



State of Ohio
Public Works Commission
Application for Financial Assistance

IMPORTANT: Please consult "Instructions for Financial Assistance for Capital Infrastructure Projects" for guidance in completion of this form.

Applicant

Applicant: Village of Kelleys Island Subdivision Code: 043-39662
 District Number: 5 County: Erie Date: 09/07/2016
 Contact: Claudia Brown Phone: (419) 504-8201
(The individual who will be available during business hours and who can best answer or coordinate the response to questions)
 Email: clerk@kelleysisland.us FAX: (419) 746-9595

Project Name: Chappell Street Resurfacing Zip Code: 43438

Project

| Subdivision Type <small>(Select one)</small> | Project Type <small>(Select single largest component by \$)</small> | Funding Request Summary <small>(Automatically populates from page 2)</small> |
|---|--|---|
| <input type="checkbox"/> 1. County | <input checked="" type="checkbox"/> 1. Road | Total Project Cost: <u>182,095.00</u> |
| <input type="checkbox"/> 2. City | <input type="checkbox"/> 2. Bridge/Culvert | 1. Grant: <u>56,814.00</u> |
| <input type="checkbox"/> 3. Township | <input type="checkbox"/> 3. Water Supply | 2. Loan: <u>30,592.00</u> |
| <input checked="" type="checkbox"/> 4. Village | <input type="checkbox"/> 4. Wastewater | 3. Loan Assistance/ Credit Enhancement: <u>0.00</u> |
| <input type="checkbox"/> 5. Water (6119 Water District) | <input type="checkbox"/> 5. Solid Waste | Funding Requested: <u>87,406.00</u> |
| | <input type="checkbox"/> 6. Stormwater | |

District Recommendation (To be completed by the District Committee)

| Funding Type Requested <small>(Select one)</small> | SCIP Loan - Rate: _____ % Term: _____ Yrs | Amount: _____ .00 |
|---|---|-------------------|
| <input type="checkbox"/> State Capital Improvement Program | RLP Loan - Rate: _____ % Term: _____ Yrs | Amount: _____ .00 |
| <input type="checkbox"/> Local Transportation Improvement Program | Grant: | Amount: _____ .00 |
| <input type="checkbox"/> Revolving Loan Program | LTIP: | Amount: _____ .00 |
| <input type="checkbox"/> Small Government Program | Loan Assistance / Credit Enhancement: | Amount: _____ .00 |
| District SG Priority: _____ | | |

For OPWC Use Only

STATUS: _____ Grant Amount: _____ .00 Loan Type: SCIP RLP
 Project Number: _____ Loan Amount: _____ .00 Date Construction End: _____
 _____ Total Funding: _____ .00 Date Maturity: _____
 Release Date: _____ Local Participation: _____ % Rate: _____ %
 OPWC Approval: _____ OPWC Participation: _____ % Term: _____ Yrs

1.0 Project Financial Information (All Costs Rounded to Nearest Dollar)

1.1 Project Estimated Costs

Engineering Services

| | | | |
|-------------------------------|------------------------|-------------|--|
| Preliminary Design: | <u>1,164</u> .00 | | |
| Final Design: | <u>11,307</u> .00 | | |
| Construction Administration: | <u>10,924</u> .00 | | |
| Total Engineering Services: | a.) <u>23,395</u> .00 | <u>18</u> % | |
| Right of Way: | b.) _____ .00 | | |
| Construction: | c.) <u>132,250</u> .00 | | |
| Materials Purchased Directly: | d.) _____ .00 | | |
| Permits, Advertising, Legal: | e.) _____ .00 | | |
| Construction Contingencies: | f.) <u>26,450</u> .00 | <u>20</u> % | |
| Total Estimated Costs: | g.) <u>182,095</u> .00 | | |

1.2 Project Financial Resources

Local Resources

| | | | |
|---|-----------------------|-------------|--|
| Local In-Kind or Force Account: | a.) _____ .00 | | |
| Local Revenues: | b.) <u>94,689</u> .00 | | |
| Other Public Revenues: | c.) _____ .00 | | |
| ODOT / FHWA PID: _____ | d.) _____ .00 | | |
| USDA Rural Development: | e.) _____ .00 | | |
| OEPA / OWDA: | f.) _____ .00 | | |
| CDBG: | g.) _____ .00 | | |
| <input type="checkbox"/> County Entitlement or Community Dev. "Formula" | | | |
| <input type="checkbox"/> Department of Development | | | |
| Other: _____ | h.) _____ .00 | | |
| Subtotal Local Resources: | i.) <u>94,689</u> .00 | <u>52</u> % | |

OPWC Funds (Check all requested and enter Amount)

| | | | |
|---------------------------------------|------------------------|--------------|--|
| Grant: <u>65</u> % of OPWC Funds | j.) <u>56,814</u> .00 | | |
| Loan: <u>35</u> % of OPWC Funds | k.) <u>30,592</u> .00 | | |
| Loan Assistance / Credit Enhancement: | l.) <u>0</u> .00 | | |
| Subtotal OPWC Funds: | m.) <u>87,406</u> .00 | <u>48</u> % | |
| Total Financial Resources: | n.) <u>182,095</u> .00 | <u>100</u> % | |

1.3 Availability of Local Funds

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local resources required for the project will be available on or before the earliest date listed in the Project Schedule section. The OPWC Agreement will not be released until the local resources are certified. Failure to meet local share may result in termination of the project. Applicant needs to provide written confirmation for funds coming from other funding sources.

