

State of Ohio
Public Works Commission
Application for Financial Assistance

IMPORTANT: Please consult "Instructions for Financial Assistance for Capital Infrastructure Projects" for guidance in completion of this form.

Applicant

Applicant: Village of Swanton Subdivision Code: 051-75896

District Number: 5 County: Fulton Date: 09/07/2018

Contact: Rosanna Hoelzle Phone: (419) 826-0701
(The individual who will be available during business hours and who can best answer or coordinate the response to questions)

Email: rhoelzle@villageofswantonohio.us FAX: _____

Project Name: Project 10 - Brookside, Woodside, Parkside Combined Sewer Separation Zip Code: 43558

Project

Subdivision Type	Project Type	Funding Request Summary
<small>(Select one)</small>	<small>(Select single largest component by \$)</small>	<small>(Automatically populates from page 2)</small>
<input type="checkbox"/> 1. County	<input type="checkbox"/> 1. Road	Total Project Cost: <u>606,440.00</u>
<input type="checkbox"/> 2. City	<input type="checkbox"/> 2. Bridge/Culvert	1. Grant: <u>87,500.00</u>
<input type="checkbox"/> 3. Township	<input type="checkbox"/> 3. Water Supply	2. Loan: <u>87,500.00</u>
<input checked="" type="checkbox"/> 4. Village	<input checked="" type="checkbox"/> 4. Wastewater	3. Loan Assistance/ Credit Enhancement: <u>0.00</u>
<input type="checkbox"/> 5. Water (6119 Water District)	<input type="checkbox"/> 5. Solid Waste	Funding Requested: <u>175,000.00</u>
	<input type="checkbox"/> 6. Stormwater	

District Recommendation (To be completed by the District Committee)

Funding Type Requested	SCIP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<small>(Select one)</small>		
<input type="checkbox"/> State Capital Improvement Program	RLP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<input type="checkbox"/> Local Transportation Improvement Program	Grant:	Amount: _____ .00
<input type="checkbox"/> Revolving Loan Program	LTIP:	Amount: _____ .00
<input type="checkbox"/> Small Government Program	Loan Assistance / Credit Enhancement:	Amount: _____ .00
District SG Priority: _____		

For OPWC Use Only

STATUS	Grant Amount: _____ .00	Loan Type: <input type="checkbox"/> SCIP <input type="checkbox"/> RLP
Project Number: _____	Loan Amount: _____ .00	Date Construction End: _____
_____	Total Funding: _____ .00	Date Maturity: _____
Release Date: _____	Local Participation: _____ %	Rate: _____ %
OPWC Approval: _____	OPWC Participation: _____ %	Term: _____ Yrs

1.0 Project Financial Information (All Costs Rounded to Nearest Dollar)

1.1 Project Estimated Costs

Engineering Services

Preliminary Design:	_____	.00	
Final Design:	_____	.00	
Construction Administration:	_____	.00	
Total Engineering Services:	a.) _____	<u>0</u> .00	<u>0</u> %
Right of Way:	b.) _____	.00	
Construction:	c.) _____	<u>606,440</u> .00	
Materials Purchased Directly:	d.) _____	.00	
Permits, Advertising, Legal:	e.) _____	.00	
Construction Contingencies:	f.) _____	.00	<u>0</u> %
Total Estimated Costs:	g.) _____	<u>606,440</u> .00	

1.2 Project Financial Resources

Local Resources

Local In-Kind or Force Account:	a.) _____	.00	
Local Revenues:	b.) _____	<u>431,440</u> .00	
Other Public Revenues:	c.) _____	.00	
ODOT / FHWA PID: _____	d.) _____	.00	
USDA Rural Development:	e.) _____	.00	
OEPA / OWDA:	f.) _____	.00	
CDBG:	g.) _____	.00	
<input type="checkbox"/> County Entitlement or Community Dev. "Formula"			
<input type="checkbox"/> Department of Development			
Other: _____	h.) _____	.00	
Subtotal Local Resources:	i.) _____	<u>431,440</u> .00	<u>71</u> %

OPWC Funds (Check all requested and enter Amount)

Grant: <u>50</u> % of OPWC Funds	j.) _____	<u>87,500</u> .00	
Loan: <u>50</u> % of OPWC Funds	k.) _____	<u>87,500</u> .00	
Loan Assistance / Credit Enhancement:	l.) _____	<u>0</u> .00	
Subtotal OPWC Funds:	m.) _____	<u>175,000</u> .00	<u>29</u> %
Total Financial Resources:	n.) _____	<u>606,440</u> .00	<u>100</u> %

1.3 Availability of Local Funds

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local resources required for the project will be available on or before the earliest date listed in the Project Schedule section. The OPWC Agreement will not be released until the local resources are certified. Failure to meet local share may result in termination of the project. Applicant needs to provide written confirmation for funds coming from other funding sources.

2.0 Repair / Replacement or New / Expansion

2.1 Total Portion of Project Repair / Replacement:	<u>606,440</u> .00	<u>100</u> %
2.2 Total Portion of Project New / Expansion:	<u>0</u> .00	<u>0</u> %
2.3 Total Project:	<u>606,440</u> .00	<u>100</u> %

A Farmland Preservation letter is required for any impact to farmland.

3.0 Project Schedule

3.1 Engineering / Design / Right of Way	Begin Date: <u>09/01/2018</u>	End Date: <u>05/01/2019</u>
3.2 Bid Advertisement and Award	Begin Date: <u>05/01/2019</u>	End Date: <u>07/01/2019</u>
3.3 Construction	Begin Date: <u>07/01/2019</u>	End Date: <u>07/01/2020</u>

Construction cannot begin prior to release of executed Project Agreement and issuance of Notice to Proceed.

Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by project official of record and approved by the Commission once the Project Agreement has been executed.

4.0 Project Information

If the project is multi-jurisdictional, information must be consolidated in this section.

4.1 Useful Life / Cost Estimate / Age of Infrastructure

Project Useful Life: 30 Years Age: 1950 (Year built or year of last major improvement)

Attach Registered Professional Engineer's statement, with seal or stamp and signature confirming the project's useful life indicated above and detailed cost estimate.

4.2 User Information

Road or Bridge: Current ADT _____ Year _____ Projected ADT _____ Year _____

Water / Wastewater: Based on monthly usage of 4,500 gallons per household; attach current ordinances.

Residential Water Rate Current \$ 40.10 Proposed \$ 41.84

Number of households served: 1,387

Residential Wastewater Rate Current \$ 47.94 Proposed \$ 49.94

Number of households served: 1,387

Stormwater: Number of households served: 1,387

4.3 Project Description

- A: **SPECIFIC LOCATION** (Supply a written location description that includes the project termini; a map does not replace this requirement.) 500 character limit.

The project will involve the separation of combined sewers on Brookside Drive from Maple Street to Hallett Avenue, Woodside Drive from Crestwood Drive to Hallett Avenue, Parkside Drive from Crestwood Drive to Hallett Avenue and Hallett Avenue from Elm Street to Broadway Avenue.

- B: **PROJECT COMPONENTS** (Describe the specific work to be completed; the engineer's estimate does not replace this requirement) 1,000 character limit.

The existing combined sewers are inadequate to handle the combined sanitary and storm water flows resulting in combined sewer overflows to Mary Wander Ditch and Ai Creek. The Village is under a compliance schedule in its NPDES permit to separate the combined sewers and eliminate the combined sewer overflows. Project 10 is required by the compliance schedule to be operational by 8/1/2020.

- C: **PHYSICAL DIMENSIONS** (Describe the physical dimensions of the existing facility and the proposed facility. Include length, width, quantity and sizes, mgd capacity, etc. in detail.) 500 character limit.

The project involves the construction of approximately 3,300 lineal feet of 12-inch storm sewers and 120 lineal feet of 6 and 8-inch sanitary sewers, along with associated manholes, catch basins and pavement replacement.

5.0 Project Officials

Changes in Project Officials must be submitted in writing from an officer of record.

5.1 Chief Executive Officer (Person authorized in legislation to sign project agreements)

Name: Rosanna Hoelzle
Title: Village Administrator
Address: Village of Swanton
219 Chestnut Street
City: Swanton State: OH Zip: 43558
Phone: (419) 826-0701
FAX: _____
E-Mail: rhoelzle@villageofswantonohio.us

5.2 Chief Financial Officer (Can not also serve as CEO)

Name: Jennifer Harkey
Title: Fiscal Officer
Address: Village of Swanton
219 Chestnut Street
City: Swanton State: OH Zip: 43558
Phone: (419) 826-0603
FAX: _____
E-Mail: fiscal@villageofswantonohio.us

5.3 Project Manager

Name: Rosanna Hoelzle
Title: Village Administrator
Address: Village of Swanton
219 Chestnut Street
City: Swanton State: OH Zip: 43558
Phone: (419) 826-0701
FAX: _____
E-Mail: rhoelzle@villageofswantonohio.us

6.0 Attachments / Completeness review

Confirm in the boxes below that each item listed is attached (Check each box)

- A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- A certification signed by the applicant's chief financial officer stating the amount of all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's seal or stamp and signature.
- A cooperative agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- Farmland Preservation Review - The Governor's Executive Order 98-IV, "Ohio Farmland Protection Policy" requires the Commission to establish guidelines on how it will take protection of productive agricultural and grazing land into account in its funding decision making process. Please include a Farm Land Preservation statement for projects that have an impact on farmland.
- Capital Improvements Report, CIR Required by O.R.C. Chapter 164.06 on standard form.
- Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your local District Public Works Integrating Committee.

7.0 Applicant Certification

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.

Rosanna Hoelzle, Village Administrator

Certifying Representative (Printed form, Type or Print Name and Title)

Original Signature / Date Signed

 8/27/18

Revised: April 17, 2018

**DISTRICT 5
CAPITAL IMPROVEMENT PROJECTS
QUESTIONNAIRE
ROUND 33**

Name of Applicant: Village of Swanton

Project Title: Project 10 - Brookside, Woodside, Parkside Combined Sewer Separation

The following questions are to be answered for each application submitted for State Issue II SCIP, LTIP and Loan Projects. Please provide specific information using the best documentation available to you. Justification of your responses to these questions will be required if your project is selected for funding, so please provide correct and accurate responses. **Communities and Townships under 5,000 in population should also complete the Small Government Criteria.**

1. What percentage of the project in repair A= __%, replacement B= 100%, expansion C= __%, and new D= __%? (Use dollar amounts of project to figure percentages and make sure the total equals one hundred(100) percent) A+B= 100 % C+D= __%

Repair/Replacement = Repair or Replacement of public facilities owned by the government (any subdivision of the state).

New/Expansion = Replacement of privately owned wells, septic systems, private water or wastewater systems, etc.

