



September 11, 2020

Mr. Warren Schlatter, P.E.
Defiance County Engineer
510 Court Street, Ste. 201
Defiance, OH 43512

Re: West High Street Waterline Replacement & Pavement Resurfacing
OPWC Rd. 35 Application

Dear Mr. Schlatter:

We are pleased to submit the attached application for funding consideration through the Ohio Public Works Commission Round 35 for the West High Street Waterline Replacement & Pavement Resurfacing Project.

Please feel free to contact us at 419-784-2249 should you have any questions or require any additional information.

Sincerely,

Melinda K. Sprow, P.E.
CITY ENGINEER



State of Ohio
Public Works Commission
Application for Financial Assistance

IMPORTANT: Please consult "Instructions for Financial Assistance for Capital Infrastructure Projects" for guidance in completion of this form.

Applicant

Applicant: City of Defiance Subdivision Code: 039-21308
 District Number: 5 County: Defiance Date: 09/11/2020
 Contact: Melinda K. Sprow, PE Phone: (419) 784-2249
(The individual who will be available during business hours and who can best answer or coordinate the response to questions)
 Email: msprow@cityofdefiance.com FAX: (419) 784-5443

Project

Project Name: West High Street Waterline Replacement & Pavement Resurfacing Zip Code: 43512

Subdivision Type	Project Type	Funding Request Summary
<small>(Select one)</small>	<small>(Select single largest component by \$)</small>	<small>(Automatically populates from page 2)</small>
<input type="checkbox"/> 1. County	<input type="checkbox"/> 1. Road	Total Project Cost: <u>924,573 .00</u>
<input checked="" type="checkbox"/> 2. City	<input type="checkbox"/> 2. Bridge/Culvert	1. Grant: <u>325,000 .00</u>
<input type="checkbox"/> 3. Township	<input checked="" type="checkbox"/> 3. Water Supply	2. Loan: <u>0 .00</u>
<input type="checkbox"/> 4. Village	<input type="checkbox"/> 4. Wastewater	3. Loan Assistance/ Credit Enhancement: <u>0 .00</u>
<input type="checkbox"/> 5. Water (6119 Water District)	<input type="checkbox"/> 5. Solid Waste	Funding Requested: <u>325,000 .00</u>
	<input type="checkbox"/> 6. Stormwater	

District Recommendation (To be completed by the District Committee)

Funding Type Requested			
<small>(Select one)</small>	SCIP Loan - Rate: _____ % Term: _____ Yrs	Amount:	_____ .00
<input type="checkbox"/> State Capital Improvement Program	RLP Loan - Rate: _____ % Term: _____ Yrs	Amount:	_____ .00
<input type="checkbox"/> Local Transportation Improvement Program	Grant:	Amount:	_____ .00
<input type="checkbox"/> Revolving Loan Program	LTIP:	Amount:	_____ .00
<input type="checkbox"/> Small Government Program	Loan Assistance / Credit Enhancement:	Amount:	_____ .00
District SG Priority: _____			

For OPWC Use Only

STATUS _____	Grant Amount: _____ .00	Loan Type: <input type="checkbox"/> SCIP <input type="checkbox"/> RLP
Project Number: _____	Loan Amount: _____ .00	Date Construction End: _____
	Total Funding: _____ .00	Date Maturity: _____
Release Date: _____	Local Participation: _____ %	Rate: _____ %
OPWC Approval: _____	OPWC Participation: _____ %	Term: _____ Yrs

1.0 Project Financial Information (All Costs Rounded to Nearest Dollar)

1.1 Project Estimated Costs

Engineering Services

Preliminary Design:	_____	.00	
Final Design:	_____	.00	
Construction Administration:	_____	.00	
Total Engineering Services:	a.) _____	0 .00	_____ 0 %
Right of Way:	b.) _____	.00	
Construction:	c.) _____	840,573 .00	
Materials Purchased Directly:	d.) _____	.00	
Permits, Advertising, Legal:	e.) _____	.00	
Construction Contingencies:	f.) _____	84,000 .00	_____ 10 %
Total Estimated Costs:	g.) _____	924,573 .00	

1.2 Project Financial Resources

Local Resources

Local In-Kind or Force Account:	a.) _____	.00	
Local Revenues:	b.) _____	599,573 .00	
Other Public Revenues:	c.) _____	.00	
ODOT / FHWA PID: _____	d.) _____	.00	
USDA Rural Development:	e.) _____	.00	
OEPA / OWDA:	f.) _____	.00	
CDBG:	g.) _____	.00	
<input type="checkbox"/> County Entitlement or Community Dev. "Formula"			
<input type="checkbox"/> Department of Development			
Other: _____	h.) _____	.00	
Subtotal Local Resources:	i.) _____	599,573 .00	_____ 65 %

OPWC Funds (Check all requested and enter Amount)

Grant: <u>100</u> % of OPWC Funds	j.) _____	325,000 .00	
Loan: <u>0</u> % of OPWC Funds	k.) _____	.00	
Loan Assistance / Credit Enhancement:	l.) _____	0 .00	
Subtotal OPWC Funds:	m.) _____	325,000 .00	_____ 35 %
Total Financial Resources:	n.) _____	924,573 .00	_____ 100 %

1.3 Availability of Local Funds

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local resources required for the project will be available on or before the earliest date listed in the Project Schedule section. The OPWC Agreement will not be released until the local resources are certified. Failure to meet local share may result in termination of the project. Applicant needs to provide written confirmation for funds coming from other funding sources.

