - 100% of OPWC assistance

9. **Useful Life of Project** – Taken from engineer's useful life statement. (Maximum 5 points)

- 1 7 - 9 years
- 2 10 - 14 years
- 3 15 - 19 years
- 4 20 - 24 years
- 5 25 years or more

10. **Median Household Income** – Applicant's MHI as a percentage of the statewide MHI. Information derived from the most recent 5-year American Community Survey as published by the Ohio Development Services Agency. (Maximum 10 points)

- 2 110% or more
- 4 100% - 109%
- 6 90% - 99%
- 8 80% - 89%
- 10 79% or less

40,417  
-----  
54,533  
74%

**11. Readiness to Proceed (Maximum 10 points)**

Part I – Status of Plans – This uses the Small Government Commission’s Engineer’s Plan Status Certification. (Maximum 5 points)

- 0 Plans not yet begun
- 2 Surveying through Preliminary Design Completed (Items A-C)
- 5 Surveying through final construction plans, and secured permits and right-of-way as appropriate (Items A-H)

Part II – Status of Funding Sources – This uses source documentation including CFO certifications and loan letters. (Maximum 5 points)

- 0 All funds not yet committed
- 3 Applications submitted to funding entities
- 5 All funding committed



Ohio Public Works Commission

Small Government  
Capital Improvements Program

FY 22 / Round 35 Methodology - Rating Scales  
(July 1, 2021 Agreement Release)

Approved July 23, 2020

66  
HARRISON ST. Recon.

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