2. Give the physical condition rating:

Closed or Not Operating: The condition is unusable, dangerous and unsafe. The primary components have failed. The infrastructure is not functioning at all.

Critical: X

The combined sewers do not meet current design standards and are under an Ohio EPA compliance schedule (attached) to be separated.

The condition is causing or contributing to a serious non-compliance situation and is threatening the intended design level of service. The infrastructure is functioning at seriously diminished capacity. Imminent failure is anticipated within 18 months. Repair and/or replacement is required to eliminate the critical condition and meet current design standards. **(For Road Projects structural repair items would represent a minimum of 25% of the total Project Cost).**

Poor:

The condition is substandard and requires repair/replacement in order to return to the intended level of service and comply with current design standards. Infrastructure contains a major deficiency and is functioning at a diminished capacity.

Fair:

The condition is average, not good or poor. The infrastructure is still functioning as originally intended. Minor deficiencies exist requiring repair to continue to function as originally intended and/or to meet current design standards.

Good: The condition is safe and suitable to purpose. Infrastructure is functioning as originally intended, but requires minor repairs and/or upgrades to meet current design standards.

Excellent: The condition is new, or requires no repair. Or, no supporting documentation has been submitted.

* **In order to receive points provide supporting documentation (e.g. photos, a narrative, maintenance history, or third party findings) to justifying the rating.**

3. If the proposed project is not approved what category would best represent the impact on the general health and/or public safety?

ROADS

Extremely Critical: Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Major Access Road.*

Critical: Resurfacing, Restoration and Rehabilitation (3R) of a Major Access Road.*

Major: Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Minor Access Road.*

Moderate: Resurfacing, Restoration and Rehabilitation (3R) of a Minor Access Road.*

Minimal: Preventative Maintenance of a Major Access Road.

No Impact: Preventative Maintenance of a Minor Access Road.

Projects that have a variety of work will be scored in the LOWEST category of work contained in the Construction Estimate.

Road/Street Classifications:

Major Access Road: Roads or streets that have a dual function of providing access to adjacent properties and providing through or connecting service between other roads.

Minor Access Road: Roads or streets that primarily provide access to adjacent properties without through continuity, such as cul-de-sacs or loop roads or streets.

Preventative Maintenance: Non Structural Pavement work such as chip sealing, cape sealing, microsurfacing, crack sealing, etc.

* (3R) Resurfacing, Restoration and Rehabilitation - Improvements to existing roadways, which have as their main purpose, the restoration of the physical features (pavement, curb, guardrail, etc.) without altering the original design elements.

* (4R) Resurfacing, Restoration, Rehabilitation and Reconstruction - Much like 3R, except that 4R allows for the complete reconstruction of the roadway and alteration of certain design elements (i.e., lane widths, shoulder

width, SSD, etc.).

BRIDGES SUFFICIENCY RATING

- Extremely Critical: 0-25, or a General Appraisal rating of 3 or less.
- Critical: 27-50, or a General Appraisal rating of 4.
- Major: 51-65 or a General Appraisal rating of 5 or 6.
- Moderate: 66-80 or a General Appraisal rating of 7.
- Minimal: 81-100 or a General Appraisal rating of more than 7.
- No Impact: Bridge on a new roadway.

WASTEWATER TREATMENT PLANTS

- Extremely Critical: Environmental Protection Agency (EPA) orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
- Critical: Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: Replace deficient appurtenances. Update existing processes due to EPA recommendations.
- Moderate: Increase capacity to meet current needs or update processes to improve effluent quality.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER TREATMENT PLANT

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Improvements to meet Environmental Protection Agency (EPA) Safe Drinking Water Regulations and/or NPDES Orders.
- Major: Replace deficient appurtenances. Update existing processes due to EPA recommendations.
- Moderate: Increase capacity to meet current needs or update processes to improve water quality.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

COMBINED SEWER SEPARATIONS (May be construction of either new storm or sanitary sewer as long as the result is two separate sewer systems.)

Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.

Critical: Separate, due to chronic backup or flooding in basements.

Major: Separate, due to documented water quality impairment, or due to EPA recommendations.

Moderate: Separate, due to specific development proposal within or upstream of the combined system area.

Minimal: Separate, to conform to current design standards.

No Impact: No positive health effect.

STORM SEWERS

Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.

Critical: Chronic flooding (structure damage).

Major: Inadequate capacity (land damage).

Moderate: Inadequate capacity with no associated damage.

Minimal: New/Expansion to meet current needs.

No Impact: New/Expansion to meet future or project needs.

CULVERTS

Extremely Critical: Structurally deficient or functionally obsolete. Deterioration has already caused a safety hazard to the public.

Critical: Inadequate capacity with land damage and the existing or high probability of property damage.

Major: Inadequate capacity (land damage).

Moderate: Inadequate capacity with no associated damage.

Minimal: New/Expansion to meet current needs.

No Impact: New/Expansion to meet future or projected needs.

SANITARY SEWERS

- Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
- Critical: Replace, due to chronic pipe failure, chronic backup or flooding in basements. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: Replace, due to inadequate capacity or infiltration, or due to EPA recommendations.
- Moderate: Rehabilitate to increase capacity to meet current needs or to reduce inflow and infiltration.
- Minimal: New/Expansion project to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

SANITARY LIFT STATIONS AND FORCE MAINS

- Extremely Critical: Structurally deficient. Deterioration has already caused a safety/health hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Inadequate capacity with actual or a high probability of property damage. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
- Major: EPA recommendations, or, reduces a probable health and/or safety problem.
- Moderate: Rehabilitate to increase capacity to meet current needs.
- Minimal: New/Expansion to meet a specific development proposal.
- No Impact: New/Expansion to meet future or projected needs.

WATER PUMP STATIONS

- Extremely Critical: Structurally deficient. Deterioration has already caused a safety hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
- Critical: Inadequate capacity with the inability to maintain pressure required for fire flows.
- Major: Replace due to inadequate capacity or EPA recommendations.
- Moderate: Rehabilitate to increase capacity to meet current needs.

Minimal: New/Expansion to meet a specific development proposal.

No Impact: New/Expansion to meet future or projected needs.

WATER LINES/WATER TOWERS

Extremely Critical: Solve low water pressure or excessive incidents of main breaks in project area.

Critical: Replace, due to deficiency such as excessive corrosion, etc.

Major: Replace undersized water lines as upgrading process.

Moderate: Increase capacity to meet current needs.

Minimal: New/Expansion project to meet a specific development proposal.

No Impact: New/Expansion to meet future or projected needs.

OTHER

Extremely Critical: There is a present health and/or safety threat.

Critical: The project will provide immediate health and/or safety benefit.

Major: The project will reduce a probable health and/or safety problem.

Moderate: The project will delay a health and/or safety problem.

Minimal: A possible future health and/or safety problem mitigation.

No Impact: No health and/or safety effect.

NOTE: Combined projects that can be rated in more than one subset may be rated in the other category at the discretion of the District 5 Executive Committee. In general, the majority of the cost or scope of the project shall determine the category under which the project will be scored.

(Submittals without supporting documentation will receive 0 Points for this question.)

Extremely Critical __, Critical X, Major __, Moderate __, Minimal __, No Impact __. Explain your answer. The Village is under an Ohio EPA compliance schedule in its NPDES permit (attached) which requires the project to be operational by August 1, 2020. The project is required to eliminate combined sewer overflows.

(Additional narrative, charts and/or pictures should be attached to questionnaire)

4. Identify the amount of local funds that will be used on the project as a percentage of the total project cost.

A.) Amount of Local Funds = \$ 431,440

B.) Total Project Cost = \$ 606,440

RATIO OF LOCAL FUNDS DIVIDED by TOTAL PROJECT COSTS (A/B)= 71 %

Note: Local funds should be considered funds derived from the applicant budget or loans funds to be paid back through local budget, assessments, rates or tax revenues collected by the applicant.

5. Identify the amount of other funding sources to be used on the project, excluding State Issue II or LTIP Funds, as a percentage of the total project cost.

Grants ___% Gifts ___%, Contributions ___%

Other ___% (explain) _____ , Total 0 %

Note: Grant funds and other revenues not contributed or collected through taxes by the applicant should be considered other funds. The Scope of Work for each Funding Source must be the same.

6. Total Amount of SCIP and Loan Funding Requested- An Applicant can request a grant per the categories below for points as indicated on the Priority Rating Sheet. If the Applicant is including a loan request equal to, but not exceeding 50% of the OPWC funding amounts listed below, there will be no point penalty. If loan funds requested are more than 50%, points as listed in the Priority Rating Sheet will apply.

- _____ \$500,001 or More
- _____ \$400,001-\$500,000
- _____ \$325,001-\$400,000
- _____ \$275,001-\$325,000
- _____ \$175,001-\$275,000
- X \$175,000 or Less

There are times when the District spends all of the grant money and has loan money remaining. When this happens, the district makes a loan offer in the amount of the requested grant to the communities that were not funded. The offers are made in the order of scoring. We need to know if you are not successful in obtaining grant dollars for your project if you would be interested in loan money:

YES X NO _____

(This will only be considered if you are not funded with grant money and there is remaining loan money.) **Please note: if you answer "no" you will not be contacted, only if you answer "yes" will an offer be made in the event that there is loan money remaining.**

7. If the proposed project is funded, will its completion directly result in the creation of permanent full-time equivalent (FTE) jobs (FTE jobs shall be defined as 35 hours/week) ? Yes ___ No X. If yes, how many jobs within eighteen months? ___ Will the completed project retain jobs that would otherwise be

permanently lost? Yes ___ No ___. If yes, how many jobs ___ **will be created/retrained** within 18 months **following the completion of the improvements?**

(Supporting documentation in the form of letter from affected industrial or commercial enterprises that specify full time equivalent jobs that will be retained or created directly by the installation or improvement of Public infrastructure. Additional items such as; 1) newspaper articles or other media news accounts, 2) public meeting minutes, and/or 3) a letter from the County Economic Development Director or State of Ohio Economic Development Professional that alludes to the requirement for the infrastructure improvement to support the business. Submittals without supporting documentation will receive 0 points for this question.)

8. What is the total number of existing users that will directly benefit from the proposed project if completed? 87 (Use households served, traffic counts, etc. and explain the basis by which you arrived at your number.) There are 87 households in the project area.

9. Is subdivision's population less than 5,000 Yes X No ___

If yes, continue. You may want to design your project per Small Government Project Evaluation Criteria, released for the current OPWC Round to assist in evaluating your project for potential Small Government Funding. The Small Government Criteria is available on the OPWC website at <http://www.pwc.state.oh.us/Meth.SG.PDF> If No, skip to Question 11.