2.0 Repair / Replacement or New / Expansion

2.1 Total Portion of Project Repair / Replacement: _____ 182,095 .00 _____ 100 %
2.2 Total Portion of Project New / Expansion: _____ 0 .00 _____ 0 %
2.3 Total Project: _____ 182,095 .00 _____ 100 %

A Farmland Preservation letter is required for any impact to farmland

3.0 Project Schedule

3.1 Engineering / Design / Right of Way Begin Date: 02/05/2017 End Date: 07/01/2017
3.2 Bid Advertisement and Award Begin Date: 07/15/2017 End Date: 08/15/2017
3.3 Construction Begin Date: 09/15/2017 End Date: 12/15/2017

Construction cannot begin prior to release of executed Project Agreement and issuance of Notice to Proceed.

Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by project official of record and approved by the Commission once the Project Agreement has been executed.

4.0 Project Information

If the project is multi-jurisdictional, information must be consolidated in this section.

4.1 Useful Life / Cost Estimate / Age of Infrastructure

Project Useful Life: 20 Years Age: 1995 (Year built or year of last major improvement)

Attach Registered Professional Engineer's statement, with seal or stamp and signature confirming the project's useful life indicated above and detailed cost estimate.

4.2 User Information

Road or Bridge: Current ADT 996 Year 2014 Projected ADT _____ Year _____

Water / Wastewater: Based on monthly usage of 4,500 gallons per household; attach current ordinances.

Residential Water Rate Current \$ _____ Proposed \$ _____

Number of households served: 0

Residential Wastewater Rate Current \$ _____ Proposed \$ _____

Number of households served: _____

Stormwater: Number of households served: _____

4.3 Project Description

- A: SPECIFIC LOCATION (Supply a written location description that includes the project termini; a map does not replace this requirement.) 500 character limit.

Project location is on Chappell St., termini at Division St. with a terminus at Addison Rd.

- B: PROJECT COMPONENTS (Describe the specific work to be completed; the engineer's estimate does not replace this requirement) 1,000 character limit.

The scope of work on Chappell includes asphalt pavement resurfacing with 3 inch planning and a 3 inch overlay for a distance of 1,500 lineal ft, adjust water valves to grade, repair catch basins, reconstruct driveway approaches, and place pavement markings.

- C: PHYSICAL DIMENSIONS (Describe the physical dimensions of the existing facility and the proposed facility. Include length, width, quantity and sizes, mgd capacity, etc in detail.) 500 character limit.

The work length is 1500 Lineal Ft. with a pavement width of 18 ft wide

5.0 Project Officials

Changes in Project Officials must be submitted in writing from an officer of record.

5.1 Chief Executive Officer

(Person authorized in legislation to sign project agreements)

Name: Arden Cooper
Title: Mayor
Address: 121 Addison St.

City: Kelleys Island State: OH Zip: 43438
Phone: (419) 746-2535
FAX: (419) 746-9595
E-Mail: arden.cooper@aol.com

5.2 Chief Financial Officer

(Can not also serve as CEO)

Name: Claudia Brown
Title: Clerk -Treasurer
Address: 121 Addison St.

City: Kelleys Island State: OH Zip: 43438
Phone: (419) 746-2535
FAX: (419) 746-9595
E-Mail: clerk@kelleyisland.us

5.3 Project Manager

Name: Scott Stevenson
Title: Village Administrator
Address: 121 Addison St.

City: Kelleys Island State: OH Zip: 43438
Phone: (419) 746-2535
FAX: (419) 746-9595
E-Mail: scott738@gmail.com

6.0 Attachments / Completeness review

Confirm in the boxes below that each item listed is attached (Check each box)

- A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- A certification signed by the applicant's chief financial officer stating the amount of all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's seal or stamp and signature.
- A cooperative agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- Farmland Preservation Review - The Governor's Executive Order 98-IV, "Ohio Farmland Protection Policy" requires the Commission to establish guidelines on how it will take protection of productive agricultural and grazing land into account in its funding decision making process. Please include a Farm Land Preservation statement for projects that have an impact on farmland.
- Capital Improvements Report. CIR Required by O.R.C. Chapter 164.06 on standard form.
- Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your local District Public Works Integrating Committee.

7.0 Applicant Certification

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.

ARDEN COOPER MARINE

Certifying Representative (Printed form, Type or Print Name and Title)

Arden Cooper 9-8-2016

Original Signature / Date Signed

"DETAILED ENGINEERS ESTIMATE" AND "ENGINEER'S USEFUL LIFE STATEMENT"

Listed below is an estimate of the probable cost of construction for the proposed Chappell Street Resurfacing Project in the Village of Kelleys Island , Ohio :