2.0 Repair / Replacement or New / Expansion

2.1 Total Portion of Project Repair / Replacement:	_____ 924,573 .00	_____ 100 %
2.2 Total Portion of Project New / Expansion:	_____ 0 .00	_____ 0 %
2.3 Total Project:	_____ 924,573 .00	_____ 100 %

A Farmland Preservation letter is required for any impact to farmland

3.0 Project Schedule

3.1 Engineering / Design / Right of Way	Begin Date: <u>01/01/2021</u>	End Date: <u>10/31/2021</u>
3.2 Bid Advertisement and Award	Begin Date: <u>11/01/2021</u>	End Date: <u>01/31/2022</u>
3.3 Construction	Begin Date: <u>03/01/2022</u>	End Date: <u>12/31/2022</u>

Construction cannot begin prior to release of executed Project Agreement and issuance of Notice to Proceed.

Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by project official of record and approved by the Commission once the Project Agreement has been executed.

4.0 Project Information

If the project is multi-jurisdictional, information must be consolidated in this section.

4.1 Useful Life / Cost Estimate / Age of Infrastructure

Project Useful Life: 50 Years Age: 1960 (Year built or year of last major improvement)

Attach Registered Professional Engineer's statement, with seal or stamp and signature confirming the project's useful life indicated above and detailed cost estimate.

4.2 User Information

Road or Bridge: Current ADT _____ Year _____ Projected ADT _____ Year _____

Water / Wastewater: Based on monthly usage of 4,500 gallons per household; attach current ordinances.

Residential Water Rate Current \$ _____ Proposed \$ _____

Number of households served: 31

Residential Wastewater Rate Current \$ _____ Proposed \$ _____

Number of households served: 31

Stormwater: Number of households served: _____

4.3 Project Description

- A: SPECIFIC LOCATION (Supply a written location description that includes the project termini; a map does not replace this requirement.) 500 character limit.

The proposed project will take place along West High Street between Clinton Street and the western Corporation Limit.

- B: PROJECT COMPONENTS (Describe the specific work to be completed; the engineer's estimate does not replace this requirement) 1,000 character limit.

The proposed project includes replacement of waterline along West High Street between Clinton Street & Harding Street. The project will also include pavement resurfacing along the path of the waterline replacement and will continue to the western corporation limit of the City. The work will include replacement of approximately 3300 LF of 8" waterline and all necessary appurtenances.

- C: PHYSICAL DIMENSIONS (Describe the physical dimensions of the existing facility and the proposed facility. Include length, width, quantity and sizes, mgd capacity, etc in detail.) 500 character limit.

The overall project length is approximately 9000 LF. The waterline replacement portion of the project is approximately 3300 LF.

5.0 Project Officials

Changes in Project Officials must be submitted in writing from an officer of record.

5.1 Chief Executive Officer (Person authorized in legislation to sign project agreements)

Name: Jeff Leonard
Title: City Administrator
Address: 631 Perry Street

City: Defiance State: OH Zip: 43512
Phone: (419) 784-2101
FAX: (419) 784-3223
E-Mail: jleonard@cityofdefiance.com

5.2 Chief Financial Officer (Can not also serve as CEO)

Name: John Lehner
Title: Finance Director
Address: 631 Perry Street

City: Defiance State: OH Zip: 43512
Phone: (419) 784-3193
FAX: (419) 782-3401
E-Mail: jlehner@cityofdefiance.com

5.3 Project Manager

Name: Melinda K. Sprow, P.E.
Title: City Engineer
Address: 631 Perry Street

City: Defiance State: OH Zip: 43512
Phone: (419) 784-2249
FAX: (419) 784-5443
E-Mail: msprow@cityofdefiance.com

6.0 Attachments / Completeness review

Confirm in the boxes below that each item listed is attached (Check each box)

- A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- A certification signed by the applicant's chief financial officer stating the amount of all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's seal or stamp and signature.
- A cooperative agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- Farmland Preservation Review - The Governor's Executive Order 98-IV, "Ohio Farmland Protection Policy" requires the Commission to establish guidelines on how it will take protection of productive agricultural and grazing land into account in its funding decision making process. Please include a Farm Land Preservation statement for projects that have an impact on farmland.
- Capital Improvements Report. CIR Required by O.R.C. Chapter 164.06 on standard form.
- Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your local District Public Works Integrating Committee.

7.0 Applicant Certification

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.

Jeff Leonard, City Administrator

Certifying Representative (Printed form, Type on Print Name and Title)

Original Signature / Date Signed

ORDINANCE NO. 8240

AN ORDINANCE DIRECTING THE CITY ADMINISTRATOR TO APPLY FOR A GRANT FROM THE OHIO PUBLIC WORKS COMMISSION TO ASSIST WITH THE REPLACEMENT COSTS FOR THE WATER LINES AND SURFACE OF WEST HIGH STREET AND DECLARING AN EMERGENCY

WHEREAS, the water lines along West High Street between Clinton Street and Harding Street are in need of complete replacement; and,

WHEREAS, the City intends to resurface West High Street between Clinton Street and the western corporation limit (U.S. 24 overpass); and,

WHEREAS, the project may qualify for funding in the amount of \$325,000.00 from the Ohio Public Works Commission ("OPWC"); and,

WHEREAS, the City requires outside funding for this project in order to make it viable; and,

WHEREAS, Council finds that the infrastructure improvements at this intersection promote the Connectivity Pillar of the Defiance Community Strategic Plan;

Now therefore, be it enacted by the Council of the Municipality of Defiance, Ohio, that:

Section 1: The City Administrator is directed to make application to the OPWC for a grant of \$325,000.00 to be applied towards the total cost of the West High Street water line and surface replacement.