10. **OHIO PUBLIC WORKS COMMISSION SMALL GOVERNMENT PROGRAM GUIDELINES**

All projects that are sponsored by a subdivision with a population of 5,000 or less, and not earning enough points for District Funding from SCIP or LTIP Funds, are then rated using the Small Government Program Rating Criteria for the corresponding funding round. In order to be rated the entity must submit the Small Government Supplement and their required budgets with their application. **Only infrastructure that is village- or township- owned is eligible for assistance.** The following policies have been adopted by the Small Government Commission:

- District Integrating Committees may submit up to seven (7) applications for consideration by the Commission. All 7 must be ranked, however, only the top five (5) will be scored. The remaining two (2) will be held as contingency projects should an application be withdrawn.
- Grants are limited to \$500,000. Any assistance above that amount must be in the form of a loan.
 - Grants for new or expanded infrastructure cannot exceed 50% of the project estimate.
- The Commission may deny funding for water and sewer systems that are deemed to be more

cost-effective if regionalized.

- If a water or sewer project is determined to be affordable, the project will be offered a loan rather than a grant. Pay special attention to the **Water & Wastewater Affordability Supplemental and the Small Government Water & Wastewater Affordability Calculation Worksheet. Both are available on the Small Government Program Tab at <http://www.pwc.state.oh.us/SmallGovernment.html>**

- Should there be more projects that meet the “annual score” than there is funding, the tie breaker is those projects which scored highest under Health & Safety, with the second tie breaker being Condition. If multiple projects have equivalent Health & Safety and Condition scores they are arranged according to the amount of assistance from low to high. Once the funded projects are announced, “contingency projects” may be funded from project under-runs by continuing down the approved project list.

- Supplemental assistance is not provided to projects previously funded by the Commission.

- Applicants have 30 days from receipt of application by OPWC without exception to provide additional documentation to make the application more competitive under the Small Government criteria. Applications will be scored after the 30-day period has expired. The applicants for each District's two (2) contingency projects will have the same 30-day period to submit supplemental information but these applications will not be scored unless necessary to do so. **It is each applicant's responsibility for determining the need for supplemental material. The applicant will not be asked for or notified of missing information unless the Commission has changed the project type and it affects the documentation required. Important information may include, but is not limited to: age of infrastructure, traffic counts or utility users, median income information, user rates ordinances, and the Auditor's Certificate of Estimated Revenues or documentation from the Auditor of State that subdivision is in a state of fiscal emergency.**

If you desire to have your Round 33 project considered for Small Government Funding please download the Small Government Evaluation Criteria applicable to Round 33 by accessing the OPWC Website at <http://www.pwc.state.oh.us/Meth.SG.PDF>. Please complete the Small Government Evaluation Criteria and attach all required supporting documentation and attach it to the District 5 Questionnaire for Round 32.

11. MANDATORY INFORMATION, DISTRICT 5, DISCRETIONARY RANKING POINTS

List all specific user fees: Amount or
ROAD & BRIDGE PROJECTS:(OHIO REVISED CODE) Percentage

Permissive license fee	4504.02 or 4504.06 _____
	4504.15 or 4504.17 _____
	4504.16 or 4504.171 _____
	4504.172 _____
	4504.18 _____
Special property taxes	5555.48 _____
	5555.49 _____

Municipal Income Tax _____

County Sales Tax _____

Others _____

(DO NOT INCLUDE SCHOOL TAXES)

SPECIFIC PROJECT AREA INFORMATION.

Median household income \$52,452

Monthly utility rate: Water \$40.10 per month

Sewer \$47.94 per month

Other _____

List any special user fees or assessment (be specific)

POLITICAL SUBDIVISION= Village of Swanton

COUNTY= Fulton

DISCRETIONARY POINTS (BY DISTRICT COMMITTEE ONLY)= _____

(25-20-15)

Date: 8/27/18

Signature: 

Title: Village Administrator

Address: 219 Chestnut Street, Swanton, OH 43558

Phone: 419-826-0701

FAX: _____

Email: rhoelze@villageofswantonohio.us

District 5

Capital Improvement Project

Priority Rating Sheet, Round 33

Revised 04/17/18

PROJECT NUMBER

COUNTY PROJECT		EST. COST		Woodside, Parkside Combined Sewer Separation											
No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS					"A" x "B"	Priority Factors						No.
			0	2	4	6	8		10	0	2	4	6	8	
1	1	(Repair or Replace) vs (New or Expansion)	0	2	4	6	8	10	0%+ Repair or Replacement	20%+ Repair or Replacement	40%+ Repair or Replacement	60%+ Repair or Replacement	80%+ Repair or Replacement	100%+ Repair or Replacement	1
2	15	Existing Physical Condition Must submit substantiating documentation and CIR (100% New or Expansion - 0 Points) Public Health and/or Public Safety Concerns Submittals without supporting documentation will receive 0 points for this question	0	2	4	6	8	10	Excellent	Good	Fair	Poor	Critical	Closed or Not Operating	2
3	2	Percentage of Local Share (Local funds are funds derived from the applicant budget or a loan to be paid back through the applicant budget, assessments, rates or tax revenues) *	0	2	4	6	8	10	No Impact	Minimal	Moderate	Major	Critical	Extremely Critical	3
4	2	OTHER FUNDING SOURCES (Excluding Issue 1 Funds) (Grants and other revenues not contributed or collected through taxes by the applicant, including Gifts, Contributions, etc - must submit copy of award or status letter)	0	2	4	6	8	10	0%+	10%+	20%+	30%+	40%+	50%+	4
5	1		0	2	4	6	8	10	0%+	10%+	20%+	30%+	40%+	50%+	5
6	2	OPWC Grant and Loan Funding Requested. Please refer to Item 6 on Questionnaire for Clarification	-9	-8	0	8	9	10	Grant or Loan Only \$500,001	\$400,001 to \$500,000	\$325,001 to \$400,000	\$275,001 to \$325,000	\$175,001 to \$275,000	\$175,000 or less	6
	2		-9	-8	0	8	9	10	Grant/Loan Combination \$750,000	\$600,001 to \$750,000	\$487,501 to \$600,000	\$412,501 to \$487,500	\$262,501 to \$412,500	\$262,500 or less	6
When scoring a project that is only grant or only loan, please use the chart labeled "Grant or Loan Only". When scoring a grant/loan combination, score the project for the grant in the first chart, then use the second chart labeled "Grant/Loan Combination" to score the total (grant and loan combined). Use the lower of the two as the score.															
No.	"A" WEIGHT FACTOR	CRITERIA TO BE CONSIDERED	"B" PRIORITY FACTORS					"A" x "B"	Priority Factors						No.
			0	2	4	6	8		10	0	2	4	6	8	
7	1	Will the Proposed Project Create Permanent jobs or retain jobs that would otherwise be permanently lost (Written Documentation Required)	0	2	4	6	8	10	0+ jobs	7+ jobs	15+ jobs	25+ jobs	50+ jobs	100+ jobs	8
8	1	Benefits to Existing Users such as households, (Equivalent dwelling units), traffic Courts, etc	0	2	4	6	8	10	0+	100+	350+	500+	750+	1000+	9
9		SUBTOTAL RANKING POINTS (MAX = 115)							Other Info: Does this project have a significant impact on productive farmland? YES NO Attach impact statement if yes Is the Applicant ready to proceed to bids after State Approval within 6 months? YES NO						
10		COUNTY PRIORITY POINTS (25-20)							No, the project is the separation of existing combined sewers and does not impact farmland. Yes, design will be completed by 1/12/19 and the construction contract will be awarded as soon as OPWC funding is released on 7/1/19.						
11		DISCRETIONARY POINTS (BY DISTRICT ONLY) (MAX = 12)													
12		GRAND TOTAL RANKING POINTS													

* Applicants must certify local share contribution. Specify, all funding sources to be utilized as local share at the time of application submittal.

Resolution 2018-18

AUTHORIZING THE VILLAGE ADMINISTRATOR TO SUBMIT AN APPLICATION TO PARTICIPATE IN THE OHIO PUBLIC WORKS COMMISSION (OPWC) STATE CAPITAL IMPROVEMENT AND/OR LOCAL TRANSPORTATION IMPROVEMENT PROGRAM(S) AND TO ENTER INTO ANY AGREEMENTS AS REQUIRED AND DECLARE AN EMERGENCY

WHEREAS, the State Capital Improvement Program and the Local Transportation Improvement Program both provide financial assistance to political subdivisions for capital improvements to public infrastructure, and;

WHEREAS, the Village of Swanton is planning to construct improvements to the Village wastewater treatment and roadway systems known as Project 10- Brookside, Woodside, Parkside Combined Sewer Separation, and;

WHEREAS, the infrastructure improvement herein above described is considered to be a priority need for the community and is a qualified project under the OPWC programs.

NOW THEREFORE BE IT RESOLVED, by the Council of the Village of Swanton, Fulton County, Ohio, three-fourths of the members elected thereto concurring and as follows:

Section One. The Village Administrator is hereby authorized to apply to the OPWC for funds as described above.

Section Two. The Village Administrator is further authorized to enter into any agreements as may be necessary and appropriate for obtaining this financial assistance.

Section Three. That it is found and determined that all formal actions of this Village Council concerning and relating to the adoption of this resolution were adopted in an open meeting of this Village Council, and that all deliberations of this Village Council and of any of its committees that resulted in such formal action, were in meetings open to the public in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section Four. That this resolution shall be declared an emergency measure necessary for the immediate preservation of public health, safety and welfare of the Village of Swanton; wherefore this resolution shall be in full force and effective immediately upon passage.


Motion to Suspend the Rules and Declare and Emergency

Moved: Dzyak Second: Westhoven YEAS: 6 NAYS: 0

Vote on Passage

Moved: Dzyak Second: Westhoven YEAS: 6 NAYS: 0

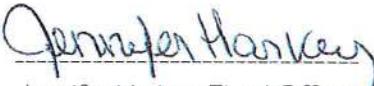
Date of Passage: August 27, 2018



Ann Roth, Mayor

Attest:

I, Jennifer Harkey, Fiscal Officer of the Village of Swanton, do hereby certify that this is a true and accurate copy of Resolution 2018-18, passed on August 27, 2018



Jennifer Harkey, Fiscal Officer

—THE VILLAGE OF—
SWANTON

219 Chestnut Street
Swanton, Ohio 43558
T: 419.826.9515
F: 419.825.1827
www.villageofswantonohio.us

CERTIFICATE

I, Jennifer Harkey, Fiscal Officer of the Village of Swanton, Ohio, DO
HEREBY CERTIFY that the foregoing is a true and correct copy of
Resolution 2018-18.