Date: 9/7/2016

| REF. NO. | ITEM | DESCRIPTION | UNIT | ESTIMATED PRICE | EXTRA QUANTITY | TOTAL QUANTITY | EST. TOTAL COST |
|------------------------|------|---|--------|-----------------|----------------|----------------|-----------------|
| EROSION CONTROL | | | | | | | |
| 1 | 659 | LAWN RESTORATION INCL. LINEAL GRADING & TOPSOIL | SQ YD | \$10.00 | 7.0 | 90.00 | \$900.00 |
| DRAINAGE | | | | | | | |
| 2 | 611 | MANHOLE ADJUSTED TO GRADE, METHOD D. | EACH | \$650.00 | 0.0 | 1.00 | \$650.00 |
| PAVEMENT | | | | | | | |
| 3 | 253 | PAVEMENT REPAIR, AS PER PLAN | SQ YD | \$65.00 | 4.0 | 70.00 | \$4,550.00 |
| 4 | 254 | PAVEMENT PLANING, ASPHALT CONCRETE, AS PER PLAN | SQ YD | \$5.00 | 27.0 | 3,350.00 | \$16,750.00 |
| 5 | 204 | EXCAVATION OF SUBGRADE AND EMBANKMENT WITH GRANULAR MATERIAL, AS PER PLAN, CONTINGENCY, AS DIRECTED | CU YD | \$130.00 | 3.0 | 25.00 | \$3,250.00 |
| 6 | 441 | ASPHALT CONCRETE SURFACE COURSE, TYPE 1, (448), PG64-22, AS PER PLAN | CU YD | \$270.00 | 2.0 | 140.00 | \$37,800.00 |
| 7 | 441 | ASPHALT CONCRETE INTERMEDIATE COURSE, TYPE 2, PG64-22 | CU YD | \$280.00 | 3.0 | 165.00 | \$46,200.00 |
| 8 | SPEC | TACK COAT, INTERMEDIATE COURSE | GALLON | \$5.00 | 18.0 | 350.00 | \$1,750.00 |
| WATER WORK | | | | | | | |
| 9 | 638 | VALVE BOX ADJUSTED TO GRADE, RISER RING , AS PER PLAN | EACH | \$200.00 | 0.0 | 2.00 | \$400.00 |
| INCIDENTALS | | | | | | | |
| 10 | 624 | MOBILIZATION | LUMP | \$10,000.00 | 0.0 | 1.00 | \$10,000.00 |
| 11 | SPEC | BONDS & INSURANCES | LUMP | \$10,000.00 | 0.0 | 1.00 | \$10,000.00 |

Construction Total: \$132,250.00

Design & Construction Administration : \$23,395.00

Construction Contingencies: \$26,450.00

Total Estimated Project Cost: \$182,095.00

The estimated useful life of the Chappell Street Resurfacing Improvement is 20 years.


 Peter J. Formica, P. E.



COUNCIL CHAMBER
VILLAGE OF KELLEYS ISLAND, OHIO

RESOLUTION 2016-R- 4

INTRODUCED BY: MAYOR ARDEN COOPER

A RESOLUTION AUTHORIZING MAYOR ARDEN COOPER TO PREPARE AND SUBMIT AN APPLICATION TO PARTICIPATE IN THE OHIO PUBLIC WORKS COMMISSION STATE CAPITAL IMPROVEMENT AND/OR LOCAL TRANSPORTATION IMPROVEMENT PROGRAM(S) AND TO EXECUTE CONTRACTS AS REQUIRED.

WHEREAS, the State Capital Improvement Program and the Local Transportation Improvement Program both provide financial assistance to political subdivisions for capital improvements to public infrastructure, and

WHEREAS, the Village of Kelleys Island is planning to make capital improvements to Chappell Drive Resurfacing Project, and

WHEREAS, the infrastructure improvement herein above described is considered to be a priority need for the community and is a qualified project under the OPWC programs,

NOW THEREFORE, BE IT RESOLVED by the Village of Kelleys Island:

SECTION 1: Mayor Arden Cooper is hereby authorized to apply to the OPWC for funds as described above.

SECTION 2: Mayor Arden Cooper is authorized to enter into any agreements as may be necessary and appropriate for obtaining this financial assistance.

SECTION 3: That it is found and determined that all formal actions of the Council of Kelleys Island concerning and relating to the adoption of this Resolution were adopted in an open meeting of such body, and that an deliberations of the Council of the Village of Kelleys Island and any of its committees that resulted in such formal action were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code

SECTION 4: That this Resolution is hereby declared to be an emergency measure necessary for the immediate preservation of the public peace, health and welfare of the inhabitants of the Village of Kelleys Island, and for the further reason that the grant application process has a deadline for submission, therefore, this Resolution shall take effect and be in force immediately from and after its passage and approval by the Mayor.

DATED THIS SECOND DAY OF SEPTEMBER, 2016

Claudia Brown

Village Clerk Claudia Brown

Arden Cooper
Mayor Arden Cooper

* CERTIFICATION *

I, Claudia Brown, Clerk of Council of the Village of Kelleys Island, Ohio, do hereby certify that the attached is a true and correct copy of Resolution No. 2016-R-4, passed by the Council of the Village of Kelleys Island, Erie County, Ohio at a Council Meeting held on September 2, 2016.

Given under my hand and seal this second day of September, 2016.

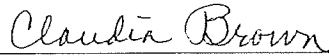
Claudia M Brown

Claudia M. Brown
Clerk-Treasurer

Chief Financial Officer's Certificate of Funds

I, Clerk-Treasurer of the Village of Kelleys Island, hereby certify that the amount of \$94,689 is in the treasury to the credit of the Kelleys Island Road Construction Fund of the Village of Kelleys Island, Erie County, Ohio and that this amount will be used to pay the local share for the Ohio Public Works Commission loan for the Chappell Street Resurfacing Project from Division Street to Addison Road when the money is required.

I, Clerk-Treasurer of the Village of Kelleys Island, hereby certify that the Erie County Auditor has certified to the Village of Kelleys Island that the amount of \$110,000 will be collected and paid to the Village of Kelleys Island in 2017 to the credit of the Road Construction Levy Fund and that this levy money will be used to repay the Ohio Public Works Commission SCIP or RLP loan requested for the Chappell Street Resurfacing Project over a twenty year term.