Section 2: The City Administrator is authorized to enter into any contracts or agreements as may be necessary and appropriate for obtaining this financial assistance.

Section 3: It is found and determined that all legislative actions pertaining to the adoption of this Ordinance were taken in Public Session and that all deliberations that affected or influenced any such legislative act, including all deliberations in Committee, were conducted in Public Session or in Executive Session duly convened in accordance with law.

Section 4: This Ordinance is declared to be an emergency measure necessary to preserve the health, safety, or welfare of the community for the reason that the funding application is due to the Defiance County Engineer no later than September 11, 2020. As such, this Ordinance shall be effective immediately upon passage by an affirmative vote of not less than five (5) Members of Council and approval of the Mayor.

Passed: September 1, 2020
7

Votes in Favor of Adoption: 0
Votes Opposed to Adoption:

Attest: Lisa Elders, Clerk

Approved: September 1, 2020

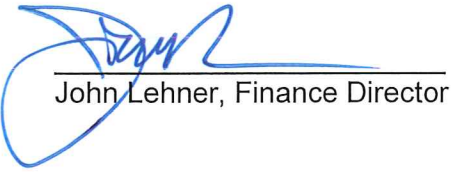
David McMaster
President of Council

Michael McCann
Mayor

CHIEF FINANCIAL OFFICER'S CERTIFICATION OF LOCAL FUNDS

September 11, 2020

I, John Lehner, Finance Director of the City of Defiance, hereby certify that the City of Defiance has the amount of \$599,573 in the General Fund and Water Fund and that this amount will be used to pay the local share for the West High Street Waterline Replacement & Pavement Resurfacing Project when it is required.



John Lehner, Finance Director

CITY OF DEFIANCE
WEST HIGH STREET WATERLINE REPLACEMENT
ROUND 35 OPWC FUNDING APPLICATION

ENGINEER'S OPINION OF PROBABLE PROJECT COSTS				
ITEM	QUANTITY	UNIT	UNIT PRICE	COST
Mobilization	1	LS	\$25,000.00	\$ 25,000.00
Traffic Maintenance	1	LS	\$10,000.00	\$ 10,000.00
8" Waterline, Under Pavement	3300	LF	\$75.00	\$247,500.00
Fire Hydrant Assembly	9	EA	\$5,500.00	\$49,500.00
8" x 8" Tapping Sleeve & Valve	7	EA	\$6,000.00	\$42,000.00
Service Connections	31	EA	\$2,000.00	\$62,000.00
8" Valves	21	EA	\$2,500.00	\$52,500.00
Intersection Connection Tie-Ins	7	EA	\$5,000.00	\$35,000.00
Pavement Planing	24393	SY	\$2.50	\$60,982.50
Tack Coat	2440	GAL	\$2.25	\$5,490.00
1 1/2" 448 Asphalt Surface Course, Type 1	1020	CY	\$205.00	\$209,100.00
Pavement Markings	1	LS	\$11,500.00	\$11,500.00
Full Depth Pavement Repairs	1	LS	\$20,000.00	\$20,000.00
Compaction Testing	1	LS	\$10,000.00	\$10,000.00
			SUBTOTAL	\$840,573
			10% Contingency	\$84,000.00
			TOTAL	\$924,573

The estimated total life of the East High Street Waterline Replacement is 50 years.

Melinda K. Sprow

Melinda K. Sprow, P.E.

9/10/2020

Date



(Stamp/Seal)