Date: 8/28/2018



MAYOR
Ann Roth

COUNCIL MEMBERS

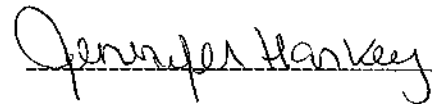
Paul Dzyak
Kathy Kreuz
Jeff Pilliod
Michael Rochelle
Craig Rose
Dianne Westhoven

ADMINISTRATOR

Rosanna Hoelzle

FISCAL OFFICER

Jennifer Harkey



Jennifer Harkey, Fiscal Officer

—THE VILLAGE OF—
SWANTON

*CHIEF FINANCIAL OFFICER'S CERTIFICATION OF LOCAL
FUNDS/LOAN REPAYMENT LETTER*

219 Chestnut Street
Swanton, Ohio 43558
T: 419.826.9515
F: 419.825.1827
www.villageofswantonohio.us

August 28, 2018

I, Fiscal Officer of the Village of Swanton, hereby certify that the Village of Swanton plans to obtain a loan in the amount of \$1,103,965 through the Water Pollution Control Loan Fund (WPCLF) and that this amount will be used to pay the local share for the Project 10 Sewer Separation when it is required.

I, Fiscal Officer of the Village of Swanton, hereby certify that the Village of Swanton will collect the amount of \$87,500 in the Sewer Fund (5201) and that this amount will be used to repay the Ohio Public Works Commission SCIP or RLP loan requested for the Project 10 Sewer Separation over a 20 year term.



MAYOR
Ann Roth

Jennifer Harkey
Fiscal Officer
Village of Swanton

COUNCIL MEMBERS

Paul Dzyak
Kathy Kreuz
Jeff Pilliod
Michael Rochelle
Craig Rose
Dianne Westhoven

ADMINISTRATOR

Rosanna Hoelzle

FISCAL OFFICER

Jennifer Harkey



**Division of Environmental & Financial Assistance (DEFA)
Office of Financial Assistance (OFA)**

50 West Town Street, Suite 700, Columbus, Ohio 43215
Ph: 614.644.2798 www.epa.ohio.gov/defa/ofa

This nomination must be completed in its entirety.

As part of this submittal, the following checklist highlights the information that is necessary to help Ohio EPA review the project and to help us prioritize project schedules. We request that you submit the following:

Nomination Checklist

- For **Planning loans**, submit a scope of services and/or A/E agreement with the nomination form.
- For **Design loans**, submit the applicable Facility Plan or General Plan information along and the scope of services and/or A/E agreement with the nomination form.
- For **Construction loans**, submit the completed Facility Plan or General Plan information as well as any Basis of Design documents and/or Preliminary Engineering Reports along with the nomination form.
- A copy of Legislation authorizing current water and sewer rates/tap in fees
- A copy of Legislation forming Water and Sewer District, if applicable.
- For all loans, submit a location map of the project or project area. The map should be either a 7.5 minute USGS topographic map that shows all the project features or a more detailed property map that shows all the project details.
- Additional documentation that has been requested or required within the application itself. Failure to do so may impact your project's ranking.

Once signed and dated, please submit this complete form along with the abovementioned documents to: defamail@epa.ohio.gov

ONLY electronic submittals will be accepted.

**Division of Environmental & Financial Assistance (DEFA)
Office of Financial Assistance (OFA)
WPCLF / WSRLA Nomination Form**

This nomination must be completed in its entirety.

1.0 Program Funding Selection

Please indicate what type of project funding is needed. *A selection must be made for the nomination form to be reviewed.*

- Wastewater Water Pollution Control Loan Fund (WPCLF)
- WPCLF Re-Nomination ONLY**
- Drinking Water Water Supply Revolving Loan Account (WSRLA)
- Household Sewage Treatment System (HSTS) Principal Forgiveness

2.0 Borrower's Information

Entity (County, City, Village, or District): Village of Swanton
 County: Fulton PWS ID: OH2601011 DUNS#: 55223101 Population Served: 3755
 Entity's American Community Survey (ACS) Median Household Income and Population: 52452 Pop.: 3755 Date of Nomination: 8/31/18

3.0 Project Name and Description

Project Name: Project 10 - Brookside Woodside Parkside Combined Sewer Separation

In the box below, please provide a brief description of the project for which you are requesting funding.

The project consists of separation of combined sewers on Brookside Drive, Woodside Drive, Parkside Drive and Hallett Avenue in accordance with the Village's Long Term Control Plan and NPDES Compliance Schedule.

4.0 Funding Type, Estimated Project Amounts and Proposed Loan Award Date

An estimated loan award date must be entered. This date should be the the 1st of the month in which the loan will be awarded (enter in numeric date format. i.e., 1/1/19). This date is dependent on the type of loan that is requested. The estimated loan date will autofill on to TAB 3 - Project Schedule. ****Note: There are NO loan awards in November and December loan awards are processed on the second (2nd) Thursday of December.**

	Estimated Loan Award Date	Estimated Loan Amount
<input type="checkbox"/> Planning Loan	_____	_____
<input type="checkbox"/> Design Loan	_____	_____
<input checked="" type="checkbox"/> Construction Loan	<u>7/1/2019</u>	<u>\$1,103,965.00</u>
<input type="checkbox"/> HSTS Principal Forgiveness	<u>4/30/2019</u>	_____

5.0 Available Programmatic Discounts

Please consider our project for the following interest rate discount (construction loans only):

Wastewater Discounts:

- Water Resource Restoration Sponsor Program (WRRSP)
- Back-up Power In PY 2019, construction projects are eligible to receive up to \$50,000 in principal forgiveness for back-up power costs.
- Household Sewage Treatment Systems In PY 2019, the WPCLF is offering LHDs up to \$150,000 in principal forgiveness for HSTS repair and replacement
- Nutrient Reduction Discount In PY 2019, the WPCLF is offering up to \$50 million in 0% interest funding for projects that reduce nutrients at WWTPs. Nominations may be submitted throughout the program year.
- Regionalization Discount In PY 2019, the WPCLF is offering up to \$50 million in 0% interest funding for regionalization projects.
- Other: (Specify) _____

Water Discounts:

- Auxiliary Power Program In PY 2019, construction projects are eligible to receive 50% of the auxiliary power costs up to \$10,000 in principal forgiveness.
- Harmful Algal Bloom Discount In PY 2019, the WSRLA is offering \$50 million in 0% interest funding for Harmful Algal Bloom projects. Nominations may be submitted throughout the program year.
- Other: (Specify) _____
- Other: (Specify) _____
- Other: (Specify) _____

6.0 Contact Information

Please designate the best contact for by checking the box next to the contact options listed below. This will aid our office in assisting you should there be any project questions.

Borrower's Authorized Representative

Name Rosanna Hoelzle Title Village Administrator
 Address 219 Chestnut
 City Swanton, Ohio Zip 43558
 Telephone 419-826-0701 Cell _____
 Email admin@villageofswantonohio.us

Borrower's Additional Contact (If different than Borrower's Authorized Representative)

Name _____ Title _____
 Address _____
 City _____, Ohio Zip _____
 Telephone _____ Cell _____
 Email _____

System Operator

Name Steve Geise Title Superintendent of Water Resource Recovery Facility
 Address 300 South Street
 City Swanton, Ohio Zip 43558
 Telephone 419-826-5891 Cell _____
 Email wrrf@villageofswantonohio.us

Consulting Engineer

Name Troy Brehmer Title Project Manager
 Firm Jones & Henry Engineers, Ltd.
 Address 3103 Executive Parkway, Suite 300
 City Toledo, Ohio Zip 43606
 Telephone 419-473-9611 Cell _____
 Email tbrehmer@jheng.com

7.0 Source of Pledged Revenues

To obtain a loan from Ohio EPA, the Borrower is required to pledge the user revenues derived from the ownership and operation of their system as a security instrument (i.e. collateral) for the repayment of the loan. It can also be used as the dedicated source of repayment. The user revenues, after deductions for the operating and maintenance and previous debt obligations, must be able to support the repayment of the loan. However, unless prohibited by law, the Borrower can also choose any of the following as a dedicated source of loan repayment, with the user rates remaining the collateral. (*Reference Article IV in the WPCLF and the WSRLA Standard Loan Agreement*).

Please indicate the intended source of loan repayment below. More than one source can be used.

Revenue from:

- User Charges
- Assessments - Provide authorizing legislation
- General Taxes
- Other: Indicate source
- Other: Indicate source

8.0 Other Funding Sources

Indicate any other loans and/or grant the entity may be securing for this project. Please include the estimated procurement date and amount.

	<u>Est. Procurement Date</u>	<u>Est. Amount</u>		<u>Est. Procurement Date</u>	<u>Est. Amount</u>
OWDA	_____	_____	GO bonds	_____	_____
OPWC	_____	_____	Revenue Bonds	_____	_____
CDBG	_____	_____	USDA-RD Loans	_____	_____
ARC	_____	_____	Other *	_____	_____
			*Specify:	_____	_____

9.0 WPCLF Required Environmental Information

As a stipulation of the annual capitalization grant received from U.S. EPA, Ohio EPA is required to rank all projects requesting financial assistance through the WPCLF program. To satisfy that requirement, along with consideration for the Clean Water Act's objective to restore and maintain the environmental integrity of the nation's waterways, Ohio EPA developed a ranking system that addresses both point and non-point sources of impacts. The following information is necessary to meet these goals.

Do any of the following apply to your project? If so, please attach the corresponding data or information that indicates how the following items will be addressed by the project. A detailed description of the items listed below can be reviewed in the loan nomination instructions.

- | | |
|---|--|
| <input type="checkbox"/> Bathing/Beach Advisory | <input type="checkbox"/> Sewage Backups* |
| <input type="checkbox"/> Drinking Water | <input type="checkbox"/> Dry Weather Overflows* |
| <input type="checkbox"/> Failing Household Sewage Treatment Systems | <input checked="" type="checkbox"/> Wet Weather Overflows* |
| <input type="checkbox"/> Surface Water Exceeds WQS for Bacteria | <input type="checkbox"/> Ground Water |
| | <input checked="" type="checkbox"/> Rivers, Streams, Inland Lakes, Lake Erie, Ohio River |

10.0 Sewage Overflow Control Information

If you checked any of the items with an asterisk (*) in the section above then your project is intended to address public health or water quality impacts due to either dry or wet weather overflows from either sanitary sewers or combined sewers. This additional information will help Ohio EPA further evaluate and rank your project.