Claudia Brown, MMC
Clerk-Treasurer

OFFICE OF THE VILLAGE CLERK

P.O. BOX 469
 KELLEYS ISLAND, OHIO 43438
 PHONE 419-746-2535

Requisition No. 21101

Purchase Order
 and Certificate

To OPWC-Chappell 9-8 2016

Deliver to _____

At _____

Terms _____ # 99976

| APPROPRIATION | |
|---------------|----------|
| Code | Amount |
| B836A250 | 94689.00 |
| | |
| | |
| | |

| Quantity | Unit | DESCRIPTION | Price Per Unit | Amount |
|----------|------|---|----------------|--------|
| | | Chappell Rd. Resurfacing local share for OPWC project application | | |

FISCAL OFFICER'S CERTIFICATE

It is hereby certified that the amount (\$ 94689.00) required to meet the contract, agreement, obligation, payment or expenditure for the above, has been lawfully appropriated or authorized or directed for such purpose and is in the Treasury or in process of collection to the credit of the Road Const Fund free from any obligation or certification now outstanding.
 Dated 9-8-16 CUNBrom Fiscal Officer

Signed:









 Purchasing Agent
 This order is not valid unless Fiscal Officer's Certificate is signed.

PINK COPY - FISCAL OFFICER

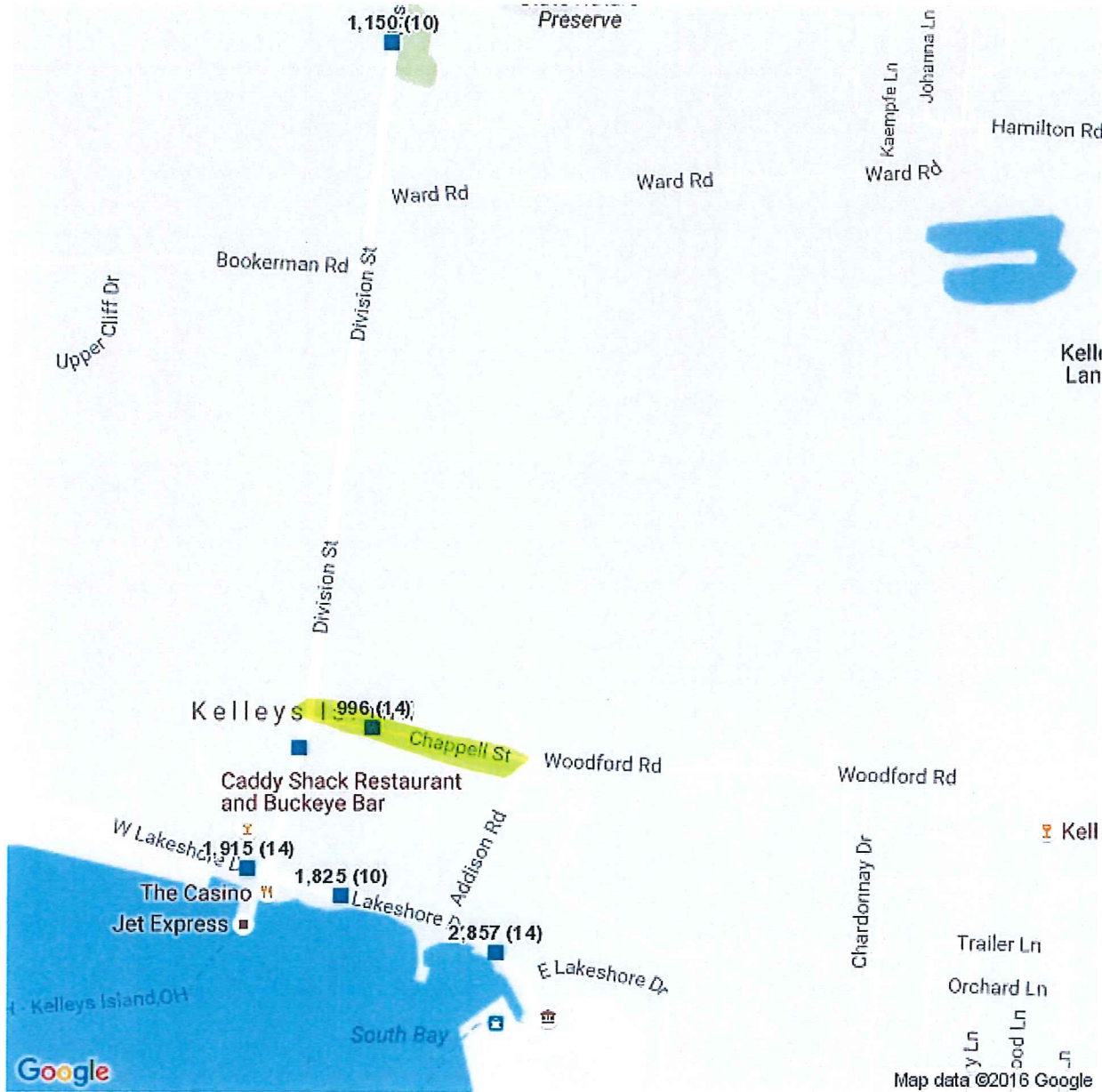
Village of Kelleys Island

Chappell St. Resurfacing from Division St. to Addison Rd.

Legend

-  Caddy Shack
-  Chappell St
-  Feature 1
-  Kelleys Island
-  Lakeshore Landing
-  Marina
-  RE/MAX Beyond 2000 - Jason Smith
-  Village of Kelleys Island





- TCDS Locations**
- Short
 - Continuous
 - W WIM
 - Located Short
 - Located Continuous
 - W Located WIM

Chappell St. Village of Kelleys Island - August 2016



Revised: June 18, 2015

**DISTRICT 5
CAPITAL IMPROVEMENT PROJECTS
QUESTIONNAIRE
ROUND 30**

Name of Applicant: Village of Kelleys Island

Project Title: Chappell Resurfacing

The following questions are to be answered for each application submitted for State Issue II SCIP, LTIP and Loan Projects. Please provide specific information using the best documentation available to you. Justification of your responses to these questions will be required if your project is selected for funding, so please provide correct and accurate responses.