License Look Up

9/11/2020 12:16 PM

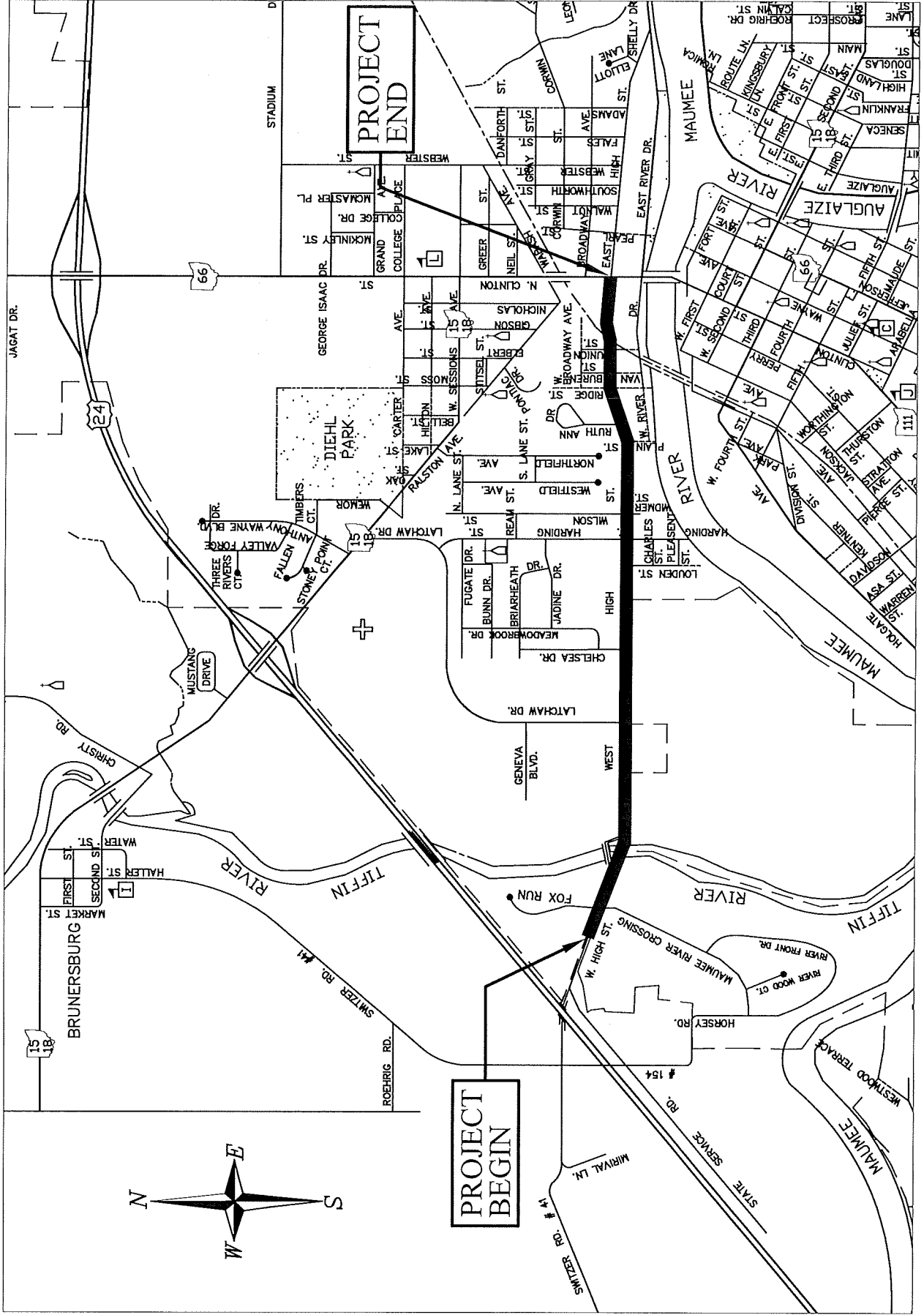
Melinda Kay Sprow

Status	Active
Sub-Status	
Board	Engineers and Surveyors Board
License Type	Professional Engineer
License Number	PE.68253
License Issue Date	06/25/2003
License Expiration Date	12/31/2021
License Effective Date	01/01/2020
City	Defiance
State	OH
Country	United States
Board Action	No

Current date & time: 9/11/2020 12:16 PM

Disclaimer: The Joint Commission and NCQA consider on-line status information as fulfilling the primary source verification requirement for verification of licensure in compliance with their respective credentialing standards.

WEST HIGH STREET WATER LINE REPLACEMENT/PAVEMENT RESURFACING
CITY OF DEFIANCE, OHIO
JOB #1914



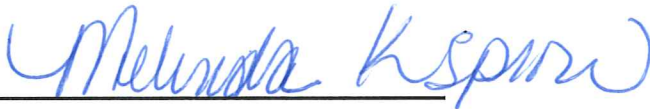
LOCATION MAP

MEMO

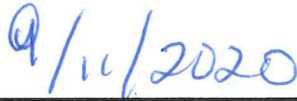
September 11, 2020

Re: West High Street Waterline Replacement & Pavement Resurfacing
Additional Project Information

The proposed project is needed due to the age and condition of the existing waterlines. The existing waterlines were installed in the 1950's & 1960's making them 60 to 70 years old. There have been approximately 2 leaks per year for each of the last 5 years within the project replacement area. The City has also received 14 dirty water complaints in the last 5 years.



Melinda K. Sprow, P.E.



date

**DISTRICT 5
CAPITAL IMPROVEMENT PROJECTS
QUESTIONNAIRE
ROUND 35**

Name of Applicant: City of Defiance
Project Title: West High Street Waterline Replacement & Pavement Resurfacing

The following questions are to be answered for each application submitted for State Issue II SCIP, LTIP and Loan Projects. Please provide specific information using the best documentation available to you. Justification of your responses to these questions will be required if your project is selected for funding, so please provide correct and accurate responses. **Communities and Townships under 5,000 in population should also complete the Small Government Criteria.**

1. What percentage of the project in repair A= __%, replacement B=100%, expansion C= __%, and new D= __%? (Use dollar amounts of project to figure percentages and make sure the total equals one hundred(100) percent) A+B= __% C+D= __% **ORC Reference(s):164.06(B)(1); 164.14(E)(10)**

Repair/Replacement = Repair or Replacement of public facilities owned by the government (any subdivision of the state).

New/Expansion = Replacement of privately owned wells, septic systems, private water or wastewater systems, etc.