This project will reduce the number of backup events by 50% or more, or eliminate occurrences of sewage backups into buildings due to inadequate capacity of sewers or satellite equalization basins to handle flows during wet weather conditions. **Answer Question 1** _____

This project will eliminate dry weather overflows (these are not WWTP bypasses) due to inadequate capacity of sewers to carry flows during dry weather conditions from either a sanitary sewer system or a combined sewer system. **Answer Question 2** _____

This project will reduce or eliminate the volume or frequency of one or more wet weather overflows (not WWTP bypasses) by constructing separate combined sewers by installing new storm and/or sanitary sewers. **Answer Question 3** Yes _____

This project will reduce or eliminate the volume or frequency of one or more wet weather overflows (not WWTP bypasses) by constructing additional storage of wet weather flow. **Answer Question 3** _____

This project will reduce or eliminate the volume or frequency of one or more wet weather overflows (not WWTP bypasses) by constructing additional treatment of combined sewer overflows (treatment must be at least advanced primary). **Answer Question 3** _____

This project will reduce or eliminate the volume or frequency of one or more wet weather overflows (not WWTP bypasses) by constructing express sewers to route sanitary flow out of the combined sewer system directly to the WWTP. **Answer Question 3** _____

Question #1 : Sewage Backups in Basements or onto Streets or Properties

Number of basement or residential street or property backup occurrences due to inadequate capacity of sewers that have activated within the past two calendar years. _____

Number of expected backup occurrences due to inadequate capacity of sewers after construction of this project is completed: (if greater than zero, attach an explanation, must achieve 50% or greater reduction in occurrences to be awarded these points). _____

Question #2 : Dry Weather Overflows

Number of dry weather overflow occurrences due to inadequate capacity of sewers that have activated within the past two calendar years. (Attach a list of locations of dry weather overflows due to inadequate capacity of sewers.) _____

Question #3 : Wet Weather Overflows (indicate only one overflow point per line - attach additional pages if necessary)

<u>Name/ID. of sewer overflow(s) impacted by the project</u>	<u>Has this overflow been active in the past two years?</u>	<u>Will this overflow be eliminated?</u>	<u>Stream to which the sewer overflow(s) discharges</u>
2PB00025024 - Brookside	16	No	Mary Wander Ditch
2PB00025027 - Church St.	4	No	Mary Wander Ditch
2PB00025028 - Hallett	29	No	Mary Wander Ditch

11.0 General Wastewater Rate Information

Is sewer service currently provided to residents in the proposed project's service area? Yes _____

If Yes, attach a copy of the Sewer Rate Ordinance, Resolution, current rates and user charges. Be sure to specify the basis (i.e., ft³ or 1,000s of gallons) as well as the billing period (i.e., monthly or quarterly). If sewer users are not charged, explain:

12.0 Project Schedules for Planning, Design, Construction and HSTS

PLANNING SCHEDULE

- 1. Submit complete Loan Application with dedicated source of repayment and draft engineering agreement with scope of planning activities to be funded through this loan (no later than 60 days prior to task 3)
- 2. Sign loan documents and return to DEFA (no later than 15 days prior to task 3)
- 3. We request a Planning Loan by (indicate the 1st of the Month in which Loan is requested)
- 4. Loan Awarded at OWDA Board Meeting (Loan awards can be scheduled for January through October and December – no November scheduled awards)

DESIGN SCHEDULE

- 1. Submit approvable Facilities Planning information, including complete I/I Analysis (generally 120 days prior to task 4)
- 2. Submit a complete Loan Application which should include the borrowers financial information, a certified copy of legislation authorizing the borrower to enter into a Loan Agreement with Ohio EPA and OWDA, a copy of the utility's User Charge System & Use ordinance and the engineering agreements. (90 days prior to task 4)
- 3. Sign loan documents and return to DEFA (no later than 15 days prior to task 4)
- 4. We request a Design Loan by (1st of Month in which Loan is Awarded)
- 5. Loan Awarded at OWDA Board Meeting (Loan awards can be scheduled for January through October and December – no November scheduled awards)

CONSTRUCTION SCHEDULE


- 1. Submit approvable Facilities Planning information, including complete I/I Analysis (generally 200 days prior to task 9) 12/13/2018
- 2. Submit complete Permit-to-Install / Plan Approval application (if applicable), including application, review fee, detail plans, contract documents, and specifications (170 days prior to task 9) 1/12/2019
- 3. Submit a complete Loan Application which should include the borrowers financial information, a certified copy of legislation authorizing the Borrower to enter into a Loan Agreement with Ohio EPA and OWDA, a copy of the utility's User Charge System & Use ordinance and the engineering agreements. (150 days prior to task 9) 2/1/2019
- 5. Advertise for construction bids (no later than 60 days prior to task 9) 5/2/2019
- 6. Open construction bids (no later than 30 days prior to task 9) Be sure to allow for a minimum of 60 days to award contracts 6/1/2019
- 7. Submit bid information to DEFA (no later than 21 days prior to task 9) 6/10/2019
- 8. Sign loan documents and return to DEFA (no later than 7 days prior to task 9) 6/24/2019
- 9. We request a Construction Loan by (1st of Month in which Loan is Awarded) 7/1/2019
- 10. Loan Awarded at OWDA Board Meeting (Loan awards can be scheduled for January through October and December – no November scheduled awards) 7/25/2019

HOUSEHOLD SEWAGE TREATMENT SYSTEM (HSTS) SCHEDULE

- 1. Submit a certified copy of legislation authorizing the borrower to enter into a Loan Agreement with Ohio EPA and OWDA 4/1/2019
- 2. Submit a draft model construction contract document for Ohio EPA review 4/1/2019
- 3. Submit a complete Loan Application to Ohio EPA 4/30/2019

13.0 Preparer Information

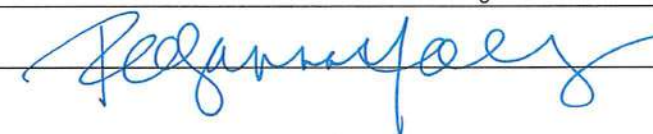
This Application Submitted by (Name & Title): Timothy D. Warren, P.E.

Signature: 
 Date: 8-27-18 Telephone Number: 419-473-9611

14.0 Authorized Representative Approval

To the best of my knowledge and belief, the information contained in this application is true and correct. The application has been duly authorized by the governing body of the applicant and the applicant will maintain compliance with all the laws, rules, executive orders and policies pertaining to the Ohio EPA - Water Pollution Control Loan Fund (WPCLF) or Water Supply Revolving Loan Account (WSRLA) programs.

Authorized Representative: Rosanna Hoelzle
Title: Village Administrator

Signature:  Date: 8/27/18



August 24, 2018

Mrs. Rosanna Hoelzle
Village Administrator
219 Chestnut Street
Swanton, Ohio 43558

Subject: Village of Swanton, Ohio
Project 10 Brookside, Woodside, Parkside Combined Sewer Separation
Engineer’s Opinion of the Design Useful Life for OPWC Application
479-7459.001

Dear Mrs. Hoelzle:

The following areas are in the Project 10 Brookside, Woodside, Parkside Combined Sewer Separation:

1. Brookside from Maple Street to Hallett Avenue.
2. Woodside from Crestwood Drive to Hallett Avenue.
3. Parkside from Crestwood Drive to Hallett Avenue.

Estimates of the Design Useful Life of the new facilities in the areas described are as follows:

Improvement	Estimated Length	Units	Estimate Cost	Design Useful Life	Weight Value
Audio Video Recording of the Zone of Influence	3,300	L.F.	\$3,300.00	5 Years	\$656.00
Audio Video Recording Sewers Post Construction	3,300	L.F.	\$1,650.00	5 Years	\$330.00
6-inch Sanitary Sewer	40	LF	\$3,000.00	50 Years	\$60.00
8-inch Sanitary Sewer	40	LF	\$3,440.00	50 Years	\$68.80
6-inch through 12-inch Sewer Repairs	40	LF	\$4,000.00	50 Years	\$80.00
Sewer Lateral Locating	5	EA	\$1,400.00	5 Years	\$280.00
12-inch Storm Sewer	3,300	L.F.	\$290,400.00	50 Years	\$5,808.00
Type I and I-S Manhole Change MH Casting and Adjust to Grade	156	VLF	\$62,400.00	50 Years	\$1,248.00
Catch Basin - Flat Grate	5	EA	\$5,000.00	20 Years	\$250.00
Asphalt Surface Course	16	Each	\$40,000.00	20 Years	\$2,000.00
Asphalt Intermediate Course	336	CY	\$100,800	20 Years	\$5,040.00
	215	CY	\$53,750	20 Years	\$2,687.50

Mrs. Rosanna Hoelzle
 479-7459.001
 August 24, 2018
 Page 2

Improvement	Estimated Length	Units	Estimate Cost	Design Useful Life	Weight Value
Aggregate Base	961	CY	\$38,441.24	20 Years	\$859.25
Mill Existing Pavement	8,046	SY	\$20,115.00	20 Years	\$1,005.75
Construction Cost			\$606,440.00		\$20,377.30

Using the Costs and Design Useful Life in the tables above, the Average Design Useful Life is 30 years.

I, Gregg J. Simon, hereby certify that, to the best of my knowledge, the Engineer's Opinion of the Design Useful Life is true and accurate.



 Gregg J. Simon, P.E.
 Principal, Office Director



GJS/bjm

Part I, C - Schedule of Compliance

I. Municipal CSO Schedule: Combined Sewer Overflow Long-Term Control Plan Implementation Schedule

The permittee shall implement its Long-Term Control Plan (LTCP) that was approved by the Director on February 4, 2011, as Plan Approval Number 799246, as expeditiously as possible, but not later than the dates developed in accordance with the following schedule:

The permittee's "Long-Term Control Plan" (dated July 21, 2009 and received on July 29, 2009 with final revisions received on November 22, 2010) includes an acceptable implementation schedule for the permittee to separate its combined sewers and eliminate all remaining combined sewer overflows. All projects must be performed as detailed in this permit regardless if the expiration date of this permit has been exceeded.

a. Every 12 months, beginning 6 Months after the effective date of this permit, the permittee shall submit two copies of a report summarizing its combined sewer overflow (CSO) discharges and CSO control activities during the previous year. One copy of the report shall be sent to the Ohio EPA, Division of Surface Water, Permitting and Compliance Section, P.O. Box 1049, Columbus, Ohio, 43216-1049; and one copy shall be sent to the Ohio EPA Northwest District Office.