1. What percentage of the project in repair A= %, replacement B= %, expansion C= %, and new D= %? (Use dollar amounts of project to figure percentages and make sure the total equals one hundred(100) percent) A+B= % C+D= %

Repair/Replacement =Repair or Replacement of public facilities owned by the government (any subdivision of the state).

New/Expansion = Replacement of privately owned wells, septic systems, private water or wastewater systems, etc.

A= 100%

2. Give the physical condition rating from the Capital Improvements Report (CIR) Inventory :

Closed or Not Operating: The condition is unusable, dangerous and unsafe. The primary components have failed. The infrastructure is not functioning at all.

Critical: The condition is causing or contributing to a serious non-compliance situation and is threatening the intended design level of service. The infrastructure is functioning at seriously diminished capacity Imminent failure is anticipated within 18 months. Repair and/or replacement is required to eliminate the critical condition and meet current design standards. **(For Road Projects structural repair items would represent a minimum of 25% of the total Project Cost).**

Poor: The condition is substandard and requires repair/replacement in order to return to the intended level of service and comply with current design standards. Infrastructure contains a major deficiency and is functioning at a diminished capacity.

Fair: The condition is average, not good or poor. The infrastructure is still functioning as originally intended. Minor deficiencies exist requiring repair to continue to function as originally intended and/or to meet current design standards.

Good: The condition is safe and suitable to purpose. Infrastructure is functioning as originally intended, but requires minor repairs and/or upgrades to meet

current design standards.

Excellent: The condition is new, or requires no repair. Or, no supporting documentation has been submitted.

The CIR must be included with the application in order to receive points along with supporting documentation (e.g. photos or a narrative) justifying the rating.

Condition = Poor

3. If the proposed project is not approved what category would best represent the impact on the general health and/or public safety?

ROADS

Extremely Critical: Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Major Access Road.*

Critical: Resurfacing, Restoration and Rehabilitation (3R) of a Major Access Road.*

Major: Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Minor Access Road.*

Moderate: Resurfacing, Restoration and Rehabilitation (3R) of a Minor Access Road.*

Minimal: Preventative Maintenance of a Major Access Road.

No Impact: Preventative Maintenance of a Minor Access Road.

Category: Moderate

Projects that have a variety of work will be scored in the LOWEST category of work contained in the Construction Estimate.

Road/Street Classifications:

Major Access Road: Roads or streets that have a dual function of providing access to adjacent properties and providing through or connecting service between other roads.

Minor Access Road: Roads or streets that primarily provide access to adjacent properties without through continuity, such as cul-de-sacs or loop roads or streets.

Preventative Maintenance: Non Structural Pavement work such as chip sealing, cape sealing, microsurfacing, crack sealing, etc.

*(3R) Resurfacing, Restoration and Rehabilitation - Improvements to existing roadways, which have as their main purpose, the restoration of the physical features (pavement, curb, guardrail, etc.) without altering the original design elements.

*(4R) Resurfacing, Restoration, Rehabilitation and Reconstruction - Much like 3R, except that 4R allows for the complete reconstruction of the roadway and alteration of certain design elements (i.e., lane widths, shoulder width, SSD, etc.).

BRIDGES SUFFICIENCY RATING

Extremely Critical: 0-25, or a General Appraisal rating of 3 or less.

Critical: 27-50, or a General Appraisal rating of 4.

Major: 51-65 or a General Appraisal rating of 5 or 6.

Moderate: 66-80 or a General Appraisal rating of 7.

Minimal: 81-100 or a General Appraisal rating of more than 7.

No Impact: Bridge on a new roadway.

WASTEWATER TREATMENT PLANTS

Extremely Critical: Environmental Protection Agency (EPA) orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.

Critical: Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.

Major: Replace deficient appurtenances. Update existing processes due to EPA recommendations.

Moderate: Increase capacity to meet current needs or update processes to improve effluent quality.

Minimal: New/Expansion project to meet a specific development proposal.

No Impact: New/Expansion to meet future or projected needs.

WATER TREATMENT PLANT

Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.

Critical: Improvements to meet Environmental Protection Agency (EPA) Safe Drinking Water Regulations and/or NPDES Orders.

Major: Replace deficient appurtenances. Update existing processes due to EPA recommendations.

Moderate: Increase capacity to meet current needs or update processes to improve water quality.

Minimal: New/Expansion project to meet a specific development

No Impact: proposal. New/Expansion to meet future or projected needs.

COMBINED SEWER SEPARATIONS (May be construction of either new storm or sanitary sewer as long as the result is two separate sewer systems.)

Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.
Health Department Construction Ban.

Critical: Separate, due to chronic backup or flooding in basements.

Major: Separate, due to documented water quality impairment, or due to EPA recommendations.

Moderate: Separate, due to specific development proposal within or upstream of the combined system area.

Minimal: Separate, to conform to current design standards.

No Impact: No positive health effect.

STORM SEWERS

Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.

Critical: Chronic flooding (structure damage).

Major: Inadequate capacity (land damage).

Moderate: Inadequate capacity with no associated damage.

Minimal: New/Expansion to meet current needs.

No Impact: New/Expansion to meet future or project needs.

CULVERTS

Extremely Critical: Structurally deficient or functionally obsolete. Deterioration has already caused a safety Critical: hazard to the public.

Critical: Inadequate capacity with land damage and the existing or high probability of property damage.

Major: Inadequate capacity (land damage).

Moderate: Inadequate capacity with no associated damage.