- 2a. Existing Physical Condition of Infrastructure **ORC Reference(s):164.06(B)(2);164.14(E)(9);164.14(E)(2); 164.14(E)(8)**

Points	Category	Description	Examples
10	Failing	Infrastructure has reached a point where it requires replacement, reconstruction or reconfiguration to fulfill its purpose	-Intersection Reconfiguration due to accident problem- Structural paving of 3.5" or greater of additional pavement - Pavement Widening to meet ODOT L&D Standards - Complete Pavement Reconstruction - Water or Sewer Line Replacement - Water or Sewer Plant Replacement - Widening graded shoulder width to ODOT L&D Standard -Complete Bridge or Culvert replacement
8	Poor	The condition is substandard and requires repair or restoration in order to return to the intended level of service and comply with current design standards. Infrastructure contains deficiency and is functioning at a diminished capacity.	-Multiple course of paving - Structural Culvert Lining - Bridge Deck Replacement - Replacement of a significant part of a water or sewer plant - Single course of paving with 25% base repair-Widening graded shoulder width to less than ODOT L&D Standard

6	Fading	The condition requires reconditioning to continue to function as originally intended.	-Single course of paving -Sewer Lining Projects -Water tower painting -Replacement of pumps, hydrants, valves, filters, etc in existing water and sewer systems-Widening aggregate berm on existing graded shoulder width
4	Fair	The condition is average, not good or poor. The infrastructure is still functioning as originally intended. Minor deficiencies exist requiring repair to continue to function as originally intended and/or to meet current design standards	
2	Good	The condition is safe and suitable to purpose. Infrastructure is functioning as originally intended, but requires minor repairs and/or upgrades to meet current design standards	
0	Excellent	The condition is new or requires no repair. Or, no supporting documentation has been submitted	

2b. Age of Infrastructure **ORC Reference(s):164.06(B)(2)**

Life	20	30	50
Project Type	Road	Wastewater and Water Treatment	Bridge/Culvert, Sanitary Sewer, Water Supply, Storm Water, Solid Waste
Points			
0	0-4 Years	0-6 Years	0-10 Years
1	5-8 Years	7-12 Years	11-20 Years
2	9-12 Years	13-18 Years	21-30 Years
3	13-16 Years	19-24 Years	31-40 Years
4	17-20 Years	25-30 Years	41-50 Years
5	20+ Years	30+ Years	50+ Years

3. Health and Safety Rating: **ORC Reference(s):164.06(B)(4),164.14(E)(1); 164.14(E)(10)**

If the proposed project is not approved what category would best represent the impact on the general health and/or public safety?

ROADS

Extremely Critical: Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Major Access Road.*

Critical: Resurfacing, Restoration and Rehabilitation (3R) of a Major Access Road.*

Major:	Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Minor Access Road.*
Moderate:	Resurfacing, Restoration and Rehabilitation (3R) of a Minor Access Road.*
Minimal:	Preventative Maintenance of a Major Access Road.
No Impact:	Preventative Maintenance of a Minor Access Road.

Projects that have a variety of work will be scored in the **LOWEST** category of work contained in the Construction Estimate.

Road/Street Classifications:

<i>Major Access Road:</i>	<i>Roads or streets that have a dual function of providing access to adjacent properties and providing through or connecting service between other roads.</i>
<i>Minor Access Road:</i>	<i>Roads or streets that primarily provide access to adjacent properties without through continuity, such as cul-de-sacs or loop roads or streets.</i>
<i>Preventative Maintenance:</i>	<i>Non Structural Pavement work such as chip sealing, cape sealing, micro-surfacing, crack sealing, etc.</i>

*(3R) Resurfacing, Restoration and Rehabilitation - Improvements to existing roadways, which have as their main purpose, the restoration of the physical features (pavement, curb, guardrail, etc.) without altering the original design elements. (Surface and Intermediate layer Mill and Fills, overlays with less than or equal to 3.5" of additional pavement, etc....)

*(4R) Resurfacing, Restoration, Rehabilitation and Reconstruction - Much like 3R, except that 4R allows for the complete reconstruction of the roadway and alteration of certain design elements (i.e., lane widths, shoulder width, SSD, overlays with greater than 3.5" of additional pavement. etc.).

BRIDGES SUFFICIENCY RATING

Extremely Critical:	0-25, or a General Appraisal rating of 3 or less.
Critical:	27-50, or a General Appraisal rating of 4.
Major:	51-65 or a General Appraisal rating of 5 or 6.
Moderate:	66-80 or a General Appraisal rating of 7.
Minimal:	81-100 or a General Appraisal rating of more than 7.
No Impact:	Bridge on a new roadway.

WASTEWATER TREATMENT PLANTS

Extremely Critical:	Environmental Protection Agency (EPA) orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
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- Critical: Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: Replace deficient appurtenances. Update existing processes due to EPA recommendations.
- Moderate: Increase capacity to meet current needs or update processes to improve effluent quality.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER TREATMENT PLANT

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Improvements to meet Environmental Protection Agency (EPA) Safe Drinking Water Regulations and/or NPDES Orders.
- Major: Replace deficient appurtenances. Update existing processes due to EPA recommendations.
- Moderate: Increase capacity to meet current needs or update processes to improve water quality.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

COMBINED SEWER SEPARATIONS (May be construction of either new storm or sanitary sewer as long as the result is two separate sewer systems.)

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
- Critical: Separate, due to chronic backup or flooding in basements.
- Major: Separate, due to documented water quality impairment, or due to EPA recommendations.
- Moderate: Separate, due to specific development proposal within or upstream of the combined system area.
- Minimal: Separate, to conform to current design standards.
- No Impact: No positive health effect.

STORM SEWERS

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Chronic flooding (structure damage).
- Major: Inadequate capacity (land damage).
- Moderate: Inadequate capacity with no associated damage.
- Minimal: New/Expansion to meet current needs.
- No Impact: New/Expansion to meet future or project needs.