The report shall include:

- i) An annual summary of the frequency, volume and duration of CSO discharges. Information shall be provided for each CSO station and WWTP bypass.
- ii) A summary of actions taken to implement the nine minimum controls. This will include an evaluation of the need to modify the operation and maintenance program to reflect changes in the collection system, changes in operation and maintenance procedures, or other changes in activities resulting from Long-Term Control Plan implementation.
- iii) A summary of actions taken to implement the permittee's Long-Term Control Plan.

b. Project 7

- i) Submit an application for permit to install, if required, including detailed plans to the Ohio EPA Northwest District Office no later than 3 Months from the effective date of this permit for Project 7 separation of sewers. (Event Code 53799)
- ii) Attain operational level of sewerage work as soon as possible, but by no later than 18 Months from the effective date of this permit.
- iii) Notify Ohio EPA Northwest District Office within seven days of completing Item d.ii.(5599)

c. Project 10

- i) Submit an application for permit to install, if required, including detailed plans to the Ohio EPA Northwest District Office no later than 27 Months from the effective date of this permit for Project 10 separation of sewers.(53799)
- ii) Attain operational level of sewerage work as soon as possible, but by no later than 36 Months from the effective date of this permit.
- iii) Notify Ohio EPA Northwest District Office within seven days of completing Item e.ii.(5599)

d. Projects 3 and 11

- i) Submit an application for permit to install, if required, including detailed plans to the Ohio EPA Northwest District Office no later than 53 Months from the effective date of the permit for Projects 3 and 11 separation of sewers.(53799)
- ii) Attain operational level of sewerage work as soon as possible, but by no later than 68 Months from the effective date of the permit.
- iii) Notify Ohio EPA Northwest District Office within seven days of completing Item f.ii.(5599)

e. Projects 8 and 9

- i) Submit an application for permit to install, if required, including detailed plans to the Ohio EPA Northwest District Office no later than 75 Months from the effective date of the permit for Projects 8 and 9 separation of sewers.(53799)
- ii) Attain operational level of sewerage work as soon as possible, but by no later than 92 Months from the effective date of the permit.
- iii) Notify Ohio EPA Northwest District Office within seven days of completing Item g.ii.(5599)

f. Project 12

- i) Submit an application for permit to install, if required, including detailed plans to the Ohio EPA Northwest District Office no later than 99 Months from the effective date of the permit for Project 12 separation of sewers.

(53799)

ii) Attain operational level of sewerage work as soon as possible, but by no later than 115 Months from the effective date of the permit.

iii) Notify Ohio EPA Northwest District Office within seven days of completing Item h.ii.(5599)

2. Municipal CSO Schedule: Post-Construction Compliance Monitoring

a. Following completion of construction for each Long-Term Control Plan Project listed under Schedule of Compliance Part I, C Item 3, the permittee shall monitor for a three year period to determine if all overflow events have been eliminated and all sources of sanitary flow are being conveyed to the wastewater treatment plant for full treatment. Summaries of these efforts shall be included in the annual reports required under Item 3.a above.

b. By no later than 139 months from the effective date of this permit, the permittee shall submit a Post-Construction Compliance Monitoring Report evaluating the collection and treatment system as a whole. At a minimum, the post-construction compliance monitoring report shall adequately characterize any remaining volumes and occurrences for CSOs and wastewater treatment plant bypasses, and shall include dates for when each overflow was permanently closed.

This permit may be modified or revoked and reissued, as provided pursuant to 40 CFR 122.62 and 124.5 and rule 3745-33-04 of the Ohio Administrative Code, for the following reasons:

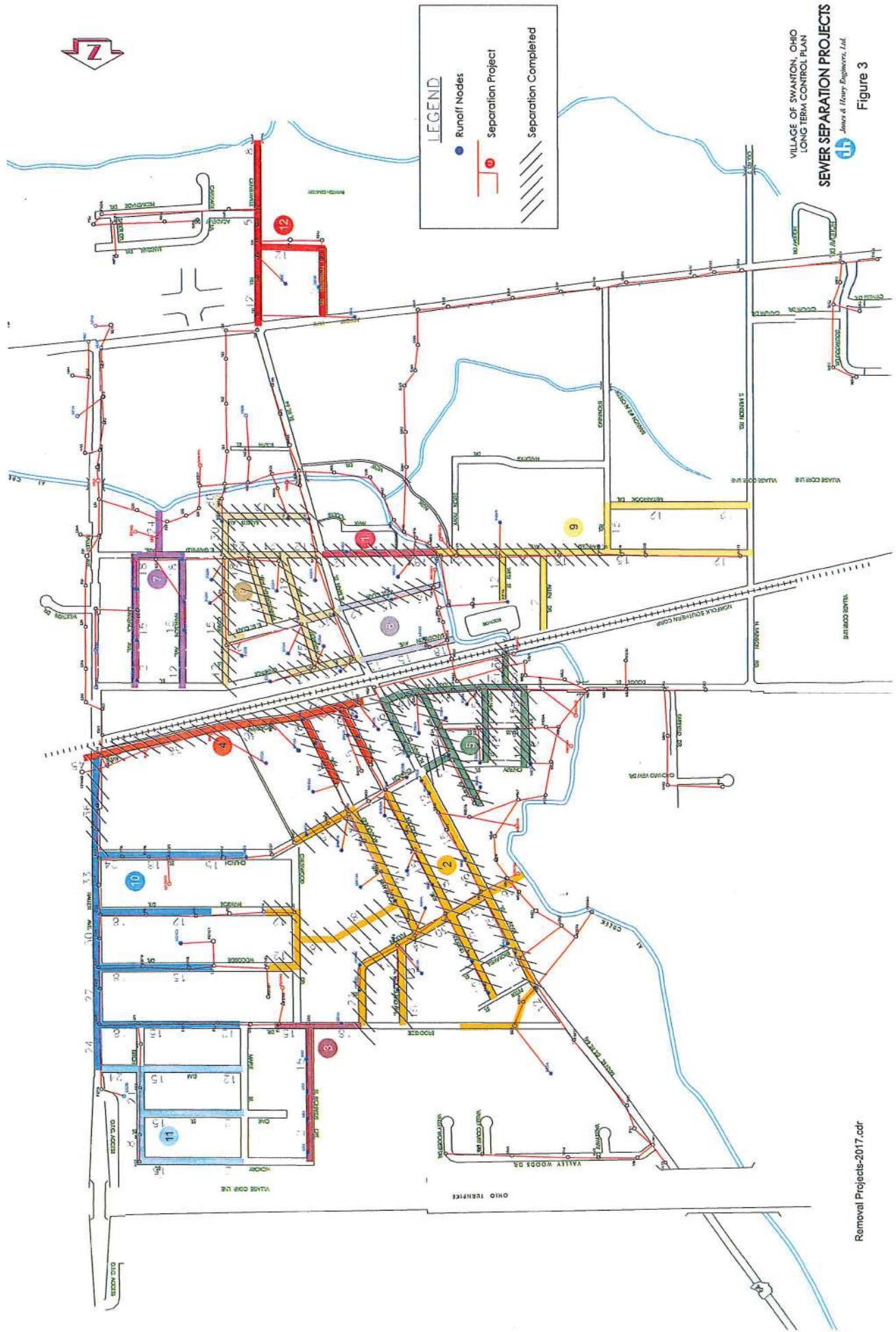
- To include new or revised conditions developed to comply with any State or Federal law or regulation that addresses combined sewer overflows or sanitary sewer overflows that is adopted or promulgated after the effective date of this permit.
- To include new or revised conditions if new information, not available at the time of permit issuance, indicates that CSO controls imposed under the permit have failed to ensure the attainment of State water quality standards.
- To include new or revised conditions based on new information generated from development and implementation of the permittee's long-term control plan.

In addition, this permit may be modified or revoked and reissued for any reason specified in 40 CFR 122.62 and rule 3745-33-04 of the Ohio Administrative Code.

Collection system improvement projects will require submission of a Permit to Install/Plan Approval Application, accompanied by detail plans, as required by the Ohio Revised Code Chapter 6111.44 and the Ohio administrative Code Chapter 3745-31. Construction shall not be initiated until a permit to install, based upon the approval of detail plans, is obtained from Ohio EPA.

Including this implementation schedule in this NPDES permit shall in no way be construed as acceptance or approval of detail plans.

This NPDES permit renewal, Ohio EPA permit number 2PB00025*LD, expires on May 31, 2022. This Schedule of Compliance includes items that extend beyond the term of this permit. The requirements of the Schedule of Compliance, including the compliance dates, will be included in permit 2PB00025 when it is renewed.



SANITARY AND COMBINED SEWER SYSTEMS

DESCRIPTION

The sewer system for the Village of Swanton consists of a network of pipes carrying both sanitary wastewater (used water and sewage that goes down the drain in homes and businesses) and storm water (surface drainage such as rain or melting snow). In many parts of the Village, the mixed wastewater and storm water flow together in a single pipe to the wastewater treatment plant. These areas constitute the Combined Sewer System.

The Combined Sewer System was built during the early 1900s, as an economical way to handle wastewater and storm water. Currently, the combined system services approximately 275 acres within the Village limits. When the combined sewer system originally was designed, it was less expensive than building two entirely separate systems of pipes to carry wastewater and storm water. Before the interceptor sewers and wastewater treatment plant were built, all sanitary sewage and storm water were discharged into the nearest body of water.

The advantage of a combined sewer system is that, most of the time when flow is low to moderate, both wastewater and storm water go to the wastewater plant for treatment before being discharged. The disadvantage is that during heavy rains, the sewers may become overloaded, causing the mixture of storm water and sanitary sewage to back up into homes and businesses or to flood streets. When this occurs, a health risk may result from the exposure of flooded areas, particularly residential basements, to sanitary sewage. To reduce the risk of flooded basements, the hydraulic overloading in the sewer system is relieved by the release of excess water through combined sewer overflows (CSOs) to nearby streams. Unfortunately, the water discharged from the overflows also contains diluted, but otherwise untreated, sanitary sewage.

At the core of the Swanton sanitary sewer system is a set of interceptor sewers constructed in 1958. Before that time, the existing sewers carried the effluent from individual septic tanks directly to the nearest natural stream without further treatment (other than dilution by storm water, since they were combined sewers). The interceptor sewers diverted and transported the wastewater to the newly constructed treatment plant, with the existing stream discharge points remaining as CSOs. This wastewater collection and treatment system allowed new buildings to be constructed without septic tanks and permitted the abandonment and bypassing of existing septic tanks as they failed.

The 1958 interceptor system has three branches, as follows:

- The West Interceptor reaches from the Wastewater Treatment Plant west and north along Ai Creek to the northwest corner of the Village, west of North Main Street and south of the Ohio Turnpike.
- The South Interceptor branches southward from the West Interceptor just west of the Wastewater Treatment Plant, and extends to a point along Centerville Road (the extension of South Main Street) south of Airport Highway near Academy Drive.

- The East Interceptor reaches from the Wastewater Treatment Plant east along Ai Creek to Hallett Avenue (Fulton-Lucas County Line Road), then north along Hallett Avenue to the northeast corner of the Village, just south of the Ohio Turnpike.