Minimal: New/Expansion to meet current needs.

No Impact: New/Expansion to meet future or projected needs.

SANITARY SEWERS

Extremely Critical: EPA orders in the form of a consent decree, findings and orders or court order.
Health Department Construction Ban.

Critical: Replace, due to chronic pipe failure, chronic backup or flooding in basements. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.

Major: Replace, due to inadequate capacity or infiltration, or due to EPA recommendations.

Moderate: Rehabilitate to increase capacity to meet current needs or to reduce inflow and infiltration.

Minimal: New/Expansion project to meet a specific development proposal.

No Impact: New/Expansion to meet future or projected needs.

SANITARY LIFT STATIONS AND FORCE MAINS

Extremely Critical: Structurally deficient. Deterioration has already caused a safety/health hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.

Critical: Inadequate capacity with actual or a high probability of property damage. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.

Major: EPA recommendations, or, reduces a probable health and/or safety problem.

Moderate: Rehabilitate to increase capacity to meet current needs.

Minimal: New/Expansion to meet a specific development proposal.

No Impact: New/Expansion to meet future or projected needs.

WATER PUMP STATIONS

Extremely Critical: Structurally deficient. Deterioration has already caused a safety hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.

Critical: Inadequate capacity with the inability to maintain pressure required for fire flows.

Major: Replace due to inadequate capacity or EPA recommendations.

Moderate: Rehabilitate to increase capacity to meet current needs.

Minimal: New/Expansion to meet a specific development proposal.

No Impact: New/Expansion to meet future or projected needs.

WATER LINES/WATER TOWERS

Extremely Critical: Solve low water pressure or excessive incidents of main breaks in project area.

Critical: Replace, due to deficiency such as excessive corrosion, etc.

Major: Replace undersized water lines as upgrading process.

Moderate: Increase capacity to meet current needs.

Minimal: New/Expansion project to meet a specific development proposal.

No Impact: New/Expansion to meet future or projected needs.

OTHER

Extremely Critical: There is a present health and/or safety threat.

Critical: The project will provide immediate health and/or safety benefit.

Major: The project will reduce a probable health and/or safety problem.

Moderate: The project will delay a health and/or safety problem.

Minimal: A possible future health and/or safety problem mitigation.

No Impact: No health and/or safety effect.

NOTE: Combined projects that can be rated in more than one subset may be rated in the other category at the discretion of the District 5 Executive Committee. In general, the majority of the cost or scope of the project shall determine the category under which the project will be scored.

(Submittals without supporting documentation will receive 0 Points for this question.)

Extremely Critical , Critical , Major , Moderate _ , Minimal , No Impact . Explain your answer.

(Additional narrative, charts and/or pictures should be attached to questionnaire)

4. Identify the amount of local funds that will be used on the project as a percentage of the total project cost.

A.) Amount of Local Funds = \$94,689

B.) Total Project Cost = \$182,095

RATIO OF LOCAL FUNDS DIVIDED by TOTAL PROJECT COSTS (A 17 B) = 52%

Note: Local funds should be considered funds derived from the applicant budget or loans funds to be paid back through local budget, assessments, rates or tax revenues collected by the applicant.

5. Identify the amount of other funding sources to be used on the project, excluding State Issue II or LTIP Funds, as a percentage of the total project cost.

Grants % Gifts %, Contributions %

Other 0% (explain) 0 , Total 0%

There are no other funding sources contributing to this project.

Note: Grant funds and other revenues not contributed or collected through taxes by the applicant should be considered other funds. The Scope of Work for each Funding Source must be the same.

6. Total Amount of SCIP and Loan Funding Requested- An Applicant can request a grant per the categories below for points as indicated on the Priority Rating Sheet. If the Applicant is including a loan request equal to, but not exceeding 50% of the OPWC funding amounts listed below, there will be no point penalty. If loan funds requested are more than 50%, points as listed in the Priority Rating Sheet will apply.

\$500,001 or More \$400,001 -

\$500,000 \$325,001-\$400,000

\$275,001-\$325,000 \$175,001-

X \$275,000 \$175,000 or Less

There are times when the District spends all of the grant money and has loan money remaining. When this happens, the district makes a loan offer in the amount of the requested grant to the communities that were not funded. The offers are made in the order of scoring. We need to know if you are not successful in obtaining grant dollars for your project if you would be interested in loan money:

YES X NO

(This will only be considered if you are not funded with grant money and there is remaining loan money.) **Please note: if you answer "no" you will not be contacted, only if you answer "yes" will an offer be made in the event that there is loan money remaining.**

7. If the proposed project is funded, will its completion directly result in the creation of permanent full-time equivalent (FTE) jobs (FTE jobs shall be defined as 35 hours/week) ? Yes No X . If yes, how many jobs within eighteen months? Will the completed project retain jobs that would otherwise be permanently lost? Yes n/a No n/a. If yes, how many jobs n/a **will be created/retrained** within 18 months following the completion of the improvements?

(Supporting documentation in the form of letter from affected industrial or commercial enterprises that specify full time equivalent jobs that will be retained or created directly by the installation or improvement of Public infrastructure. Additional items such as; 1) newspaper articles or other media news accounts, 2) public meeting minutes, and/or 3) a letter from the County Economic Development Director or State of Ohio Economic Development Professional that alludes to the requirement for the infrastructure improvement to support the business. Submittals without supporting documentation will receive 0 points for this question.)

8. What is the total number of existing users that will directly benefit from the proposed project if completed? Households served on Chappell: 13 homes, this is a feeder to Addison St. where the Municipal building is located. So an argument can be made that it serves the entire island population (Census states the local population is 312) and a much higher tourist population. Traffic Counts: 996 based on 2014 ECRC website data

(Use households served, traffic counts, etc. and explain the basis by which you arrived at your number.)