CULVERTS

- Extremely Critical: Structurally deficient or functionally obsolete. Deterioration has already caused a safety Critical: hazard to the public.
- Critical: Inadequate capacity with land damage and the existing or high probability of property damage.
- Major: Inadequate capacity (land damage).
- Moderate: Inadequate capacity with no associated damage.
- Minimal: New/Expansion to meet current needs.
- No Impact: New/Expansion to meet future or projected needs.

SANITARY SEWERS

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
- Critical: Replace, due to chronic pipe failure, chronic backup or flooding in basements. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: Replace, due to inadequate capacity or infiltration, or due to EPA recommendations.
- Moderate: Rehabilitate to increase capacity to meet current needs or to reduce inflow and infiltration.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

SANITARY LIFT STATIONS AND FORCE MAINS

- Extremely Critical: Structurally deficient. Deterioration has already caused a safety/health hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Inadequate capacity with actual or a high probability of property damage. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: EPA recommendations, or, reduces a probable health and/or safety problem.
- Moderate: Rehabilitate to increase capacity to meet current needs.
- Minimal: New/Expansion to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER PUMP STATIONS

- Extremely Critical: Structurally deficient. Deterioration has already caused a safety hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Inadequate capacity with the inability to maintain pressure required for fire flows.
- Major: Replace due to inadequate capacity or EPA recommendations.
- Moderate: Rehabilitate to increase capacity to meet current needs.
- Minimal: New/Expansion to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER LINES/WATER TOWERS

- Extremely Critical: Solve low water pressure or excessive incidents of main breaks in project area.
- Critical: Replace, due to deficiency such as excessive corrosion, etc.
- Major: Replace undersized water lines as upgrading process.
- Moderate: Increase capacity to meet current needs.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

OTHER

- Extremely Critical: There is a present health and/or safety threat.

- Critical: The project will provide immediate health and/or safety benefit.
- Major: The project will reduce a probable health and/or safety problem.
- Moderate: The project will delay a health and/or safety problem.
- Minimal: A possible future health and/or safety problem mitigation.
- No Impact: No health and/or safety effect.

NOTE: Combined projects that can be rated in more than one subset may be rated in the other category at the discretion of the District 5 Executive Committee. In general, the majority of the cost or scope of the project shall determine the category under which the project will be scored.

(Submittals without supporting documentation will receive 0 Points for this question.)

Extremely Critical X, Critical ____, Major ____, Moderate ____, Minimal ____, No Impact ____. Explain your answer.

(Additional narrative, charts and/or pictures should be attached to questionnaire)

4. Identify the amount of local funds that will be used on the project as a percentage of the total project cost. **ORC Reference 164.06(B)(6); ORC 164.06(B)(3)**

A.) Amount of Local Funds = \$ 599,573

B.) Total Project Cost = \$ 325,000

RATIO OF LOCAL FUNDS DIVIDED by TOTAL PROJECT COSTS (A ÷ B) = 65 %

Note: Local funds should be considered funds derived from the applicant budget or loans funds to be paid back through local budget, assessments, rates or tax revenues collected by the applicant.

5. Identify the amount of other funding sources to be used on the project, excluding SCIP or LTIP Funds, as a percentage of the total project cost. **ORC Reference(s): 164.06(B)(7); 164.14(E)(4)**

Grants ____% Gifts ____%, Contributions ____%

Other ____% (explain) _____, Total 0 %

Note: Grant funds and other revenues not contributed or collected through taxes by the applicant should be considered other funds. The Scope of Work for each Funding Source must be the same.

6. Total Amount of SCIP and Loan Funding Requested- An Applicant can request a grant per the categories below for points as indicated on the Priority Rating Sheet. If the Applicant is including a loan request equal to, but not exceeding 50% of the OPWC funding amounts listed below, there will be no point penalty. If loan funds requested are more than 50%, points as listed in the Priority Rating Sheet will apply. **ORC Reference(s): 164.14(E)(10); 164.06(B)(5)**

- _____ \$500,001 or More
- _____ \$400,001-\$500,000
- _____ \$325,001-\$400,000
- _____ \$275,001-\$325,000
- _____ \$175,001-\$275,000
- _____ \$175,000 or Less

There are times when the District spends all of the grant money and has loan money remaining. When this happens, the district makes a loan offer in the amount of the requested grant to the communities that were not funded. The offers are made in the order of scoring. We need to know if you are not successful in obtaining grant dollars for your project if you would be interested in loan money:

YES _____ NO X

(This will only be considered if you are not funded with grant money and there is remaining loan money.) **Please note: if you answer “no” you will not be contacted, only if you answer “yes” will an offer be made in the event that there is loan money remaining.**

7. If the proposed project is funded, will its completion directly result in the creation of permanent full-time equivalent (FTE) jobs (FTE jobs shall be defined as 35 hours/week) ? Yes ___ No X. If yes, how many jobs within eighteen months? ___ Will the completed project retain jobs that would otherwise be permanently lost? Yes ___ No X. If yes, how many jobs _____ **will be created/retrained** within 18 months **following the completion of the improvements?**

ORC Reference(s): 164.14(E)(3);164.14(E)(10)

(Supporting documentation in the form of letter from affected industrial or commercial enterprises that specify full time equivalent jobs that will be retained or created directly by the installation or improvement of Public infrastructure. Additional items such as; 1) newspaper articles or other media news accounts, 2) public meeting minutes, and/or 3) a letter from the County Economic Development Director or State of Ohio Economic Development Professional that alludes to the requirement for the infrastructure improvement to support the business. Submittals without supporting documentation will receive 0 points for this question.)