In addition to the three main interceptor sewers, two other branches are considered as major trunk sewers:

- The Southeast Trunk Sewer branches from the East Interceptor at Hallett Avenue and Ai Creek, and extends south along Hallett Avenue to Airport Highway. This sewer was originally constructed as a combined sewer in 1962, as part of an improvement project for Hallett Avenue by the Ohio Department of Transportation. It is still considered a combined sewer, even though a separate storm sewer has since been installed to carry storm drainage from Airport Highway to Ai Creek.
- The Southwest Trunk Sewer, built in 1988, branches from the West Interceptor in Swanton Memorial Park, reaching south to Airport Highway then west past Holiday Drive. This sewer was constructed as a sanitary sewer, serving an area of new growth and expansion with separate sanitary and storm sewer systems.

A large percentage of the Village is still served by combined sewers that were part of the original sewer system prior to construction of the interceptor sewers in 1958. Newer sections of the Village have separate storm and sanitary sewers, but most of these sanitary sewers discharge either to a combined sewer or to an interceptor sewer that also carries combined sewage. At least in these newer areas, the burden in the sanitary/combined sewers is alleviated by the removal of storm drainage through a separate system.

As previously mentioned, Swanton's CSOs are located at the original combined sewer discharge points. The CSO locations were left in place to act as safety reliefs when the pipes get too full to handle the high volume of water during heavy rains. A number of CSOs have been removed or closed, but the Village still has 13 active CSOs. Of these, ten are located along Ai Creek. The other three CSOs overflow into a storm sewer that was once an open channel known as the Mary Wonder Ditch. This drain runs diagonally across the northeast part of the Village, from near the Brookside Drive/ St. Richard's Court intersection to the Norfolk Southern railroad crossing on Hallett Avenue, discharging to the ditch flowing east along the south side of the railroad.

Figure 2 on the following page illustrates how a combined sewer receives and transports both sanitary sewage and storm water. The amounts of wastewater and storm water entering the system are computed as described in the Basis of Design section of this report. Water is discharged from the CSOs only when the flow exceeds the capacity of the interceptor sewers to the treatment plant. At Swanton's wastewater treatment plant, a Storm Basin is used to store excess wastewater from peak flows that occur during storms. The stored wastewater is then returned to the plant for treatment at a controlled rate after the incoming flow diminishes. Occasionally during extended periods of wet weather, the Storm Basin is filled but the incoming flow remains high, so a Storm Basin discharge will occur. This is not considered the same as a CSO, but it is still the result of the storm water carried by the combined sewers; so abatement of this type of discharge also must be addressed.

Ordinance 2017-23

AN ORDINANCE TO AMEND SECTIONS 51.161(A) AND 51.16(B) OF THE SWANTON VILLAGE CODIFIED ORDINANCES AND TO INCREASE WASTEWATER COLLECTION AND TREATMENT RATES FOR THE VILLAGE OF SWANTON

WHEREAS, the Council of the Village of Swanton desires to adjust the village wastewater treatment rates to ensure the funding for collection, treatment and disposal of wastewater for the Village of Swanton; and

WHEREAS, the Ohio and United States Environmental Protection Agencies continue to mandate rules and regulations governing the operation of public waste water collection and treatment systems which require the Village of Swanton to make improvements to its wastewater treatment plant and collection system in order to comply with these state and federal rules and regulations which increases the costs for the Village to treat sewage; and

WHEREAS, currently established wastewater collection and treatment rates will not provide the funding necessary for the wastewater treatment plant and treatment of waste.

NOW THEREFORE BE IT ORDAINED, by the Council of the Village of Swanton, two-thirds of the members elected thereto concurring and as follows:

Section One: That Section 51.161(A) of the Swanton Village Codified Ordinances is hereby amended and henceforth shall read as follows:

Section 51.161(A) Wastewater Rate Structure Inside the Village Corporation Limits

There is hereby established the following rate structure for wastewater collected from premises inside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility from October 1, 2017 to December 31, 2017:

<u>Gallons</u>	<u>Rate</u>
0-2,000	\$23.44
2,001 and above	\$9.06 per thousand gallons

Section 51.161(A)(1) Adjustment of Rates on or after January 1, 2018. The rates established in Section 51.161(A) shall automatically be adjusted to the following rate structure for waste water

collected from premises inside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility on or after January 1, 2018:

<u>Gallons</u>	<u>Rate</u>
0-2,000	\$24.61
2,001 and above	\$9.33 per thousand gallons

Section 51.161(A)(2) Adjustment of Rates on or after January 1, 2019. The rates established in Section 51.161(A)(1) shall automatically be adjusted to the following rate structure for waste water collected from premises inside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility on or after January 1, 2019:

<u>Gallons</u>	<u>Rate</u>
0-2,000	\$25.84
2,001 and above	\$9.64 per thousand gallons

Section 51.161(A)(3) Adjustment of Rates on or after January 1, 2020. The rates established in Section 51.161(A)(2) shall automatically be adjusted to the following rate structure for waste water collected from premises inside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility on or after January 1, 2020:

<u>Gallons</u>	<u>Rate</u>
0-2,000	\$27.13
2,001 and above	\$9.93 per thousand gallons

Section 51.161(A)(4) Adjustment of Rates on or after January 1, 2021. Unless otherwise modified, the rates established in Section 51.161(A)(3) shall automatically be adjusted for wastewater collected from premises inside the Village of Swanton and treated by the Swanton Village Water Resource Facility on or after January 1, 2021 on an annual basis at an additional amount as follows:

Monthly base rate: \$1.25 increase per year effective January 1st of each subsequent year.

Usage rate per 1,000 gallons: \$.30 increase per year effective January 1st of each subsequent year.

Section Two: That Section 51.161(B) of the Swanton Village Codified Ordinances is hereby amended and henceforth shall read as follows:

Section 51.161(B) Wastewater Rate Structure Outside the Village Corporation Limits

There is hereby established the following rate structure for waste water collected from premises outside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility from October 1, 2017 to December 31, 2017:

<u>Gallons</u>	<u>Rate</u>
0-2,000	\$58.88
2,001 and above	\$22.94 per thousand gallons

Section 51.161(B)(1) Adjustment of Rates on or after January 1, 2018. The rates established in Section 51.161(B) shall automatically be adjusted to the following rate structure for wastewater collected from premises outside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility on or after January 1, 2018:

<u>Gallons</u>	<u>Rate</u>
0-2,000	\$60.05
2,001 and above	\$23.21 per thousand gallons

Section 51.161(B)(2) Adjustment of Rates on or after January 1, 2019. The rates established in Section 51.161(B)(1) shall automatically be adjusted to the following rate structure for wastewater collected from premises outside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility on or after January 1, 2019:

<u>Gallons</u>	<u>Rate</u>
0-2,000	\$61.28
2,001 and above	\$23.52 per thousand gallons

Section 51.161(B)(3) Adjustment of Rates on or after January 1, 2020. The rates established in Section 51.161(B)(2) shall automatically be adjusted to the following rate structure for wastewater collected from premises outside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility on or after January 1, 2020:

<u>Gallons</u>	<u>Rate</u>
0-2,000	\$62.57
2,001 and above	\$23.81 per thousand gallons

Section 51.161(B)(4) Adjustment of Rates on or after January 1, 2021. The rates established in Section 51.161(B)(3) shall automatically be adjusted to the following rate structure for wastewater collected from the premises outside the Village of Swanton and treated by the Swanton Village Water Resource Recovery Facility on or after January 1, 2021:

Monthly base rate: \$1.25 increase per year effective January 1st of each subsequent year.

Usage rate per 1,000 gallons: \$.30 increase per year effective January 1st of each subsequent year.

Section Three: That it is found and determined that all formal actions of this Village Council concerning and relating to the adoption of this ordinance were adopted in an open meeting of this Village Council, and that all deliberations of this Village Council and of any of its committees that resulted in such formal action, were in meetings open to the public in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section Four: That this ordinance shall be effective at the earliest time allowed by law.

First Reading: July 17, 2017

Second Reading: August 14, 2017

Third Reading: August 28, 2017

Vote on Passage

Moved: Dzyak

Second: Westhoven

YEAS: 5

NAYS: 0

ABSTAIN: 1


Date of Passage: August 28, 2017



Ann Roth, Mayor

Attest:

I, Karla Sexton, Fiscal Officer of the Village of Swanton, do hereby certify that this is a true and accurate copy of Ordinance 2017-23, passed on August 28, 2017


Karla Sexton, Fiscal Officer

Ordinance 2017-24

AN ORDINANCE TO AMEND SECTIONS 52.01 AND 52.08(A) OF THE SWANTON VILLAGE CODIFIED ORDINANCES AND TO INCREASE THE WATER DISTRIBUTION RATES FOR THE VILLAGE OF SWANTON

WHEREAS, the Council of the Village of Swanton desires to improve the village water plant and distribution system to ensure a reliable and safe supply of water for the Village of Swanton and;

WHEREAS, the Ohio and United States Environmental Protection Agencies continue to mandate rules and regulations governing the operation of public water systems which require the Village of Swanton to make improvements to its water plant and distribution system in order to comply with these state and federal rules and regulations; and

WHEREAS, currently established water rates will not provide the funding necessary for the operation of the village water plant and distribution system.

NOW THEREFORE BE IT ORDAINED, by the Council of the Village of Swanton, two-thirds of the members elected thereto concurring and as follows:

Section One: That Section 52.01 of the Swanton Village Codified Ordinances is hereby amended and henceforth shall read as follows:

Section 52.01(A) Water Rate Structure Inside the Village Corporation Limits

There is hereby established the following rate structure for water furnished to premises inside the Village of Swanton supplied by the Swanton Village Water Works from October 1, 2017 to December 31, 2017:

<u>Gallons</u>	<u>Rate</u>
0-2,000	\$25.43
2,001 and above	\$5.20 per thousand gallons

Section 52.01(A)(1) Adjustment of Rates on or after January 1, 2018. The rates established in Section 52.01(A) shall automatically be adjusted to the following rate structure for water furnished to premises inside the Village of Swanton supplied by the Swanton Village Water Works on or after January 1, 2018:

<u>Gallons</u>	<u>Rate</u>
0-2,000	\$26.70
2,001 and above	\$5.36 per thousand gallons

Section 52.01(A)(2) Adjustment of Rates on or after January 1, 2019. The rates established in Section 52.01(A)(1) shall automatically be adjusted to the following rate structure for water furnished to premises inside the Village of Swanton supplied by the Swanton Village Water Works on or after January 1, 2019:

<u>Gallons</u>	<u>Rate</u>
0-2,000	\$28.04
2,001 and above	\$5.52 per thousand gallons

Section 52.01(A)(3) Adjustment of Rates on or after January 1, 2020. The rates established in Section 52.01(A)(2) shall automatically be adjusted to the following rate structure for water furnished to premises inside the Village of Swanton supplied by the Swanton Village Water Works on or after January 1, 2020:

<u>Gallons</u>	<u>Rate</u>
0-2,000	\$29.44
2,001 and above	\$5.69 per thousand gallons

Section 52.01(A)(4) Adjustment of Rates on or after January 1, 2021. Unless otherwise modified the rates established in Section 52.01(A)(3) shall automatically be adjusted for water furnished to premises inside the Village of Swanton supplied by the Swanton Village Water Works on or after January 1, 2021 on an annual basis at an additional amount as follows:

Monthly base rate: \$1.35 increase per year effective January 1st of each subsequent year.