9. Is subdivision's population less than 5,000 Yes X No
If yes, continue. You may want to design your project per Small Government Project Evaluation Criteria, released for the current OPWC Round to assist in evaluating your project for potential Small Government Funding. The Small Government Criteria is available on the OPWC website at <http://www.pwc.state.oh.us/Meth.SG.PDF> If No, skip to Question 11.

10. OHIO PUBLIC WORKS COMMISSION SMALL GOVERNMENT PROGRAM GUIDELINES

All projects that are sponsored by a subdivision with a population of 5,000 or less, and not earning enough points for District Funding from SCIP or LTIP Funds, are then rated using the Small Government Program Rating Criteria for the corresponding funding round. In order to be rated the entity must submit the Small Government Supplement and their required budgets with their application. **Only infrastructure that is village- or township- owned is eligible for assistance.** The following policies have been adopted by the Small Government Commission:

- District Integrating Committees may submit up to seven (7) applications for consideration by the Commission. All 7 must be ranked, however, only the top five (5) will be scored. The remaining two (2) will be held as contingency projects should an application be withdrawn.
- Grants are limited to \$500,000. Any assistance above that amount must be in the form of a loan.
- Grants for new or expanded infrastructure cannot exceed 50% of the project estimate.

- The Commission may deny funding for water and sewer systems that are deemed to be more cost-effective if regionalized.
- If a water or sewer project is determined to be affordable, the project will be offered a loan rather than a grant. Pay special attention to the **Water & Wastewater Affordability Supplemental and the**

Small Government Water & Wastewater Affordability Calculation Worksheet. Both are available on the Small Government Program Tab at <http://www.pwc.state.oh.us/SmallGovernment.html>

- Should there be more projects that meet the "annual score" than there is funding, the tie breaker is those projects which scored highest under Health & Safety, with the second tie breaker being Condition. If multiple projects have equivalent Health & Safety and Condition scores they are arranged according to the amount of assistance from low to high. Once the funded projects are announced, "contingency protects" may be funded from project under-runs by continuing down the approved project list.

- Supplemental assistance is not provided to projects previously funded by the Commission.

- Applicants have 30 days from receipt of application by OPWC without exception to provide additional documentation to make the application more competitive under the Small Government criteria. Applications will be scored after the 30-day period has expired. The applicants for each District's two (2) contingency projects will have the same 30-day period to submit supplemental information but these applications will not be scored unless necessary to do so. **It is each applicant's responsibility for determining the need for supplemental material. The applicant will not be asked for or notified of missing information unless the Commission has changed the project type and it affects the documentation required. Important information may include, but is not limited to: age of infrastructure, traffic counts or utility users, median income information, user rates ordinances, and the Auditor's Certificate of Estimated Revenues or documentation from the Auditor of State that subdivision is in a state of fiscal emergency.**

If you desire to have your Round 28 project considered for Small Government Funding please download the Small Government Evaluation Criteria applicable to Round 28 by accessing the OPWC Website at <http://www.pwc.state.oh.us/Meth.SG.PDF>. Please complete the Small Government Evaluation Criteria and attach all required supporting documentation and attach it to the District 5 Questionnaire for Round 28.

11. MANDATORY INFORMATION, DISTRICT 5, DISCRETIONARY RANKING POINTS

List all specific user fees: Amount or
ROAD & BRIDGE PROJECTS: (OHIO REVISED CODE) Percentage

| | | |
|------------------------|---------------------|-------------------------|
| Permissive license fee | 4504.02 or 4504.06 | _____ |
| | 4504.15 or 4504.17 | <u>5.00 license Fee</u> |
| | 4504.16 or 4504.171 | _____ |
| | 4504.172 | _____ |
| | 4504.18 | _____ |

| | | |
|------------------------|---------|------------|
| Special property taxes | 5555.48 | <u>n/a</u> |
| | 5555.49 | <u>n/a</u> |

Municipal Income Tax none

County Sales Tax none

Others Street Levy

(DO NOT INCLUDE SCHOOL TAXES)

SPECIFIC PROJECT AREA INFORMATION.

Median household income \$60,750 2010 based upon 2014 American Community Survey Estimates

Monthly utility rate: Water 429 based on 1,037 cu. ft / month

Sewer no sewers

Other:

List any special user fees or assessment (be specific)

Water intake replacement was an assessment \$611.00 per water account if paid in full. \$19.00 per quarter for 10 years if not paid in full.

Tar & Chip minor roads, typical range \$11.71 front /Ft. for 10 years

POLITICAL SUBDIVISION = Village of Kelleys Island - 043-39662

COUNTY = Erie

DISCRETIONARY POINTS (BY DISTRICT COMMITTEE ONLY) =

(25-20-15)

Date: SEPT 8 2016
Signature: Arden Cooper
Title: MAYOR
Address: 121 ADDISON ST
Phone: 419 746 7535
FAX: 419 746 9595
Email: MAYOR@KELLEYSISLAND.OH / arden.cooper@ACL.CO.OH