8. What is the total number of existing users that will directly benefit from the proposed project if completed? 31 (Use households served, traffic counts, etc. and explain the basis by which you arrived at your number.) **_ORC Reference 164.14(E)(7); 164.06(B)(10)**

9. Economic Distress Criteria **ORC Reference 164.06(B)(8)**

What is the Local Median Household Income as a percentage of the District Median Household Income? 85.37 %. Please utilize the Economic Distress Scoring Criteria based on ACS 2013-2017 Data provided in Exhibit A.

10. Readiness to Proceed Criteria **ORC Reference 164.06(B)(9); ORC 164.14(E)(5)**

Please categorize the status of planning and design elements for the project.

- _____ Plans have not begun yet (0 Points)
- X Preliminary Engineering Complete (1 Point)
- _____ Final Design Complete (2 Points)

11. Base Score Total for Questions 1-10= 82

12. County Subcommittee Priority Points= _____
(25-20-15 Points for each of the SCIP and LTIP Project Categories)

13. DISCRETIONARY POINTS (BY DISTRICT COMMITTEE ONLY)

13a. A **District Discretionary Point** may be awarded to projects that demonstrate significant Area-wide, County, or Community Impact. (Include documentation to support the claim of significance)
(Maximum of 1 Point at the discretion of the District Executive Committee) _____

ORC Reference 164.14(E)(7)

13b. A **District Discretionary Point** may be awarded to projects that demonstrate that the entity has maximized local financial resources including assessments. Provide a Fund Status Report and/or the water and sanitary waste utility rate structures are at least 2.5% of area median household income for combined systems and 1.5% of the area median household income for water and sanitary only systems. Please provide rate ordinances for water and sanitary sewer to be considered for discretionary points. (Maximum of 1 Point at the discretion of the District 5 Executive Committee) _____ **ORC Reference 164.06(B)(3)**

14. **Grand Total of Points** _____

15. Is subdivision's population less than 5,000 Yes No ___ If yes, continue. You may want to design your project per Small Government Project Evaluation Criteria, released for the current OPWC Round to assist in evaluating your project for potential Small Government Funding. The Small Government Criteria is available on the OPWC website at

<https://www.pwc.ohio.gov/Portals/0/Data/SmallGovernment%20Round%2035%20Methodology.pdf?ver=2019-08-07-071749-143>

16. **OHIO PUBLIC WORKS COMMISSION SMALL GOVERNMENT PROGRAM GUIDELINES**

All projects that are sponsored by a subdivision with a population of 5,000 or less, and not earning enough points for District Funding from SCIP or LTIP Funds, are then rated using the Small

Government Program Rating Criteria for the corresponding funding round. In order to be rated the entity must submit the Small Government Supplement and their required budgets with their application. **Only infrastructure that is village- or township- owned is eligible for assistance.** The following policies have been adopted by the Small Government Commission:

- District Integrating Committees may submit up to seven (7) applications for consideration by the Commission. All 7 must be ranked, however, only the top five (5) will be scored. The remaining two (2) will be held as contingency projects should an application be withdrawn.
- Grants are limited to \$500,000. Any assistance above that amount must be in the form of a loan.
- Grants for new or expanded infrastructure cannot exceed 50% of the project estimate.
- The Commission may deny funding for water and sewer systems that are deemed to be more cost-effective if regionalized.
- If a water or sewer project is determined to be affordable, the project will be offered a loan rather than a grant. Pay special attention to the **Water & Wastewater Affordability Supplemental and the Small Government Water & Wastewater Affordability Calculation Worksheet. Both are available on the Small Government Program Tab at <https://www.pwc.ohio.gov/Programs/Infrastructure-Programs/Small-Government>**
- Should there be more projects that meet the “annual score” than there is funding, the tie breaker is those projects which scored highest under Health & Safety, with the second tie breaker being Condition. If multiple projects have equivalent Health & Safety and Condition scores they are arranged according to the amount of assistance from low to high. Once the funded projects are announced, “contingency projects” may be funded from project under-runs by continuing down the approved project list.
- Supplemental assistance is not provided to projects previously funded by the Commission.
- Applicants have 30 days from receipt of application by OPWC without exception to provide additional documentation to make the application more competitive under the Small Government criteria. Applications will be scored after the 30-day period has expired. The applicants for each District's two (2) contingency projects will have the same 30-day period to submit supplemental information but these applications will not be scored unless necessary to do so. **It is each applicant's responsibility for determining the need for supplemental material. The applicant will not be asked for or notified of missing information unless the Commission has changed the project type and it affects the documentation required. Important information may include, but is not limited to: age of infrastructure, traffic counts or utility users, median income information, user rates ordinances, and the Auditor's Certificate of Estimated Revenues or documentation from the Auditor of State that subdivision is in a state of fiscal emergency.**

If you desire to have your Round 35 project considered for Small Government Funding please download the Small Government Evaluation Criteria applicable to Round 35 by accessing the OPWC Website at

<https://www.pwc.ohio.gov/Portals/0/Data/SmallGovernment%20Round%2035%20Methodology.pdf?ver=2019-08-07-071749-143>

Please complete the Small Government Evaluation Criteria and attach all required supporting documentation and attach it to the District 5 Questionnaire for Round 35.