Usage rate per 1,000 gallons: \$0.17 increase per year effective January 1st of each subsequent year.

Section Two: That Section 52.08(A) of the Swanton Village Codified Ordinances is hereby amended and henceforth shall read as follows:

Section 52.08(A) Water Rate Structure Outside the Village Corporation Limits

There is hereby established the following rate structure for water furnished to premises outside the Village of Swanton supplied by the Swanton Village Water Works from October 1, 2017 to December 31, 2017:

<u>Gallons</u>	<u>Rate</u>
0-2,000	\$63.93
2,001 and above	\$7.04 per thousand gallons

Section 52.08(A)(1) Adjustment of Rates on or after January 1, 2018. The rates established in Section 52.08(A) shall automatically be adjusted to the following rate structure for water furnished to premises outside the Village of Swanton supplied by the Swanton Village Water Works on or after January 1, 2018:

<u>Gallons</u>	<u>Rate</u>
0-2,000	\$65.20
2,001 and above	\$7.20 per thousand gallons

Section 52.08(A)(2) Adjustment of Rates on or after January 1, 2019. The rates established in Section 52.08(A)(1) shall automatically be adjusted to the following rate structure for water furnished to premises outside the Village of Swanton supplied by the Swanton Village Water Works on or after January 1, 2019:

<u>Gallons</u>	<u>Rate</u>
0-2,000	\$66.54
2,001 and above	\$7.36 per thousand gallons

Section 52.08(A)(3) Adjustment of Rates on or after January 1, 2020. The rates established in Section 52.08(A)(2) shall automatically be adjusted to the following rate structure for water furnished to premises outside the Village of Swanton supplied by the Swanton Village Water Works on or after January 1, 2020:

<u>Gallons</u>	<u>Rate</u>
0-2,000	\$67.94
2,001 and above	\$7.53 per thousand gallons

Section 52.08(A)(4) Adjustment of Rates on or after January 1, 2021. The rates established in Section 52.08(A)(3) shall automatically be adjusted to the following rate structure for water furnished to premises outside the Village of Swanton supplied by the Swanton Village Water Works on or after January 1, 2021:

Monthly base rate: \$1.35

Usage fore 1,000 gallons: \$0.17

Section Three: That it is found and determined that all formal actions of this Village Council concerning and relating to the adoption of this ordinance were adopted in an open meeting of this Village Council, and that all deliberations of this Village Council and of any of its committees that resulted in such formal action, were in meetings open to the public in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section Four: That this ordinance shall be effective at the earliest time allowed by law.

First Reading: July 17, 2017

Second Reading: August 14, 2017

Third Reading: August 28, 2017

Vote on Passage

Moved: Westhoven Second: Rochelle YEAS: 5 NAYS: 0 ABSTAIN: 1

Date of Passage: August 28, 2017



Ann Roth, Mayor

Attest:

I, Karla Sexton, Fiscal Officer of the Village of Swanton, do hereby certify that this is a true and accurate copy of Ordinance 2017-24, passed on August 28, 2017



Karla Sexton, Fiscal Officer

Small Government Commission Application Checklist

Use of the following checklist with the Applicants Manual will help ensure that your application is scored at its best competitive advantage. It will also assist with the timely release of the Project Agreement should your project be funded. This form is for your use and not a required submission. Various templates and forms are in this manual, on the Small Government webpage, and on the OPWC Application webpage.

- Compliant certified authorizing legislation by applicant's governing body (OPWC Application webpage)
- Cooperative agreement if multi-jurisdictional (OPWC Application webpage)
- Compliant Chief Financial Officer's Certification and Loan Letter (OPWC Application webpage)
- Funding commitment letters and or documentation for all non-OPWC matching funds
- Signed/stamped registered professional engineer's detailed cost estimate including in-kind costs (OPWC Application webpage)
- Signed/stamped professional engineer's weighted useful life statement if not submitted with original application (cannot be modified)
- Small Government Engineer's Plan Status Certification form (in this manual and on SG webpage)
- Clear description of problem and scope of work with appropriate documentation
- Source documentation for proof of age with year clearly visible or compliant letter from eligible public official (letter template in this manual)
- Project site photos, if appropriate
- Map showing project location/site
- Farmland Preservation Review Letter if any impact to farmland (OPWC Application webpage)
- ADT report for Road, Bridge & Culvert Projects
OR
Number of households/EDUs (with calculation) for Water, Wastewater, Storm Water Collection, Solid Waste Projects who directly benefit

Roads, Bridges/Culverts, Storm Water, Solid Waste Projects Only:

- Auditor's Certificate of Estimated Resources with line item detail unless applicant in State of Fiscal Emergency; also If Storm Water or Solid Waste project, the fund(s) typically used are identified (examples in back of this manual)

Water and Wastewater Projects Only:

- "Current" water and wastewater rate ordinances/resolutions for all entities providing services unless applicant in State of Fiscal Emergency
- Small Government Water & Wastewater Ability & Effort Supplemental form (in this manual and on SG webpage)

Small Government Commission
Water & Wastewater Ability & Effort Supplemental
(This form must be completed and submitted for all Water and Wastewater applications)

Applicant: **Village of Swanton**

System Users – The Small Government Commission will use households from the most recent decennial Census of Population and Housing unless a system-generated user report for inside users is provided or Equivalent Dwelling Units (provide calculation if using EDUs).

1387

Usage – The Small Government Commission will assume 4,500 gallons per month unless a system-generated usage report is provided proving higher consumption.

Rates – Provide both water and wastewater rates, and any surcharges. Attach all relevant ordinances/resolutions showing the effective dates and rate tables. If service is supplied by a different entity the applicant must provide the same information as if it were supplying the service. Calculation of rates must be clear as supported by ordinance or resolution. Calculation must be for rates in effect and in active billing by December 2016; approved rates for a future date will not be accepted (see exception for new systems in Applicants Manual).

WATER

Billing Period:	Monthly	<u>X</u>	Quarterly	_____	Other	_____
Unit of Measurement:	Gallons	<u>X</u>	Cubic Feet	_____	Flat Rate	_____
Base Charge		\$ <u>26.70</u>			0 to X gallons or 0 to X cubic feet	
Second Increment		\$ <u>13.40</u>			\$ per unit from X to Y	
Additional Increments		\$ _____			\$ per unit from Y to Z	
Additional Increments		\$ _____				
Surcharges		\$ _____				
TOTAL		\$ <u>41.10</u>				

WASTEWATER

Billing Period:	Monthly	<u>X</u>	Quarterly	_____	Other	_____
Unit of Measurement:	Gallons	<u>X</u>	Cubic Feet	_____	Flat Rate	_____
Base Charge		\$ <u>24.61</u>			0 to X gallons or 0 to X cubic feet	
Second Increment		\$ <u>23.33</u>			\$ per unit from X to Y	
Additional Increments		\$ _____			\$ per unit from Y to Z	
Additional Increments		\$ _____				
Surcharges		\$ _____				
TOTAL		\$ <u>47.94</u>				

SMALL GOVERNMENT COMMISSION USE ONLY	
Water	_____
Wastewater	_____
Determination	_____

Small Government Commission
 Engineer's Plan Status Certification
 Required for Criterion No. 11, Part I

Applicant: Village of Swanton

District No.: 5

Project Name: Swanton Project 10 - Brookside, Woodside, Parkside Combined Sewer Separation

Item	Necessary for project?	Status	Completion Date
Met Completion dates for Items A - C (2 points)			
A	Surveying Y <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	Surveying to begin 9/1/18	10/1/18
B	R/W Acquisition Identified Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		
C	Preliminary Design Y <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	Completed	9/7/18
Met Completion dates for Items A - H (5 points)			
D	Final Construction Plans Y <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	Final Design to begin 9/1/18	1/12/19
E	Permit to Install Issued Y <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	PTI Application to be submitted 1/12/19	5/2/19
F	NPDES Issued Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		
G	Other Permits Issued Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		
H	Executed Right of Way Option or Agreement Y <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		

I hereby certify that the information above is true and correct to the best of my knowledge and belief.

Gregg J. Simon, P.E.
 Engineer's Printed Name

Gregg J. Simon
 Engineer's Signature

8/27/18
 Date



Engineer's Stamp/Seal

Small Government Self-Score
(Input Score in box for each criterion; will total automatically)

Applicant:

	SCORE
1 Ability & Effort (Use A or B according to project type)	
A. <i>Roads, Bridges/Culverts, Storm Water, Solid Waste Projects ONLY</i> 0 2 4 6 8 10	<input style="width: 50px; height: 20px;" type="text"/>
B. <i>Water & Wastewater Projects ONLY</i> Cannot be self-scored; uses blind factor based on all project applications	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="N/A"/>
2 Health & Safety (Use A or B according to project type)	
A. <i>Road, Bridge, Culvert</i> 0 2 4 6 8 10	<input style="width: 50px; height: 20px;" type="text"/>
B. <i>Water, Wastewater, Storm Water, Solid Waste</i> 0 2 4 6 8 10	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="10"/>
3 Age & Condition	
I. <i>Age</i> 0 1 2 3 4 5	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="5"/>
II. <i>Condition</i> 1 3 5	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="3"/>
4 Leveraging Ratio	
0 1 2 3 4 5 6 7 8 9 10	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="10"/>
5 Population Benefit	
0 1 2 3 4 5	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="0"/>
6 District Priority Ranking - Completed by Administrator	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="N/A"/>
7 OPWC Funds Requested	
0 2 4 6 8 10	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="8"/>
8 Loan Request (Default 0 points if no loan requested)	
1 5 10	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="10"/>
9 Useful Life	
1 2 3 4 5	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="5"/>
10 Median Household Income	
2 4 6 8 10	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="4"/>
11 Readiness to Proceed	
I. <i>Status of Plans</i> 0 2 5	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="2"/>
II. <i>Status of Funding</i> 0 3 5	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="3"/>
TOTAL	<input style="width: 50px; height: 20px; border: 2px solid black;" type="text" value="60"/>