Ohio Public Works Commission Capital Improvement Report Summary Form

Village of Kelleys Island
Subdivision _____ Code 043-39662
Erie County _____ Date 9/7/2016

| Infrastructure Component | Replacement Cost/Value | Repair Cost/Needs | Total Units | Units/Physical Condition | | | | | |
|--------------------------|------------------------|--------------------|-------------------------------|--------------------------|------|------|------|----------|---------|
| | | | | Excellent | Good | Fair | Poor | Critical | Unknown |
| Roads | \$10,500,000 | \$3,675,000 | Center Line Miles 15 | 1 | 1 | 10 | 3 | | |
| Bridges | | | Number of Bridges 0 | | | | | | |
| Culverts | \$61,000 | \$34,000 | Number of Culverts 21 | | 3 | 10 | 8 | | |
| Water Supply Systems | \$3,080 | \$770,000 | Number of Facilities 1 | | | | | | |
| Water Distribution | \$4,527,000 | \$1,685,000 | Linear Feet (Thousands) 58 | 26 | | | 21 | 11 | |
| Wastewater Systems | | | Number of Facilities | | | | | | |
| Wastewater Collection | | | Linear Feet (Thousands) | | | | | | |
| Stormwater Collection | | | Linear Feet (Thousands) | | | | | | |
| Solid Waste Disposal | | | Capacity (Tons per Day) | | | | | | |
| Totals | \$15,091,080 | \$6,164,000 | | | | | | | |

Subdivision Socio-Economic Characteristics

| | Current | 1990 Census Information | | |
|------------------|----------------------------------|-------------------------|------------|------------|
| | | Population | % LMI | % Unemploy |
| Population | 312 | 172 | % LMI | 45.0% |
| Total Households | 175 / 859 total units (seasonal) | 84 | % Poverty | % |
| % Unemployment | 13.9% | \$20,625 | % Unemploy | 50.0% |
| | | MHI | | |

Preparer's Name and Phone number _____
Jennifer Brown 440-530-2245

District 5

Capital Improvement Project

Priority Rating Sheet, Round 30

Revised 06/18/15

| COUNTY: Erie | | Village of Kelleys Island | | | | | | | | | | PROJECT NUMBER | | | | | | |
|--------------------------------------|-------------------------|--|---------------------|----|---|---|---|----|---------|--|-----------------------------------|--|-------------------------------|-------------------------------|--|---|-----|--|
| PROJECT: Chappell Street Resurfacing | | | | | | | | | | | | | | | | | | |
| EST. COST: \$233,400 | | | | | | | | | | | | | | | | | | |
| No. | "A" WEIGHT FACTOR | CRITERIA TO BE CONSIDERED | PRIORITY FACTORS | | | | | | | 10 | Priority Factors | | | | | | No. | |
| | | | 0 | 2 | 4 | 6 | 8 | 10 | 0 | | 2 | 4 | 6 | 8 | 10 | | | |
| 1 | 1 | (Repair or Replace) vs. (New or Expansion) | 0 | 2 | 4 | 6 | 8 | 10 | 10 | 0% + Repair or Replacement | 20% + Repair or Replacement | 40% + Repair or Replacement | 60%+ Repair or Replacement | 80%+ Repair or Replacement | 100%+ Repair or Replacement ★ | 1 | | |
| 2 | 1.5 | Existing Physical Condition: Must submit substantiating documentation and CIR (100% New or Expansion = 0 Points) | 0 | 2 | 4 | 6 | 8 | 10 | 6 | Excellent | Good | Fair | Poor ★ | Critical | Closed or Not Operating | 2 | | |
| 3 | 2 | Public Health and/or Public Safety Concerns Submittals without supporting documentation will receive 0 points for this question. | 0 | 2 | 4 | 6 | 8 | 10 | 6 | No Impact | Minimal | Moderate | Major ★ | Critical | Extremely Critical | 3 | | |
| 4 | 2 | Percentage of Local Share (Local funds are funds derived from the applicant budget or a loan to be paid back through the applicant budget, assessments, rates or tax revenues) | 0 | 2 | 4 | 6 | 8 | 10 | 10 | 0%+ | 10%+ | 20%+ | 30%+ | 40%+ | 50%+ ★ | 4 | | |
| 5 | 1 | OTHER FUNDING SOURCES (Excluding Issue II Funds) (Grants and other revenues not contributed or collected through taxes by the applicant; including Gifts, Contributions, etc. — must submit copy of award or status) | 0 | 2 | 4 | 6 | 8 | 10 | 0 | 0%+ ★ | 10%+ | 20%+ | 30%+ | 40%+ | 50%+ | 5 | | |
| No. | "A" WEIGHT FACTOR | CRITERIA TO BE CONSIDERED | PRIORITY FACTORS | | | | | | | "A"X"B" | Priority Factors | | | | | | No. | |
| | | | 0 | 2 | 4 | 6 | 8 | 10 | -9 | | -8 | 0 | 8 | 9 | 10 | | | |
| 6 | 2 | OPWC Grant and Loan Funding Requested; Please refer to Item 6 on Questionnaire for Clarification. | -9 | -8 | 0 | 8 | 9 | 10 | | Grant or Loan Only \$500,001 | \$400,001 to | \$325,001 | \$275,001 | \$175,001 | \$175,000 | 6 | | |
| | | | | | | | | | or more | \$500,000 | \$400,000 | \$325,000 | \$275,000 | or less | | | | |
| | 2 | | -9 | -8 | 0 | 8 | 9 | 10 | 10 | Grant/Loan Combination \$750,000 | \$600,001 to | \$487,501 to | \$412,501 to | \$282,501 to | \$282,500 ★ or less | 6 | | |
| | | | | | | | | | or more | \$750,000 | \$600,000 | \$487,500 | \$412,500 | | | | | |
| 1 | | | | | | | | | | | | When scoring a project that is only grant or only loan. Please use the chart labeled "Grant or Loan Only". When scoring a grant/loan combination, score the project for the gran total (grant and loan combined). Use the lower of the two as the score. | | | | | | |