Date: 9/11/2020

Signature: Munira K Sprow

Title: City Engineer

Address: 631 Perry St Defiance, OH 43512

Phone: 419 784 2249

FAX: _____

Email: mspro@cityofdefiance.com

District 5
Capital Improvement Project
Priority Rating Sheet, Round 35

COUNTY: DEFIANCE		PROJECT: WEST HIGH ST WATERLINE & PAVEMENT RESURFACING		PROJECT NUMBER:												
EST. COST: \$924,573																
No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS					"A" x "B"	PRIORITY FACTORS						No.	
			0	2	4	6	8		10	0	2	4	6	8		10
1	1	(REPAIR OR REPLACE) vs. (NEW OR EXPANSION)						x 10	0%+	20%+	40%+	60%+	80%+	100%+	1	
									Repair or Replacement	Repair or Replacement	Repair or Replacement	Repair or Replacement	Repair or Replacement	Repair or Replacement		
2A	1	EXISTING PHYSICAL CONDITION Please refer to Criteria #2 of the Round 35 Scoring Methodology. Must submit substantiating documentation. (100% New or Existing = 0 Points)						x 10	0	2	4	6	8	10	2A	
									Excellent	Good	Fair	Fading	Poor	Failing		
2B	1	AGE						x 5	Type	0	1	2	3	4	5	2B
									Road	0-4 Yrs	5-8 Yrs	9-12 Yrs	13-16 Yrs	17-20 Yrs	20+ Yrs	
									Wastewater	0-6 Yrs	7-12 Yrs	13-18 Yrs	19-24 Yrs	25-30 Yrs	30+ Yrs	
									Bridge/Culvert, Sanitary Sewer, Water Supply, Storm Water, Solid Waste	0-10 Yrs	11-20 Yrs	21-30 Yrs	31-40 Yrs	41-50 Yrs	50+ Yrs	
3	2	PUBLIC HEALTH AND/OR SAFETY CONCERNS Submittals without supporting documentation will receive 0 points for this question.						x 20	0	2	4	6	8	10	3	
									No Impact	Minimal	Moderate	Major	Critical	Extremely Critical		
4	2	LOCAL MATCHING FUNDS Percentage of Local Share (Local funds are funds derived from the applicant budget or a loan to be paid back through the applicant budget, assessments, rates or tax revenues)*						x 20	0	2	4	6	8	10	4	
									0%	10%	20%	30%	40%	50%		
5	1	OTHER FUNDING (Excluding Issue II Funds) (Grants and other revenues not contributed or collected through taxes by the applicant; including Gifts, Contributions, etc. - must submit copy of award or status letter.)						0	x	10%	20%	30%	40%	50%	5	
									0%	10%	20%	30%	40%	50%		
6	2	OPWC GRANT AND LOAN FUNDS REQUESTED Please refer to Criteria #6 of the Round 35 Methodology for clarification.													6	
		Grant or Loan Only	-9	-8	0	9	10	16	-9	-8	0	8	9	10	6	
		Grant/Loan Combination	-9	-8	0	8	10	0	\$500,001 or more	\$400,001 to \$500,000	\$325,001 to \$400,000	\$275,001 to \$325,000	\$175,001 to \$275,000	\$175,000 or less	6	
									\$750,000 or more	\$600,001 to \$750,000	\$487,501 to \$600,000	\$412,501 to \$487,500	\$262,501 to \$412,500	\$262,500 or less	6	
When scoring a project that is only grant or only loan, please use the chart labeled "Grant or Loan Only". When scoring a grant/loan combination, score the project for the grant in the first chart, then use the second chart labeled "Grant/Loan Combination" to score the total (grant and loan combined). Use the lower of the two as the score.																
7	1	JOB CREATION/RETENTION Indicate full time equivalent jobs, include supporting documentation in the form of a commitment letter from business or third party entity.						0	x	7-14 Jobs	15-24 Jobs	25+ Jobs			7	
									0-6 Jobs	7-14 Jobs	15-24 Jobs	25+ Jobs				
8	1	BENEFIT TO EXISTING USERS (households or traffic counts) List existing users, utility connections. Traffic Counts within two years with certified documentation, etc.						0	x	0-99 Users	100 - 349 Users	350 - 499 Users	500 - 749 Users	750 - 1000 Users	1000+ Users	8
									0	2	4	6	8	10		
9	1	ECONOMIC DISTRESS Local MHI as a percentage of the District Median MHI						0	x	100%+	80%-100%	Less Than 80%			9	
									0	1	2					
10	1	READINESS TO PROCEED						0	x	Plans Not Begun Yet	Preliminary Engineering Complete	Final Design Complete			10	
									0	1	2					
11		SUBTOTAL RANKING POINTS (MAX. = 115)						83	Other Info: Does this project have a significant impact on productive farmland? YES NO Attach impact statement if yes. Is the Applicant ready to proceed to bids after State Approval within 6 months? YES NO							
12		COUNTY SUBCOMMITTEE PRIORITY POINTS (25-20-15)							Distinct Discretionary Point may be awarded to projects that demonstrate significant Area-wide, County, or Community Impact. Include documentation to support the claim of significance.							
13A		DISCRETIONARY POINTS (BY DISTRICT ONLY) (MAX.=1)							District Discretionary Point may be awarded to projects that demonstrate that the entity has maximized financial resources including assessments and utility rate structure.							
13B		DISCRETIONARY POINTS (BY DISTRICT ONLY) (MAX.=1)														
14		GRAND TOTAL RANKING POINTS														

* Applicants must certify local and other share contributions. Specify, all funding sources to be utilized as local share at the time of application submittal.